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1 **WMH and long-term outcomes in ischemic stroke:**
2 **a systematic review and meta-analysis**
3

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24 University Hospital, Ludwig-Maximilians-University (LMU).
25

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1 **ABSTRACT**

2

3 **OBJECTIVE:** To investigate the relationship between baseline white matter hyperintensities
4 (WMH) in patients with ischemic stroke and long-term risk of dementia, functional impairment,
5 recurrent stroke, and mortality.

6 **METHODS:** Following the MOOSE and PRISMA guidelines (PROSPERO protocol:
7 CRD42018092857), we systematically searched Medline and Scopus for cohort studies of
8 ischemic stroke patients examining whether MRI- or CT-assessed WMH at baseline are
9 associated with dementia, functional impairment, recurrent stroke, and mortality at 3 months or
10 later post-stroke. We extracted data and evaluated study quality with the Newcastle-Ottawa
11 scale. We pooled relative risks (RR) for the presence and severity of WMH using random-effects
12 models.

13 **RESULTS:** We included 104 studies with 71,298 ischemic stroke patients. Moderate/severe
14 WMH at baseline were associated with increased risk of dementia (RR: 2.17, 95%CI: 1.72-2.73),
15 cognitive impairment (RR: 2.29, 95%CI: 1.48-3.54), functional impairment (RR: 2.21, 95%CI:
16 1.83-2.67), any recurrent stroke (RR: 1.65, 95%CI: 1.36-2.01), recurrent ischemic stroke (RR:
17 1.90, 95%CI: 1.26-2.88), all-cause mortality (RR: 1.72, 95%CI: 1.47-2.01), and cardiovascular
18 mortality (RR: 2.02, 95%CI: 1.44-2.83). The associations followed dose-response patterns for
19 WMH severity and were consistent for both MRI- and CT-defined WMH. The results remained
20 stable in sensitivity analyses adjusting for age, stroke severity, and cardiovascular risk factors, in
21 analyses of studies scoring high in quality, and in analyses adjusted for publication bias.

22 **CONCLUSIONS:** Presence and severity of WMH are associated with substantially increased
23 risk of dementia, functional impairment, stroke recurrence, and mortality after ischemic stroke.
24 WMH may aid clinical prognostication and the planning of future clinical trials.

1 INTRODUCTION

2 White matter lesions identified as areas of increased signal on T2-weighted and FLAIR MRI
3 sequences or decreased signal on CT (for simplicity termed white matter hyperintensities,
4 WMH) are the most common imaging feature of cerebral small vessel disease, a major health
5 problem in aging societies.¹⁻³ The prevalence of WMH increases substantially with age^{4,5} and
6 with the presence of cardiovascular risk factors.^{6,7} In population-based cohort studies, WMH
7 were independently associated with adverse outcomes including stroke,^{8,9} dementia,^{8,9}
8 functional disability,¹⁰ and mortality.^{8,9}

9 WMH are even more common in patients with ischemic stroke than in the general population.^{11,}
10 ¹² Brain imaging is recommended in all patients with suspected stroke.¹³ Hence, precise
11 knowledge of the prognostic role of WMH may have wide clinical implications. A growing
12 number of studies suggest that WMH in ischemic stroke patients are independently associated
13 with poor outcomes including dementia,¹⁴⁻¹⁶ functional disability,¹⁷⁻¹⁹ stroke recurrence,²⁰⁻²² and
14 death.²³⁻²⁵ However, the reported results vary between studies possibly because of small sample
15 size in the majority of studies, variable methodology for the assessment of WMH, variable
16 definitions of outcomes, and different follow-up intervals. Hence, the predictive role of WMH
17 after ischemic stroke remains to be defined.

18 Here, leveraging data from published literature, we set out to explore the long-term prognostic
19 significance of WMH in patients with ischemic stroke in a systematic review and meta-analysis.
20 Specifically, we aimed to assess the association between WMH at the time of the index stroke
21 with long-term risk of dementia, functional impairment, recurrent stroke, and mortality.

