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# Numerical Investigation on the Progressive Collapse Behavior of Precast Reinforced Concrete Frame Sub-assemblages

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## ABSTRACT

This paper presents a numerical investigation of the progressive collapse behavior of the precast reinforced concrete (RC) frame sub-assemblages. An efficient numerical model for the precast RC frame sub-assemblages under progressive collapse is developed based on OpenSEES software, where the fiber beam element is used for the beams and columns and the Joint2D element is used for the beam-to-column connections. To consider the significant bond-slip effect inside the joint core of the precast RC frame sub-assemblages, the stress-slip relationship for reinforcement bars with different embedded lengths is derived and used to generate the force-deformation relation for the springs incorporated in the Joint2D element. The numerical model is validated through comparisons with the experimental results of RC sub-assemblages subjected to column removal scenarios in terms of load-displacement curve, compressive arch action, catenary action capacity, etc. Finally systematic parametric studies are conducted based on the validated numerical model to investigate the influences of some typical parameters that involved in precast RC structures on the progressive collapse capacity of the sub-assemblages.

25 **Keywords:** progressive collapse, precast, RC frame sub-assemblages, numerical simulation,  
26 OpenSEES

## 27 INTRODUCTION

28 Progressive collapse of reinforced concrete (RC) structures has caught widespread attentions  
29 around the world in recent years, since great loss of public properties and human lives has been  
30 caused by progressive collapse of structures, and there has been an increasing trend of extreme  
31 events due to malicious attacks, accidental gas explosion and vehicle impact, etc.([Ellingwood](#)  
32 [2006](#)). To mitigate the progressive collapse risk of RC structures, some specific methods have been  
33 developed in various design codes and guidelines, e.g., General Service Administration (GSA)  
34 2013 ([GSA 2013](#)) and Department of Defense (DoD) 2013 ([DoD 2013](#)). Among the proposed  
35 design methods, the alternate load path (ALP) method is the most commonly used one due to its  
36 efficiency and ease of operation ([Pham et al. 2016](#)). With this method, one middle column will be  
37 removed to check whether the remaining structure can bridge over the missing column. Although  
38 simply removing one column in the ALP method does not simulate the real initial damage scenario  
39 (a complete removal of a column is rarely seen in real incidents), it is considered as an effective  
40 way to assess the progressive collapse potential.

41 In light of the fundamental concepts of ALP approach, several experimental tests of RC beam-  
42 column sub-assemblages subjected to column removal scenarios have been conducted in the litera-  
43 ture to investigate their progressive collapse resistance, i.e., the work done by [Sasani and Kropelnicki](#)  
44 ([Sasani and Kropelnicki 2008](#)), [Yi et al.](#) ([Yi et al. 2008](#)), [Su et al.](#) ([Su et al. 2009](#)), [Yap and Li](#)  
45 ([Yap and Li 2011](#)), [Qian and Li](#) ([Qian and Li 2012](#)), [Yu and Tan](#) ([Yu and Tan 2013](#)), [Ren et al.](#)  
46 ([Ren et al. 2016](#)), [Lu et al.](#) ([Lu et al. 2016](#)) and [Qian et al.](#) ([Qian et al. 2016](#)), etc. However,  
47 although experimental studies can provide first-hand results of RC frame sub-assemblages against  
48 progressive collapse, conducting such experiments are generally very costly and time consuming.  
49 Furthermore, due to the constraints of the experimental facilities and space, among other factors,  
50 it is impractical to investigate the influence of a variety of parameters on the progressive collapse  
51 behavior using physical experiments.

52 On the other hand, many numerical models have also been developed to study the progressive  
53 collapse behavior of RC frame sub-assemblages. Generally, the models may be grouped into  
54 three categories: the detailed finite element models, the fiber element-based models and the macro  
55 component-based models. Detailed finite element models (Sasani et al. 2011; Qian and Li 2011;  
56 Bao et al. 2012; Pham et al. 2016) usually adopt three-dimensional (3D) solid elements to simulate  
57 the behavior of an RC sub-assemblage, and the detailed local responses including concrete cracking  
58 and crushing, steel yielding and fracture, can be obtained. However, the computational effort of  
59 this approach is extremely demanding and oftentimes some convergence issues may also arise.  
60 Fiber element-based models (Valipour and Foster 2010; Li et al. 2011; Brunesi and Nascimbene  
61 2014; Brunesi et al. 2015; Feng et al. 2016a; Yu et al. 2016; Brunesi and Parisi 2017) use fiber  
62 beam-column elements with co-rotational formulation to model the structure, in which the large  
63 deformation effect is well considered. This approach is much faster than using detailed finite  
64 element models, and the numerical accuracy in terms of the global response can also be guaranteed  
65 (Li et al. 2016a; Li et al. 2016b). However, some specific failure modes, e.g, bond-slip and bar  
66 fracture, cannot be reflected. The macro component-based models (Bao et al. 2008; Yu and Tan  
67 2014) strive to achieve an adequate balance between the analysis accuracy and computational  
68 efficiency. In this type of models, fiber elements are still used to model beams and columns, but  
69 additional component-based joint model consisting of series of springs is introduced to represent  
70 the essential mechanisms of a beam-to-column connection; thus local failure in the joint region such  
71 as the bar slip and fracture can be incorporated. For these reasons, the macro component-based  
72 models are deemed more suitable for the progressive collapse analysis of RC frame assemblages.

73 So far the existing studies, either experimental or numerical, have mainly focused on the  
74 monolithic RC structures, while little attention has been paid on the progressive collapse capacity  
75 of precast RC structures. Actually, the precast structures are now widely used around the world  
76 due to various advantages such as the product quality, construction speed, and so on. Especially in  
77 rapidly developing countries like China, there is a great demand for precast structures due to the  
78 rapid process of urbanization. Therefore, there is an urgent need to study the progressive collapse

79 behavior of precast structures. Kang and Tan (Kang and Tan 2015a; Kang and Tan 2017) conducted  
80 a set of experiments to investigate the progressive collapse capacity of precast sub-assemblages, and  
81 some special features that are unique in precast structures, e.g., discontinuous reinforcement, were  
82 also analyzed. However, no numerical model has been developed for precast RC sub-assemblages  
83 under a progressive collapse scenario up till now. Compared with the monolithic RC structures, the  
84 bond-slip effect is particularly significant in precast structures since the post-cast concrete quality  
85 can hardly be guaranteed (Kang and Tan 2015b), and therefore careful handling of this important  
86 feature is required in a numerical model.

87 Based on the above-mentioned aspects, this paper aims at developing an efficient numerical  
88 model for precast RC frame sub-assemblages against progressive collapse based on the software  
89 OpenSEES, and subsequently performing systemic parametric studies to investigate the progressive  
90 collapse behavior of precast sub-assemblages. First the numerical model is introduced in detail,  
91 where the fiber beam element is used for the beams and columns and the Joint2D element is used  
92 for the beam-to-column connections. In particular, an analytical stress-slip model is derived for  
93 the beam reinforcement in the middle joint of a precast sub-assemblage. The developed numerical  
94 model is then validated through comparison with the experimental results of the precast sub-  
95 assemblages under a column removal scenario. With the validated numerical model, systematic  
96 parametric studies are conducted to study the influences of some unique parameters in precast RC  
97 structures on its progressive collapse performance.

## 98 **PROGRESSIVE COLLAPSE MODELING APPROACH BASED ON OPENSEES**

99 As mentioned before, the progressive collapse process of precast frame sub-assemblages in-  
100 volves several complex behavioral developments of the structure, including material nonlinearity,  
101 geometrical nonlinearity, bond-slip effect, and bar fracture. Furthermore, the force transfer mecha-  
102 nism from beams to columns through the connection part should also be clearly represented in the  
103 numerical model. Although a detailed model involving continuum solid elements can capture these  
104 local responses, the numerical efficiency and convergence issue remain a problem. Therefore, an  
105 alternative marco-level element approach based on fiber element and Joint2D element (Altoontash

106 2004), which are available in OpenSEES, is adopted in this paper to simulate the progressive  
107 collapse behavior of precast sub-assemblages.

### 108 **Proposed modeling approach for precast sub-assemblage**

109 In the proposed numerical modeling of the precast sub-assemblages, conventional displacement-  
110 based (DB) fiber beam-column elements are used to simulate the beams and columns, while Joint2D  
111 element is used to model the beam-to-column connection, as indicated in Fig. 1. The fiber element  
112 is based on co-rotational formulation to include large deformation effect, and Gauss-Legendre  
113 quadrature is used in the element. The cross-section of the element is divided into concrete and  
114 reinforcement fibers, and each fiber has its own uniaxial constitutive law. Different fibers can have  
115 different constitutive laws, and thus the properties of precast and post-cast concrete can be assigned  
116 separately and the confinement effect provided by stirrups can also be considered. The concrete  
117 damage-plasticity model (ConcreteD), which is implemented in OpenSEES and recommended in a  
118 Chinese code for design of concrete structures (Ministry of Construction of the People's Republic of  
119 China 2010), and the bilinear steel model (Steel01) are adopted for concrete and reinforcement  
120 fibers, respectively. The details for the two material models are given in Appendix I and II.

