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1 *Review*

# 2 **The regulation of astrocytic glutamate transporters in** 3 **health and neurodegenerative diseases**

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10 **Abstract :** The astrocytic glutamate transporters excitatory amino acid transporters 1 and 2 (EAAT1  
11 and EAAT2) play a key role in nervous system function to maintain extracellular glutamate levels  
12 at low levels. In physiology this is essential for the rapid uptake of synaptically released glutamate,  
13 maintaining the temporal fidelity of synaptic transmission. However, EAAT1/2 hypo-expression or  
14 hypo-function are implicated in several disorders, including epilepsy and neurodegenerative  
15 diseases, as well as being observed naturally with aging. This not only disrupts synaptic information  
16 transmission, but in extremis leads to extracellular glutamate accumulation and excitotoxicity. A  
17 key facet of EAAT1/2 expression in astrocytes is a requirement for signals from other brain cell types  
18 in order to maintain their expression. Recent evidence has shown a prominent role for contact -  
19 dependent neuron-to-astrocyte and/or endothelial cell-to-astrocyte Notch signalling for inducing  
20 and maintaining the expression of these astrocytic glutamate transporters. The relevance of this non  
21 cell-autonomous dependence to age- and neurodegenerative disease-associated decline in astrocytic  
22 EAAT expression is discussed, plus the implications for disease progression and putative  
23 therapeutic strategies.

## 24 **1. Introduction**

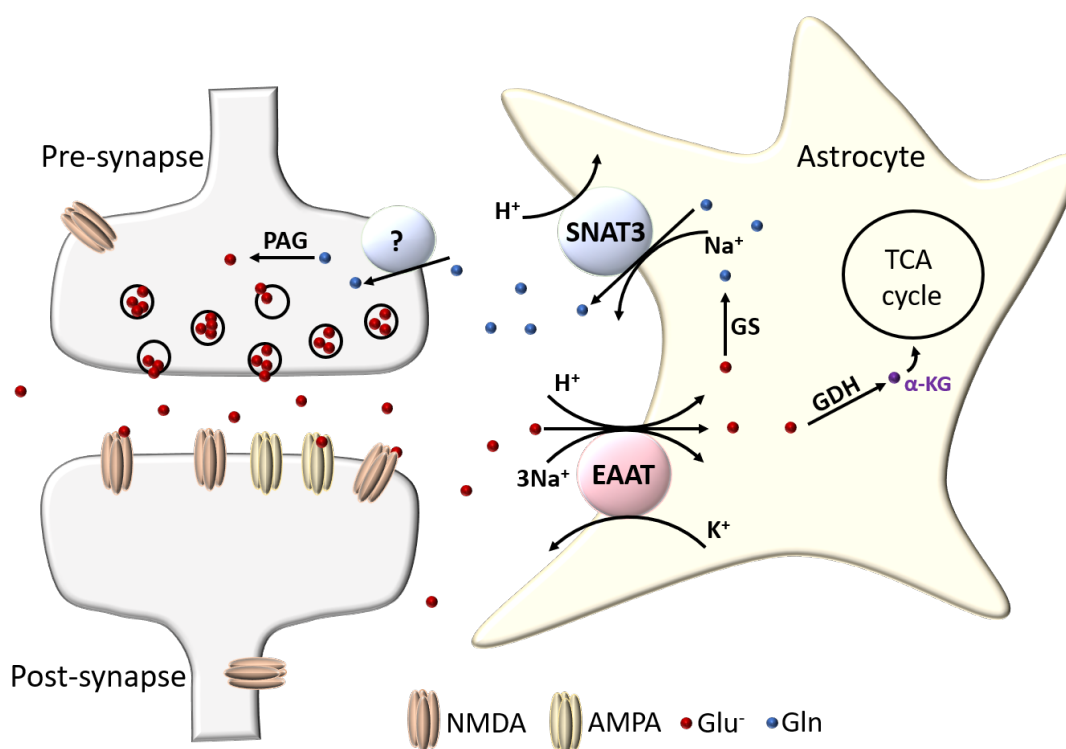
25 Glutamate is the predominant excitatory neurotransmitter in the brain, activating post-synaptic  
26 ionotropic N-methyl-D-aspartate (NMDA) and  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic  
27 acid (AMPA)/kainate receptors. Co-activation of these receptors allows the influx of Na<sup>+</sup> ions, which  
28 depolarises the cell's membrane potential, triggering an action potential. Once released it is important  
29 that glutamate is rapidly cleared, since failure of this has two key consequences. Firstly, it will  
30 continue to stimulate the post-synaptic receptors after the initial signal has been sent, impairing the  
31 detection of the next signal that arrives – akin to a saturation phenomenon, and potentially leading  
32 to cell swelling due to ion influx [1]. Secondly, if this glutamate escapes from the synaptic zone it  
33 was released into it could activate unintended synapses, triggering activity where it should not.  
34 Significantly, if glutamate escapes the synaptic region it can activate extrasynaptic NMDA receptors:  
35 too much Ca<sup>2+</sup> influx via these extrasynaptic NMDA receptors induces signalling cascades that  
36 initiate cell death programs [2, 3].

