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1 **Limited diversity associated with duplicated class II MHC-DRB genes in the red**
2 **squirrel population in the United Kingdom compared with continental Europe**

3
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18
19
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25 **Abstract**

26 The red squirrel (*Sciurus vulgaris*) population in the United Kingdom has declined over the last century and is
27 now on the UK endangered species list. This is the result of competition from the eastern grey squirrel (*S.*
28 *carolinensis*) which was introduced in the 19th century. However, recent evidence suggests that the rate of
29 population decline is enhanced by squirrelpox disease, caused by a viral infection carried asymptotically by
30 grey squirrels but to which red squirrels are highly susceptible. Population genetic diversity provides some
31 resilience to rapidly evolving or exotic pathogens. There is currently no data on genetic diversity of extant UK
32 squirrel populations with respect to genes involved in disease resistance. Diversity is highest at loci involved in
33 the immune response including genes clustered within the major histocompatibility complex (MHC). Using the
34 class II *DRB* locus as a marker for diversity across the MHC region we genotyped 110 red squirrels from
35 locations in the UK and continental Europe. Twenty four *Scvu-DRB* alleles at two functional loci; *Scvu-DRB1*
36 and *Scvu-DRB2*, were identified. High levels of diversity were identified at both loci in the continental
37 populations. In contrast, no diversity was observed at the *Scvu-DRB2* locus in the mainland UK population
38 while a high level of homozygosity was observed at the *Scvu-DRB1* locus. The red squirrel population in the UK
39 appears to lack the extensive MHC diversity associated with continental populations, a feature which may have
40 contributed to their rapid decline.

41 **Keywords:** Red squirrel, MHC *DRB*, Population, UK, diversity, Squirrelpox virus, disease

42

43

44 **Introduction**

45 The Eurasian red squirrel (*Sciurus vulgaris*) is currently on the endangered species list in the United Kingdom
46 (UK) although not in the rest of its pan Eurasian range. Within the UK the majority of the population is
47 restricted to Scotland with fragmented populations remaining in England and Wales, while the distribution of
48 the eastern grey squirrel (*S. carolinensis*) has expanded to match that vacated by the red squirrels. As recently
49 described in detail by Signorile et al (2016) the North American eastern grey squirrel was introduced and
50 subsequently translocated across the UK and Ireland on at least 30 occasions from the 1870's until the 1920's
51 (Middleton 1930; Shorten, 1954, Barratt et al. 1999). Grey squirrel numbers have increased ever since and have
52 been estimated at around 2.5 million while red squirrel numbers have declined to approximately 120,000 (Harris
53 et al. 1995). In continental Europe the grey squirrel has also been introduced to Northern Italy on at least three
54 occasions between 1948 and the 1990s, followed by numerous translocations and undocumented releases
55 (Martinoli et al. 2010; Bertolino et al. 2008, 2014). However, no evidence of the SQPV has been reported which
56 may partially explain the slower rate of decline in Northern Italian red squirrels compared with those in the UK.
57 The principal factors that underlie the rapid decline of the red squirrel and replacement by grey squirrels in the
58 UK include competition from the grey squirrel (Gurnell et al. 2004; Kenward and Holm 1993; Tompkins et al.
59 2002; Wauters and Gurnell 1999) and disease caused by infection with the squirrelpox virus (SQPV) (Thomas et
60 al. 2003; La-Rose et al. 2010). SQPV, a member of the *Poxviridae* family (Thomas et al. 2003; McInnes et al.
61 2006; Darby et al. 2014) is thought to be transmitted by asymptomatic grey squirrels (Sainsbury et al. 2000;
62 Tompkins et al. 2002) to highly susceptible red squirrels. It has been estimated that on average 61% of grey
63 squirrels in the UK are seropositive for SQPV (McInnes et al. 2006), although this fluctuates between 100% and
64 0% depending on the density of squirrels supported by different types of woodland.

65 Infection of red squirrels with SQPV generally results in death within 2-3 weeks of infection which is
66 likely to be a result of starvation and dehydration due to the inability to forage for food and water and the
67 combined effect of secondary, mainly bacterial, adventitious infections. In areas where red and grey squirrels
68 coexist the decline of red squirrels is up to twenty five times faster if the grey squirrels are carrying SQPV than
69 if they are free from the virus (Rushton et al. 2006). As a consequence, the red squirrel is unlikely to survive in
70 the UK unless populations are maintained in favourable conifer habitats that reduce competition and
71 immigration by grey squirrels (Gurnell et al. 2002).

72 In response to the threat posed by SQPV, a number of red squirrel strongholds have been established in
73 the UK which combine measures to control exposure to the grey squirrels with habitat improvement. However,
74 small isolated populations often suffer from reductions in genetic diversity due to inbreeding depression and the
75 effect of genetic drift (Keller and Waller 2002; Charlesworth and Willis 2009). This reduces the ability of such
76 populations to respond to rapidly evolving endemic and exotic pathogens compared with larger more genetically
77 diverse populations (Frankham and Ralls 1998; Bernatchez and Landry 2003). Maintaining existing red squirrel
78 diversity while developing strategies that allow diversity to increase within isolated populations will be
79 important for the long term sustainability of the red squirrel strongholds. Historical evidence indicates that red
80 squirrels may have experienced severe population declines and bottlenecks and there is a complete lack of
81 knowledge on genetic diversity of extant UK populations especially with respect of genes involved in disease
82 resistance. Previous analyses of genetic diversity in the red squirrel have targeted nuclear, neutral microsatellite
83 and mitochondrial markers providing important information on the population structure (Barrett et al. 1999;
84 Grill et al. 2009, Hale et al. 2001) but limited information on the role of diversity in the response to SQPV
85 infection.

