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Citation for published version:

Chen, B, Zhao, G, Lau, CH, Wang, F, Fan, S, Niu, C, Ren, Z, Tang, G, Qin, P, Liu, Y & Li, P 2024, 'Fabrication of high-flux defect-free hollow fiber membranes derived from a phenolphthalein-based copolyimide for gas separation', *Separation and Purification Technology*, vol. 331, 125724. <https://doi.org/10.1016/j.seppur.2023.125724>

Digital Object Identifier (DOI):

[10.1016/j.seppur.2023.125724](https://doi.org/10.1016/j.seppur.2023.125724)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Early version, also known as pre-print

Published In:

Separation and Purification Technology

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1 **Fabrication of high-flux defect-free hollow fiber membranes derived from a**
2 **phenolphthalein-based copolyimide for gas separation**

3

4 Bo Chen^a, Guoke Zhao^b, Cher Hon Lau^c, Fuwei Wang^a, Shuxin Fan^a, Chuang Niu^a,
5 Zhongzheng Ren^a, Gongqing Tang^b, Peiyong Qin^{d,*}, Yiqun Liu^{b,*}, Pei Li^{a,*}

6 a College of Materials Science and Engineering, Beijing University of Chemical
7 Technology, Beijing, 100029, China

8 b SINOPEC Beijing Research Institute of Chemical Industry, Beijing 100013, China

9 c Institute for Materials and Processes School of Engineering, University of Edinburgh

10 d College of Life Science and Technology, Beijing University of Chemical Technology,
11 Beijing, 100029, China

12 *Corresponding authors.

13 E-mail addresses: liuyq.bjhy@sinopec.com (Y. Liu), qinpeiyong@tsinghua.org.cn (P.
14 Qin), lipei@mail.buct.edu.cn (P. Li).

15

16 **Abstract:**

17 In the realm of gas purifications, hollow fiber membranes have gained significant
18 prominence due to their unique advantages. However, achieving defect-free
19 membranes poses a considerable challenge. In this study, we addressed this challenge
20 with a novel copolymer, 6FDA-DAM:DAP(2:1), comprising 4,4'-
21 (hexafluoroisopropylidene) diphthalic anhydride (6FDA), 2,4,6-Trimethyl-m-
22 phenylenediamine (DAM), and a phenolphthalein-derived diamine of 3,3'-
23 diaminophenolphthalein (DAP). We found that defect-free hollow fiber membranes
24 could be prepared when 20 wt.% or more ethanol was added in the polymer dope
25 solution, but this led to the formation of oval hollow fibers that would be flattened under
26 high pressure. We solved this limitation by lowering ethanol content to 15 wt. %, adding
27 2 wt. % LiNO₃, and increasing the air-gap distance. These measures reduced the
28 difference in phase inversion rates between the surface region and the bulk phase of the
29 nascent hollow fiber. The CO₂ permeance of this defect-free hollow fiber membrane
30 reached 282 GPU with a CO₂/CH₄ selectivity of 50.2. Highly permeable defective
31 hollow fiber membranes were also developed using a polymer dope without LiNO₃ and
32 a low THF content of 5 wt.%. After coated by silicone rubber, the CO₂ permeance of
33 the membrane reached 554 GPU with a CO₂/CH₄ selectivity of 45.0. In mixed gas tests,
34 the two membranes exhibited O₂ permeances of approximately 43.3 and 75.3 GPU,
35 with O₂/N₂ selectivities of 5.5 and 5.4, respectively. Their CO₂ permeances were 281
36 and 465 GPU, with CO₂/CH₄ selectivities of 45 and 41, CO₂/N₂ selectivities of 35.7
37 and 33.3, respectively. These separation performances out-performed state-of-art

38 polymeric hollow fiber membranes and demonstrated great potential for gas separation

39 applications such as natural gas sweetening, flue gas treatment, and air separation.

40 Keywords:

41 Hollow fiber, Defect-free, 6FDA-DAM:DAP copolyimide, Gas Separation

42

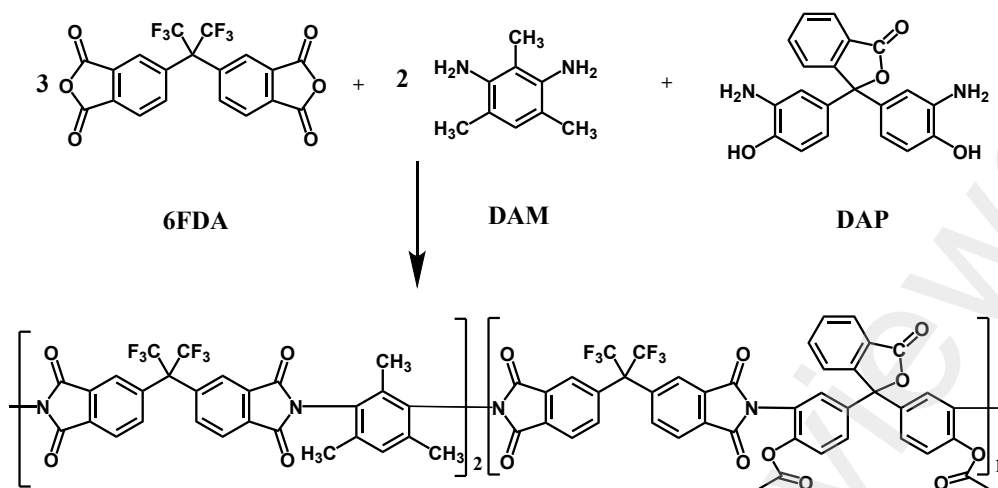
43 1. Introduction:

44 The increasing global demand for clean and sustainable energy sources has
45 accentuated the significance of efficient natural gas separation technologies[1, 2].
46 Natural gas, as a significant energy resource, is often mixed with carbon dioxide, which
47 not only corrodes pipelines but also reduces the heating value of natural gas. Flue gases,
48 produced by industry and power plants, contain CO₂ and N₂. It is of great interest to
49 capture and utilize CO₂ from flue gas for environmental compliance[3]. Similarly, air
50 separation is also important to produce high purity O₂ and N₂ for medical and industrial
51 applications[4]. Therefore, there is a great demand for gas separation technologies.
52 Conventional separation methods, such as distillation and absorption, are energy-
53 intensive and economically demanding[5]. Membrane technology, especially
54 polymeric membranes have emerged as promising candidates for gas separation due to
55 its higher efficiency, low cost, environmental friendliness, minimal footprint, and
56 capability for continuous operation[6-9]. Asymmetric hollow fiber membrane is the
57 main membrane configuration used in industry.

58 Hollow fiber membranes offer superior fluid flow, efficient separation, and
59 versatile fabrication compared to other membrane types. Their hollow structure
60 provides increased surface area for enhanced transport, making them ideal for various
61 gas separation applications. Fabrication of asymmetric hollow fibers with thin, defect-
62 free skin layer remains a challenge due to the precise control required over dope
63 composition and spinning process variables. The skin layer of hollow fibers often
64 exhibits defects, leading to a loss of gas selectivity. Post-treatment can restore the gas

65 separation performance of the membrane[10]. However, this enhanced selectivity
66 comes at the expense of reduced membrane flux and additional costs and it tends to
67 cause instability under aggressive feeds at high pressures and with high concentrations
68 of CO₂[11]. Therefore, achieving defect-free hollow fibers without the need for post-
69 treatment, under carefully optimized spinning conditions, is a highly favorable choice
70 for industrial gas separation applications. Examples of such hollow fiber membranes
71 include those fabricated from polysulfone, cellulose acetate[12-14], 6FDA-based
72 polyimides[15-18], of which their separation properties are listed in Table S4 and S5 in
73 the Supporting Information.

74 Of particular interest are 6FDA-based polyimides, as these materials offer high
75 rigidity, and tunable transport properties[19]. Gas transportation in these polymers can
76 be tailored by judicious choice of diamines. For example, the 6FDA-DAM polyimide
77 possesses a high CO₂ permeability of 817 Barrer but a low CO₂/CH₄ selectivity of
78 17.6[16]. In our prior research, we synthesized a phenolphthalein-derived diamine
79 (DAP) through the nitration and reduction of phenolphthalein[20]. This DAP possesses
80 a crosslinkable internal ester group that enhances CO₂/CH₄ selectivity but suppresses
81 CO₂ permeability. The 6FDA-DAP polyimide has a CO₂ permeability of 20.2 Barrer
82 with a CO₂/CH₄ selectivity of 34.2[21]. Here we hypothesize that the lower gas
83 selectivity of 6FDA-DAM and the limited gas permeability of 6FDA-DAP can be
84 overcome by combining these two moieties into a copolymer, 6FDA-DAM-DAP.



85

86

Scheme 1. Reaction scheme for synthesizing a copolyimide of 6FDA-

87

DAM:DAP(2:1).