121 The Joint2D element is developed by Altoontash (Altoontash 2004), which is actually a sim-  
122 plified version of the BeamColumnJoint element in OpenSEES (Lowe and Altoontash 2003), and  
123 large deformation effect can also be accounted for in the model. Although similar component-based  
124 joint models are also proposed in the literature (Bao et al. 2008; Yu and Tan 2013; Yu and Tan 2014)  
125 to model the progressive collapse behavior of RC sub-assemblages, they seem to be more com-  
126 plicated and need complex calibration of the component properties. The proposed element herein  
127 modifies the original Joint2D element to suit for a progressive collapse analysis, and consists of five  
128 spring components, representing the shear distortion of the joint panel and the moment-rotation  
129 behavior including the bar bond-slip effect of the section at the four ends of beams and columns,  
130 respectively. The five spring components are defined with uniaxial force-deformation relations  
131 (Altoontash 2004). For the central shear spring, usually the shear stress-strain relation  $\tau - \gamma$  is  
132 determined based on the modified compression field theory (MCFT) (Vecchio and Collins 1986)

133 or the softened truss model (STM) (Hsu 1988), and then it is converted to the equivalent moment-  
134 rotation relation  $M - \theta$  of the joint panel with the following expressions:  $M = \tau V_J$ ,  $\theta = \arctan \gamma$ ,  
135 where  $V_J$  is the volume of the panel. However, several studies indicate that there is no significant  
136 shear deformation of the joint panel when the sub-assembly is under progressive collapse, since  
137 the joint is subjected to vertical displacement and restrained by the surrounding beam and columns  
138 (Bao et al. 2008; Yu and Tan 2013; Yu and Tan 2014; Rashidian et al. 2016). Hence, the shear  
139 spring is assumed to be elastic in this paper, enabling a rigid shear panel behavior. For the interface  
140 springs at the beam and column ends, which actually represents the member-end rotation due to  
141 bond-slip effect, the corresponding force-deformation relation is calibrated based on a fiber section  
142 analysis (unit length) with the stress-strain relation for the steel fibers replaced by the stress-slip  
143 relation (Altoontash 2004), and the bar fracture is considered through a Min-Max criterion material  
144 in OpenSEES (Feng et al. 2016a). Moreover, the column end springs can be further simplified  
145 as rigid since no failure would occur at the column-joint interface when the sub-assembly is  
146 under a column removal scenario. The stress-slip relation of the steel fibers can be obtained from  
147 either experimental results or theoretical derivation, and the generated section force-deformation  
148 relationship is then simplified into a tri-linear relationship by getting the critical points and assigned  
149 to the springs, which can be done by the Hysteretic material model in OpenSEES, as shown in  
150 Fig. 2. A summary of the proposed modeling approach is given in Table 1.

151 It should be noted that in modelling the joint it is usually assumed that the rebar development  
152 length is sufficient (Altoontash 2004); however, this may be not true for precast structure, especially  
153 for the bottom reinforcement of the beam under a column removal scenario. Therefore, a stress-slip  
154 model for the reinforcement bars of the beam with different embedded lengths is derived in the next  
155 section to address this situation.

### 156 **Analytical derivation of the stress-slip behavior of reinforcement**

157 The bond-slip effect is an important factor that influences the progressive collapse behavior of the  
158 precast sub-assembly, since the reinforcement may develop large strain under a column removal  
159 scenario and thereby bond failure could occur. Especially, this effect is even more significant

for precast structures since the quality of the post-cast concrete in the joint core region cannot be guaranteed as the monolithic structures. Moreover, although some of the existing macro-models can account for bond-slip effect, there is commonly an associated assumption that the embedded length for bars is sufficient; consequently the applicability is restricted, especially for precast concrete structures.

In the present modeling approach introduced in the previous subsection, the bond-slip effect is considered through the beam interface springs in the Joint2D element, and the spring properties are calibrated through a unit length fiber section analysis discussed before. Hence, a stress-slip model is needed herein.

The bond-slip behavior of the beam reinforcement when subjected to progressive collapse actually depends on the anchorage type. Generally, three kinds of anchorage are used in precast RC structures, namely, continuous, lap-spliced and hooked, as shown in Fig. 3. The total slip  $s$  of the reinforcement is actually given by the integral of the strain distribution  $\epsilon(x)$  along the embedded length  $L_{embed}$ , i.e.,

$$s = \int_0^{L_{embed}} \epsilon(x) dx \quad (1)$$

Assuming that the bond stress is a stepped distribution (Sezen and Setzler 2008), as shown in Fig. 3, the total slip of the reinforcement can be analytically derived based on the static equilibrium condition and Eq. (1). Denoting the strain at the loaded end as  $\epsilon_s$  and the yielding strain as  $\epsilon_y$ , the bond stress for elastic part ( $\epsilon_s \leq \epsilon_y$ ) and plastic part ( $\epsilon_s > \epsilon_y$ ) are defined as  $u_{be} = 1.8\sqrt{f'_c}$  and  $u_{by} = 0.5\sqrt{f'_c}$ , respectively, where  $f'_c$  is the cubic compressive strength of concrete (Yu and Tan 2014). The detailed slip derivation for different anchorage types are given as follows:

\* *For continuous bar* (Fig. 3(a))

When a precast sub-assembly is subjected to progressive collapse in a typical middle column removal scenario, the continuous reinforcement inside the joint will finally stress up to the center of the joint under catenary action, and the embedded length of the reinforcement actually equals half of the column width  $h_c$ , i.e.,  $L_{embed} = 0.5h_c$ . The development of the slip



can be divided into three stages. At first the bar is elastic and the corresponding developed elastic bond length  $L_{ed}$  can be determined by the force equilibrium

$$L_{ed} = \frac{f_s d_b}{4u_{be}} \quad (2)$$

where  $d_b$  is the bar diameter;  $f_s$  is the reinforcement stress. Then the slip is obtained through Eq. (1)

$$s = \int_0^{L_{ed}} \epsilon(x) dx = \frac{\epsilon_s}{2} L_{ed} \quad (3)$$

After that the bar yields but is not stressed up to the center, the yielded length  $L_{yd}$  is given by

$$L_{yd} = \frac{(f_s - f_y) d_b}{4u_{by}} \quad (4)$$

and the slip is computed as

$$s = \int_0^{L_{ed}} \epsilon(x) dx + \int_{L_{ed}}^{L_{yd}} \epsilon(x) dx = \frac{\epsilon_y}{2} L_{ed} + \frac{\epsilon_y + \epsilon_s}{2} L_{yd} \quad (5)$$

Finally, the reinforcement is stressed up to the center of the joint under catenary action, but the slip at the center point is still zero due to symmetry. The corresponding elastic developed length and yielded developed length are

$$L_{yd} = \frac{(f_s - f_y) d_b}{4u_{by}}, \quad L_{ed} = L_{embd} - L_{yd} \quad (6)$$

and the slip is

$$s = \int_0^{L_{ed}} \epsilon(x) dx + \int_{L_{ed}}^{L_{yd}} \epsilon(x) dx = \frac{\epsilon_{end} + \epsilon_y}{2} L_{ed} + \frac{\epsilon_y + \epsilon_s}{2} L_{yd} \quad (7)$$

where  $\epsilon_{end}$  can be determined through similar triangle method. Note that no pull-out failure will occur in this case.

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\* For lap-spliced and hooked bar (Fig. 3(b) and 3(c))

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Unlike the case with continuous bar, the bond stress of lap-spliced and hooked bar may develop until to the free-end; the strain and stress at the free-end should be zero and the strain profile should be modified to the blue dashed line in Fig. 4. The free-end slip (if any) should be also included in the total slip. The embedded length for lap-spliced bar is the realistic one, while for hooked bar, it can be modelled as a straight bar with an equivalent length of (Yu and Tan 2014)

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$$L_{embd} = L_{embd}^s + 5d_b \quad (8)$$

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where  $L_{embd}^s$  is the straight embedment length of the hooked bar.

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According to the relation between the embedded length and developed bond length and the assumption discussed above, as shown in Fig. 4, the slip is derived as follows:

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• If the bar embedded length is sufficient to develop full bond length  $L_d$  (Fig. 4(a)), the failure mode is bar fracture, the developed process of the bond stress involves two stages. At first the bar is elastic, and developed elastic bond length  $L_{ed}$  and corresponding slip are given by

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$$L_{ed} = \frac{f_s d_b}{4u_{be}}, \quad s = \int_0^{L_{ed}} \epsilon(x) dx = \frac{\epsilon_s}{2} L_{ed} \quad (9)$$

222

Then the bar yields, and the yield length and slip are

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$$L_{yd} = \frac{(f_s - f_y) d_b}{4u_{by}}, \quad s = \int_0^{L_{ed}} \epsilon(x) dx + \int_{L_{ed}}^{L_{yd}} \epsilon(x) dx = \frac{\epsilon_y}{2} L_{ed} + \frac{\epsilon_y + \epsilon_s}{2} L_{yd} \quad (10)$$

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• If the bar embedded length is sufficient to develop elastic bond length but not sufficient to develop full bond length (Fig. 4(b)), the first two stages of slip evolution are the same with Eqs. (9) and (10) in last case. However, the bar will be stressed up to the free-end, and free

end slip may occur. So the developed length and slip in this situation are expressed as

$$L_{yd} = \frac{(f_s - f_y) d_b}{4u_{by}}, \quad L_{ed} = L_{embd} - L_{yd} \quad (11)$$

$$s = s_0 + \int_0^{L_{ed}} \epsilon(x) dx + \int_{L_{ed}}^{L_{yd}} \epsilon(x) dx = s_0 + \frac{\epsilon_y}{2} L_{ed} + \frac{\epsilon_y + \epsilon_s}{2} L_{yd} \quad (12)$$

where  $s_0$  is the free-end slip and can be determined by

$$s_0 = s_1 \left( \frac{u_e}{u_u} \right)^{2.5} \quad (13)$$

with

$$s_1 = \left( \frac{30}{f'_c} \right)^{0.5}, \quad u_e = \frac{f_{se} d_b}{4L_{edb}}, \quad u_u = \left( 20 - \frac{d_b}{4} \right) \left( \frac{f'_c}{30} \right)^{0.5} \quad (14)$$

where  $s_1$  is the ultimate slip at the free-end;  $u_e$  is the elastic bond stress at the free-end;  $u_u$  is the ultimate bond stress;  $f_{se}$  is the maximum bar stress ( $\leq f_y$ ) in the elastic developed bond length. Note that if  $u_e$  reaches  $u_u$  ( $s_0 \geq s_1$ ), the bar will fail by a pull-out mode.