86

87 The highest levels of genetic diversity within mammalian populations are located within genes
88 involved in the immune response including those clustered together within the major histocompatibility
89 complex (MHC), (Horton et al. 2004; Robinson et al. 2013). As a consequence, MHC loci are frequently used as
90 a source of genetic markers in studies of population diversity and population health (Sommer 2005; Osborne et
91 al. 2015). The MHC is divided into three major clusters of closely linked genes, class I, II and III. MHC class I
92 and II genes encode proteins responsible for the presentation of small fragments of pathogen proteins for
93 recognition by antigen specific receptors on CD8 or CD4^{+ve} T cells respectively (Bjorkman 1987; Germain and
94 Margulies 1993). The specificity of the immune response is influenced by the range of pathogen peptides
95 presented by MHC molecules. The majority of MHC diversity associated with the class II MHC loci locates to
96 the second exon which determines part of the peptide binding groove. As a consequence, allelic diversity
97 influences the range of peptides recognised by the immune system (Hughes and Yeager 1988; Hughes and Nei
98 1989) and many associations with susceptibility to autoimmune and infectious disease have been described
99 (reviewed by Trowsdale 2011).

100 Earlier analyses of fragmented populations of European ground squirrel (*Spermophilus citellus*,
101 Ricanova et al. 2011) and spotted suslik, (*Spermophilus suslicus*, Biedrzycka and Radwan 2008) described high

102 levels of allelic diversity at the class II MHC-*DRB* locus. Therefore, this study aims to characterise the *DRB*
103 locus in red squirrels which will allow a comparison of diversity in fragmented UK red squirrel populations with
104 populations from continental Europe.

105

106 **Materials and methods**

107 *Red squirrel samples*

108 Genomic DNA was prepared from 42 tissue samples obtained from red squirrels selected from archived material
109 held at the Zoological Society of London (ZSL). These animals were found dead and submitted to the ZSL
110 between 1996 and 2006 and represent three locations within mainland UK; Central Scotland, North West
111 England, North East England and two island populations, the Isle of Wight and Jersey in the Channel Islands.
112 Twelve road kill samples were obtained from the stronghold population on the Isle of Arran located of the West
113 coast of Scotland, six samples from South West Scotland, six samples from North Central Scotland, thirteen
114 from Northern Scotland and three from Northern Ireland. Eighteen samples of continental European red
115 squirrels were obtained from Belgium and Northern Italy. The location and number of animals sampled at each
116 location is detailed in Figure 1. For comparative purposes, DNA was also prepared from an eastern grey squirrel
117 from the South West of Scotland.

118 *Preparation of DNA*

119 Genomic DNA was extracted from muscle or spleen samples using the DNeasy blood and tissue kit (Qiagen)
120 following the manufacturer's instructions. The quantity and quality of DNA was estimated using a nanodrop
121 spectrophotometer.

122 *Preparation of RNA*

123 Pseudogenes and gene fragments are common features of MHC regions in other mammalian species
124 (Kumanovics et al. 2003). To provide evidence that the *Scvu-DRB* loci are functional, cDNA was prepared from
125 mRNA isolated from the spleen of a red squirrel following euthanasia of a suspected case of squirrelpox from
126 South West Scotland. The spleen was removed, suspended in RNAlater™ and archived at -20°C. Total RNA
127 was prepared from 20 mg of spleen tissue using the Precellis Ribolyser Tissue RNA kit. Genomic DNA was
128 also prepared from the same sample.

129 *Targeting the red squirrel DRB loci*

130 PCR primers Scvu351F and Scvu338R which amplify a 243 bp fragment of the second exon of the red squirrel
131 *DRB* locus were designed using a *DRB* cDNA sequence from the tassel-eared squirrel (accession number
132 M97616) as the template. Both primers are located within the second exon. The primer sequences are listed in

133 Table 1. Each PCR reaction was carried out in a final volume of 50 µl containing 200 nM of each primer, 1U
134 *Taq* polymerase (Promega, Paisley, UK) and 50 ng of DNA template. Amplification reactions were performed
135 under the following cycling conditions; 94°C for 4 minutes followed by 30 cycles of 94°C for 30 s, 60°C for 30 s
136 and 72°C for 30 sec. A final cycle of 72°C for 5 min was added to complete the reaction.

137 *Analysis of PCR products*

138 The products of each PCR reaction were separated on a 1% agarose gel, stained with gel red and visualised
139 under a UV transilluminator. PCR products were purified using the SV Gel and PCR Clean-Up System
140 (Promega), quantified and sequenced in both directions using primers Scvu351F and Scvu338R. The forward
141 and reverse sequences were aligned using the SeqManII™ program of the DNASTAR package and
142 polymorphic positions identified. As the primers amplify the products of two polymorphic *DRB1* loci in order to
143 define the allelic diversity at each locus the PCR fragments are cloned.

144 *Cloning and sequence analysis*

145 *Scvu-DRB* alleles were cloned into the pGEM-T-easy vector (Promega) and individual clones identified by
146 colony PCR. Digestion of the colony PCR product with the restriction enzyme *Rsa* I followed by resolution of
147 the fragments on an 8% polyacrylamide gel allowed the selection of clones with identical restriction patterns for
148 sequencing. Depending on the complexity of the direct sequence analysis, up to 12 clones were sequenced in
149 both directions. Sequencing or *Taq* induced errors were eliminated through comparison with the direct sequence
150 of the PCR product. The majority of alleles including those that differ by single nucleotide substitutions were
151 identified multiple times from different DNA samples and in some cases from cDNA as well as genomic DNA.
152 Those alleles identified from single samples were cloned and sequenced independently from two different PCR
153 reactions to eliminate possible artefacts associated with amplification and cloning.

154 *Red squirrel Class II DRB nomenclature*

155 We followed the accepted convention of MHC allelic nomenclature proposed by Klein et al. (1990) - which uses
156 the first two letters of the genus and species (*Scvu*) followed by the locus (*Scvu-DRB1*) and then an allele
157 designation (*Scvu-DRB1a, 1b, 1c*, based on the order of their identification). *DRB* alleles were assigned to either
158 the *DRB1* or *DRB2* locus depending on sequence similarity and phylogenetic clustering. The allelic
159 nomenclature shown in Table 2 is used throughout.