88 To validate this hypothesis, here we synthesized a 6FDA-DAM:DAP (2:1)

89 copolymer by co-polymerizing 6FDA and DAM with the self-prepared diamine

90 monomer (DAP) using the chemistry outlined in Scheme 1. We used the dry wet

91 spinning technique to fabricate hollow fiber membranes from this novel copolymer. By

92 exploring the solubility, viscosity, and binodal of the new polymer, we optimized

93 polymer dope concentrations. Here we report that defect-free hollow fiber membranes

94 from 6FDA-DAM-DAP (2:1) could be prepared when the dope solution contained a

95 ethanol concentration of up to 20 wt.%. However, this led to the formation of oval-

96 shaped hollow fibers that flattened under a high pressure of 30 bar, which is typical of

97 operating conditions in industry. We resolved this limitation by lowering ethanol

98 content, adding LiNO_3 , and increasing the air-gap distance. These measures reduced

99 the difference in the phase inversion rate between the surface region and the bulk phase

100 of the nascent hollow fiber. By overcoming these limitations typically associated with

101 spinning hollow fiber membranes from 6FDA-based polyimides, our approach can

102 potentially provide a pathway towards commercializing such high performance
103 membranes to improve efficiency of gas separation applications.

104 **2. Experimental**

105 **2.1. Materials**

106 4,4'-(hexafluoroisopropylidene) diphthalic anhydride (6FDA) with a purity of 98%
107 was bought from Alfachem. 3,3'-diaminophenolphthalein (DAP) was synthesized
108 using a method introduced by Du. *et. al*[20]. 2,4,6-Trimethy-m-phenylenediamine
109 (DAM) was purchased from Adamas-beta (China). Acetic anhydride was bought from
110 National Pharmaceutical Group (China). Pyridine was obtained from FuGuang
111 Technology (Tianjin, China). 1-Methyl-2-pyrrolidinone (NMP) was purchased from
112 Tianjin Fu Chen Chemical Reagents Factory (Tianjin, China). Ethanol was bought from
113 Beijing Chemical Works (Beijing, China). 6FDA were purified by vacuum sublimation.
114 Polydimethylsiloxane (PDMS, sylgard 184) was obtained from Dow Chemicals.

115 **2.2. Synthesis of copolyimide**

116 The chemical structure of 6FDA-DAM:DAP(2:1) copolyimide is shown in Scheme
117 1. The copolyimide was synthesized using a two-step chemical imidization method[22].
118 Firstly, DAM (43.26 g) and DAP (50.16 g) at a molar ratio of 2:1 were dissolved in 450
119 g NMP in a N₂ environment. Secondly, 6FDA (191.91 g) was added into the NMP-
120 diamine solution at a stoichiometry ratio to the diamines. Then, 665.79 g of NMP was
121 added to adjust the solution concentration to 30 wt.%. Next, the mixture was
122 mechanically stirred at 0°C for 24 hours to obtain a viscous polyamic acid solution.
123 After that, acetic anhydride (220.51 g) and pyridine (85.43 g) were added to the solution
124 to carry out a chemical imidization reaction at room temperature for 24 h. Finally, the

125 polyimide solution was precipitated in methanol, broken into pieces using a blender,
126 filtered, dried at 50 °C in a fume hood, and at 150 °C in a vacuum oven for 24 h,
127 respectively. Using this method, we could produce 255 ± 10 g 6FDA-DAM:DAP(2:1)
128 copolyimide in one batch with a Mw of 19.9 kDa.

129 2.3. Solubility Parameter Calculation

130 To find suitable solvents and non-solvents for formulating and precipitating the
131 polymer dope solution, a group contribution method[23] was used to calculate the
132 solubility parameters of 6FDA-DAM:DAP(2:1) and compared with those of different
133 solvents. Hansen's solubility parameters δ was calculated using Eq. (1):

$$134 \quad \delta = \sqrt{\delta_d^2 + \delta_p^2 + \delta_h^2} \quad (1)$$

135 where δ_d , δ_p and δ_h were solubility parameters attributed to dispersion forces, polar
136 interactions and hydrogen bonding, respectively. According to the Van Krevelen's
137 group contribution method[24], the three parameters were calculated using Eq. (2):

$$138 \quad \delta_d = \frac{\sum F_{di}}{V}; \delta_p = \sqrt{\frac{\sum F_{pi}^2}{V}}; \delta_h = \sqrt{\frac{\sum E_{hi}}{V}} \quad (2)$$

139 where F_{di} was the dispersion component of the molar attraction constant, F_{pi} was the
140 polar component, E_{hi} was the contribution of hydrogen bonding forces to the cohesive
141 energy and V was the molar volume. The solubility difference between a polymer and
142 a solvent, $\Delta\delta_{p-s}$, was calculated using Eq. (3).

$$143 \quad \delta_{p-s} = \sqrt{(\delta_{d,p} - \delta_{d,s})^2 + (\delta_{p,p} - \delta_{p,s})^2 + (\delta_{h,p} - \delta_{h,s})^2} \quad (3)$$

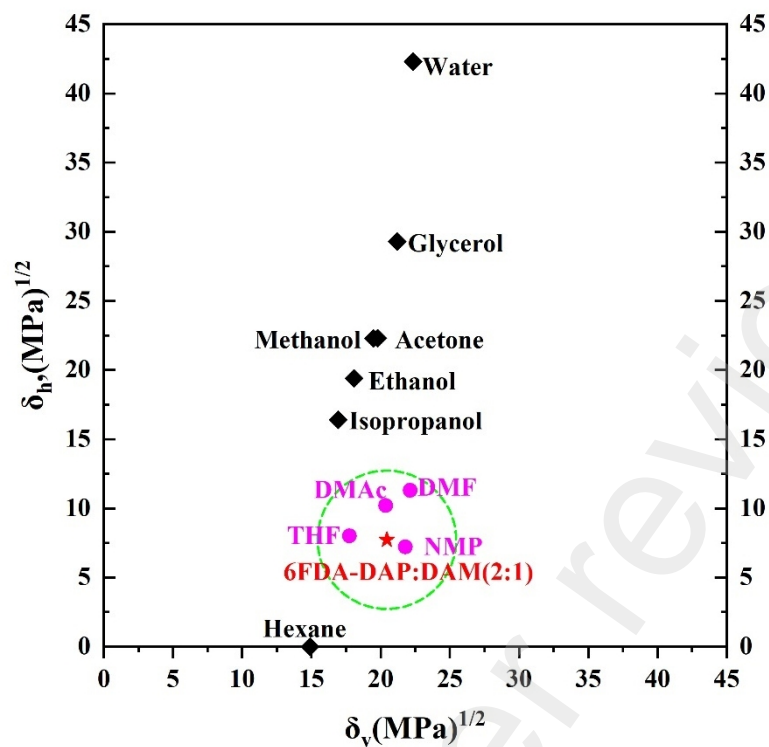
144 where the subscripts, p, and s, represented polymer and solvent, respectively.

145 Table 1 lists the solubility parameters of 6FDA-DAM:DAP(2:1), and some
146 commonly used solvents and non-solvents. Typically, when a polymer was soluble in

147 a solvent, the value of $\Delta\delta_{S-P}$ should be less than 5[25]. To visually observe the solubility
 148 of polymer in solvents, we draw a plot by δ_v and δ_h where δ_v was the root mean square
 149 of δ_d , and δ_p . As shown in Fig. 1, solvents inside the green circle indicated that their
 150 $\Delta\delta_{S-P}$ were less than 5. Hence, DMAc, NMP, and THF were good solvents for 6FDA-
 151 DAM:DAP(2:1), while other chemicals were non-solvents. We selected NMP as a high
 152 boiling point solvent, THF as a volatile solvent, EtOH as non-solvent, and water as
 153 coagulant in this work.