- If the bar embedded length is even not sufficient to develop elastic bond length (Fig. 4(c)), at first it is still the same as Eq. (9), then the bar will be stressed up when the applied strain is even in the elastic stage; the developed elastic bond length is actually the full embedded length, i.e.,  $L_{ed} = L_{embd}$ , thus the slip is

$$s = s_0 + \int_0^{L_{ed}} \epsilon(x) dx = s_0 + \frac{\epsilon_s}{2} L_{embd} \quad (15)$$

If there is no pull-out failure ( $s_0 \geq s_1$ ) even when the bar yields at the loaded end, then the slip is the same as Eq. (12).

With the above equations, the reinforcement stress-slip relation can be obtained. Note that two kinds of bar failure modes may happen, namely, fracture failure ( $\epsilon_s \geq \epsilon_u$ ) and pull-out failure

249 ( $s_0 \geq s_1$ ); here whichever mode is first reached will be treated as the failure of the bar. Meanwhile,  
250 the bond-slip effect is neglected for reinforcement under compression in this paper. In fact, when  
251 subjected to progressive collapse, the reinforcement will eventually undergo tension to develop  
252 catenary action, thus the bond-slip behavior under compression will have little influence on its  
253 global performance.

### 254 **Nonlinear solution strategy**

255 The numerical simulation of progressive collapse of precast sub-assembly includes several  
256 extreme behaviors, i.e., material and geometrical nonlinearity, bar fracture etc. Therefore, some  
257 convergence issue may arise in the simulation and the numerical solution algorithm is a challenge  
258 aspect. To improve the numerical performance, a varying solution strategy is employed in this paper.  
259 The analysis starts with the full Newton-Raphson algorithm, which has the fastest convergence rate,  
260 and the convergence tolerance is set as  $10^{-6}$  on the norm of energy increment. The maximum  
261 number of iterations for each time step is defined as 200. If the solution cannot be obtained in a  
262 single step, the analysis switches the algorithm in turn to modified Newton-Raphson method, Krylov  
263 Newton acceleration method and Newton line search method until the convergence is attained. If it  
264 still fails to obtain a solution, the iteration number is increased (i.e., 1000). If a solution still cannot  
265 be obtained, a larger tolerance is then adopted (i.e.,  $10^{-4}$ ). After the convergence is obtained, all  
266 these settings are returned back to the default ones for the next step.

## 267 **VALIDATION OF THE PROPOSED MODELING APPROACH**

### 268 **Overview of progressive collapse test on precast RC sub-assemblages**

269 To validate the proposed numerical modeling approach for precast RC sub-assemblages, the  
270 experiments conducted by Kang and Tan's group ([Kang and Tan 2015a](#); [Kang et al. 2015](#)) are  
271 simulated. The experiments were performed to investigate whether the precast sub-assemblages  
272 could develop catenary action under column removal, even though they could exhibit similar  
273 seismic performance as the monolithic structures. Totally six specimens, designed in accordance  
274 with Eurocode 2, were tested. The geometrical dimensions were kept the same, and the differences

275 came from the reinforcing details. Each specimen was made up of two precast beam units and two  
276 end column stubs, and the precast components were assembled in the joint region through cast-  
277 in-situ concrete. The span for the beams was 2750 mm, while the cross-section dimensions were  
278  $300 \times 150$  mm for beams,  $250 \times 250$  mm for middle columns and  $400 \times 450$  mm for end column  
279 stubs. Two kinds of reinforcing details were used in the connection region, namely, hooked ( $90^\circ$   
280 bent) and lap-spliced, as shown in Fig. 5. Apart from the reinforcing details, the main investigating  
281 parameter was the reinforcing ratio, which is listed in Table 2.

282 The material properties of the specimens, including concrete and reinforcement, are given in  
283 Table 3, where bar H13 and H16 marked with \* were used for specimen MJ-B-1.19/0.59R only.  
284 The two end column stubs were restrained each by two load cells in in the horizontal direction,  
285 and one pin support in the vertical direction. In addition, two sets of steel columns were arranged  
286 on each side of the middle span of the beams to prevent out-of-plane failure of the specimens.  
287 Column removal was simulated through gradually increasing the vertical displacement at the top  
288 of the middle column stub. More details about the experiments can be found in (Kang and Tan  
289 2015a; Kang et al. 2015).

## 290 **Analysis results**

291 The established numerical model is demonstrated in Fig. 6. The beams and columns are modeled  
292 with fiber elements, and the joints are represented with the Joint2D model discussed above. The  
293 finite element mesh size is defined as the section height to avoid softening localization issue (Feng  
294 et al. 2015), and two integration points are used for each element. The sections are divided into  
295 two parts, i.e., the precast part and the cast-in-situ part, and each part is discretized into 20 concrete  
296 fibers, and the number and locations of the steel fibers are assigned according to the reinforcement  
297 detail of each beam and column. Material model parameters are determined according to Table 2,  
298 and the concrete tensile strength is given by  $0.25\sqrt{f_c}$ , where  $f_c$  is the compressive strength. The  
299 confinement effect is considered through Mander model (Mander et al. 1988). The embedded  
300 length for the continuous reinforcement bars is set as half of the column width, i.e., 125 mm, and  
301 for the bent bar it is  $190 + 5d_b$  mm and for the lap-spliced bar it is 470 mm. The boundary conditions

302 of the end column stubs are simulated with lateral elastic springs, and the stiffness is assumed to be  
303 the level of  $10^5$  kN/m, which is consistent with the recommendation in (Yu and Tan 2013) based on  
304 the measurement of the reaction forces and the displacements. Vertical load is applied at the top of  
305 the middle column stub through displacement control, and for a quasi static analysis the time step  
306 is set as 1 mm/s.

307 The simulated vertical displacement of the middle column versus the vertical applied load on the  
308 column top, as well as the horizontal reaction forces of the beams (or the beam axial forces) of all the  
309 six specimens are plotted against the experimental results in Fig. 7. Good agreements are achieved  
310 between the numerical and experimental results for nearly all the specimens. It can be found from  
311 the applied load-vertical displacement curves that the initial stiffness, flexural beam action, and  
312 the effects of compressive arch action (CAA) and catenary action all can be well reflected by the  
313 numerical model. Furthermore, the bar fracture failure at the middle column joint and end column  
314 stubs can also be reproduced.

315 More specifically, the CAA capacities predicted by the numerical model for specimens MJ-  
316 B-0.52/0.35S, MJ-B-0.88/0.59R, MJ-B-1.19/0.59R and MJ-L-0.52/0.35S are nearly the same as  
317 the experimental results, while they are 6 kN and 8.3 kN larger than the experimental values for  
318 specimens MJ-L-0.88/0.59R and MJ-L-1.19/0.59R, respectively, which corresponds to the relative  
319 differences of 11% and 14% between the numerical and experimental results. The numerical  
320 models also predict quite well the bar fracture at the middle and end column joints for specimens  
321 MJ-B-0.52/0.35S, MJ-B-1.19/0.59R and MJ-L-0.52/0.35S and MJ-L-1.19/0.59R, while the results  
322 for specimens MJ-B-0.88/0.59R and MJ-L-0.88/0.59R are less comparable with the experimental  
323 ones. This may be caused by the uncertainty in material properties, especially the fracture strain of  
324 the reinforcement bars.

325 For the horizontal reaction force curves, the numerical results also exhibit good agreement with  
326 the experimental results. Take the specimen MJ-B-0.88/0.59R as an example, the beam axial force  
327 is first under compression and then transits to tension due to catenary action from a displacement  
328 around 350 mm. The calculated maximum compression force is 282.7 kN which matches almost

329 exactly the measured value of 282.5 kN. Fig. 8 also gives the comparison of the deformed profile  
330 of specimen MJ-B-0.88/0.59R under different vertical displacements obtained from the numerical  
331 model and the experiment. As can be seen in the figure, the two sets of results match well with  
332 each other. In general, the numerical results indicate that the developed finite element model can  
333 predict realistically the responses of precast RC frame sub-assemblages, and therefore can be used  
334 as an effective tool in a progressive collapse analysis.

## 335 **PARAMETRIC STUDIES ON PROGRESSIVE COLLAPSE BEHAVIOR OF PRECAST RC** 336 **SUB-ASSEMBLAGES**

337 With the validated numerical model, parametric studies can be performed to investigate the  
338 influences of a variety of factors on the progressive collapse behavior of the precast RC sub-  
339 assemblages. It is worth noting at this juncture that many basic design parameters, including  
340 reinforcement ratio, beam depth, concrete strength, slab effect, boundary condition, etc., have been  
341 widely studied before (Yu and Tan 2013; Yu and Tan 2014; Pham et al. 2016). The present study  
342 therefore mainly focuses on a few factors that are particularly important for the analysis of precast  
343 RC structures, namely, the modeling strategies, the strength of the cast-in-situ concrete, and the  
344 anchorage length of the reinforcement bars at the joint region. To concentrate the observation  
345 to these factors, the specimen MJ-L-0.88/0.59R is selected as a reference case to conduct the  
346 parametric studies as described in the following subsections.