160 *Analysis of Scvu-DRB gene transcription*

161 First strand cDNA was prepared using the ImProm-II RT system (Promega) in a 40 µl reaction using 200 ng of
162 Total RNA. Using the full length *DRB* transcript from the tassel-eared squirrel (*Sciurus aberti*) as a template,
163 primers Scvu363 and Scvu364 (listed in Table 1) were designed within exons 1 and exon 3 and tested for their
164 capacity to amplify the *Scvu-DRB* transcripts. Reverse transcription-PCR was carried out in 50 µl reactions
165 using each combination of forward and reverse primer, 3 µl of cDNA template and 200 nM of each primer in
166 *GoTaq* polymerase master mix (Promega, Paisley, UK). Amplification reactions were performed under the
167 following conditions; 94°C for 4 minutes followed by 30 cycles of 94°C for 1 min, 55°C for 1min and 72°C for 1
168 min. Fragments were visualised on 1% agarose gels and those of the expected size were gel purified and cloned
169 into the pGEM-T-easy vector as detailed above.

170 *Sequence analysis*

171 *Scvu-DRB* gene sequences were assembled from each bi-directional sequence using the SeqManII program.
172 All polymorphic sites were inspected manually. All sequences have been deposited in the European
173 Nucleotide Archive and assigned accession numbers listed in Table 2. Multiple alignments of the nucleic acid
174 and predicted amino acid sequences were produced using Clustal Omega available on the EMBL-EBI website
175 (<http://www.ebi.ac.uk/Tools/msa/clustalo/>). Multiple alignments of the *Scvu-DRB* sequences generated here
176 and other published sequences were used to estimate maximum likelihood trees using PhyML-aLRT (Version
177 2.4.5) (Anisimova and Gascuel 2006) launched from TOPALi v2.5 (Milne et al. 2008). Prior to phylogenetic
178 tree estimation, the model selection feature in the TOPALi v2 package which produces improved estimates of
179 Likelihood values was used to select the nucleotide substitution model JC+G (Jukes and Cantor 1969). To test
180 for positive selection we compared the average number of synonymous substitutions per synonymous site (dS)
181 with the average number of non-synonymous substitutions per non-synonymous site (dN) for codons predicted
182 to determine the antigen-binding sites (ABS), the remaining sites (non-ABS) and all sites. We used the
183 modified Nei and Gojobori method with Jukes–Cantor correction as the substitution models. The codons
184 predicted to determine amino acids associated with the APS were selected according to Reche and Reinherz
185 (2003) and are shown in Figure 2. The average dN and dS and their variances estimated using 10000 bootstrap
186 replicates were used to test the null hypothesis that H_0 , dN=dS (test for neutrality) using a Z test. This analysis
187 was carried out in MEGA version 6 (Tamura et al 2013). Rejection of the null hypothesis in favour of the

188 alternative hypothesis where $dN > dS$ where the probability values P are less than 0.05 is considered evidence
189 for positive selection.
190

191 **Results**

192 *Identification of two Scvu-DRB loci*

193 A 243 bp fragment of the second exon of the *Scvu-DRB* locus was initially amplified from 10 red squirrel DNA
194 samples from UK population 1 (Figure 1). Sequence analysis of the PCR fragments identified 29 identical
195 polymorphic positions in each of these 10 animals. The presence of two distinct sequences which were identical
196 in all ten animals was confirmed through analysis of individual clones obtained from four of these animals. The
197 two sequences did not appear to segregate as expected for alleles at a single locus as no animal homozygous for
198 either allele was identified. Therefore, rather than alleles at a single locus, we concluded that they are likely to
199 represent two independent *DRB* loci, inherited together within a single haplotype. All 10 animals genotyped
200 appeared homozygous for this one haplotype. The presence of two independent and polymorphic *DRB* loci was
201 confirmed through identification of alleles at each locus in animals from populations 11 and 12 from Belgium
202 and Italy respectively. The sequences identified from population 1 were used as reference sequences for each of
203 these loci and termed *Scvu-DRB1a* and *Scvu-DRB2a* (Supplementary Figure 1).

204 *Are both Scvu-DRB loci transcribed?*

205 Using primers Scvu363 and Scvu364 located in exons 1 and 3, three correctly spliced transcripts representing
206 two alleles at locus 1, (*Scvu-DRB1a* and *Scvu-DRB1b*) and a single allele at locus 2, (*Scvu-DRB2a*) were
207 identified in sample 15 from population 2 (Supplementary Table 1)', confirming that both loci are transcribed
208 and therefore likely to be functional. No polymorphic sites were identified in the genomic DNA primer binding
209 sites within exon 2 suggesting that the genotyping primers are likely to amplify the majority of *DRB* allelic
210 diversity in red squirrels. The genotyping of a DNA sample from the same squirrel produced an identical result
211 to the cDNA analysis.

212

213 *Scvu-DRB sequence analysis*

214 Sequence analysis of the PCR products from the remaining 90 samples identified a range of nucleotide
215 substitutions not present in population 1. Where novel and multiple substitutions were identified, individual
216 alleles were resolved through cloning. A total of 19 *Scvu-DRB1* alleles and 5 *Scvu-DRB2* alleles were identified.
217 The alleles associated with each squirrel sample are shown in supplementary Table 1. The sequences have been
218 assigned ENA database accession numbers LN832043 to LN832063 as shown in Table 2. The nucleotide
219 sequences of the 24 *Scvu-DRB* variants are shown in supplementary Figure 1 while the predicted amino acid

220 sequences are shown in Figure 2. The *Scvu-DRB1* locus is the more polymorphic of the two with 19 of the 24
221 alleles. Twenty seven polymorphic nucleotide positions corresponding to 15 non-synonymous substitutions
222 were identified within the second exon of the *Scvu-DRB1* locus compared with 16 polymorphic positions
223 corresponding to 8 non-synonymous substitutions within the second exon of the *Scvu-DRB2* locus. Allelic
224 diversity at both *DRB1* and *DRB2* loci was generally associated with small numbers of nucleotide substitutions
225 with many alleles differing at only one or two positions. Alleles *DRB1a* and *1b*, *DRB1m* and *1n* and *DRB2b* and
226 *2c* differ at single synonymous substitutions. Alleles *DRB1e* and *1h* show the highest level of diversity with
227 90% identity while the most diverse *DRB2* alleles, *DRB2a* and *2e*, show 93% identity in pair-wise comparisons.
228 Inter-locus diversity is greater with 85% identity between *DRB1a* and *DRB2a*.