154 Table 1. Solubility parameters of 6FDA-DAM:DAP(2:1), solvents and non-solvents

Component	$\delta_d(\text{MPa}^{1/2})$	$\delta_p(\text{MPa}^{1/2})$	$\delta_h(\text{MPa}^{1/2})$	$\delta(\text{MPa}^{1/2})$	$\delta_{P-S}(\text{MPa}^{1/2})$
Polymer	17.94	9.81	7.73	21.9	—
NMP	18.0	12.3	7.2	23.0	2.5
DMAc	16.8	11.5	7.2	21.6	2.1
DMF	17.4	13.7	11.3	24.9	5.3
Toluene	16.8	5.7	8.0	19.5	4.3
MeOH	15.1	12.3	22.3	29.6	15.1
EtOH	15.8	8.8	19.4	26.5	11.9
Glycerol	17.4	12.1	29.3	36.2	21.7
Isopropanol	15.8	6.1	16.4	23.6	9.7
Hexane	14.9	0	0	14.9	12.9
Acetone	15.5	12.3	22.3	29.8	15.0
Water	15.6	16	42.3	47.8	35.2

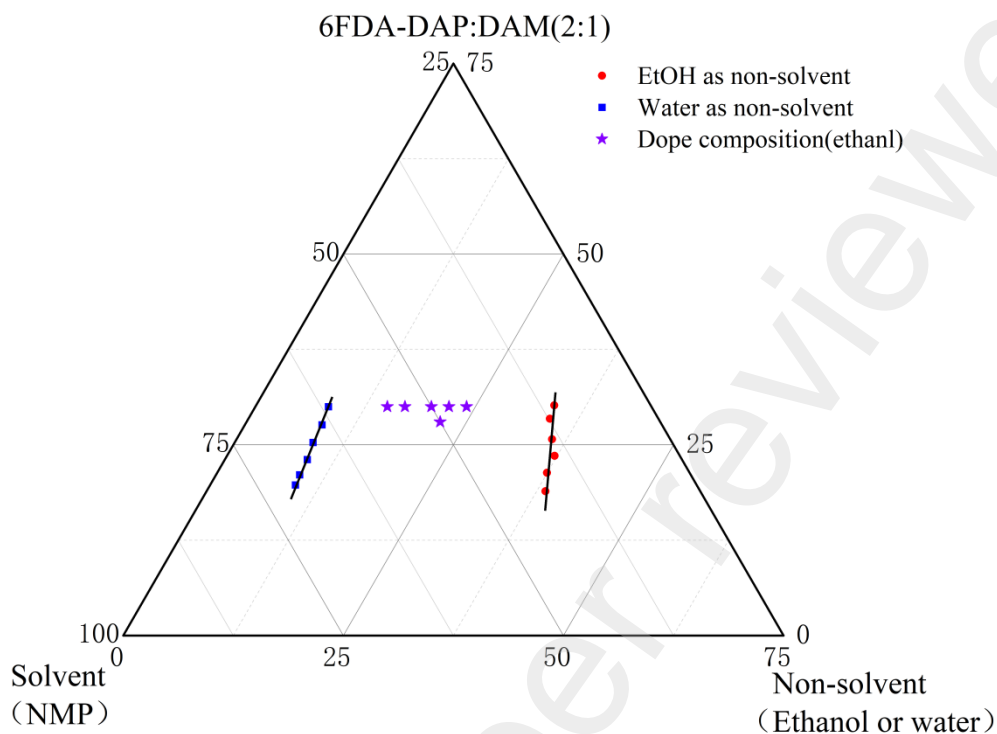


155

156 Fig. 1. Solubility parameter plot for 6FDA-DAM:DAP (2:1) and organic solvents

157 2.4. Cloud-point verification

158 A polymer/solvent/non-solvent ternary diagram with a binodal curve was
 159 constructed using a cloud point technique[26]. Points on the binodal curve, representing
 160 the initial dope composition to induce phase inversion, were determined using a titration
 161 method at 25°C. Points at the binodal curve corresponded to a polymer concentration
 162 ranging from 18 to 32 wt.%. It could be seen that water was a much stronger non-
 163 solvent than EtOH. Since the window for adjustment of EtOH was much wider than
 164 H₂O, it was used as a non-solvent additive to push the dope composition closer to the
 165 binodal curve for accelerating the phase inversion rate. The purple stars in the ternary
 166 diagram represented the dope compositions used in this work(Fig. 2).



167

168 Fig. 2. A ternary phase diagram of 6FDA-DAM:DAP(2:1)/NMP/H₂O and 6FDA-
 169 DAM:DAP(2:1)/NMP/EtOH systems.

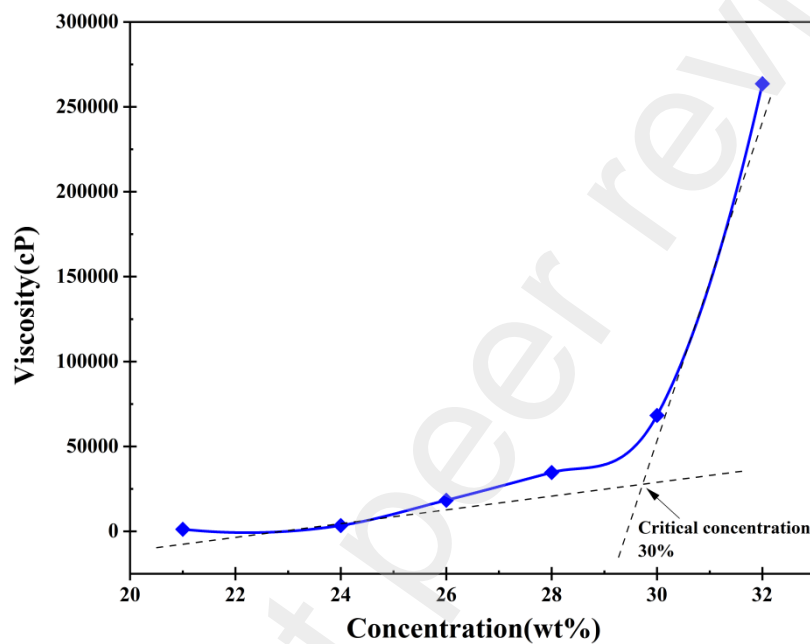
170 2.5. Film preparation

171 Dense films of 6FDA-DAM:DAP(2:1) were cast from 6FDA-DAM:DAP(2:1)-
 172 NMP solutions. The solution was filtered with a 2.0 μm PTFE filter, poured into a glass
 173 dish, vacuum dried at 60 °C for 24 hours, 90 °C for 12 hours, 120 °C for 12 hours, and
 174 150 °C for 24 hours. Finally, the film was peeled off and used for testing gas
 175 permeabilities at 35 °C.

176 2.6. Critical concentration

177 It was reported that there is an inflection point in the plot by polymer concentration
 178 and viscosity where the dope viscosity rapidly increased upon this concentration[27].
 179 Defect-free hollow fiber membranes could be prepared using a polymer dope having a

180 concentration beyond the critical concentration where pronounced polymer chain
181 entanglements occurred. As shown in Fig. 3, the slope increased rapidly as the polymer
182 concentration was close to 30 wt.%. Therefore, we prepared dope solutions with a
183 polymer concentration equal or above 30 wt.% in most cases.

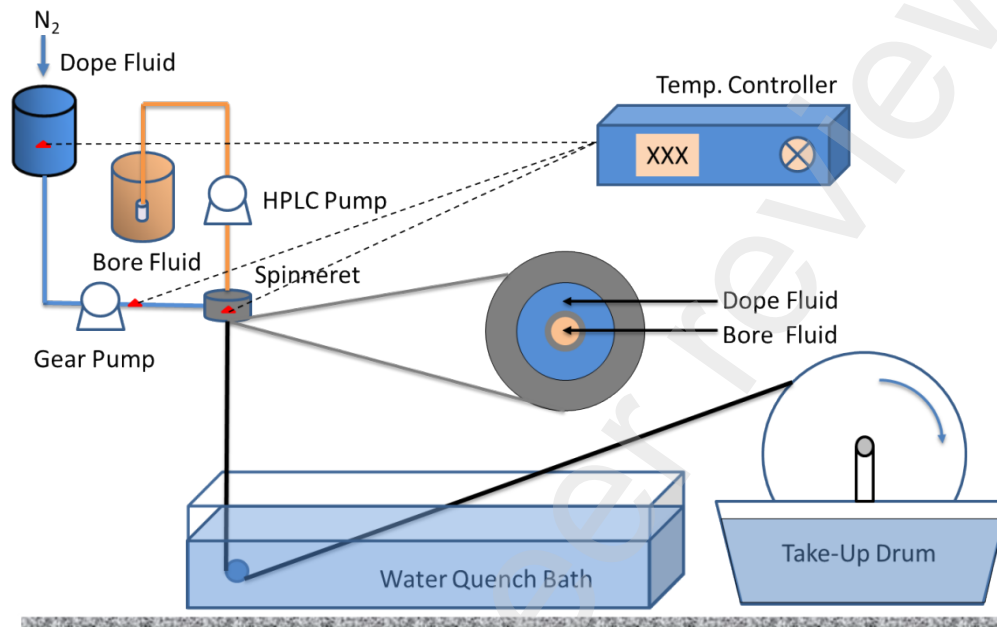


184
185 Fig. 3. The plot of dope viscosities versus polymer concentrations.

