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1 Candidate circulating microRNA biomarkers in dogs with chronic pancreatitis.

2

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17

18 ABBREVIATIONS : *C. elegans*, *Caenorhabditis elegans*; cDNA, complementary deoxyribonucleic
19 acid; CPM, Counts per million reads mapped; DNA, deoxyribonucleic acid; HfSA, Hospital for
20 Small Animals; FC, Log₂ Fold Change; FDR, False discovery rate; IPA; Ingenuity Pathway
21 Analysis; IQR: Inter-quartile range; M; million; miR, microRNA; qPCR, quantitative polymerase
22 chain reaction; TMM, Trimmed mean of M values; UMI, unique molecular identifier; R(D)SVS,
23 Royal(Dick)School of Veterinary Studies; RNA, ribonucleic acid.

24

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28

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31

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33

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37

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46 INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC) OR OTHER APPROVAL
47 DECLARATION: Ethical approval for use of biobanked samples for this study was approved by
48 the University of Edinburgh Veterinary Ethics Committee (Approval number: 117.22).

49 HUMAN ETHICS APPROVAL DECLARATION: Authors declare human ethics approval was not
50 needed for this study.

51 ABSTRACT

52 **Background:** Pancreatitis is an important cause of disease and death in dogs. Available
53 circulating biomarkers are not sufficiently sensitive and specific for a definitive diagnosis.

54 **Hypothesis:** Circulating microRNAs would be differentially expressed in dogs with chronic
55 pancreatitis and could have potential as diagnostic biomarkers.

56 **Animals:** Healthy controls (n=19) and dogs with naturally occurring pancreatitis (n=17).

57 **Methods:** A retrospective case-control study. Dogs with pancreatitis were included if they
58 satisfied diagnostic criteria for pancreatitis as adjudicated by three experts. MicroRNA was
59 extracted from stored serum samples and sequenced. Reads were mapped to mature microRNA
60 sequences in the dog, mouse and human genomes. Differentially-expressed microRNAs were
61 identified and the potential mechanistic relevance explored using Qiagen Ingenuity Pathway
62 Analysis (IPA).

63 **Results:** Reads mapping to 196 mature microRNA sequences were detected. Eight circulating
64 microRNAs were significantly differentially expressed in dogs with pancreatitis (≥ 2 -fold change
65 and false discovery rate < 0.05). Four of these mapped to the canine genome (cfa-miR-221, cfa-
66 miR-222, cfa-miR-23a and cfa-miR-205). Three mapped to the murine genome (mmu-miR-484,
67 mmu-miR-6240, mmu-miR-101a-3p) and one to the human genome (hsa-miR-1290). Expression
68 in dogs with pancreatitis was higher for seven microRNAs and lower for mmu-miR-101a-3p.
69 Qiagen IPA demonstrated a number of the differently expressed microRNAs are involved in a
70 common pancreatic inflammatory pathway.

71 **Conclusions:** The significantly differentially expressed microRNAs represent promising
72 candidates for further validation as diagnostic biomarkers for canine pancreatitis.

73

74 Pancreatitis in dogs is a highly debilitating and painful disease. It is estimated that over 30% of
75 dogs will develop some degree of pancreatitis in their lifetime, with prevalence of chronic
76 pancreatitis as high as 34% (51/151) in one study of dogs at post-mortem.^{1,2} Chronic pancreatitis
77 in dogs is associated with refractory pain and reduced quality of life, precipitating progressive life-
78 limiting impairment of pancreatic function.³⁻⁶ There are currently few substantive
79 pathophysiological studies in dogs with naturally occurring pancreatitis, so disease insight is often
80 extrapolated from humans and animal models.⁷⁻¹⁰

81

82 Ante-mortem diagnosis of pancreatitis in dogs is challenging, relying heavily on clinical history
83 and a combination of diagnostic tests, all of which have limitations.^{3,11} Histopathology, is generally
84 considered the reference diagnostic standard, but is imperfect.¹¹⁻¹³ Improvements are reported
85 in evaluating the pancreas through non-invasive imaging techniques such as ultrasonography
86 and computed tomography, but a number of factors, including operator experience, can highly
87 influence their diagnostic utility.^{4,14-19}