229 Substantial allelic diversity within and between *DRB1* and *DRB2* loci is associated with positions
230 predicted to directly interact with peptides bound within the peptide binding domain (Figure 2). Sixteen of the
231 eighteen amino acid positions estimated by Reche and Reinherz (2003) to directly interact with peptides bound
232 within the class II MHC peptide binding domain are shown to be variable or adjacent to a variable amino acid in
233 red squirrels (Figure 2). As positive selection is thought to drive and maintain diversity at MHC loci we tested
234 the hypothesis that $dN > dS$ at codons predicted to determine the antigen-binding sites (ABS), the remaining
235 sites (non-ABS) and all sites. This hypothesis was rejected in the analysis of all sites ($dN-dS = 0.96$, $p = 0.17$)
236 and the non ABS ($dN-dS = -0.73$, $p = 1.0$) and only at ABS sites was the hypothesis supported ($dN-dS = 2.663$,
237 $p=0.004$).

238

239 *Phylogenetic analysis*

240 The relationship between *Scvu-DRB1* and *B2* sequences was further explored by phylogenetic analysis using the
241 nucleic acid alignment shown in supplementary Figure 1. The tree topology (Figure 3) generally supports the
242 two locus hypothesis as the two major clusters are formed by the *DRB1* and *DRB2* allelic lineages, the only
243 exception being *Scvu-DRB1l* which clusters independently of the other *DRB1* alleles despite sharing many of the
244 nucleotide and amino acid motifs characteristic of the *DRB1* locus. This may be due to a recombination event
245 between *DRB1* and *DRB2* loci. The *S. aberti* (*Scab-DRB*) and the *S. carolinensis* (*Scca-DRB*) sequences all
246 cluster with the *Scvu-DRB1* loci.

247 *The distribution of Scvu-DRB1 and Scvu-DRB2 allelic diversity in UK and continental European red squirrels*

248 The distribution and frequency of the 19 *Scvu-DRB1* alleles and 5 *Scvu-DRB2* alleles in UK and continental
249 European red squirrels is shown in Figure 4 and Table 3 respectively. Twelve *Scvu-DRB1* and 4 *Scvu-DRB2*
250 alleles were identified in the 18 animals from continental populations 11 and 12, while only 6 *Scvu-DRB1* and a
251 single *Scvu-DRB2* allele were found in 55 samples obtained from six UK mainland populations. Both *DRB* loci
252 were homozygous in 78% of animals from the mainland UK compared with 16% of the continental red
253 squirrels.

254 The highest level of allelic diversity with 12 *DRB* alleles associated with 9 distinct haplotypes was
255 identified in the population from northern Italy, while the population with least diversity was population 1 from
256 central Scotland with only a single haplotype. These data indicate that the extensive allelic and haplotype
257 diversity associated with continental European red squirrels is not present in UK populations analysed.

258 With the exception of population 10 from the Isle of Arran, the *Scvu-DRB1a/Scvu-DRB2a* haplotype
259 dominates the UK population. This haplotype was not identified in the continental populations or in the small
260 number of samples from the Channel Islands. Given the proximity of the Channel Islands to the French coast, it
261 is not surprising that they share alleles with continental populations. However, population 10 shares allelic
262 diversity with samples from Belgium rather than with other UK populations. This suggests that this population
263 may have a more recent continental European origin.

264

265 **Discussion**

266 In response to selection by rapidly evolving pathogens, genes associated with protective immunity are often
267 highly diverse (Barreiro and Quintana-Murci 2010). Such diversity increases the probability of population
268 survival in the face of novel infections whereas populations with limited diversity are less secure. A major
269 source of immunological diversity is within the MHC where substantial allelic diversity is thought to be
270 maintained by a form of balancing selection (heterozygous advantage and/or frequency dependent selection)
271 arising from the requirement to respond to rapidly evolving or novel pathogens (Hughes and Yeager 1998;
272 Meyer and Thomson 2001). High levels of allelic diversity at MHC loci are often associated with large
273 populations with high levels of genetic exchange whereas low levels are often associated with smaller, more
274 isolated populations (reviewed in Sommer et al. 2005; Radwan et al. 2010).

275 *Comparison of class II MHC DRB diversity in UK and continental squirrel populations*

276 While comparing diversity at the class II MHC *Scvu-DRB* locus in UK red squirrels with populations from
277 continental Europe, we identified a duplication of the *Scvu-DRB* locus, described *Scvu-DRB1* and *Scvu-DRB2*
278 transcripts and sequenced families of alleles at each locus. We provide evidence of positive selection at sites
279 associated with the binding of peptide antigens in agreement with orthologous loci in other species (Babik et al.
280 2005, Cizkova et al. 2011). Limited *Scvu-DRB1*, *Scvu-DRB2* allelic and haplotype diversity was identified in
281 geographically distinct populations of red squirrel in the UK. A single *DRB* haplotype (*DRB1a/DRB2a*) appears
282 to dominate the UK population with levels of homozygosity ranging between 68% and 100% depending on the
283 population analysed. In contrast, substantial allelic diversity was identified in samples from continental Europe
284 where Belgian and Italian populations provided 12 *Scvu-DRB1* and 4 *Scvu-DRB2* alleles from 18 animals
285 compared with only 6 *Scvu-DRB1* and a single *Scvu-DRB2* allele in 55 samples from 6 populations from the UK
286 mainland. While it is likely that some alleles present at lower frequencies will not have been recorded in both
287 continental European and UK squirrels, it is clear that the extensive MHC diversity in continental European
288 squirrels is not present in UK populations.