186 2.7 Hollow fiber spinning

187 Hollow fiber membrane were produced using a dry-jet/wet-spinning method using
188 equipment illustrated in Fig. 4[28]. The dope and bore solutions were simultaneously
189 extruded through a gear pump and a HPLC pump to a spinneret, respectively, where
190 the outer and inner diameters were 1.4 mm and 0.6 mm, respectively. Upon exiting the
191 spinneret, the nascent hollow fiber passed through an air gap and then into a water bath.
192 Subsequently, the solidified hollow fiber was collected by a take-up drum. After cutting
193 off from the drum, the fiber was soaked in water for 24 hours to eliminate remaining

194 solvent. After that, the fiber was soaked in a methanol bath for 30 minutes (3 times),
195 and then in a hexane bath for 30 minutes (3 times). At last, the fiber was dried at room
196 temperature for 1 day and then vacuum heated at 90°C for 12 hours.



197
198 Fig. 4. A schematic diagram of the dry-jet/wet-quench spinning process for preparing
199 asymmetric hollow fiber membrane.

200 2.8 Polydimethylsiloxane (PDMS) coating

201 Hollow fiber membrane with a ultra-thin but defective skin layer exhibit ultra-high
202 gas permeance and maintained intrinsic selectivity after silicon rubbers coating [10].
203 For instance, Koros' groups spun a Matrimid hollow fiber membrane with a skin layer
204 thickness of 25 nm. After PDMS coating, the membrane showed a CO₂ permeance of
205 421 GPU with a CO₂/N₂ selectivity of 31[29]. Therefore, we also adopted this PDMS
206 coating method to enable exploitation of defective hollow fiber membranes that were
207 highly permeable. To prepare PDMS coating solution, roughly 12 g of Sylgard 184
208 elastomer was pre-heated at 80 °C for 5-8 mins to partially crosslink the polymer.

209 After that, the polymer was dissolved in a cold n-hexane solution at a concentration of
210 3 wt.% and stored in a fridge at 2 °C . A membrane module with 50 filaments was
211 immersed in 3% Sylgard 184 n-hexane solution for 3 seconds, and then hanged in air
212 for 48 hours to fully crosslink the PDMS.

213 2.9. Characterization

214 The dope viscosities were measured by an NDJ-8S rotational viscometer at 3 rpm
215 (Yue Ping Scientific Instrument Company, Ltd. Shanghai, China). The cross-sectional
216 morphologies of hollow fibers were investigated using a scanning electron microscope
217 (SEM, HITACHI S-7800, Japan). To obtain a smooth surface, the sample was fractured
218 in liquid nitrogen and then spray-coated with gold.

220 2.10 Determination of gas separation property

221 Pure gas permeabilities of H₂, N₂, O₂, CH₄, and CO₂ to dense film at 35 °C were
222 measured using a constant volume pressure build-up method as introduced in our
223 previous work[30]. Gas permeability (P) and ideal selectivity (α) were inferred using
224 equation (4) and (5):

$$225 \quad P = \frac{273 \times 10^{10}}{760} \times \frac{Vl}{AT \times \frac{76}{14.7} \times P_2} \times \frac{dp_1}{dt} \quad (4)$$

$$226 \quad \alpha_{A/B} = \frac{P_A}{P_B} \quad (5)$$

227 where P was the permeability in Barrer [1 Barrer=1×10⁻¹⁰cm³(STP)·cm/(s·cm²· cmHg)].
228 V (cm³) was the volume of the downstream chamber, A(cm²) the effective membrane
229 area for gas transport, l (cm) the thickness of membrane, T (K) the testing temperature,

230 P_2 (psia) the upstream pressure, and dp/dt was the cumulative rate in pressure of the
231 downstream chamber under steady state.

232 Gas permeance of hollow fiber membranes was measured at room temperature
233 (20-25 °C) with a feed pressure of 3.8 bar gauge using a lab-made equipment as
234 introduced in our previous work [31]. Each membrane module contained 20 hollow
235 fibers with a length of about 10 centimeters. Gas was fed on membrane shell side, while
236 permeate was collected on the hollow fiber lumen side. A stabilization period of 30
237 mins was allowed before conducting gas permeation tests. Three replicated membrane
238 modules were prepared for each test. Gas permeance ($\frac{P}{l}$) in GPU [1 GPU= $1 \times 10^{-6} \text{cm}^3$
239 (STP)/($\text{cm}^2 \cdot \text{s} \cdot \text{cmHg}$)] was calculated using equation (6):

$$240 \quad \frac{P}{l} = \frac{273.15 \times 10^6}{T} \times \frac{Q}{A \Delta P} = \frac{273.15 \times 10^6}{T} \times \frac{Q}{n \pi D l \Delta P} \quad (6)$$

241 where Q (mL/s) was the flow rate of the permeate. D (cm) represented the average
242 diameter of the hollow fiber. l (cm) was the length of the hollow fiber. ΔP represented
243 the pressure difference between the upstream and downstream sides of the membrane.

244 The ideal selectivity of the hollow fiber membrane was calculated using Eq. (7):

$$245 \quad \alpha_{i/j} = \frac{(\frac{P}{l})_i}{(\frac{P}{l})_j} \quad (5)$$

246 where $(\frac{P}{l})_i$ and $(\frac{P}{l})_j$ represented the permeances of fast and slow gases.

247 Mixed gas transport properties of hollow fiber membranes were determined using
248 the same gas permeance testing equipment. The ratio of permeate gas to feed gas was
249 controlled below 1% to minimize the effect of concentration polarization[32]. The
250 composition of permeate gases were analyzed using a gas chromatography (Model:

251 GC9900, JIAFEN, CN). Mixed gas permeation tests were conducted using O₂/N₂
252 (22:78), CO₂/N₂ (15:85), and CO₂/CH₄ (50:50) mixtures as feeds. The permeance and
253 selectivity of the mixed gases were determined using the following equations (8) (9):

$$254 \quad P_i = P \times y_i \quad (6)$$

$$255 \quad \alpha_{i/j}^* = \frac{y_i/y_j}{x_i/x_j} \quad (7)$$

256 where P_i was permeance of component i , P was the total mixed gas permeance, x and y
257 were the mole compositions of the upstream and downstream gases, respectively. y_i was
258 the mole fraction of component i . $\alpha_{i/j}^*$ was the mixed gas selectivity.

259 **3. Results and discussion**

260 **3.1. Gas transport properties of 6FDA-DAM:DAP (2:1) copolyimide**

261 The gas transport properties of 6FDA-DAM:DAP(2:1) copolyimide dense film are
262 summarized in Table 2. 6FDA-DAM:DAP (2:1) copolyimide combined the advantages
263 of the high permeability of 6FDA-DAM and the high selectivity of 6FDA-DAP. That
264 was because the bulky DAM moiety increased the inter-chain distance that favored gas
265 transport, while the polar internal ester group of DAP strengthened the inter-molecular
266 attraction force so that improved the molecular sieving effect. Note that, the CO₂
267 permeability of our 6FDA-DAM:DAP (2:1) copolyimide was significantly higher than
268 that of commercial gas separation membrane materials including cellulose acetate,
269 Matrimid, P84 polyimide, PSF, of which the CO₂ permeability ranged between 1 – 11
270 Barrer [12-14]. We expected that hollow fiber membranes fabricated from the 6FDA-
271 DAM:DAP(2:1) copolyimide should exhibit high gas permeances with comparable
272 selectivity. Here it is important to highlight that the O₂ permeability of the dense 6FDA-

273 DAM:DAP (2:1) film would be used to calculate the skin layer thickness and the ideal
 274 gas selectivities of this film would be used to determine the defectivity of hollow fiber
 275 membranes in the latter sections. A rule of thumb was that the ideal selectivity of a
 276 defect-free hollow fiber membrane should be above 90% of that of the dense film[33].

277 Table 2. Gas transport properties of 6FDA-DAM:DAP(2:1) dense film membrane

Copolyimide	Gas permeability (Barrer)				Ideal selectivity		
	N ₂	O ₂	CH ₄	CO ₂	O ₂ /N ₂	CO ₂ /N ₂	CO ₂ /CH ₄
6FDA-DAM:DAP(2:1)	4.60	19.4	3.04	96.8	4.22	21.0	31.8
6FDA-DAM[16]	38.57	135	46.42	817	3.5	21.2	17.6
6FDA-DAP[21]	1.02	5.10	0.58	20.2	5.0	19.8	34.8

278 **3.2. Influence of ethanol content on the formation of defect-free hollow fiber**

279 To spin defect-free hollow fiber membranes, the starting polymer concentration
 280 was selected at 28 wt.% or 30 wt.%, one below and another above the critical
 281 concentration as shown in Fig. 3. The ratio of NMP to THF was set at 2:1 according to
 282 protocols reported elsewhere [34, 35]. Three dope compositions were tried and listed
 283 in Table 3. Temperatures of dope solution, spinneret, and coagulant bath were 50 °C
 284 to induce fast phase inversion rates for delivering thin skin layers. The distance of air-
 285 gap was kept at 15 cm to facilitate THF evaporation in the air-gap so that the outer-
 286 most region of the hollow fiber contains a higher polymer concentration for forming a
 287 defect-free skin layer.