37 Astrocytes have long been known to be important in promoting the survival of neurons and for  
38 counteracting the toxic effects of glutamate [4-7]. This protection is largely due to their ability to take  
39 glutamate up from the extracellular environment via transporters located on astrocytic membranes,  
40 preventing excitotoxicity and associated oxidative stress [8, 9]. Once inside the astrocytes, glutamate  
41 is then either converted into  $\alpha$ -ketoglutarate by glutamate dehydrogenase (GDH) or transaminases  
42 and shunted into the astrocytic TCA cycle, or else converted into glutamine by the enzyme glutamine  
43 synthetase (GS) [10, 11]. Glutamine is not toxic to neurons, and is extruded by the SNAT3 glutamine  
44 transporter into the extrasynaptic space, which can then be taken up by neurons and converted back  
45 into glutamate via the neuronally expressed phosphate-activated glutaminase (PAG), thus

1 replenishing pre-synaptic glutamate stores [12-15]. This glutamate recycling pathway is referred to  
 2 as the glutamate-glutamine cycle (see *Figure 1*).

3 Astrocytic glutamate uptake and recycling is a vital part of CNS function. Key within this  
 4 machinery are the two astrocytic glutamate transporters, excitatory amino acid transporters 1 and 2  
 5 (EAAT1 and EAAT2), that are responsible for the bulk of glutamate uptake. The astrocytic glutamate  
 6 transporters EAAT1 and EAAT2 belong to the solute carrier 1A family of transporters (SLC1A),  
 7 which includes two alanine serine cysteine transporters, ACST1 and ACST2, along with the five  
 8 excitatory amino acid transporters, EAAT1-5 [16]. The EAATs are electrogenic secondary-active  
 9 transporters, using the concentration gradients of their co- and counter-transported ions ( $\text{Na}^+$ ,  $\text{H}^+$  and  
 10  $\text{K}^+$ ) to drive the transport of glutamate against its concentration gradient into the cell [17]. Astrocytes  
 11 are able to regulate any fluxes in their pH and membrane potential caused by this transport process  
 12 through their high expression of membrane  $\text{K}^+$  channels (especially  $\text{K}_{\text{IR}4.1}$ ), their  $\text{Na}^+/\text{H}^+$  exchangers  
 13 and  $\text{Na}^+/\text{NCO}_3^-$  cotransporters, as well as dissipating charge and ionic changes throughout the  
 14 astrocytic network via connexon coupling (see [18] for a recent review on astrocyte physiology).