289 *Origin of the Scvu-DRB1a/Scvu-DRB2a haplotype*

290 The origin of the *Scvu-DRB1a/Scvu-DRB2a* haplotype which dominates the UK red squirrel populations is
291 unclear. This haplotype may be a remnant from the original population that colonised the British Isles following
292 the end of the last ice age between 7 and 10 thousand years ago when the UK remained connected with Western

293 Europe. The failure to identify this haplotype in the continental European or Channel Island populations
294 supports this observation; however our analysis is limited to 18 animals from Italy and Belgium and is clearly
295 not representative of the continental population as a whole. There is evidence that the original red squirrel
296 population that colonised the British Isles was almost driven to extinction in the 18th century (summarised in
297 Barratt et al. 1999). The lack of MHC diversity supports this extreme population bottleneck in which all but the
298 most frequent alleles were lost due to inbreeding and drift. Historical records, confirmed by recent genetic
299 analysis, indicate that animals from other parts of the UK and from Western Europe were re-introduced to
300 restore lost or depleted UK populations including some from Scandinavia, re-introduced to secure populations in
301 southern Scotland (Hale et al. 2004). The *Scvu-DRB1a/Scvu-DRB2a* haplotype may have originated with
302 animals from Scandinavia which subsequently expanded throughout the UK. By extending future analyses to
303 include samples from Scandinavia and other areas of Western Europe, the origin of the *Scvu-DRB1a/Scvu-*
304 *DRB2a* haplotype may become clearer.

305 *Consequence of limited DRB diversity in UK red squirrels*

306 Consistent with functional class II MHC-*DRB* orthologues in other vertebrates, much of the allelic diversity is
307 associated with non-synonymous substitutions at locations predicted to interact with peptides held within the
308 peptide binding groove (Hughes and Nei 1989). Such diversity influences the range of peptides presented to
309 CD4⁺ve T cells, one of the key regulatory cell types controlling both antibody and cellular responses to viral
310 infection. Any reduction in the range of pathogen antigens available for recognition by the immune system may
311 influence subsequent responses to infection at individual and population levels. However, the diversity between
312 *DRB* loci suggests that each may present a distinct range of peptides for recognition by the immune system
313 (Brown et al. 1993). Haplotypes with two diverse *DRB* loci will allow a wider array of peptides to be presented
314 to T cells compared with haplotypes with only a single functional *DRB* locus. While this study has focused on
315 the *Scvu-DRB* loci as a marker for MHC diversity, additional class II and class I loci will be included in future
316 analyses, allowing a more complete picture of MHC haplotype diversity in squirrel populations from the UK
317 and continental Europe.

318 Levels of MHC diversity in continental European red squirrels are consistent with a robust population
319 associated with frequent genetic exchange between populations. This is in contrast to the limited diversity in the
320 UK squirrels which is consistent with a strong founder effect which has led low levels of diversity in the
321 remaining small isolated populations in the UK. Inbred wildlife populations are often susceptible to

322 environmental change including the introduction of new pathogens and SQPV appears to be responsible for
323 much of the decline of the UK red squirrel population (Rushton et al. 2006). Wildlife populations within a stable
324 environment are generally resilient to the endemic pathogens; a range of which (adenovirus; Sainsbury et al.
325 2001), (hepatozoon species; Simpson et al. 2006) (mycobacteria; Meredith et al. 2014) have been described in
326 red squirrels in the UK. However, the impact of these infections appears limited compared with the exotic
327 SQPV, although they might have a stronger impact on captive collections (Everest et al. 2014; Shuttleworth et
328 al. 2014).

329 Providing evidence for a direct link between MHC diversity and squirrelpox disease susceptibility
330 remains challenging as samples from healthy animals with evidence of SQPV exposure for comparison with
331 samples from animals known to have been killed by the virus are required. While limited MHC diversity may
332 contribute directly to the decline of the UK red squirrel population through a failure to present protective
333 antigens for recognition by the immune system, it may also reflect a general decrease in diversity across the
334 genome (reviewed by Sommer et al. 2005). Previous analysis of UK red squirrel population diversity using
335 neutral markers such as the mitochondrial d-loop (Barratt et al. 1999) and a range of microsatellites (Hale et al.
336 2004; Grill et al. 2009) also identified limited diversity compared with continental populations.

337 Limited MHC diversity has been described in other species and populations which have gone through
338 population bottlenecks. These include the cheetah, where limited diversity at the MHC has been linked to
339 susceptibility to viral infection (O'Brien et al. 1985) and in the Tasmanian devil, where it has been linked with
340 susceptibility to a transmissible tumour (Siddle et al. 2007). Limited MHC diversity has also been recorded in
341 expanding populations following a population bottleneck, including the European Beaver (Ellergren et al. 1993)
342 the European and North American Moose (Miko and Anderson 1995) and the Mountain Goat (Mainguy et al.
343 2007). These populations are however predicted to remain susceptible to novel pathogen infections. The red
344 squirrel population of the UK may provide a warning to such populations as it may be the first recorded example
345 of a wildlife population with limited genetic diversity that expanded following a population bottle neck in the
346 18th century as a result of reforestation efforts (Shorten 1954) only to be decimated by an exotic viral infection
347 in the 20th century.

348 It may be fortuitous, but no evidence of SQPV has been reported in continental European red squirrels despite
349 the introduction on at least three occasions of eastern grey squirrels to Northern Italy between 1948 and the
350 1990s, followed by numerous translocations and undocumented releases (Martinoli et al. 2010; Bertolino et al.

351 2008, 2014). The Italian red squirrel population is the most genetically diverse population analysed in this study
352 with a large number of diverse MHC haplotypes associated with high levels of heterozygosity. The absence of
353 SQPV along with a genetically diverse red squirrel population and low levels of diversity in Italian grey
354 squirrels (Signorile et al. 2014) may have contributed to the relatively slow spread of grey squirrels in Northern
355 Italy compared with those in the UK (Bertolino et al. 2014).