288 Table 3. Dope compositions of 6FDA-DAM:DAP(2:1)

Component	M1(wt%)	M2(wt%)	M3(wt%)
6FDA-DAM:DAP(2:1)	28	30	28
NMP	38	33.33	33.33
THF	19	16.67	16.67
Ethanol	15	20	22

289

Table.4. Spinning parameters

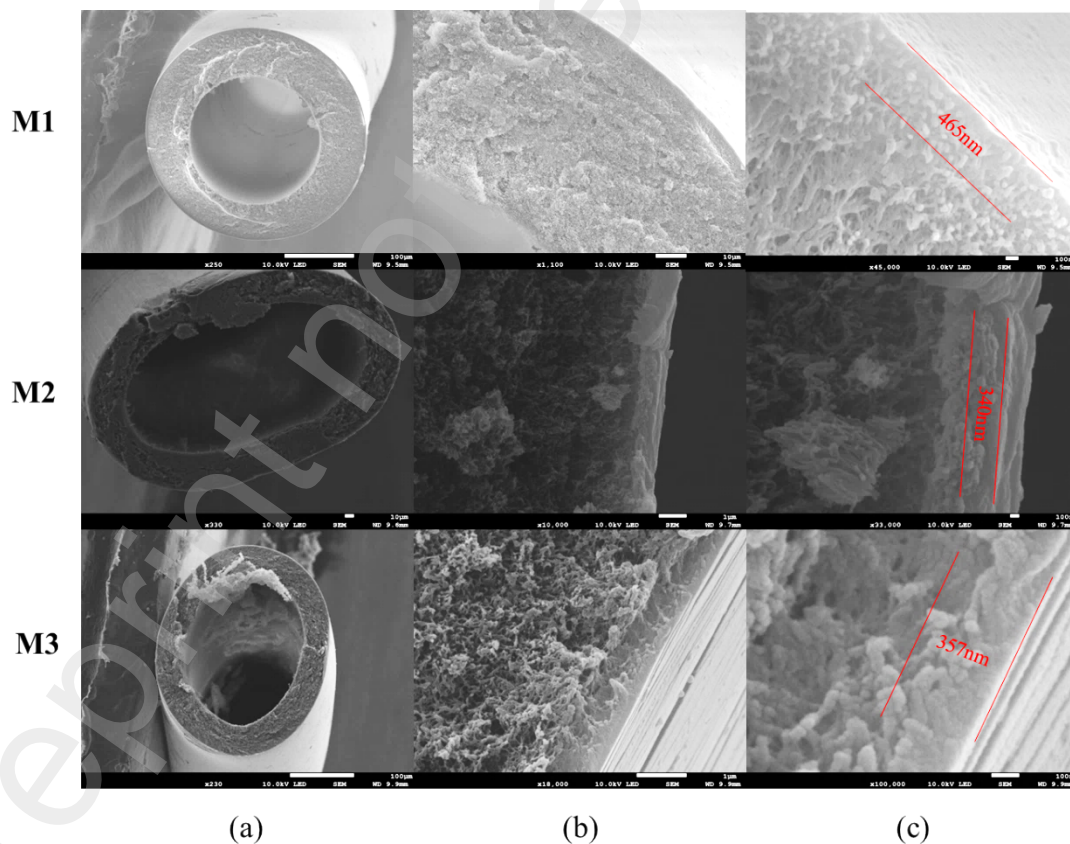
Spinning parameter	Value
Dope flow rate	180 mL/h
Bore flow rate	60 mL/h
Bore fluid composition	90%/10% NMP/H ₂ O
Spinneret dimension	od/id 0.6/1.4 mm
Take-up rate	50 m/min
Air-gap distance	3-15 cm
Spinneret temperature	50 °C
Quench bath temperature	50 °C

291 The gas permeances and pure gas selectivities of hollow fiber membranes
 292 fabricated from various parameters (Tables 3 and 4) are listed in Table 5. These values
 293 were used to determine how ethanol content in the dope solution affected the defectivity
 294 of hollow fiber membranes studied here. By comparing the O₂/N₂, CO₂/CH₄, and
 295 CO₂/N₂ selectivities between hollow fiber (M1 – M3) and dense film membranes, M2
 296 and M3 hollow fiber membranes were defect-free, but M1 comprised defects. Since M1
 297 and M3 had the same polymer concentration, the high ethanol concentrations (≥ 20
 298 wt%) in M2 and M3 dope solutions were key for forming defect-free hollow fibers.
 299 Ethanol, as a non-solvent additive, drove the dope composition closer to the binodal
 300 curve and accelerated phase inversion on the hollow fiber surface. The outermost region
 301 of the newly formed fibers could be driven into the glassy region without traversing the
 302 two-phase region, thereby reducing the formation of defects[16]. Note that, the O₂/N₂
 303 (5.4) and CO₂/CH₄ (43) selectivity of hollow fibers obtained from M3 dope solution
 304 were significantly higher than the intrinsic selectivities of dense copolyimide film. This
 305 trend was typical of polymeric hollow fiber membranes, where better chain orientation
 306 occurred during the extrusion from the spinneret and take-up processes. The more

307 orientated chain packing condition indicated that the amount of excess free volume
308 resulted from incompleting packing reduced. Consequently, gas selectivities of the
309 hollow fiber membrane were higher than the dense film [15, 36]. The estimated skin
310 layer thicknesses of M2 and M3, based on the permeance and permeability of O₂ to
311 hollow fiber and dense film, were about 0.6 μm. However, the SEM images in Fig. 5
312 indicated that the dense layer regions of M2 and M3 were 340 and 357 nm, respectively.
313 The discrepancy was caused by 1) a transition layer from the dense layer to the porous
314 substrate that made it difficult to determine the dense layer thickness with precision
315 based on SEM micrographs, and 2) the packing density in the polymer in the skin layer
316 region might be higher, hence the gas permeability at the skin layer could be lower than
317 that of the thick films. Note that, observations of higher selectivity and lower
318 permeability for thin films with thickness of several hundred nanometers were
319 extensively reported [37-39]. In this study, M2 and M3 showed approximately 7.8% and
320 31.85% higher CO₂/CH₄ selectivity than dense film.

321 Although defect-free hollow fiber membranes were prepared, their cross-sections
322 were elliptical. This will consequently lead to flattened hollow fibers under high
323 compression forces (up to 60 bar) that are typical of real-world operating conditions.
324 Moreover, surfaces of M2 and M3 were rougher than M1 that had a regular round cross-
325 sectional morphology but had surface defects. As the only difference between these
326 hollow fiber types lie in the ethanol concentration in the dope solution, here this should
327 be the reason underpinning irregular hollow fiber morphology. The cross-sections of
328 M2 and M3 were full of sponge-like micro-voids, that indicated the phase inversion

329 followed nucleation growth mechanism[40]. This meant that the phase inversion rates
330 inside M2 and M3 hollow fibers were slow. Comparing the SEM micrographs of M1
331 and M3 in Fig. 5c, a clear dense layer region could be only observed on M3. Therefore,
332 we hypothesized that the irregular morphologies of M2 and M3 was caused by different
333 phase inversion rates between the surface and inner regions of the hollow fiber
334 membranes. During hollow fiber spinning, the outermost regions of M2 and M3 were
335 solidified immediately, but the inner part of the hollow fiber was still in liquid state.
336 Once the inner part started to solidified, the stress generated during solidification caused
337 deformation of the hollow fiber membrane both on surface (higher roughness of M2
338 and M3) and bulk phase (elliptical cross-section)[41].



339

340 Fig. 5. The SEM images of 6FDA-DAM:DAP(2:1) hollow fiber spun from the M1, M2

341 and M3 dope formulation. (a) cross-section, (b) fiber wall, and (c) skin layer.