22

1 **METHODS**

2 *Standard protocol approvals, registrations, and patient consent*

3 This systematic review was based on a pre-defined protocol (PROSPERO registration number:
4 CRD42018092857) following the Meta-analysis of observational studies in Epidemiology
5 (MOOSE) guidelines²⁶ and the Preferred Reporting Items for Systematic Reviews and Meta-
6 Analyses (PRISMA).²⁷ As all analyses have been based on publicly available summary statistics
7 and not individual-level data, no ethical approval from an institutional review board or informed
8 patient consent were required.

9

10 *Search strategy*

11 We systematically searched Medline and Scopus from inception through June 1st, 2018 (detailed
12 search strategy in **e-Methods**), screened ProQuest and OpenGrey as sources of grey literature,
13 and hand-searched the reference lists of eligible articles and relevant reviews without language
14 or publication year restrictions. We evaluated studies for potential population overlap, based on
15 geographical setting and recruitment period. In case of overlap, we included studies presenting
16 the most fully adjusted model or the ones with the largest sample size. Two authors (M.
17 Georgakis and M. Duering) performed the literature search independently, and differences were
18 resolved through consensus.

19

20 *Inclusion criteria*

21 We considered eligible all prospective or retrospective cohort studies that included patients with
22 ischemic stroke and examining the association of WMH at baseline with the outcomes of interest
23 over a follow-up period of ≥ 3 months. Secondary analyses of randomized controlled trials
24 (RCTs) examining the same outcomes were also included. Case-control studies, cross-sectional

1 studies, case reports, case series of <50 patients, and animal studies were excluded. Our target
2 population was adult (≥ 18 years) patients with ischemic stroke. Studies examining exclusively
3 patients with hemorrhagic stroke and patients with transient ischemic attack (TIA) were
4 excluded. However, we included studies examining mixed populations of patients with ischemic
5 stroke and TIA or hemorrhagic stroke, as ischemic stroke patients usually comprise the majority
6 of participants in these cohorts.

7 In order to qualify for inclusion into the meta-analysis WMH had to be assessed within the first 3
8 months after the index stroke event. We included studies assessing WMH by either MRI or CT,
9 as previous studies have shown substantial agreement between the two methods.^{28, 29} We further
10 included both studies assessing WMH severity through semi-quantitative visual rating methods
11 and studies with quantitative measurements of WMH volume.

12 Our primary outcomes included post-stroke dementia, functional impairment, any recurrent
13 stroke, and all-cause mortality, assessed over a period of ≥ 3 months after stroke. Dementia had to
14 be defined by standardized criteria (e.g. DSM) or validated clinical rating scales (e.g. Clinical
15 Dementia Rating scale). Cognitive impairment (mild cognitive impairment or dementia), defined
16 by formal neuropsychological testing or global cognitive tests with validated cut-offs was
17 examined as a secondary outcome. Studies examining performance in specific cognitive domains
18 in continuous scales were excluded. For functional outcome, we included studies using tools that
19 have specifically been validated to determine disability in the post-stroke setting, such as
20 modified Rankin scale (mRS), the Oxford Handicap scale (OHS),³⁰ the Barthel Index (BI),³¹ and
21 tools assessing functionality in activities of daily living.³² Functional impairment defined by a
22 validated cut-off with any of these tools was our primary outcome, but we also separately
23 examined poor functional outcome defined by a mRS score of >2 or >1 . Studies not
24 dichotomizing functional outcome or not providing data allowing its dichotomization were
25 excluded. For recurrent stroke, we considered studies assessing any recurrent stroke (ischemic or
26 hemorrhagic) through imaging or clinical follow-up or through linkage with disease registries.

1 Recurrent ischemic stroke was a secondary outcome. We included studies assessing all-cause
2 mortality through assessment of death certificates, linkage with national death registry data, or
3 via interview with informants during follow-up. Cardiovascular mortality including death due to
4 ischemic heart disease or stroke was a secondary outcome.