356 *MHC diversity and red squirrel conservation*

357 Surprisingly, the distribution of alleles in the red squirrel population on the Isle of Arran, located off the West
358 coast of Scotland, suggests that they are more closely related to those from continental Europe than to other
359 squirrels from the UK. The *Scvu-DRB1a/Scvu-DRB2a* haplotype dominant in mainland UK populations was not
360 recorded and existing records indicate that red squirrels were introduced to the island between the 1930s and
361 1950s. This supports an earlier study which identified two mitochondrial haplotypes in the Arran population,
362 one of which was also found in Belgium populations (Barratt et al. 1999). As the Arran population appears
363 unique in the UK we suggest that animals from this population could be used to expand levels of diversity and
364 contribute to long term population health in other Scottish red squirrel strongholds (and potentially other areas
365 of mainland UK) with established red squirrel populations with limited MHC diversity. This approach may be
366 preferable to introductions from continental Europe with the risk of introducing exotic pathogens. Currently red
367 squirrel reintroduction strategies in the UK are focused on controlling the grey squirrel population and habitat
368 restoration which favours red squirrels with little regards to population genetic diversity. We suggest that by
369 incorporating a simple measure of MHC diversity in the reintroduction strategy overall population health would
370 be improved in the longer term.

371

372 **Figure Legends**

373 **Fig. 1**

374 Map of Western Europe showing the population number and number of red squirrels sampled from each
375 location in parenthesis.

376 **Fig. 2**

377 Multiple alignments of the predicted amino acid sequences derived from three red Squirrel *DRB1* and *DRB2*
378 transcripts aligned with nineteen *DRB1* and *DRB2* allelic sequences derived from the genomic analysis of 100
379 red squirrels from the UK and continental Europe. Only unique allelic sequences are included. The full length
380 *DRB* transcript derived from the tassel-eared squirrel (*Sciurus aberti*, *Scab-DRB*) is used as the reference
381 sequence. Sequences are numbered from the first amino acid of the mature protein. The portion of the DRB-β1
382 domain encoded by the second exon is shaded and amino acid positions predicted by Reche and Reinherz (2003)
383 to interact with peptides within the peptide binding domain are indicated with a *. Sequence identity is
384 indicated by a . and missing sequence is indicated by a -.

385 **Fig. 3**

386 Maximum likelihood tree estimating the relationships between Squirrel *DRB* nucleotide sequences. The tree is
387 generated using the HKY substitution model and rooted using the murine *DRB* orthologue, *H2-EB1*,
388 (NM_01382). Only bootstrap values 60 or above are shown. Species designations are as follows; *Scab*, *Sciurus*
389 *aberti* (tassel eared squirrel, M97616); *Scvu*, *Sciurus vulgaris* (Eurasian Red squirrel, LN832043 to LN832063),
390 *Scca*, *Sciurus carolinensis* (eastern grey squirrel).

391 **Fig. 4**

392 Distribution of *Scvu-DRB1* and *DRB2* allelic diversity in each red squirrel population.

393

394 **Tables**

395

396 Table 1. PCR primers

Primer	Specificity	Template/Location	Sequence
Scvu351F	<i>DRB1</i> and <i>DRB2</i>	gDNA, exon 2	5'-AGTGCCATTTCTACAACGGGAC-3'
Scvu338R	<i>DRB1</i> and <i>DRB2</i>	gDNA, exon 2	5'-CTCTCCGCTCCACAGTGAAGC-3'
Scvu363F	<i>DRB1</i> and <i>DRB2</i>	cDNA, exon 1	5'-TCCTCTCCTGTTCTCCAGCAT-3'
Scvu364R	<i>DRB1</i> and <i>DRB2</i>	cDNA, exon 3	5'-CACAGTCACCTTCGGCTTAAC-3'

397

398 Table 2. *Scvu-DRB1/DRB2* allelic nomenclature and associated accession numbers

<i>Scvu-DRB</i> allele	Accession Number	<i>Scvu-DRB</i> allele	Accession Number
<i>Scvu-DRB1a</i>	LN832039	<i>Scvu-DRB1m</i>	LN832052
<i>Scvu-DRB1b</i>	LN832040	<i>Scvu-DRB1n</i>	LN832053
<i>Scvu-DRB1c</i>	LN832042	<i>Scvu-DRB1o</i>	LN832054
<i>Scvu-DRB1d</i>	LN832043	<i>Scvu-DRB1p</i>	LN832055
<i>Scvu-DRB1e</i>	LN832044	<i>Scvu-DRB1q</i>	LN832056
<i>Scvu-DRB1f</i>	LN832045	<i>Scvu-DRB1r</i>	LN832057
<i>Scvu-DRB1g</i>	LN832046	<i>Scvu-DRB1s</i>	LN832058
<i>Scvu-DRB1h</i>	LN832047	<i>Scvu-DRB2a</i>	LN832041
<i>Scvu-DRB1i</i>	LN832048	<i>Scvu-DRB2b</i>	LN832059
<i>Scvu-DRB1j</i>	LN832049	<i>Scvu-DRB2c</i>	LN832060
<i>Scvu-DRB1k</i>	LN832050	<i>Scvu-DRB2d</i>	LN832061
<i>Scvu-DRB1l</i>	LN832051	<i>Scvu-DRB2e</i>	LN832062