342 Table 5. Separation properties of hollow fibers.

Dope	Permeance (GPU)				Selectivity		
	N ₂	O ₂	CH ₄	CO ₂	O ₂ / N ₂	CO ₂ / N ₂	CO ₂ / CH ₄
M1	11.4±1	35.7±5	11.1±1	127±10	3.13	11.14	11.44
M2	6.0±0.6	31.1±3	4.9±0.5	168±13	5.18	28.00	34.29
M3	4.6±0.4	24.7±2	3.7±0.4	159±11	5.37	35.43	42.97

343 3.4. Influence of THF content on the permeance of hollow fiber membrane

344 To further reduce the skin layer thickness for preventing heterogenous phase
345 inversion rates in both the outer and inner hollow fiber regions, we reduced the THF
346 concentrations in the dope solutions from 16.67 – 19 wt.%, to 12 – 15 wt.% (Table 6).
347 This was to mitigate the effects of THF evaporation in the air-gap region. Other
348 spinning parameters were the same as in Table 4. The hollow fibers were further
349 evaluated with pure gas permeation to study ideal gas separation properties as listed in
350 Table 7. As THF concentration in the dope solution decreased from 16.67 wt.% (M3)
351 to 12 wt.% (M5), CO₂ permeances increased from 159 GPU to 253 GPU, while
352 CO₂/CH₄ selectivity decreased from 43.0 to 33.3, which was still higher than the
353 intrinsic selectivity of 31.8. As shown in Fig. 5 and Fig. 6, the dense layer thicknesses
354 of M3 to M5 gradually decreased from 357 (M3) to 314 (M4) and 287 (M5) nm.
355 Meanwhile, based on the O₂ permeances, the calculated skin layer thickness of M3, M4
356 and M5 were 600, 392 and 378 nm, respectively. Hence, the increment in gas
357 permeance was attributed to the thinner skin layer thickness. However, this reduction
358 in skin layer thickness came at the expense of selectivity, as the trade-off between
359 permeance and selectivity was observed. We hypothesized that reducing THF
360 evaporation rates by lowering THF content in dope solutions resulted in a relatively

361 lower polymer concentration at the surface of the nascent hollow fiber, causing loosely
 362 packed polymer chain structures in the skin layer. Moreover, the shape of M3 and M5
 363 hollow fibers remained elliptical. Further efforts were carried out to obtain hollow
 364 fibers with regular round shape.

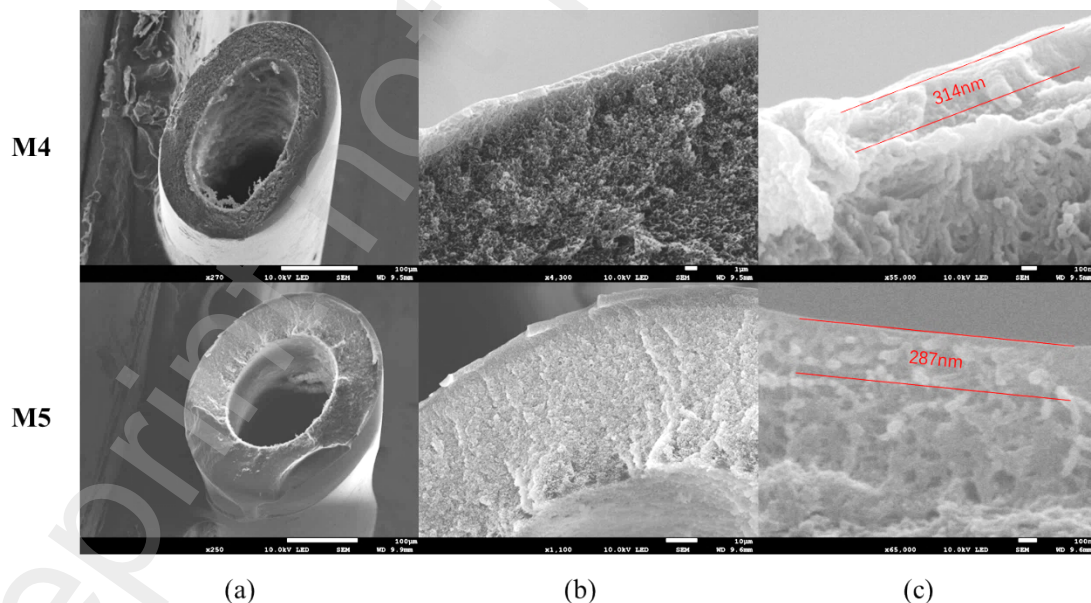
365 Table 6. Dope compositions of 6FDA-DAM:DAP(2:1)

Component	M4(wt%)	M5(wt%)
6FDA-DAM:DAP(2:1)	30	30
NMP	33	36
THF	15	12
Ethanol	22	22

366 Table 7. Separation properties of M4, and M5 hollow fibers

Dope	Permeance (GPU)				Selectivity		
	N ₂	O ₂	CH ₄	CO ₂	O ₂ / N ₂	CO ₂ / N ₂	CO ₂ / CH ₄
M4	7.5±0.5	42.9±5	6.3±0.3	245±18	5.72	32.7	38.9
M5	9.0±0.5	47.0±6	7.6±0.4	253±20	5.22	28.1	33.3

367



368

369 Fig. 6. SEM images of 6FDA-DAM:DAP(2:1) hollow fiber spun from the M4 and M5

370 dope formulation. (a) Cross-section, (b) fiber wall, and (c) skin layer.

371 3.5 Factors Influencing Hollow Fiber Morphology

372 Previous attempts indicated that defect-free hollow fiber membranes could be
373 prepared using polymer dopes with ethanol concentration > 20 wt.% . However, this
374 also led to the formation of oval-shaped hollow fiber membranes. During fiber spinning,
375 we observed that the hollow fibers were round when it just exited the spinneret, and
376 became oval upon entering the water coagulant. It was not flattened by the guiding roll
377 in the water bath. We hypothesise that the big discrepancy between the phase inversion
378 rates between the outermost region and the bulk phase of the hollow fiber underpinned
379 the formation of oval-shaped hollow fibers. To validate this hypothesis, we first reduced
380 the ethanol content in the dope composition, shifting it slightly away from the binodal
381 curve for reducing the discrepancy in phase transition rates during the quenching
382 process. As preparing the M6 dope solution, the ethanol content decreased to 15 wt.%.
383 And the THF content was reduced to 5 wt.% for achieving a thinner skin layer. For
384 preparing the M7 dope solution, besides lowering the ethanol concentration to 15 wt.%,
385 we added LiNO_3 into the dope to form a complex with NMP. We expected that once
386 the hollow fiber (from M7 dope solution) entered the water quench, the NMP-LiNO_3
387 complex dissociated and this accelerated the phase separation rate throughout the whole
388 hollow fiber [16]. This minimized the disparity in phase transition rates between the
389 bulk and outer regions of the hollow fiber. Parameters outlining these two different
390 approaches are summarized in Table 8 and the spinning parameters are the same as in
391 Table 4. As shown in Fig. 7, hollow fibers spun from the two dope solutions had regular
392 round cross-sectional morphologies with micro-void free structures.

393 Table 8. Optimized dope composition of 6FDA-DAM:DAP(2:1) hollow fiber spinning.

Component	M6(wt%)	M7(wt%)
6FDA-DAM:DAP(2:1)	30	30
NMP	50	43
THF	5	15
Ethanol	15	15
LiNO ₃	-	2

394

395 Table 9. Separation properties of hollow fibers

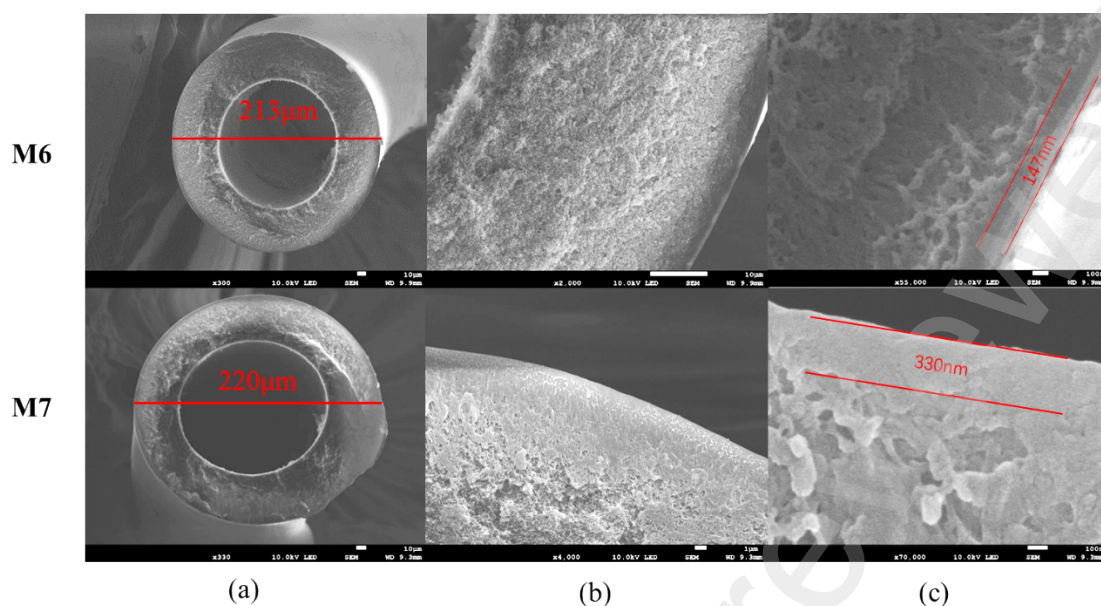
Dope	Permeance (GPU)				Selectivity		
	N ₂	O ₂	CH ₄	CO ₂	O ₂ / N ₂	CO ₂ /N ₂	CO ₂ / CH ₄
M6	27.7±2	127±7	25.3±2	713±45	4.60	25.7	28.2
M7	7.8±0.6	46.7±3	5.6±0.5	282±10	5.99	36.2	50.4
M6 ^a	14.5±1	85.7±7	12.3±1	554±30	5.91	38.2	45.0

396 ^a Fibers after silicon rubber coating.