5

6 *Quality assessment*

7 We evaluated study quality using the cohort subscale of the Newcastle-Ottawa scale.³³ The
8 following criteria were assessed: representativeness of exposed cohort, selection of non-exposed
9 cohort, exposure ascertainment, outcome presence at study onset, comparability of exposed and
10 unexposed cohorts, outcome assessment, follow-up length, and follow-up adequacy. Quality
11 score ranges from 0 to 9 points. Quality assessment is described in detail in the **e-Methods**.

12

13 *Data extraction*

14 We used a pre-defined spreadsheet to extract the following information from each article: study
15 characteristics (geographical setting, recruitment period, study design); population details
16 (sample size, inclusion/exclusion criteria, stroke subtypes, age, sex, stroke severity,
17 cardiovascular risk factors); WMH ascertainment (imaging modality, method and scale of
18 quantification); outcome assessment (definition, follow-up timepoint, number of events); and
19 statistical analysis (type of analysis, relative risk [RR], 95% confidence intervals [CI],
20 adjustments). If multiple analysis models were presented in the individual articles, we extracted
21 the RRs from the most fully adjusted model. Studies examining cognitive outcomes, recurrent
22 stroke, and mortality presented Hazard Ratios or Rate Ratios as effect estimates of RR, which
23 were combined in the same meta-analyses, whereas studies examining functional outcome
24 presented Odds Ratios (OR). When the effect estimate was not directly provided, we calculated
25 RRs or ORs, respectively, through 2x2 tables. To address the time-dependency of cognitive

1 outcomes, recurrent stroke, and mortality, we also performed sensitivity analyses restricted to
2 Hazard Ratios.

3

4 ***Statistical analysis***

5 Our pre-defined primary analysis approach was a comparison of moderate/severe vs. mild/none
6 WMH, given the high prevalence of mild WMH alterations in imaging studies of elderly
7 individuals.⁴ To harmonize studies using different WMH rating scales for defining
8 moderate/severe WMH, we used the definition suggested and validated for each individual scale.
9 For studies assessing WMH volume and presenting results in quantiles, we set a cut-off above
10 the median quantile to define moderate/severe WMH. When studies presented RRs for >1 WMH
11 severity categories, we obtained the effect estimate for moderate/severe vs. mild/none categories
12 using the method suggested by Hamling *et al.*³⁴ We also performed alternative analyses
13 comparing presence vs. absence of WMH and continuous analyses for increasing WMH severity.
14 Studies presenting continuous analyses on different severity scale ranges were harmonized to a
15 0-3 scale. Studies presenting continuous analyses for WMH volume were analyzed separately.
16 To account for the differences across studies regarding WMH assessment we pooled the effect
17 sizes of different studies using random-effects meta-analyses. We set our Bonferroni-corrected
18 significance threshold to $p=0.05/4=0.0125$ to correct for the 4 different primary outcomes. We
19 evaluated between-study heterogeneity with the I^2 and the Cochran Q statistic; I^2 exceeding 50%
20 or 75% was considered as moderate and high heterogeneity, respectively.³⁵ We evaluated
21 publication bias using the Egger's test (significance threshold set at $p<0.10$),³⁶ and adjusted the
22 pooled effect estimates for publication bias in a "trim and fill" analysis.³⁷

23 Sensitivity analyses were performed for the different imaging modalities (MRI/CT) and WMH
24 quantification methods (semi-quantitative/volumetry). Additional subgroup analyses were
25 conducted by study design (prospective/retrospective and after excluding RCTs), study

1 population (solely ischemic stroke/ischemic stroke plus TIA or ICH), degree of confounder
2 adjustment (age, stroke severity, cardiovascular risk factors), stroke severity (NIHSS score), age
3 (<70/≥70 years), WMH location (periventricular/deep), MRI sequence (FLAIR/T2), follow-up
4 duration (<1/≥1 year), sum quality score, and fulfillment of every quality item. In case of
5 heterogeneity, we examined whether it was explained by any of these factors. We further
6 conducted meta-regression analysis to evaluate whether stroke subtypes, age, sex, education,
7 NIHSS score, atrial fibrillation, hypertension, hypercholesterolemia, diabetes mellitus, smoking,
8 stroke history, and coronary artery disease modified the examined associations.