### 347 **Effect of modeling strategies**

348 First the effect of modeling strategies is discussed. The modeling strategy with elastic shear  
349 spring as used in the above validation analysis is denoted as Model 1. To study the influence of  
350 shear deformation at the joint region, Model 2 adopts a nonlinear shear spring property, which can  
351 be obtained from MCFT. Model 3 employs a rigid joint model to investigate the influence of the  
352 bond-slip effect, which means beam interface springs in the Joint2D model are set as rigid and the  
353 bond-slip effect is neglected. Model 4 removes the Joint2D element and uses fiber element only  
354 to simulate the sub-assemblages to improve the computational efficiency. However, to consider the  
355 bond-slip effect, the stress-strain relationship of reinforcement in the critical nonlinear region is

356 modified by assuming that the equivalent strain is the sum of the slip and the bar deformation (Bao  
357 et al. 2012), i.e.,  $\epsilon' = \epsilon + s/L_p$ , where  $s$  is the bar slip derived above and  $L_p$  is the critical nonlinear  
358 region length, usually equals beam height.

359 The results for the four models are demonstrated in Fig. 9. As can be seen in the figure, the  
360 results by Model 1 and Model 2 are nearly the same, which indicates that considering the shear  
361 deformation actually has little influence on the analysis of progressive collapse behavior of precast  
362 concrete structures. This conclusion echoes closely observations made in previous researches by  
363 (Bao et al. 2008; Yu and Tan 2013; Yu and Tan 2014; Rashidian et al. 2016). Model 3 appears to  
364 overestimate the CAA capacity of the specimen, and the bar fracture occurs earlier than the other  
365 two models since the fixed-end rotation caused by bar slip at the beam interface is not accounted  
366 for in Model 3. On the other hand, Model 1 and Model 4 predict almost the same results, which  
367 means developing an equivalent reinforcement model including bond-slip is an alternative way  
368 for modeling precast frame sub-assembly under progressive collapse, and the computational  
369 efficiency can be also improved.

### 370 **Effect of concrete strength in cast-in-situ region**

371 Precast concrete structures enables us using concrete of different grades as the cast-in-situ part  
372 to improve the integrity of the structure. Therefore, the influence of concrete strength on the  
373 progressive collapse resistance is studied. The original concrete strength for the cast-in-situ part in  
374 specimen MJ-L-0.88/0.59R is 20.3 MPa, and now cast-in-situ part of 30 MPa and 40 MPa is also  
375 simulated. The numerical results are demonstrated in Fig. 10. With the increase of the concrete  
376 strength, the CAA capacity will increase; however, the degree of the increase appears to be very  
377 limited. Meanwhile, increasing the concrete strength makes little difference to the catenary action,  
378 and this is expected since catenary action is mainly controlled by the reinforcement properties. It  
379 should be noted that the onset of the bar fracture at the middle column interface becomes earlier  
380 with the increase of the concrete strength. This is because the bond strength will rise as the concrete  
381 strength increases, resulting in the fracture of bar at a smaller rotation of the beams.



## Effect of beam bar properties

The bottom bar directly affect the progressive collapse behavior of the precast assemblages, and it is also closely related to the bond strength in the joint region. Hence the bar diameter and bar strength are studied. The specimen MJ-L-0.88/0.59R is still set as the reference model, in which the bar diameter and yielding strength are 13 mm and 470 MPa, respectively. Then the model is first varied into two new models using different bar diameters, namely 10 mm and 16 mm, respectively, while the bar yield strength remains at 470 MPa. Subsequently, the reference model is varied into another two models using two yielding strengths of 520 MPa and 570 MPa, respectively, while other properties remain unchanged.

The numerical results are shown in Fig. 11 and 12. As can generally be expected, the bar diameter, which in the case herein also represents the amount of reinforcement, has a direct influence on the progressive collapse behavior of the sub-assemblage. The CAA capacity and catenary action capacity both increase with the increase of the bar diameter (and hence amount of reinforcement herein), since the total axial strengths of the beams are controlled by the reinforcing bars. Compared with the reference model, the CAA capacity of the model with a smaller 10-mm bar decreases by 32.1%, while that with a larger 16-mm bar increases by 33.8%. The respective catenary action decreased by 46.8% and increased by 53.4%. Meanwhile, the onset of the transition of the horizontal beam force from compression to tension becomes earlier for model with increased reinforcement, as shown in Fig. 12(b). The concrete will crush earlier for model with larger amount of reinforcement, correspondingly the horizontal force will change to tension earlier.

In a similar trend, with the increase of the bar yielding strength, the CAA capacity and catenary action capacity also exhibit a significant increase, as shown in Fig. 12. With the yield strength increasing from 470 MPa to 520 MPa and 570 MPa, the CAA capacities increase by 8.5% and 16.9%, respectively.

The above results indicates that both the CAA and the catenary capacities tend to increase consistently with the increase of the total strength of the steel reinforcement. Since the total reinforcement strength is closely correlated to the flexural strength of the section, in general design

409 procedure for a precast structure, a certain required degree of progressive collapse resistance may be  
410 achieved through controlling the flexure strength of the section, within a reasonable reinforcement  
411 ratio range.

### 412 **Effect of anchorage length at the joint**

413 The anchorage length in the joint region is a crucial point for the precast RC sub-assembly  
414 under progressive collapse since it is directly related with the integrity of the joint. Sufficient  
415 anchorage length of the bar at the joint will avoid pull-out failure. The original anchorage length  
416 for specimen MJ-L-0.88/0.59R is 470 mm. Here variations to 370 mm, 270 mm and 170 mm,  
417 respectively, are also simulated. Fig. 13 presents the numerical results for the models with different  
418 anchorage lengths. With shorter anchorage length (170 mm and 270 mm), the failure mode of the  
419 bar at the middle beam-to-column joint is bar pull-out, while it changes to bar fracture for the cases  
420 of anchorage length 370 mm and above. The results for anchorage length 370 mm and 470 mm are  
421 basically the same since the anchorage length is sufficient to develop the bond behavior, and thus the  
422 generated bond-slip spring property in the Joint2D element are the same. However, for anchorage  
423 length 170 mm and 270 mm, pull-out failure will occur prior to fracture according to the derived  
424 stress-slip behavior of reinforcement in this paper. Therefore, the failure of the beam interface  
425 spring in Joint2D element of this case corresponds to the pull-out failure of the reinforcement bars.  
426 However, for all the cases, the ultimate catenary capacities are very close since at this stage the  
427 bottom bars all failed (either due to pull-out or due to fracture) and the final catenary capacity is  
428 actually determined by the tensile force of the top bars, which is continuous in the models. In  
429 general, insufficient anchorage length for the bottom bars will cause pull-out failure at the middle  
430 joint, and the beam end flexural capacity will also be reduced due to the failure of the bottom bars.

### 431 **CONCLUSIONS**

432 In this paper, an efficient numerical model is developed for the progressive collapse analysis  
433 of precast RC sub-assemblies. The model is based on the fiber element and Joint2D element in  
434 OpenSEES. In particular, to account for the significant bond-slip effect in precast RC structures,  
435 the reinforcement stress-slip relationship is analytically derived, and different anchorage types and

436 lengths are considered. To validate the numerical model, a set of recently reported experiments  
437 of six precast RC sub-assemblages under a middle column removal scenario are simulated. The  
438 results indicate that the proposed model can well capture the typical progressive collapse behaviors  
439 of the precast RC structures, including behaviors in the flexural, CAA, and catenary stages.

440 With the validated numerical model, several important factors influencing the analysis of  
441 precast structures are investigated, these include the modeling strategy, the post-cast concrete  
442 strength, the bar diameter and yielding strength (or total reinforcement contributions), and the  
443 bottom bar anchorage length. The results indicate that the bond-slip effect has a sensible influence  
444 and therefore should be considered in the numerical model; otherwise the CAA capacity will be  
445 overestimated and the beam end rotation capacity will be underestimated. Improving the concrete  
446 strength of cast-in-situ part will increase the CAA capacity, but the extent appears to be limited. The  
447 reinforcement altogether, through bar diameter and yielding strength, will have a great influence on  
448 the CAA capacity as well as the catenary capacity. These two capacities will increase consistently  
449 with increasing the bar diameter and yielding strength; but the onset of beam horizontal force  
450 transition from compression to tension will also become earlier. The failure mode of the bar at the  
451 middle column joint depends on the anchorage length. Pull-out failure will happen for insufficient  
452 anchorage length and fracture failure will happen for sufficient anchorage length. However, in both  
453 cases the final catenary action capacity is nearly the same in principle since it is dominated by the  
454 tensile force of the top bars.

455 In general, the numerical model developed in this paper represents a balanced consideration  
456 between the analysis accuracy and computational efficiency. The proposed model approach can be  
457 used as an effective tool for progressive collapse analysis of precast structures. It should be noted  
458 that some other detailed aspects relating to precast RC structures, like the interface between precast  
459 and cast-in-situ concrete surfaces and the bond deterioration, still requires further study in order to  
460 be considered in the numerical model.

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## 467 APPENDIX I. CONCRETE DAMAGE-PLASTICITY MODEL

468 The uniaxial concrete model used in this paper is based on damage mechanics, and the general  
 469 form of the constitutive relation can be written as

$$470 \quad \sigma^\pm = (1 - d^\pm) E_c (\epsilon^\pm - \epsilon^{p\pm}) = (1 - d^\pm) E_c \epsilon^{e\pm} \quad (16)$$

471 where  $\sigma^\pm$  is the stress;  $\epsilon^\pm$  is the total strain;  $\epsilon^{p\pm}$  is the plastic strain;  $d^\pm$  is the damage variable;  $E_c$   
 472 is the elastic modulus; the superscript  $\pm$  indicate tension and compression, respectively.