397 As listed in Table 9, the O₂ and CO₂ permeances of M6 hollow fiber reached 127
398 and 713 GPU, respectively. These gas permeances were much higher than those of M1
399 – M5 hollow fibers. The O₂/N₂ and CO₂/CH₄ selectivities of M6 were 4.60 and 28.2,
400 respectively. Although these selectivity values were more than 90% of the intrinsic
401 selectivities (dense film $\alpha_{O_2/N_2}=4.22$, $\alpha_{CO_2/CH_4}=31.8$), M6 was still defective. The effects
402 of these defects were still apparent even after PDMS coating, where the O₂/N₂ and
403 CO₂/CH₄ selectivities of PDMS coated M6 fibers reached 5.91 and 45.04, respectively,
404 while O₂ and CO₂ permeances were reduced to 85.7 and 554, respectively. Meanwhile,
405 by enabling a more homogenous phase inversion rate between the inner and outer
406 regions of a hollow fiber, the O₂ and CO₂ permeances of M7 hollow fibers reached 46.7
407 and 282 GPU, respectively. The selectivities of O₂/N₂ and CO₂/CH₄ gas pairs for M7
408 membranes were 5.99 and 50.4, respectively. Therefore, M7 was defect-free. The SEM

409 micrograph in Fig 7c showed that there was a clear dense layer region of 330 nm in M7
410 membranes. The gas permeances of M7 were lower than those of M6, possibly due to
411 the higher viscosity of the polymer dope solution that comprised LiNO₃. High dope
412 viscosity could lead to slow phase inversion rate of hollow fiber so that formed a thick
413 skin layer[42]. Since defect-free hollow fiber membranes with regular round shape
414 could be formed by adding LiNO₃ with a relatively low ethanol content (15 wt.%) ,
415 we increased LiNO₃ content in the dope solution to 4 and 6 wt.%. The purpose was to
416 increase gas permeance since LiNO₃ was typically used as pore forming agent[43]. As
417 shown in Table S3, higher LiNO₃ content in the dope solution led to the formation of
418 hollow fibers with enhanced gas permeances at the expense of lower gas selectivities.
419 Hence, adding 2 wt.% LiNO₃ into the copolyimide dope solution was ideal for forming
420 defect-free hollow fiber membranes.

421 The gas selectivities of silicone-coated M6 and M7 hollow fibers were
422 significantly higher than the intrinsic selectivity of dense film (Table 2). This could be
423 ascribed to improved molecular orientation under high shear stress before the dope
424 exited from the spinneret[44]. This shear stress-induced molecular orientation resulted
425 in a higher packing density of the skin layer, leading to increments in gas selectivities
426 of hollow fiber membranes[36, 44-47].

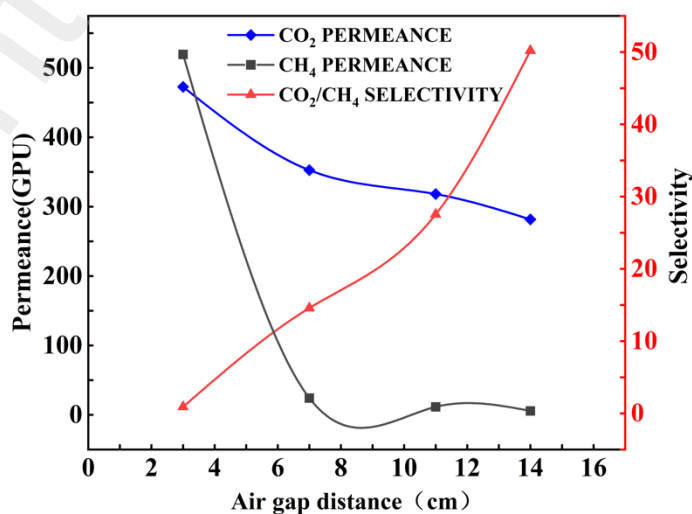


427

428 Fig. 7. SEM images of 6FDA-DAM:DAP(2:1) hollow fiber spun from the M6 and M7
 429 dope formulation. (a) Cross-section, (b) fiber wall, and (c) skin layer.

430 3.5 Influence of air-gap distance on gas separation performance

431 Other than tailoring EtOH, THF and LiNO₃ content in the dope solution, here we
 432 also investigated the effect of air-gap distance between the spinneret and water bath on
 433 membrane defectivity (Fig .8). We observed that as the air-gap distances increased from
 434 3 cm to 14 cm, CO₂ and CH₄ permeances of resultant hollow fibers were reduced by 40
 435 – 99 %, while CO₂/CH₄ selectivities increased from 0.91 to 50.



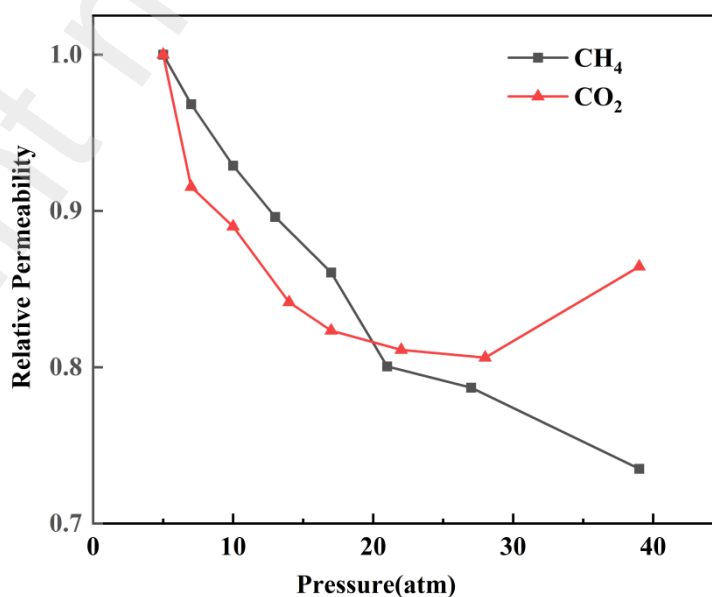
436

437 Fig. 8. Effects of air-gap to the CO₂/CH₄ permeance and selectivity of hollow fibers
438 (Dope: 30% 6FDA-DAM:DAP(2:1), 43% NMP, 10% THF, 15% EtOH, 2% LiNO₃).

439 With an air-gap of 3 cm, we obtained hollow fibers with CO₂ and CH₄
440 permeances of 482 and 510 GPU and a CO₂/CH₄ selectivity of 0.95. Due to high
441 viscosity, the polymer dope solution encountered high compression forces as it passed
442 through the small orifice of the spinneret. Upon exiting the spinneret, the compression
443 pressure would be released, and this would lead to the swelling of the dope solution.
444 This is known as the die swell effect [48]. Although defect generation could be
445 attributed to spinneret dimensions, dope rheology, chain relaxation, and phase inversion
446 rates, the die swell effect was a dominant factor when the air-gap was short[44]. This
447 caused the nascent spun hollow fiber to expand and contract suddenly, leading to
448 deviations in thickness and minor structural imperfections. Therefore, we believe that
449 these defective hollow fiber membranes results from the die-swell effect at air-gaps
450 between 3 – 7 cm. This die-swell effect was mitigated by THF evaporation when the
451 air gap > 7 cm, where the gas selectivity of resultant fibers gradually increased from
452 14.6 to 50.2. A defect-free hollow fiber membrane was obtained here in this work when
453 the air-gap distance was increased to 14 cm. We also tried to fabricate hollow fibers
454 with air gaps > 14 cm, but this resulted in hollow fiber membranes with diameters of
455 up to 190 μm. These thin hollow fibers were easily bent and entangled during the drying
456 process because of electrostatic attraction forces between the thin hollow fibers.
457 Therefore, 14 cm was selected as the air-gap distance for spinning defect-free hollow
458 fibers.