9 For studies presenting WMH analyses in ≥3 levels of WMH severity and adjusting their results
10 at least for age, we conducted dose-response meta-analyses for our primary outcomes. To assign
11 “doses” to every WMH category, we harmonized every scale to a 0-3 severity scale (none, mild,
12 moderate, severe).³⁸ We applied restricted cubic spline models, using generalized least square
13 regression with pre-defined knots at 10th, 50th and 90th percentiles, for individual studies and
14 thereafter pooled the study-specific estimates using the restricted maximum likelihood method in
15 a random-effects meta-analysis.³⁹ All statistical analyses were conducted in Stata 13.1
16 (StataCorp).

17

18 ***Data Availability***

19 The analysis for this study is based on published results from individual studies. Therefore,
20 individual level data cannot be made publicly available. All extracted data from the individual
21 studies and the code used for performing the meta-analyses can be made available upon
22 reasonable request to the corresponding author.

23

24

25

1 RESULTS

2 *Review of literature*

3 **Figure 1** illustrates the study selection process. The literature search yielded 6,562 articles that
4 were screened for eligibility. Following evaluation of titles and abstracts, we examined the full-
5 text of 248 articles for eligibility. We excluded 128 articles that did not meet our eligibility
6 criteria (data available from dryad, **Table e-1**, doi:10.5061/dryad.8b62gn1) and 16 articles
7 because of overlap with other eligible articles (data available from dryad, **Table e-2**,
8 doi:10.5061/dryad.8b62gn1), leaving 104 articles for inclusion into our systematic review.
9 Thirty-one articles (N=16,167 individuals) assessed cognitive outcomes, 49 articles (N=25,559)
10 assessed functional outcomes, 26 articles (N=30,256) assessed recurrent stroke, and 28 articles
11 (N=15,533) assessed mortality.

12

13 *Study characteristics*

14 The characteristics of studies eventually included in the final analysis are presented in **Table e-3**
15 (data available from dryad, doi:10.5061/dryad.8b62gn1). Overall, the 104 studies included a
16 total of 71,298 individuals (median sample size N= 266 individuals; minimum N=56; maximum
17 N=9,522). Forty-four studies were retrospective cohort studies (N=20,765), whereas 60 had a
18 prospective design (N=50,533). Of the latter, 3 studies presented results from secondary analyses
19 of RCTs (N=6,637). The majority of studies (101 out of 104 studies; N=67,769) were hospital-
20 based and examined older stroke patients (mean age ≥ 65 y in 82 studies; N=62,304). Thirty-eight
21 studies (N=33,067) included TIA or ICH patients in addition to ischemic stroke patients, whereas
22 33 studies (N=6,278) focused on specific ischemic stroke subgroups, mainly lacunar stroke or
23 mild stroke. WMH were assessed solely through MRI in 66 studies (N=47,963), solely through
24 CT in 31 studies (N=17,144), and through either method in 7 studies (N=6,191). Regarding
25 WMH quantification, 10 studies (N=7,027) used volumetry, whereas 77 studies (N=56,017)

1 rated WMH severity with visual semi-quantitative rating scales, the most common of which were
2 the Fazekas scale (40 studies; N=39,776), the Van Swieten scale (15 studies; N=6,977), and the
3 ARWMC scale (15 studies; N=8,248). The remaining 17 studies (N=8,254) assessed WMH
4 presence only through subjective visual assessment. Follow-up ranged from 3 months to 15 years
5 with mean intervals of 38.3 months for cognitive outcomes, 5.8 months for functional outcomes,
6 34.3 months for recurrent stroke, and 33.1 months for mortality.