473 The damage evolution can be determined by either micro-mechanics (Feng et al. 2016b) or  
 474 experimental data (Feng et al. 2017), here the latter one is adopted

$$475 \quad d^\pm = \begin{cases} 1 - \frac{\rho^\pm n^\pm}{n^\pm - 1 + (x^\pm)^{n^\pm}} & x^\pm \leq 1 \\ 1 - \frac{\rho^\pm}{\alpha^\pm (x^\pm - 1)^2 + x^\pm} & x^\pm > 1 \end{cases} \quad (17)$$

476 and the symbols are defined as

$$477 \quad x^\pm = \frac{\epsilon^{e\pm}}{\epsilon_c^\pm}, \quad \rho^\pm = \frac{f_c^\pm}{E_c \epsilon_c^\pm}, \quad n^\pm = \frac{E_c \epsilon_c^\pm}{E_c \epsilon_c^\pm - f_c^\pm} \quad (18)$$

478 where  $f_c^\pm$  and  $\epsilon_c^\pm$  are the stress and strain corresponding to the peak strength in tension and  
 479 compression;  $E_c$  is the elastic modulus.

480 The plastic strains are also given by an empirical model, i.e.,

$$481 \quad \begin{cases} \epsilon^{p+} = 0 \\ \epsilon^{p-} = \xi_p (d^-)^{\eta_p} \end{cases} \quad (19)$$

482 where  $\xi_p$  and  $\eta_p$  are the plastic parameters that controls the plastic evolution, and the recommended  
483 values are 0.6 and 0.1, respectively. Note that the tensile plastic strain is neglected since it is  
484 relatively small and has little influence on the overall behavior of concrete.

## 485 **APPENDIX II. BILINEAR REINFORCEMENT MODEL**

486 The bilinear model is used for reinforcement bars. The stress-strain relation under tension and  
487 compression is assumed to be the same, and is given by

$$488 \sigma_s = \begin{cases} E_s \epsilon_s & \epsilon_s \leq \epsilon_y \\ f_y + E_h (\epsilon_s - \epsilon_y) & \epsilon_s > \epsilon_y \end{cases} \quad (20)$$

489 where  $E_s$  is the elastic modulus;  $f_y$  and  $\epsilon_y$  are the yielding strength and strain, respectively;  
490  $E_h = bE_s$  is the hardening modulus;  $b$  is the hardening ratio.

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**TABLE 1.** Summary of the proposed modeling approach

Member	Element	Material	
Beams/columns	DB fiber element	Concrete fibers	ConcreteD
		Steel fibers	Steel01
Beam-to-column connection	Joint2D element	Shear panel	Elastic
		Column interface	Rigid
		Beam interface	Hysteretic

**TABLE 2.** Reinforcing details of the tested specimens

Specimen	Curtailed bar length (mm)	A-A section		B-B section	
		Top	Bottom	Top	Bottom
MJ-B-0.52/0.35S	900	3H10	2H10	2H10	2H10
MJ-B-0.88/0.59R	1000	3H13	2H13	2H13	2H13
MJ-B-1.19/0.59R	1000	2H16+H13	2H13	2H16+H13	2H13
MJ-L-0.52/0.35S	900	3H10	2H10	2H10	2H10
MJ-L-0.88/0.59R	1000	3H13	2H13	2H13	2H13
MJ-L-1.19/0.59R	1000	2H16+H13	2H13	2H16+H13	2H13

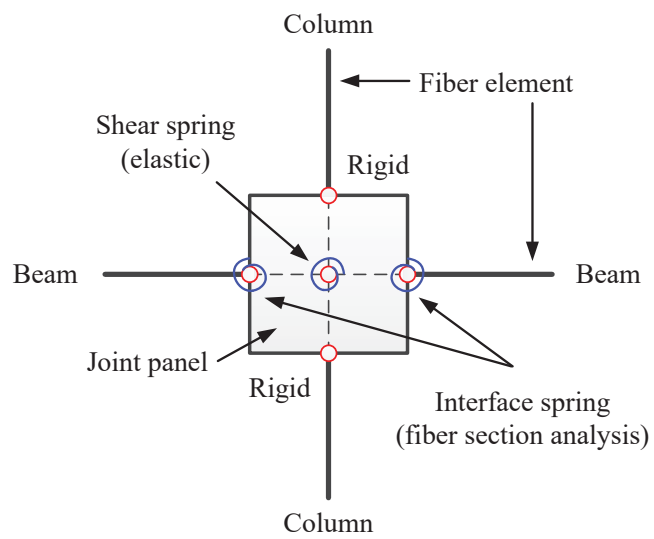
**TABLE 3.** Material properties of the tested specimens

Bar type	Reinforcement				
	$d_b$ (mm)	$f_y$ (MPa)	$f_u$ (MPa)	$E_s$ (MPa)	$\epsilon_u$ (%)
H10	10	462	553	187302	11.9
H13	13	471	568	186526	12.2
H16	16	527	618	196341	11.9
H13*	13	549	698	206600	16.3
H16*	16	573	674	211300	12.9

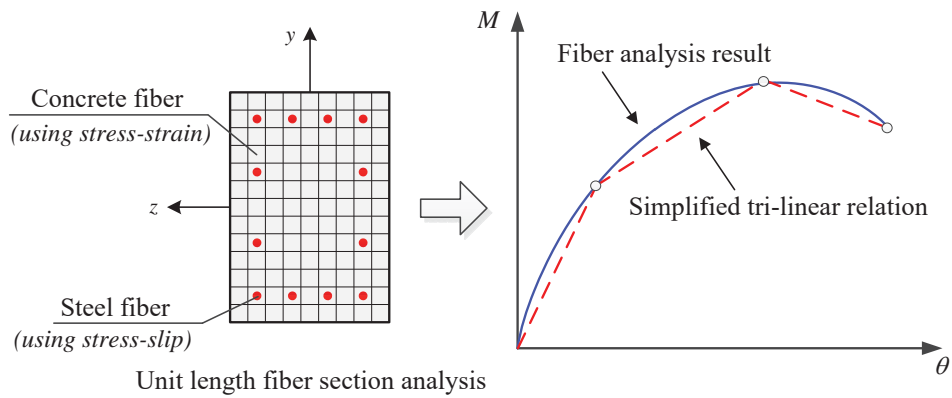
  

Specimen	Concrete strength (MPa)	
	Precast units	Cast-in-situ
MJ-B-0.52/0.35S	27.9	35.8
MJ-B-0.88/0.59R	27.9	20.3
MJ-B-1.19/0.59R	40.5	36.1
MJ-L-0.52/0.35S	27.9	35.8
MJ-L-0.88/0.59R	27.9	20.3
MJ-L-1.19/0.59R	27.9	20.3

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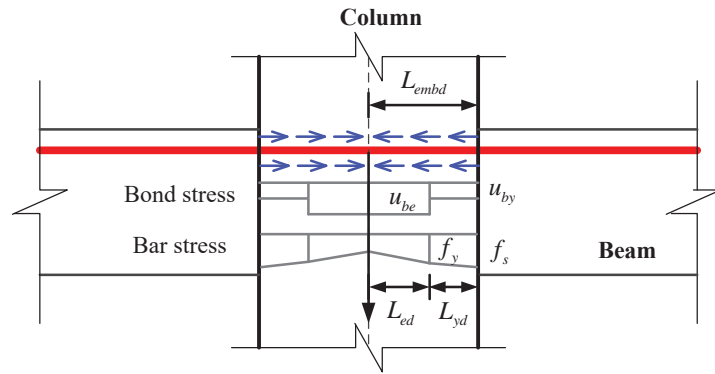