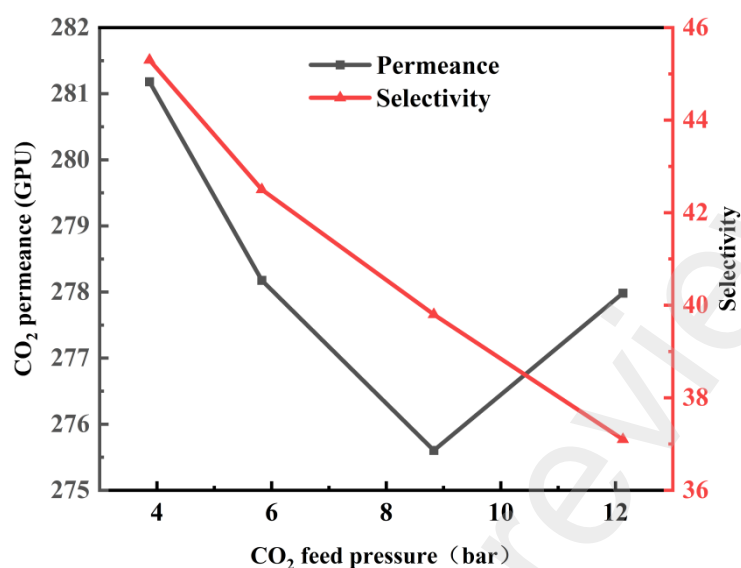
459 3.6 Mixed gas permeation tests and Anti-plasticization test

460 Pure gas anti-plasticization tests were conducted on the 6FDA-DAM:DAP (2:1)
461 dense film using pure CO₂ and CH₄ gases (Fig. 9). The dense film was plasticized by
462 CO₂ as the pressure exceeded 28 bar. Defect-free hollow fiber membranes (M7) were
463 subjected to mixed gas permeation tests, using CO₂/CH₄ (1:1) mixed gas with CO₂
464 partial pressures ranging from 3.87 bar to 12.1. As shown in Fig. 10, the hollow fiber
465 was plasticized by CO₂ as the pressure exceeded 8.83 bar. The CO₂ permeances of M7
466 hollow fibers monotonically decreased from 281 GPU to 276 GPU as the CO₂ pressure
467 increased from 3.87 to 8.83 bar, and subsequently it increased to 278 GPU at the CO₂
468 pressure of 12.1 bar. On the other hand, the CO₂/CH₄ selectivity gradually decreased
469 from 45.3 to 37.1. The relatively weak anti-plasticization behavior observed from the
470 hollow fiber membrane could be attributed to its thin dense layer (330 nm) compared
471 to thicker layers in dense film. Many reports claimed that thin polymer films had lower
472 plasticization pressure than thick films[49-53]



473

474 Fig. 9. The anti-CO₂ plasticization behaviors of the 6FDA-DAM:DAP (2:1) dense film

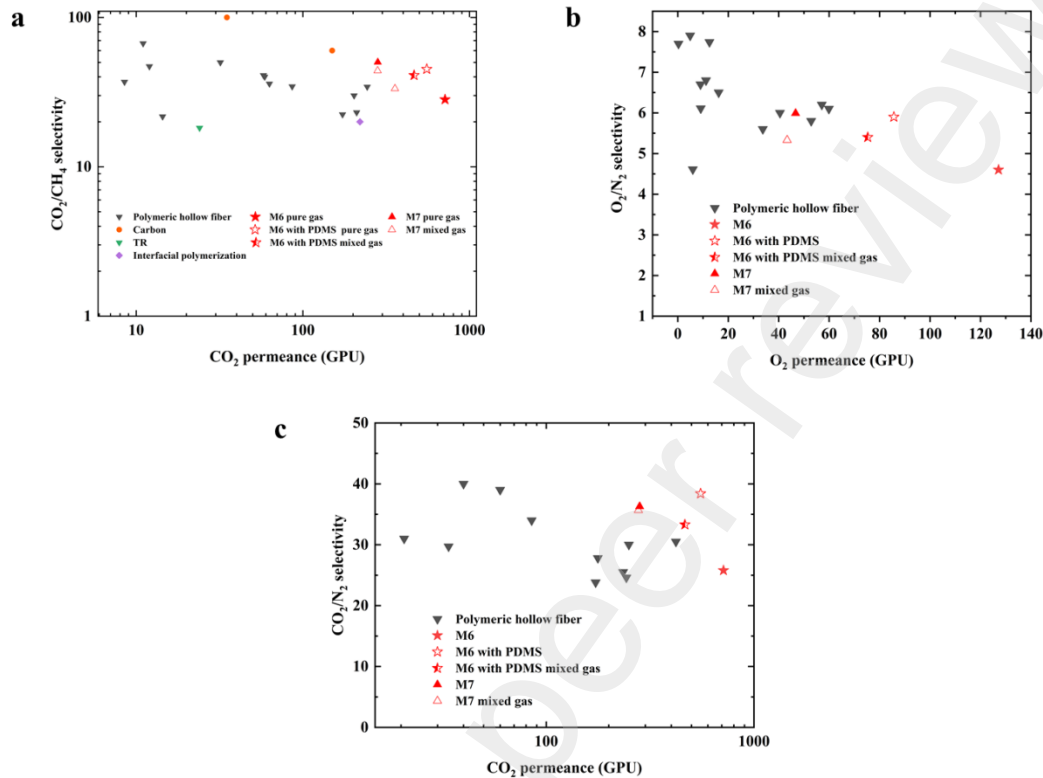


475

476 Fig. 10. The mixed gas transport properties of 6FDA-DAM:DAP (2:1) hollow fiber
 477 membranes using a 50:50 CO₂/CH₄ mixed gas at a pressure up to 24.3 bar.

478 Mixed gas permeation tests were also conducted on PDMS-coated M6 and
 479 without PDMS coated M7 hollow fiber membranes using CO₂/CH₄ (50:50) (at 8 bar),
 480 O₂/N₂ (22:78) (at 10 bar), and CO₂/N₂ (15:85) (at 2 bar) mixed gases. Fig. 11 presents
 481 a comparison of the gas separation performance of the 6FDA-DAM:DAP (2:1) hollow
 482 fiber membranes with some state-of-art hollow fiber membranes in terms of O₂/N₂,
 483 CO₂/CH₄, and CO₂/N₂ gas pairs. The mixed gas O₂ and CO₂ permeances of PDMS-
 484 coated M6 membranes reached 73.5 and 465 GPU, respectively. Meanwhile the mixed
 485 gas O₂/N₂, CO₂/CH₄ and CO₂/N₂ selectivities of PDMS-coated M6 membranes reached
 486 5.4, 41.0, and 33.3, respectively. For the defect-free M7 hollow fiber, its mixed gas O₂
 487 and CO₂ permeances reached 43.3 and 281 GPU, respectively, while mixed gas O₂/N₂,
 488 CO₂/CH₄ and CO₂/N₂ selectivities were 5.5, 45.0, and 35.7, respectively. These
 489 performances were superior to polymeric hollow fiber membranes reported elsewhere,

490 demonstrating their potential for commercial gas separation applications such as natural
491 gas purification, air separation, and flue gas treatments.



492

493 Fig. 11. Gas separation performance of 6FDA-DAM:DAP (2:1) hollow fiber membrane.

494 (a) Literature data and present work for CO₂/CH₄ selectivity versus CO₂

495 permeance: Polymer[33, 34, 54-58], Carbon[59], TR[60], Interfacial polymerization[61]

496 .(b) Literature data and present work for O₂/N₂ selectivity versus O₂ permeance [8,

497 33, 62-66]. (c) Literature data and present work for CO₂/N₂ selectivity versus CO₂

498 permeance [8, 29, 33, 65-67]. More details are listed in Table S4, S5, S6.

499 4. Conclusions and future works

500 We synthesized a novel copolyimide, 6FDA-DAM:DAP (2:1), and spun it into

501 defect-free hollow fiber membranes using a dry-wet spinning method. Hollow fiber

502 membranes with regular round shape was accomplished by balancing the phase

503 inversion rates between the surface region and bulk phase of the hollow fiber
504 membranes. An ethanol concentration of more than 20 wt.% was found to be key for
505 delivering defect-free hollow fibers but this also led to the formation of irregular-shaped
506 hollow fibers that could collapse under high compression forces – conditions typical of
507 real-world operation. To overcome this limitation without generating defects, we
508 lowered the ethanol concentration to 15 wt.%, added LiNO_3 and adopted a higher air-
509 gap. Notably, both PDMS-coated defective hollow fiber membranes and defect-free
510 hollow fiber membrane exhibited much higher gas selectivity than the dense film. We
511 attributed this to the better orientation of polymer chains of the hollow fiber membrane
512 induced by a higher extrusion rate of the spinneret, hence yielding hollow fiber
513 membranes with desired separation properties.

514 Future endeavors could explore methods to increase the polymer molecular
515 weight, aiming to fabricate thinner, defect-free skin layers. Additionally, the
516 copolyimide could be further modified with thermal treatment to yield high
517 performance thermally rearranged polymers to deliver improved gas separation
518 performances and anti-plasticization behaviors.

519 **Acknowledgements:**

520 LP acknowledges funding from China Petroleum and Chemical Corporation (Project
521 code: 222126) for this work.

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