7

8 *Study quality*

9 The overall study quality was moderate with only 7 studies (7%) fulfilling all quality items
10 assessed by the Newcastle-Ottawa scale (data available from dryad, **Table e-4**,
11 doi:10.5061/dryad.8b62gn1). Median total quality scores for cognitive outcomes, functional
12 outcomes, recurrent stroke, and mortality were 5/9, 7/9, 7/9, and 5/8, respectively. Regarding the
13 items on selection bias, 33 studies (32%) lost quality points in representativeness of the cohort,
14 17 studies (16%) because of non-validated subjective assessment of WMH, and 57 studies (55%)
15 for not ensuring absence of the outcome at study onset. Furthermore, 38 studies (37%) did not
16 adjust their results for age and 41 studies (39%) did not adjust for cardiovascular risk factors or
17 stroke severity. Regarding outcome assessment, 16 studies (52%) did not define dementia or
18 cognitive impairment by standardized clinical criteria and formal neuropsychological
19 assessment, 10 studies (38%) did not confirm recurrent stroke with imaging, and 16 studies
20 (57%) examining mortality did not cross-link deaths with death certificates. Follow-up interval
21 was considered short (<12 months) for 18 studies (58%) on cognitive outcome, 6 studies (23%)
22 on recurrent stroke, and 10 studies (36%) on mortality. Finally, 61 studies (59%) did not meet
23 the quality criteria for follow-up adequacy, as the attrition rates were >10%.

24

25

1 ***White matter hyperintensities and outcomes after stroke***

2 We found moderate/severe WMH at baseline (**Figure 2A**) to be associated with increased risk of
3 dementia (RR: 2.17, 95%CI: 1.72-2.73; 12 studies; 12,341 individuals; 2,159 events), any
4 functional impairment (RR: 2.21, 95%CI: 1.83-2.67; 25 studies; 16,339 individuals; 6,663
5 events), any recurrent stroke (RR: 1.65, 95%CI: 1.36-2.01; 14 studies; 24,166 individuals; 3,168
6 events), and all-cause mortality (RR: 1.72, 95%CI: 1.47-2.01; 15 studies; 11,553 individuals;
7 1,801 events) after ischemic stroke. Our analyses further showed that WMH were associated
8 with all of the examined secondary outcomes including cognitive impairment (RR: 2.29, 95%CI:
9 1.48-3.54; 8 studies; 9,505 individuals; 1,299 events), mRS >1 (RR: 1.96, 95%CI: 1.67-2.29; 14
10 studies; 14,155 individuals; 7,350 events), mRS >2 (RR: 2.27, 95%CI: 1.84-2.81; 19 studies;
11 13,979 individuals; 5,899 events), recurrent ischemic stroke (RR: 1.90, 95%CI: 1.26-2.88; 6
12 studies; 5,505 individuals; 423 events), and cardiovascular mortality (RR: 2.02, 95%CI: 1.44-
13 2.83; 5 studies; 2,499 individuals; 621 events).

14 The analyses examining WMH presence vs. absence (**Figure 2B**) and WMH severity in
15 continuous analyses (**Figure 2C**) likewise showed consistent results for all of the examined
16 primary and secondary outcomes, except for cardiovascular mortality, where no studies were
17 available for pooling. Forest plots of the above results are presented in **Figures e-1 to e-4** (data
18 available from dryad, doi:10.5061/dryad.8b62gn1). A meta-analysis of studies examining WMH
19 volume in linear association with any functional impairment also similar results (OR per 10 ml
20 increase: 1.29, 95%CI: 1.10-1.51 and OR per 1 log-WMH volume: 1.20, 95%CI: 1.01-1.44)
21 (data available from dryad, **Figure e-5**, doi:10.5061/dryad.8b62gn1).