88

89 All currently available circulating biomarkers for pancreatitis diagnosis have sub-optimal testing
90 accuracy depending on severity of disease, highlighting the need for better biomarker candidates.
91^{11,14,20-24} The most frequently used assay is canine pancreas-specific lipase immunoreactivity
92 (cPL), available as both a quantitative (Spec cPL™) assay and a semi-quantitative point-of-care
93 (SNAP®) cPL™ test.^{11,14,21} Spec cPL™ is generally considered the most sensitive and specific
94 test for pancreatitis in dogs, but requires analysis in a reference laboratory.^{21,24} In one study,
95 dogs with concurrent acute and chronic pancreatitis, Spec cPL™ sensitivity was moderate (71%)

96 and specificity was variable depending on the cPL™ cut-off used (86 – 100%).²⁴ In eight dogs
97 with chronic pancreatitis 1,2-o-dilauryl-rac-glycero-3-glutaric acid-(6'-methylresorufin) ester
98 (DGGR) lipase specificity was 100%, but sensitivity was only 57%.^{25,26} MicroRNAs are emerging
99 as sensitive and specific markers of pancreatitis in humans.²⁷⁻²⁹ We hypothesized that small
100 RNA-sequencing of serum from dogs with pancreatitis and undertaking post-sequencing analysis
101 would lead to identification of targeted microRNAs that could be further interrogated as diagnostic
102 biomarkers of naturally occurring chronic pancreatitis in dogs.

103

104 MATERIALS & METHODS

105 A retrospective study, for which all dogs were enrolled for at the Royal (Dick) School of Veterinary
106 Studies, University of Edinburgh, UK. Dogs presented to the Hospital for Small Animals (HfSA)
107 as referral cases or for routine appointments. They were only considered for the study if a blood
108 sample was undertaken as part of their routine clinical investigation and residual serum was
109 stored in the HfSA biobank. Dogs were initially selected (n = 24) by Veterinary nomenclature
110 (VeNOM) retrospective search for a diagnosis of pancreatitis. Dogs allocated a diagnosis of
111 pancreatitis then retrospectively had their pancreatitis grade scored by three blinded HfSA senior
112 boarded medicine clinicians according to the system described by McCord et al. in the absence
113 of a validated chronic pancreatitis score.²¹ In brief, dogs were stratified by a likelihood score for
114 pancreatitis of 0 – 4. For this 0: definitely not pancreatitis, 1: probably not pancreatitis, 2; possibly
115 pancreatitis, 3: probably pancreatitis, 4: definitely pancreatitis. Only dogs graded 2 and above
116 were included in the study (n = 17). Three dogs were graded as probably having pancreatitis
117 (grade 3), and 14 dogs for possibly having pancreatitis (grade 2). Each dog was independently
118 scored after reviewing the history, results of routine haematology, serum biochemistry, SNAP®
119 cPL™ test, cPL™ assay, abdominal ultrasound, further diagnostic procedures, including
120 histopathology, final outcome and final diagnosis as available. The panel evaluated the dogs

121 together, but assigned a score independently. Blood samples from healthy dogs exhibiting no
122 signs of systemic disease were included in the study as controls. These samples were already
123 present in the existing biobank. Attempt was made to match control samples as far as possible to
124 dogs with pancreatitis for age, sex, breed and time in storage. This study was approved by the
125 University of Edinburgh Veterinary Ethics Research Committee (Approval number: 117.22).