**Fig. 1.** Joint2D element for precast sub-assembly under progressive collapse

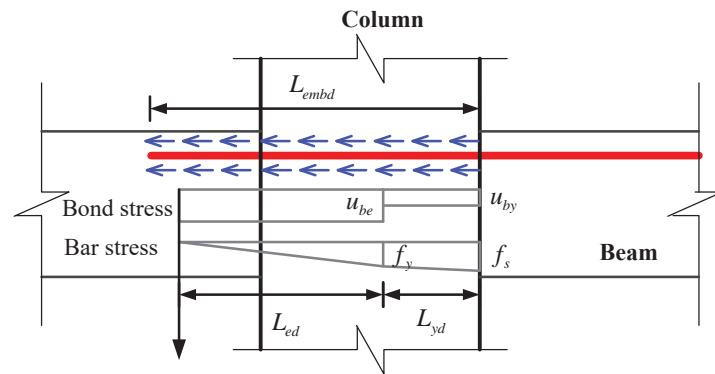


**Fig. 2.** Determination of the beam end spring property through fiber section analysis

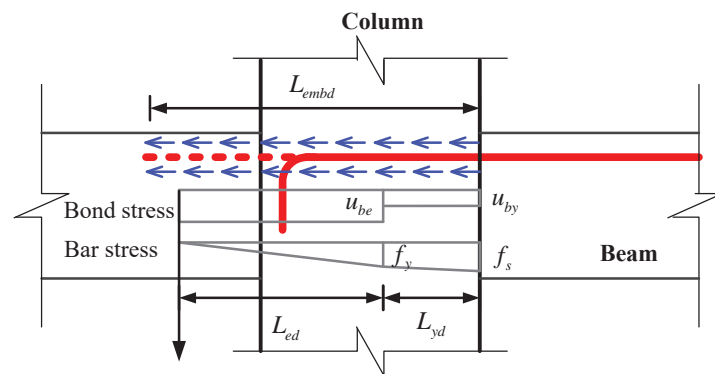




(a) Continuous bar

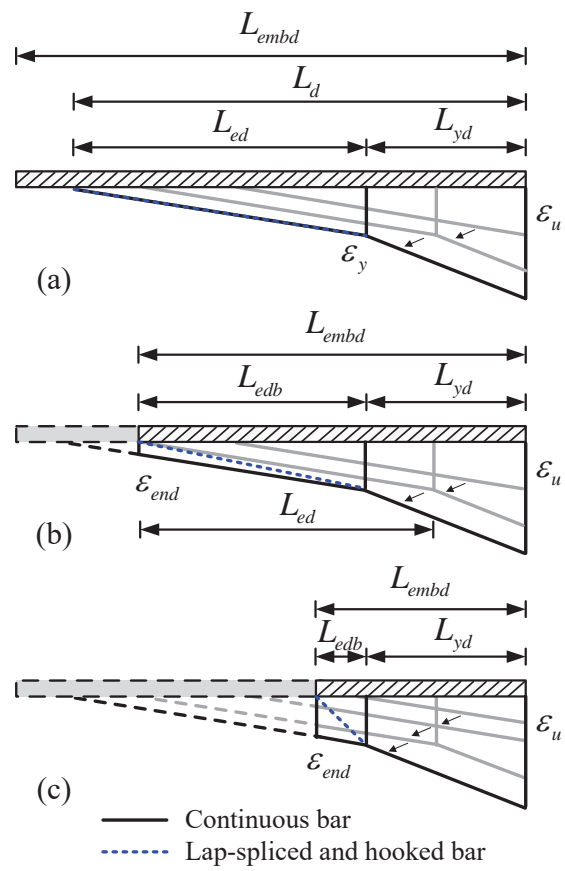


(b) Lap-spliced bar

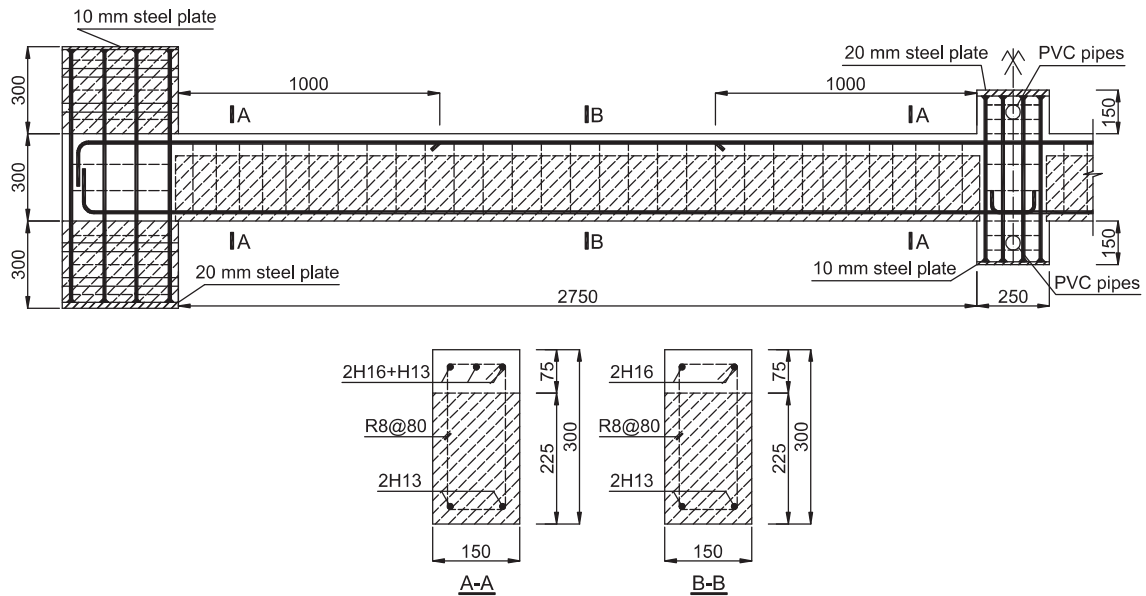


(c) Hooked bar

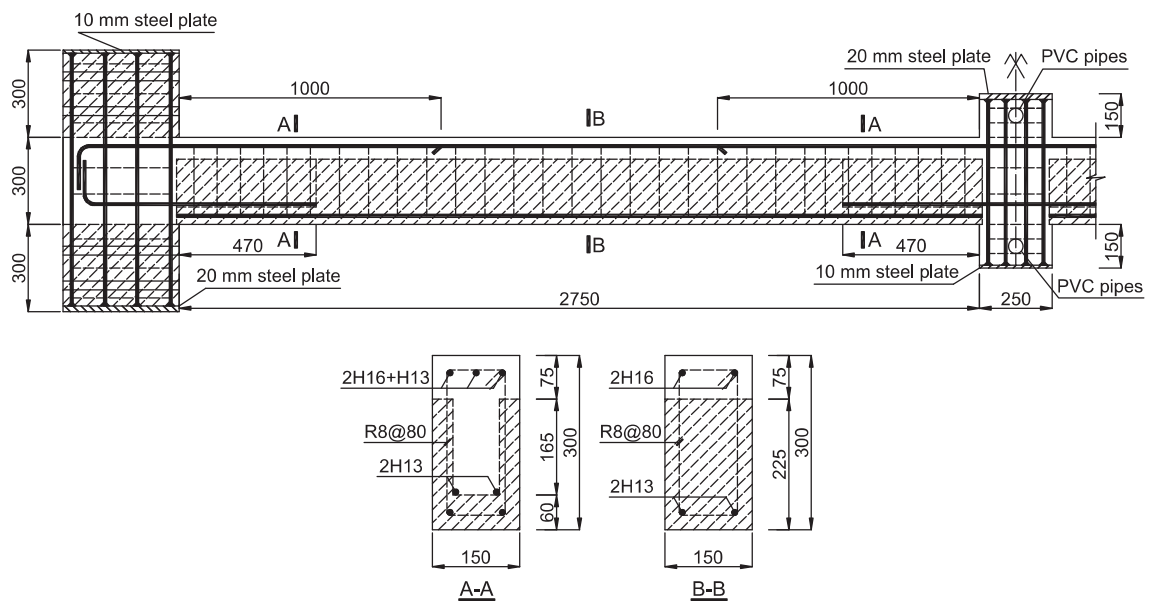
**Fig. 3.** Bond and stress profile of different anchorage types for the precast sub-assembly



**Fig. 4.** Strain profiles of different bar embedded length

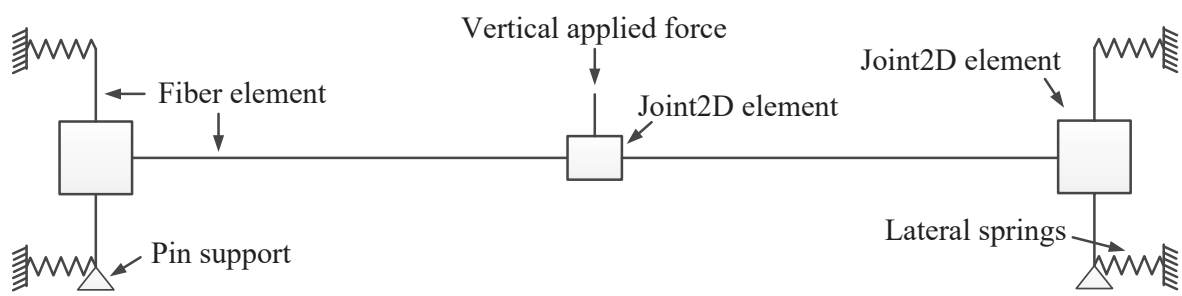


(a) Hooked bottom reinforcement

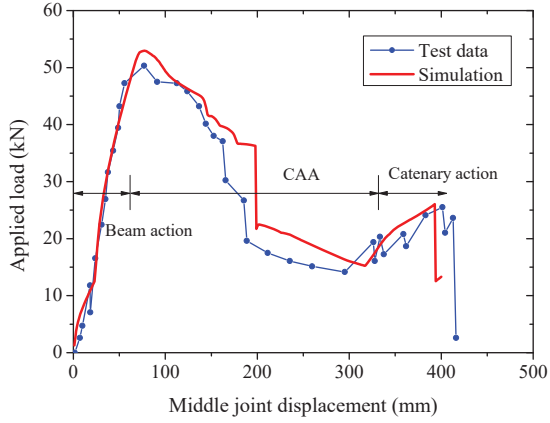


(b) Lap-spliced bottom reinforcement

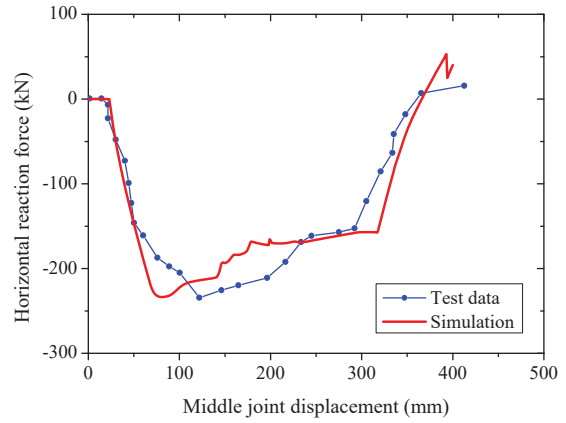
**Fig. 5.** Experiments of precast sub-assemblages subjected to progressive collapse by Kang and Tan