22

23 ***Heterogeneity and sensitivity analyses***

24 We found moderate to high heterogeneity in the moderate/severe vs. mild/none and in the
25 presence vs. absence analyses, but no heterogeneity was noted in the continuous WMH severity

1 analyses (**Figure 2**). To examine the sources of heterogeneity we performed sensitivity analyses.
2 Our results remained stable in sensitivity analyses restricted to studies of prospective or
3 retrospective design, studies including solely patients with ischemic stroke, studies adjusting for
4 age, stroke severity, or cardiovascular risk factors, studies of mean follow-up duration <1 or ≥ 1
5 year, studies of higher quality, studies including patients <70 or ≥ 70 years and of different stroke
6 severity, studies evaluating WMH by either MRI or CT, and in T2 or FLAIR sequences, studies
7 quantifying WMH by volumetry or semi-quantitative scales, and studies examining
8 periventricular or deep WMH (**Figure 3**). Our results further remained stable in sensitivity
9 analyses excluding studies based on secondary analyses of RCTs (data available from dryad,
10 **Figure e-6**, doi:10.5061/dryad.8b62gn1) and when restricting the analyses to studies presenting
11 Hazard Ratios as risk estimates (data available from dryad, **Figure e-7**,
12 doi:10.5061/dryad.8b62gn1).

13 Interestingly, sensitivity analyses restricted to studies assessing WMH through FLAIR MRI were
14 not accompanied by heterogeneity for any of the examined outcomes. Also, heterogeneity for
15 dementia, any recurrent stroke, and all-cause mortality was resolved in analyses restricted to
16 prospective studies, studies restricted to ischemic stroke patients, and studies adjusting for the
17 maximum number of confounders. Finally, no heterogeneity was noted for mortality when
18 restricting analyses to studies with a follow-up ≥ 1 year (**Figure 3**). Sensitivity analyses restricted
19 to studies fulfilling every Newcastle-Ottawa scale quality criterion also showed consistent
20 associations of WMH with all of the outcomes (data available from dryad, **Table e-5**,
21 doi:10.5061/dryad.8b62gn1). Importantly, we found no heterogeneity in analyses for dementia
22 and recurrent stroke restricted to representative stroke populations, and to studies ensuring the
23 absence of pre-stroke cognitive impairment or history of stroke at study onset, respectively (data
24 available from dryad, **Table e-5**, doi:10.5061/dryad.8b62gn1).

25 Meta-regression analyses showed that none of the examined study population characteristics or
26 quality score modified the associations of WMH with stroke outcomes (data available from

1 dryad, **Table e-6**, doi:10.5061/dryad.8b62gn1). However, there was some indication that the
2 effect size of the association between WMH and dementia increased with an increasing
3 proportion of small vessel stroke and decreased with an increasing proportion of cardioembolic
4 stroke within the study population.

5 *Dose-response associations between white matter hyperintensities and outcomes after stroke*

6 We next examined dose-response associations of WMH severity with stroke outcomes (**Figure**
7 **4**). In age-adjusted dose-response meta-analyses, we found linear associations between WMH
8 severity and the relative risk for functional impairment (p for non-linearity=0.62; 14 studies;
9 14,395 individuals; 6,165 events), recurrent stroke (p for non-linearity=0.48; 8 studies; 10,448
10 individuals; 668 events), and all-cause mortality (p for non-linearity=0.06; 8 studies; 5,763
11 individuals; 567 events), but a non-linear association for dementia (p -for non-linearity= 4×10^{-4} ; 8
12 studies; 2,149 individuals; 360 events). More specifically, WMH increased the risk for dementia
13 only above a moderate WMH severity. Restricting analyses to studies further adjusting for stroke
14 severity and cardiovascular risk factors yielded similar results (data available from dryad, **Figure**
15 **e-8**, doi:10.5061/dryad.8b62gn1).

16

17 *Assessment of publication bias*

18 The Egger's test supported presence of small-study effects indicating publication bias in several of
19 the primary analyses (data available from dryad, **Table e-7** and **Figure e-9**,
20 doi:10.5061/dryad.8b62gn1). Hence, we adjusted our results for publication bias through a "trim
21 and fill" analysis. Importantly, the effect estimates were attenuated, but the associations remained
22 in these adjusted analyses (data available from dryad, **Table e-7**, doi:10.5061/dryad.8b62gn1).