399

400 Table 3. *Scvu-DRB* allelic frequencies associated with individual populations

Population Allelic Frequencies												
Population	1	2	3	4	5	6	7	8	9	10	11*	12#
N	10	6	6	13	3	10	10	10	2	12	10	8
<i>DRB1a</i>	1.0	0.50	0.5	0.69	0.667	0.75	0.65	0.09	0.75	-	-	-
<i>DRB1b</i>		0.167	0.42	0.31	0.333	0.2	-	-	-	-	-	-
<i>DRB1c</i>	-	-	-	-	-	-	0.1	-	-	-	-	-
<i>DRB1d</i>	-	-	-	-	-	-	-	-	-	0.375	0.45	0.062
<i>DRB1e</i>	-	-	-	-	-	-	-	-	0.25	-	0.25	-
<i>DRB1f</i>	-	0.333	0.08	-	-	-	0.05	-	-	-	-	-
<i>DRB1g</i>	-	-	-	-	-	-	-	0.1	-	-	-	-
<i>DRB1h</i>	-	-	-	-	-	-	-	-	-	0.625	0.1	-
<i>DRB1i</i>	-	-	-	-	-	-	-	-	-	-	0.1	-
<i>DRB1j</i>	-	-	-	-	-	-	-	-	-	-	0.1	-
<i>DRB1k</i>	-	-	-	-	-	-	-	-	-	-	-	0.062
<i>DRB1l</i>	-	-	-	-	-	-	-	-	-	-	-	0.062
<i>DRB1m</i>	-	-	-	-	-	0.05	0.1	-	-	-	-	-
<i>DRB1n</i>	-	-	-	-	-	-	0.1	-	-	-	-	-
<i>DRB1o</i>	-	-	-	-	-	-	-	-	-	-	-	0.537
<i>DRB1p</i>	-	-	-	-	-	-	-	-	-	-	-	0.125
<i>DRB1q</i>	-	-	-	-	-	-	-	-	-	-	-	0.125
<i>DRB1r</i>	-	-	-	-	-	-	-	-	-	-	-	0.062
<i>DRB1s</i>	-	-	-	-	-	-	-	-	-	-	-	0.062
<i>DRB2a</i>	1.0	1.0	1.0	1.0	1.0	1.0	1.0	0.9	1.0	1.0	0.85	0.75
<i>DRB2b</i>	-	-	-	-	-	-	-	0.1	-	-	0.15	-
<i>DRB2c</i>	-	-	-	-	-	-	-	-	-	-	-	0.125
<i>DRB2d</i>	-	-	-	-	-	-	-	-	-	-	-	0.062
<i>DRB2e</i>	-	-	-	-	-	-	-	-	-	-	-	0.062