126 Sample storage and RNA isolation

127 Serum was placed in -20°C within twelve hours of sample collection. The serum was then
128 transferred within 24 hours to long term storage at -80°C .^{30,31} Mean blood sample storage time
129 was 1,653 days (range: 0 – 2,144 days) for the control group and 1,641 days (range: 0 – 2,316
130 days) for the pancreatitis group ($P = 0.833$). MicroRNA was extracted in two batches using a
131 miRNeasy Serum/Plasma kit (Qiagen, The Netherlands) following the manufacturer's guidelines
132 and as per Vliegenthart *et al.* and Oosthuyzen *et al.* 2018.^{32,33} Briefly, total RNA was extracted
133 from 50 μL of serum diluted in 150 μL nuclease free water. RNA was extracted using lysis reagent
134 (1000 μL) and chloroform (200 μL). The RNA was purified on a RNeasy miniElute spin column
135 and eluted in 15 μL RNase-free water and stored at -80°C . Extraction efficiency was assessed
136 by adding 6×10^9 copies/ μL of synthetic *C. elegans* miR-39 spike-in control (Norgen Biotek,
137 Canada) after the addition of lysis reagent.

138

139 Library Preparation

140 Thirty-six sequencing libraries were prepared from microRNA samples using the QIAseq miRNA
141 library kit according to the provided protocol for RNA isolated from serum, 5 μL of each RNA
142 sample was used as input to library construction. In this protocol, a unique molecular identifier
143 (UMI) was introduced during cDNA synthesis, to facilitate read counting in the sequencing
144 dataset. Libraries were purified using QIAseq miRNA NGS Beads (QMN beads). Purified libraries

145 were then amplified for 22 cycles of PCR. Amplified libraries were also purified and size selected
146 with QMN beads to enrich for library fragments from microRNA.

147

148 Library Quality Control

149 Libraries were quantified by fluorometry using the Qubit dsDNA HS assay and assessed for
150 quality and fragment size using the Agilent Bioanalyser with the DNA HS Kit. Libraries were
151 purified by electrophoresis on 3% agarose gels, to remove fragments of inappropriate sizes, such
152 as adapter-dimers. The final library was then quantified by fluorometry and assessed on the
153 Agilent Bioanalyser to ensure removal of adapter-dimers.

154

155 Sequencing

156 Sequencing was performed on the NextSeq 2000 platform (Illumina Inc, USA) using NextSeq
157 1000/2000 P2 Reagents (100 Cycles) v3. PhiX Control v3 library (Illumina Inc, USA) was spiked
158 in at a concentration of one percent to enable troubleshooting in the event of run failure.
159 Sequencing was single-end 1x75.

160 Data analysis

161 Data were summarized as median and range for age of study subjects. Sample time in storage
162 analysis was calculated by non-parametric Mann-Whitney *U* test. Nominal *P* values equal to or
163 less than .05 were considered significant. Statistical analyses were performed using Graphpad
164 Prism (GraphPad Software, La Jolla, California, v9). Small RNA-sequencing reads were trimmed
165 using cutadapt, retaining reads between 18 and 30 nucleotides. Reads sharing a unique
166 molecular identifier (UMI) were identified with umi_tools and de-duplicated using the “unique”
167 method. Reads were mapped first to the spike-in sequence (cel-miR-39) then unmapped reads
168 were mapped sequentially to mature microRNA sequences in the dog, then human, then mouse
169 genomes (miRBase release 22.1). Mapping was performed using Bowtie, allowing up to 1

170 nucleotide mismatch within a 32 nucleotide seed region and ignoring reads mapping to more than
171 one region.

172 Differential expression analysis was performed in R (version 4.1.3) using edgeR (v3.36.0). Low-
173 abundance reads were filtered out and then counts were normalized using the TMM (trimmed
174 mean of M-values) method. Differential expression was determined using a 2-group generalized
175 log-linear model. Genes were deemed to be differentially expressed if their expression was at
176 least 2-fold different between the control and pancreatitis groups and false-discovery rate was
177 less than 5% after adjustment for multiple testing using the Benjamini & Hochberg method.³⁴ The
178 heatmap was generated using the pheatmap package (v1.0.12); all other plots were generated
179 using the tidyverse (v1.3.1) and ggplot2 (v3.3.5). The code for our miRNAseq analysis pipeline
180 is publicly accessible at <https://zenodo.org/badge/latest/doi/468419301>. Further analysis of the
181 significantly differentially expressed microRNAs was conducted by generating networks through
182 the use of QIAGEN Ingenuity Pathway Analysis.³⁵

183 RESULTS

184

185 Dog characteristics

186 There were samples from 17 dogs with pancreatitis (Grade 2 and above) and 19 samples from
187 healthy dogs. Seventeen of the dogs were male (47%) and nineteen were female (53%). Ten
188 (9/17) dogs with pancreatitis had no known concomitant disease at time of presentation.
189 Characteristics of the dogs including sex, age, and breed are summarized in Table 1.