23

24

1 DISCUSSION

2 Pooling data from 104 studies including >70,000 ischemic stroke patients, we found that WMH
3 at the time of stroke are associated with multiple unfavorable long-term outcomes. Specifically,
4 we found both the presence and an increasing severity of WMH to be associated with a higher
5 risk of cognitive impairment and dementia, functional impairment, recurrent stroke, all-cause
6 mortality and cardiovascular mortality in follow-up intervals extending up to 15 years after
7 stroke. The associations followed a dose-response pattern, with severe WMH being associated
8 with the highest risk for poor long-term outcomes after stroke. The results were consistent
9 regardless of imaging modality, were stable in sensitivity analyses of studies scoring high in
10 quality and of studies adjusting for age, stroke severity, and cardiovascular risk factors, and
11 remained after adjustment for publication bias.

12 Our findings extend previous literature on the prognostic role of WMH in the general
13 population.^{8,9} Stroke marks a high-risk population amenable to preventive therapies and regular
14 monitoring. Other than healthy elderly, almost all stroke patients receive brain imaging as part of
15 their diagnostic workup.¹³ WMH can be reliably assessed on routine CT and MRI scans.^{28, 29, 40}
16 As such, our finding of a predictive role of WMH for multiple long-term outcomes might have
17 wide clinical implications. Specifically, the assessment of WMH might aid clinical
18 prognostication, contribute to the interpretation of clinical trials, and possibly also influence
19 treatment decisions although this would need to be examined in controlled trials. To date there
20 are no treatment options with proven efficacy for slowing or halting the progression of WMH
21 lesions. In any case, as WMH influence stroke outcomes, they should (i) be considered as a
22 confounder for inclusion in minimization algorithms to balance baseline characteristics during
23 randomization into trials; (ii) be examined for potential interactions with treatment effect; and
24 (iii) be explored as a prognostic variable in future observational studies of patients with ischemic
25 stroke.

1 The mechanisms underlying the associations of WMH with stroke outcomes are poorly
2 understood. Potential explanations come from the following observations: first, WMH severity
3 has been associated with infarct growth and larger infarct volumes in ischemic stroke patients⁴¹⁻
4 ⁴³ suggesting that the pathological changes underlying WMH enhance susceptibility to acute
5 ischemia. Indeed, WMH have been associated with both microvascular rarefaction⁴⁴ and reduced
6 blood flow⁴⁵ not only in WMH, but also in normal appearing brain tissue. Second, similar to
7 other manifestations of small vessel disease,⁴⁶ WMH are a risk factor for symptomatic
8 intracerebral hemorrhage after ischemic stroke in patients receiving thrombolysis.^{19, 47} Third, we
9 found that WMH increase the risk for stroke recurrence long-term, which might in part explain
10 the association between WMH and cognitive outcome, functional outcome, and mortality. Of
11 note, however, the stroke recurrence rate observed here was lower than the incidence rates for
12 these events. Hence, the effects of WMH on risk of dementia, functional impairment, and
13 mortality are not sufficiently explained by the effects on stroke recurrence. Fourth, WMH might
14 influence post-stroke outcomes by disrupting neuronal networks relevant for cognitive reserve⁴⁸
15 and rehabilitation.⁴⁹ Finally, WMH might reflect the systemic burden of vascular risk factors
16 known to influence stroke outcome,^{6, 7} although the results remained stable in studies adjusting
17 for these factors.

18 The identified interaction between ischemic stroke subtype and the effect of WMH on dementia
19 risk perhaps reflects fundamental differences in mechanisms of dementia after different ischemic
20 stroke subtypes. Specifically, we found the association between WMH burden and post-stroke
21 dementia to be stronger in studies with higher representation of small vessel stroke. This
22 interaction could relate to aspects of infarct location involving strategic lesions in subcortical
23 gray or white matter.⁵⁰ Nevertheless, these findings are difficult to interpret in a meta-analysis
24 setting and future original observational studies are needed to clarify the role of ischemic stroke
25 subtypes in the examined associations.