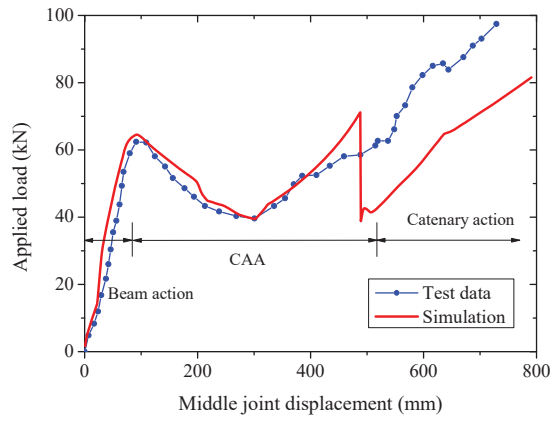
**Fig. 6.** Established finite element model



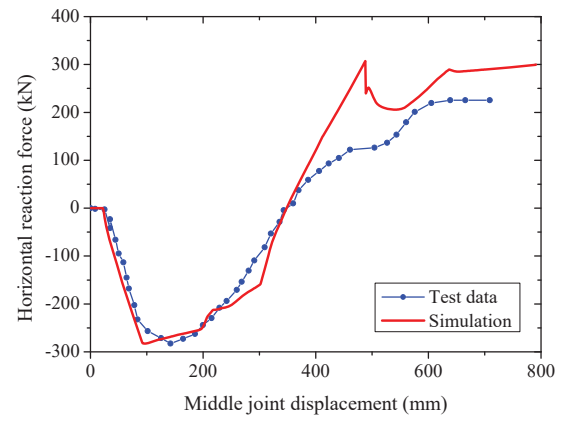
(a) MJ-B-0.52/0.35S-vertical



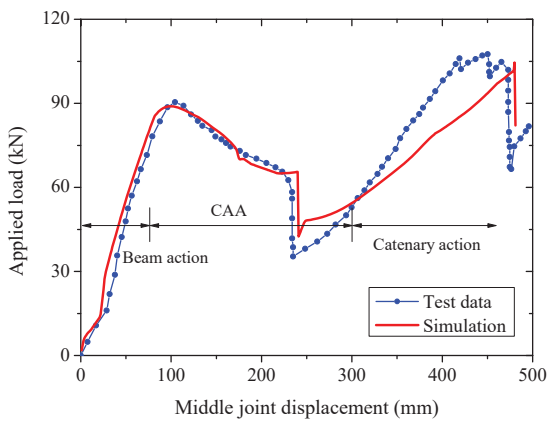
(b) MJ-B-0.52/0.35S-horizontal



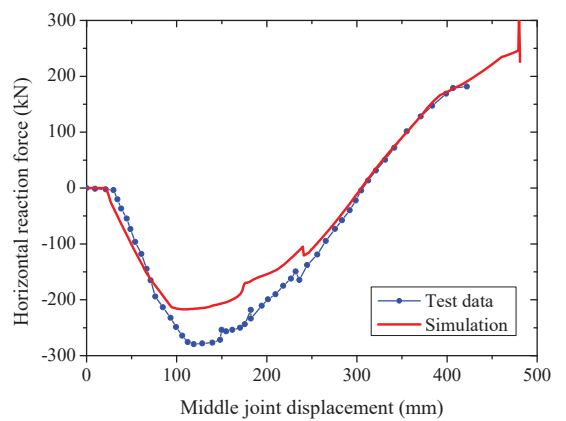
(c) MJ-B-0.88/0.59R-vertical



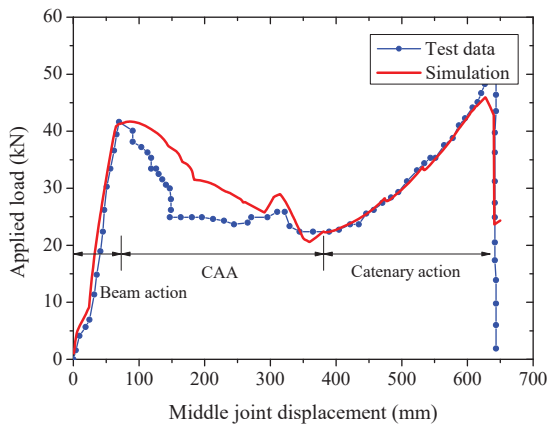
(d) MJ-B-0.88/0.59R-horizontal



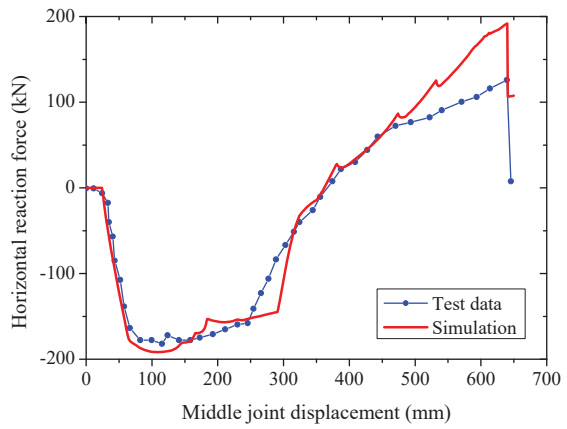
(e) MJ-B-1.19/0.59R-vertical



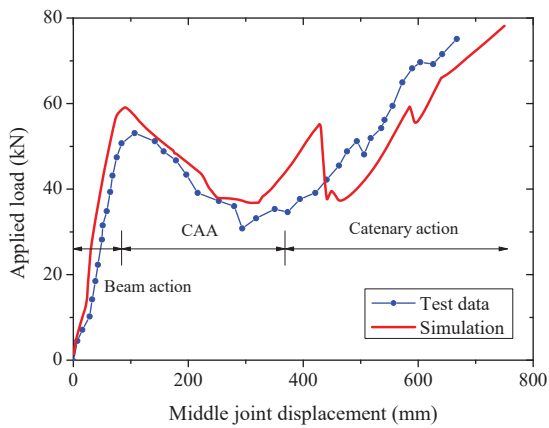
(f) MJ-B-1.19/0.59R-horizontal



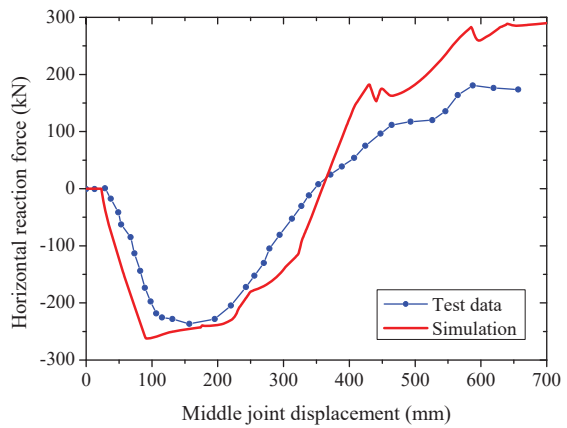
(g) MJ-L-0.52/0.35S-vertical



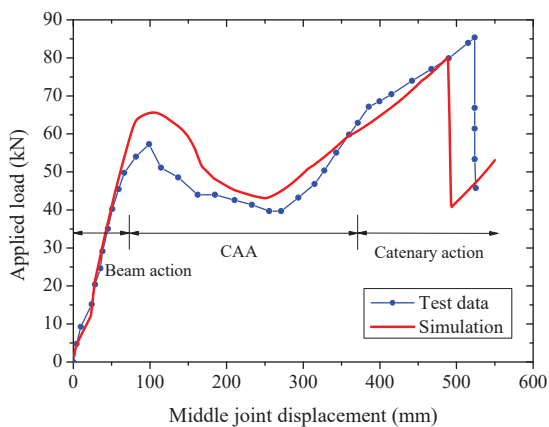
(h) MJ-L-0.52/0.35S-horizontal



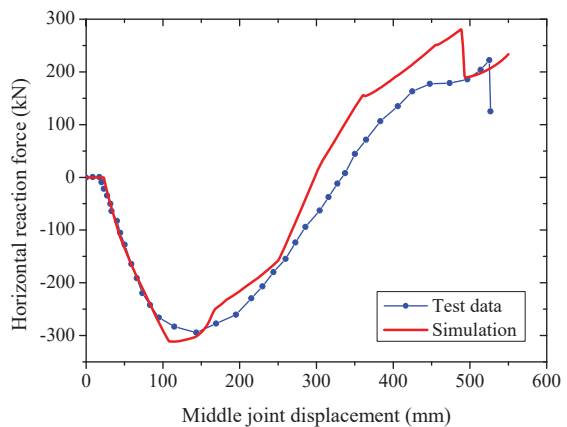
(i) MJ-L-0.88/0.59R-vertical



(j) MJ-L-0.88/0.59R-horizontal

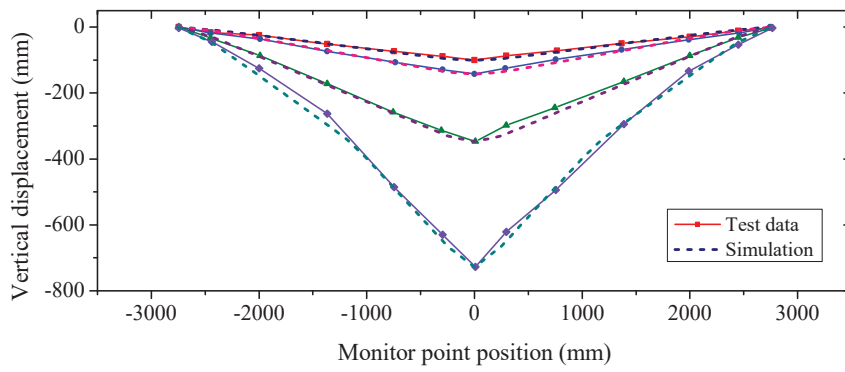


(k) MJ-L-1.19/0.59R-vertical

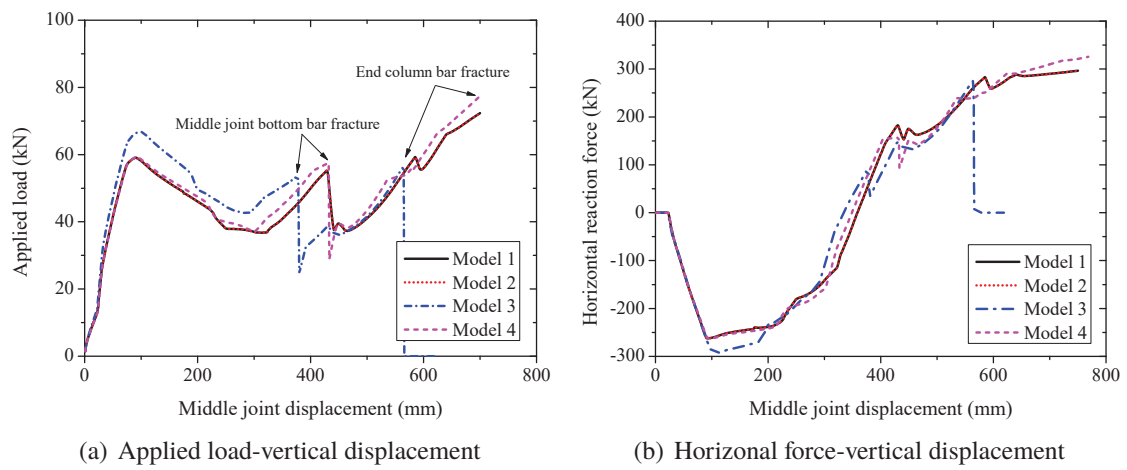