190

191 Small RNA-sequencing Analysis

192 In the raw sequencing dataset, there were a median of 13.7 million (M) reads per library (Inter-
193 quartile range: 12.9M – 14.7M). After trimming and de-duplication of UMIs, median library size
194 was 7.3M reads (6.6M – 8.0M) and the reads mapped to 756 unique mature microRNA

195 sequences. After filtering out microRNAs expressed at very low abundance, median library size
196 was 444,000 and reads mapped to 196 mature microRNAs. Of these, 113 had higher expression
197 in the pancreatitis group and 83 had lower expression in the pancreatitis group. Seven
198 microRNAs were significantly differentially upregulated in dogs with pancreatitis; one microRNA
199 was downregulated (Table 2; \log_2 (fold-change) +2, FDR <5%, $P < 0.05$). Of these four mapped
200 to the canine (cfa) genome, three to murine (mmu) and one to human (hsa) genomes. We present
201 these data as a table (Table 2), Volcano plot (Figure 1), heatmap (Figure 2) and dotplots to show
202 the expression patterns of each differentially-regulated microRNA (Figure 3). Correlation plots
203 between the microRNAs showed expression was significantly correlated with two sets of
204 microRNAs: miR-222, miR-221 and miR-23a; and miR-23a, miR-484 and miR-1290
205 (Supplementary Figure 1). Principal component analysis was conducted and revealed that the
206 control and pancreatitis groups formed (overlapping) clusters illustrating global changes in dogs
207 diagnosed with pancreatitis (Figure 4). The third principal component, which accounted for 11.2%
208 of the total variance, was most significantly associated with disease status ($P = 0.00014$ by
209 ANOVA).

210
211 Using Qiagen IPA databases, analysis was performed on the network of potential interactions
212 among the set of eight differentially expressed genes, focusing on molecular pathways involved
213 in inflammation of the pancreas. A Qiagen IPA network/My Pathways is a graphical representation
214 of the molecular relationships between molecules. All edges (relationship between two nodes)
215 are supported by at least one reference from the literature, from a textbook, or from canonical
216 information stored in the Qiagen Knowledge Base. Human, mouse, and rat orthologs of a gene
217 are stored as separate objects in the Qiagen Knowledge Base, but are represented as a single
218 node in the network. Four of eight microRNAs (miR-23a, miR-101a-3p, miR-205, miR-221) were
219 identified as potentially involved in different (one or more) pancreatitis-related pathways (Figure
220 5).

221 DISCUSSION

222

223 This study used small RNA-sequencing to globally assess circulating microRNAs in dogs with
224 naturally occurring chronic pancreatitis. We identified eight significantly differentially expressed
225 microRNAs. Pancreatic injury induced using a caerulein infusion in two dog studies identified
226 microRNAs which could be utilized for pancreatitis diagnosis.^{36,37} Higher levels of miR-216a,
227 miR-216b, and miR-217, miR-375 and miR-148a are detected in dogs when pancreatitis is
228 induced.³⁷ Of twenty dogs with naturally occurring acute pancreatitis, a significant difference in
229 the serum expression of cfa-miR-375 was found between dogs with acute pancreatitis (median:
230 3.59) and healthy dogs (0.81; $P < .001$), but miR-216a was not significantly elevated in this
231 population.³⁸ None of these microRNAs were in the top twenty differentially expressed
232 microRNAs in our study cohort. The difference in microRNA expression profiles between these
233 studies and the current work could be explained by the acute pancreatitis phenotype and the use
234 of the caerulein infusion, which is more a model of acute pancreatitis.¹⁰ Caerulein infusion can
235 result in highly variable fibrosis and infusion rates vary between studies, which could also
236 contribute to the altered microRNA profiles.⁶ The differences between these studies supports
237 the investigation of naturally occurring pancreatitis in dogs rather than injury models and
238 differentiating between acute and chronic pancreatitis.