1 This study has several strengths. Our systematic review was based on a predefined protocol and
2 followed standard guidelines with rigorous screening of >6,000 articles including sources of grey
3 literature and without language or publication year restrictions. Our pooled analysis was based
4 on a large number of studies examining a wide range of stroke outcomes in more than 70,000
5 patients thus providing robust estimates for the associations of WMH with dementia, functional
6 impairment, mortality, and recurrent stroke. Finally, extensive sensitivity and meta-regression
7 analyses enabled us to control for confounding and other forms of bias.

8 Our study also has limitations. First, the main analyses revealed substantial heterogeneity.
9 Potential sources of this heterogeneity include between-study differences in target population,
10 study design, assessment and quantification of WMH, definition and ascertainment of outcomes,
11 follow-up duration, and statistical approaches. Second, the majority of studies were of rather
12 lower quality. Specifically, several of the included studies were not representative of the general
13 stroke population, showed high attrition rates, did not assess whether outcomes were present
14 before stroke, and did not adjust for major confounders such as age, NIHSS, and cardiovascular
15 risk factors. Third, our analyses suggest marked publication bias for all outcomes investigated.
16 However, the associations between WMH and long-term outcomes remained when adjusting for
17 publication bias. Finally, we could not examine the influence of the index infarct on the technical
18 assessment of WMH and whether this affected the results.

19 This meta-analysis shows that in patients with ischemic stroke both the presence and extent of
20 WMH are associated with substantially increased risk of multiple long-term outcomes including
21 dementia, functional impairment, recurrent stroke, and mortality. Our findings may have
22 implications for clinical prognostication and the planning and interpretation of clinical trials.

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1 **Appendix 1. Authors.**

Name	Location	Role	Contribution
Marios K. Georgakis, MD	LMU Munich, Germany	Author	Concept and design; data acquisition, analysis, and interpretation of data; statistical analysis; drafting of the manuscript; critical revision of the manuscript for intellectual content
Marco Duering, MD	LMU Munich, Germany	Author	Data acquisition, analysis, and interpretation of data; critical revision of the manuscript for intellectual content
Joanna M. Wardlaw, MD	University of Edinburgh, UK	Author	Data acquisition, analysis, and interpretation of data; critical revision of the manuscript for intellectual content
Martin Dichgans, MD	LMU Munich, Germany	Author	Concept and design; data acquisition, analysis, and interpretation of data; drafting of the manuscript; critical revision of the manuscript for intellectual content

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1 **FIGURE LEGENDS**

2 **Figure 1. Flowchart on the selection of eligible articles.**

3 **Figure 2. Associations between white matter hyperintensities at baseline and outcomes**

4 **after stroke.** The forest plots depict the summary effect estimates for the associations of WMH
5 with cognitive outcomes, functional outcomes, recurrent stroke, and mortality based on three
6 different approaches of analyzing WMH severity [A: moderate/severe vs. mild/none WMH; B:
7 present vs. none WMH; C: 1 grade increment (scale 0-3) for WMH (continuous analysis)].

8 **Figure 3. Subgroup analyses of the association of white matter hyperintensities at baseline**

9 **with stroke outcomes across different study characteristics.** The forest plot depicts the
10 summary effect estimates for the associations of WMH, examined as moderate/severe vs.
11 mild/none, with dementia, any functional impairment, any recurrent stroke, and all-cause
12 mortality, by characteristics of the stroke population, neuroimaging methods, and quality factors
13 of the included studies.

14 **Figure 4. Dose-response meta-analysis of the age-adjusted association of white matter**

15 **hyperintensities severity at baseline with stroke outcomes.** The graphs depict the restricted
16 cubic spline derived effect estimates and their 95% confidence intervals for (A) dementia, (B)
17 any functional impairment, (C) any recurrent stroke, and (D) all-cause mortality.

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