401 Legend Table 3, N = Number of individuals genotyped; *, Belgian population; #, Italian population

402

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Fig. 1

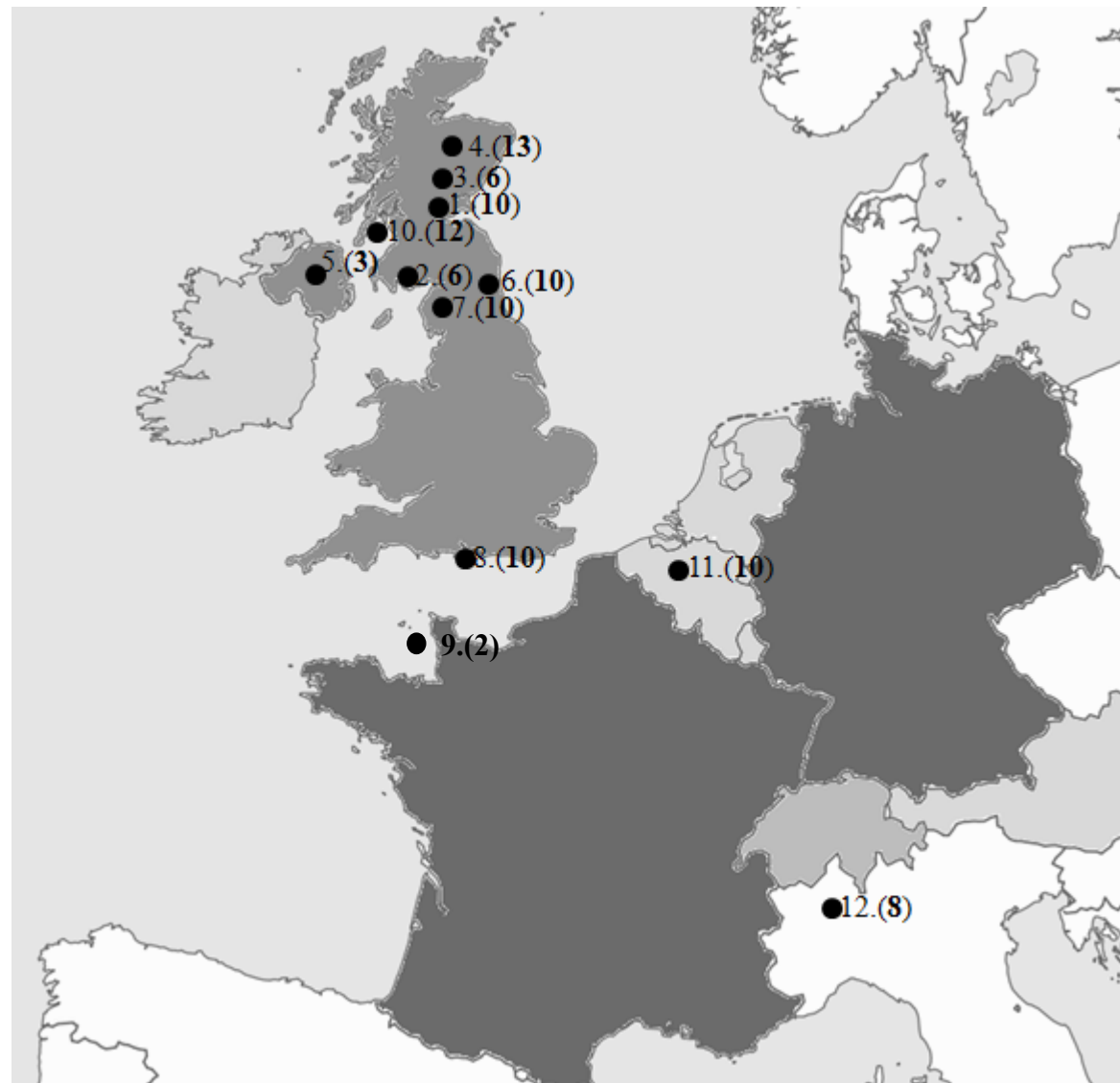


Fig. 2

```

          ***          ***          **          *          * *          * * *          * * * **
        -30    -20    -1     10     20     30     40     50     60     70     80     90
Scab-DRB : MVLGICLRGSSCMAGLTLILMALSLPLALARDTRSRFLEQATSECHFYNQTRVRFLERYFYNREEYVRFDSVVGFEFRAVTELGKRPDAGYWNNSQEDLLERKRAQVDIVCRHNYGVGGQSFTVERRVKPKVIV
Scvu-DRB1a : .S.W.....S.....P...V.H.....D..H.....V.K.....Q.....F.....V.....
Scvu-DRB1b : .S.W.....S.....P...V.H.....D..H.....V.K.....Q.....F.....V.....
Scvu-DRB1c : .....D..H..N.....V.K.....Q.....F.....V.....
Scvu-DRB1d : .....D..H.....V.K.....Q.....F.....V.....
Scvu-DRB1e : .....D..H.....V.K.....Q.....G.....V.....
Scvu-DRB1f : .....A..H..N.....V.K.....Q..A..NY..Y..V.....
Scvu-DRB1g : .....S.....A.....K.....Q.....V.....
Scvu-DRB1h : .....D.....A.K..L..F..Q.....NY.....V.....
Scvu-DRB1i : .....S.....K.....Q.....V.....
Scvu-DRB1j : .....D.....A.....K.....Q.....V.....
Scvu-DRB1k : .....S.....K.....F..T..A..NY..Y..V.....
Scvu-DRB1l : .....D.....K..L..F..Q.....V.....
Scvu-DRB1m : .....D..H.....K.....Q.....F.....V.....
Scvu-DRB1n : .....D..H.....K.....Q.....F.....V.....
Scvu-DRB1o : .....D..H.....K.....Q.....F.....V.....
Scvu-DRB1p : .....S.....K.....Q.....F.....V.....
Scvu-DRB1q : .....D..A.....K.....Q.....F.....V.....
Scvu-DRB1r : .....D.....A.....K.....Q..A..NY..Y..V.....
Scvu-DRB1s : .....D.....A.....K.....Q.....NY.....V.....
Scvu-DRB2a : .S.W.HR.....S.....P...V.A.....A.L..N.....H.....EK..L..F..T..A.....E..K.....
Scvu-DRB2b : .....A.L..N.....EK..L..F..T..A.....E..K.....
Scvu-DRB2c : .....A.L..N.....EK..L..F..T..A.....E..K.....
Scvu-DRB2d : .....A..N.....EK..L..F..T..A.....V.....
Scvu-DRB2e : .....A.L..N.....K.....F..T..A.....V.....

```

Fig. 3

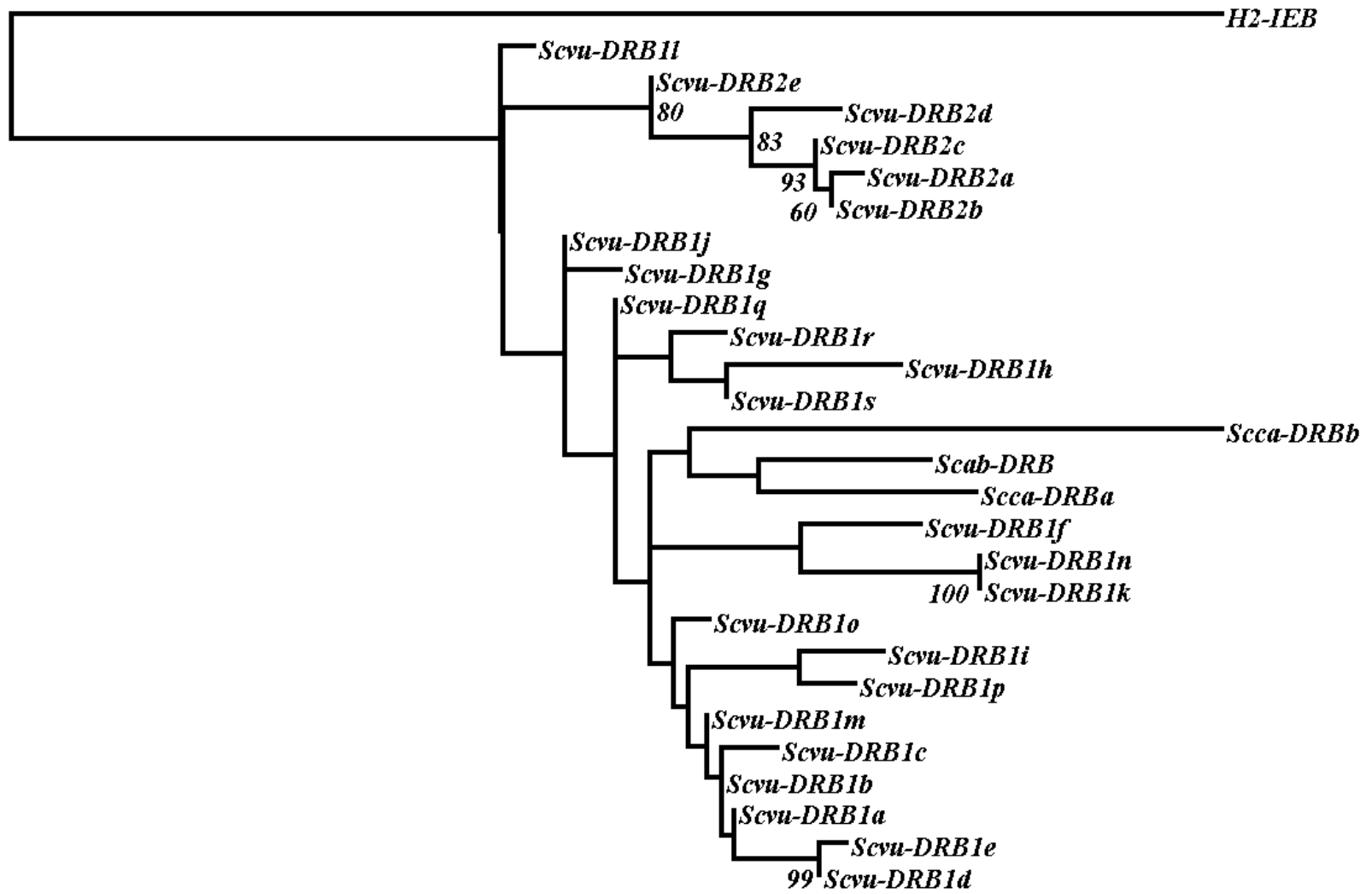


Figure 4