239

240 The unbiased sequencing approach used in this study identified significantly differentially
241 expressed microRNAs that are consistent with a potential mechanistic role in the pathogenesis of
242 pancreatitis, as in humans.^{27,39} The conserved miR-221/222 cluster is an important regulator in
243 multiple cellular processes, which is encoded tandemly in chromosome Xp11.3, and are highly
244 homologous miRNAs sharing the same seed sequence and as a result were not differentiated by
245 the Qiagen IPA analysis.⁴⁰ A dog in this study had the highest levels of both miR-211 and miR-
246 222, although high outliers of other microRNAs were from different dogs. Hsa-miR-221 is a distinct

247 biomarker of human early chronic pancreatitis predicting early chronic pancreatitis with AUC of
248 100.0%.²⁹ MiR-221/222 are regulators of proliferation of pancreatic β -cells and inhibit insulin
249 production of pancreatic β -cells in mice so their higher levels during pancreatic inflammation in
250 these dogs would make biological sense.⁴¹ Qiagen IPA linked miR-221/222 with inhibiting
251 intracellular adhesion molecule- 1 (ICAM-1). Pancreatic acinar cells upregulate the expression of
252 ICAM-1 as part of tumorigenesis to attract macrophages, so there could be a similar role for
253 miR-221/222 to translate ICAM-1 during chronic pancreatic inflammation.⁴²

254
255 MiR-23a was higher in dogs with pancreatitis. MiR-23a and miR-23b concentrations are higher in
256 human patients with severe acute pancreatitis (alongside hsa-miR-1260b, -762, -22-3p).⁴³ MiR-
257 23 promotes proliferation of pancreatic cancer cells and block apoptotic pathways.⁴⁴ Qiagen IPA
258 implicated an association with miR-23a and the Smad family (Smad3 and Smad4). Activation of
259 this pathway by miR-23a and a role in pancreatic inflammation makes biological sense as Smad
260 family proteins have a central role in pancreatic fibrosis by activating the proliferation of
261 pancreatic stellate cells.⁴⁵⁻⁴⁷

262 MiR-484 has utility as a biomarker for a number of diseases including pancreatic adenocarcinoma
263 and putatively targets the *NOTCH3* gene in humans with chronic pancreatitis.^{48,49} The Notch
264 signaling pathway is active during pancreatic development and the reactivates during injury.⁵⁰
265 *NOTCH3* expression is higher in the ducts of patients with chronic pancreatitis and is directly
266 associated with fibrosis in other organs.^{48,51,52}

267 MiR-101-3p was the only gene down-regulated in this study. Qiagen IPA indicated a role of miR-
268 101 upregulating inducible co-stimulator (ICOS) and prostaglandin-2 (PTGS2). ICOS and PTGS2
269 are over-expressed in the pancreas of humans with autoimmune pancreatitis and chronic
270 pancreatitis, so this is also considered a relevant target to further examine in dogs with
271 pancreatitis.⁵³⁻⁵⁶

272 The limitations of this study include small sample groups, dog variability, lack of histopathology in
 273 all dogs and complicating concomitant disease in some of the dogs, which potentially influenced
 274 the pancreatitis scores assigned.^{6,36,37,57} The McCord scale is validated for acute pancreatic
 275 disease, not the more chronic phenotype of the dogs in this study, so this could have also
 276 negatively influenced pancreatitis grade scoring. Canine pancreas-specific lipase
 277 immunoreactivity was not measured in the control dog group, given the very poor sensitivity (21%)
 278 in dogs with mild pancreatitis.^{14,24} Most of these limitations reflect the difficulties of researching
 279 pancreatitis in naturally occurring clinical disease.

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