(l) MJ-L-1.19/0.59R-horizontal

**Fig. 7.** Comparison of numerical and experimental results

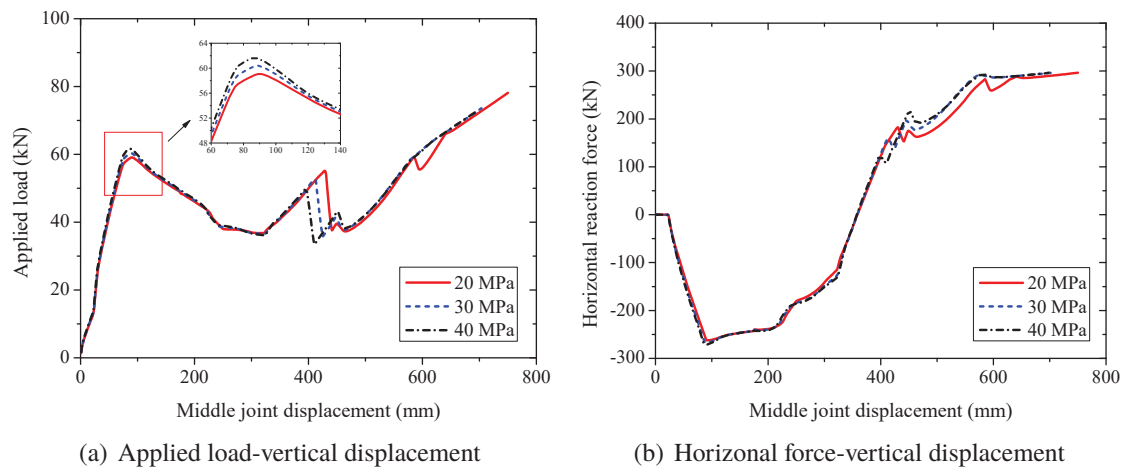


**Fig. 8.** Deformed profile for specimen MJ-B-0.88/0.59R

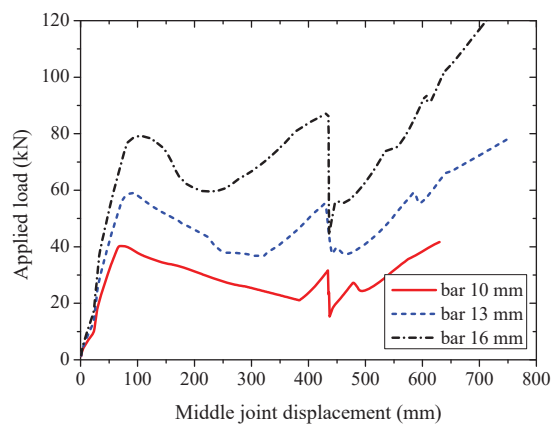


**Fig. 9.** Numerical results for different modeling strategies

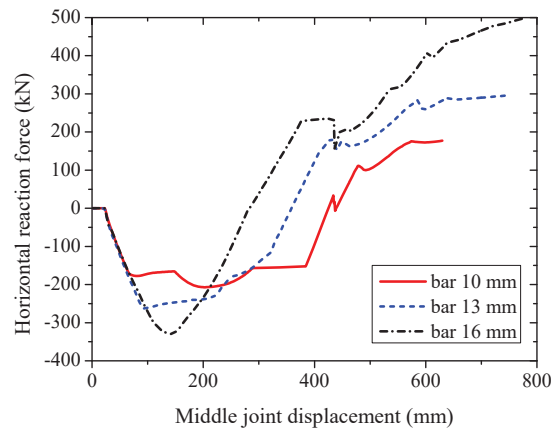




**Fig. 10.** Numerical results for cast-in-situ concrete with different strengths

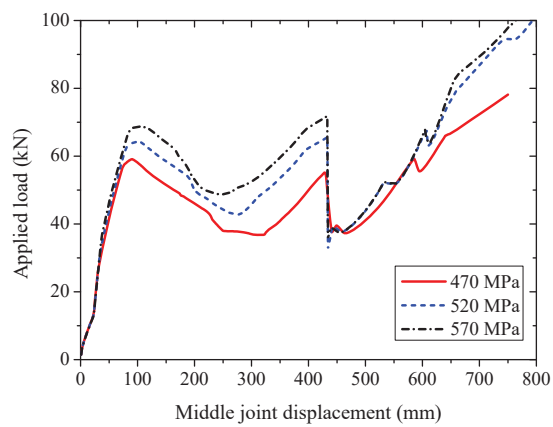


(a) Applied load-vertical displacement

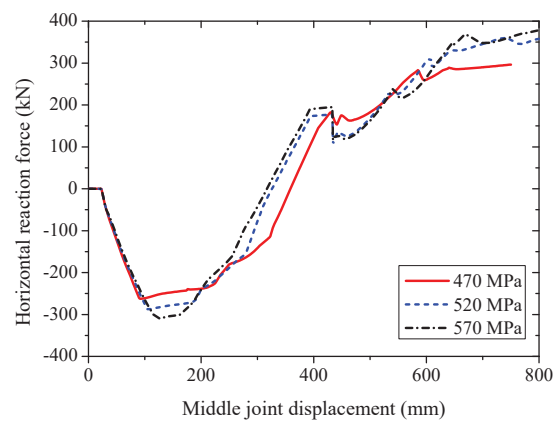


(b) Horizontal force-vertical displacement

**Fig. 11.** Numerical results for different bar diameters

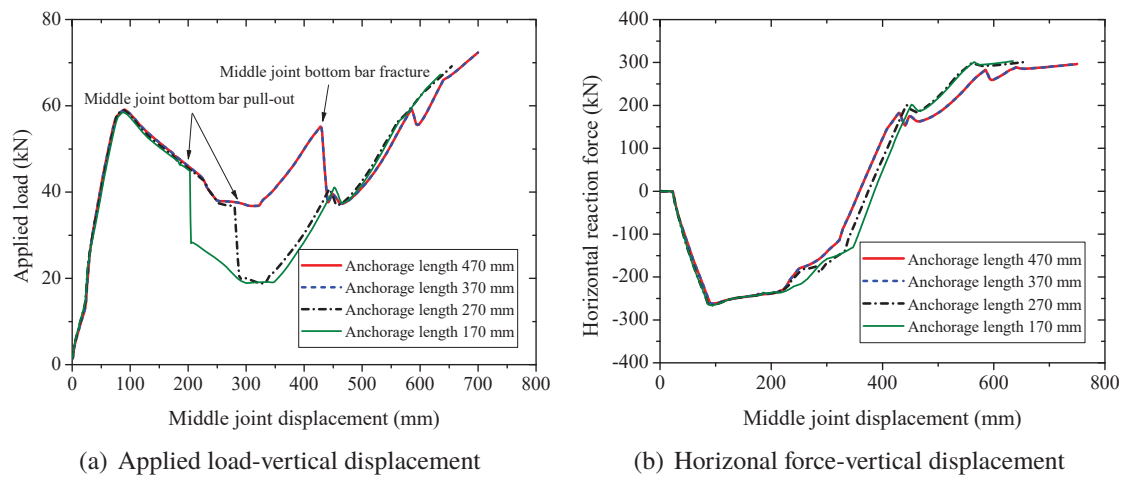


(a) Applied load-vertical displacement



(b) Horizontal force-vertical displacement

**Fig. 12.** Numerical results for different bar yielding strengths



**Fig. 13.** Numerical results for different anchorage length