15 Unsurprisingly, reductions in the astrocytic glutamate transporters' expression and function  
 16 have been implicated in a number of CNS diseases, particularly epilepsy, along with several  
 17 neurodegenerative diseases, as outlined below. It is therefore of interest to understand how these  
 18 transporters are functionally regulated, as boosting their expression and function may offer a novel  
 19 way to reduce severity and progression of neurodegenerative diseases.



21 **Figure 1.** The glutamate-glutamine cycle. Glutamate (Glu) released after excitatory transmission is  
 22 collected by astrocytic EAAT transporters 1 & 2. The glutamate is then either converted into  $\alpha$ -  
 23 ketoglutarate ( $\alpha\text{-KG}$ ) via glutamate dehydrogenase (GDH) or transaminase reaction and enters the  
 24 TCA cycle, or else is converted into glutamine (Gln) by glutamine synthetase (GS). Astrocytes excrete  
 25 Gln back into the extracellular environment via the  $\text{Na}^+$  driven SNAT3 transporter, which is then  
 26 taken up by an as yet unconfirmed neuronal Gln transporter. Neurons then convert Gln back to Glu  
 27 via a phosphate-activated glutaminase (PAG) reaction to replenish their vesicular Glu stores.

## 28 2. Glutamate transporters in the brain

29 Glutamate is found at high concentrations in the brain, at a concentration of approximately  
 30 10 – 14 mmol/L depending on region [19, 20]. However, most of this glutamate is kept within

1 intracellular compartments, with very low levels maintained in the extracellular fluid (around  
2 3-4  $\mu\text{mol/L}$  in the extracellular space of the hippocampus) [21, 22]. Accordingly, there are numerous  
3 transporter proteins in the brain that are capable of facilitating glutamate transport to ensure that the  
4 right concentration of glutamate is maintained in the right compartment. These transporters fall into  
5 two broad categories: those which are found in intracellular compartments, such as the three  
6 vesicular glutamate transporters (vGLUT1-3) which package glutamate into synaptic vesicles, and  
7 those located on the plasma membrane of cells that can transport glutamate into (or out of) the cell  
8 [1, 23, 24]. The glutamate transporters that are found in the plasma membranes of brain cells consist  
9 of five sodium-dependent co-transporters, and one sodium-independent exchanger [17, 25, 26]. The  
10 sodium-independent exchanger, xCT, is found almost exclusively on astrocytes, but preferentially  
11 transports cysteine into the cell in exchange for extruding a glutamate molecule out of the astrocyte  
12 [27, 28Lewerenz, 2014 #1428, 29]. Due to the need to transport glutamate *into* cells against its  
13 electrochemical gradient, it is therefore the sodium-dependent class of transporters that are  
14 responsible for quickly sequestering extracellular glutamate back into cells. There are five known  
15 members of this family of transporters, excitatory amino acid transporters 1 – 5 (EAAT1-5).

### 16 2.1. The excitatory amino acid transporters

17 In the early 1970s a high affinity sodium-dependent uptake system for the negatively charged  
18 amino acids L-glutamate and L-aspartate was first described in synaptosomal preparations, which  
19 was hypothesised to be responsible for the accumulation of the putative excitatory neurotransmitter  
20 glutamate into cells [30, 31]. A few years later Balcar and colleagues went on to show that this  
21 glutamate uptake system was also present in glial cells, but not until 1992 were EAATs first purified,  
22 with four independent groups cloning three distinct EAAT family members: Glt-1 (EAAT2), GLAST  
23 (EAAT1), and EAAC (EAAT3) [32-36]. The final two members were cloned in 1995 (EAAT4) and 1997  
24 (EAAT5) [37, 38].

25 All members of the EAAT family transport L-glutamate into cells under normal conditions using  
26 the electrochemical gradients of  $\text{Na}^+$  and  $\text{K}^+$  [1, 17]. The EAAT family of transporters and the sodium-  
27 independent exchanger xCT both display high affinity for glutamate transport compared to the three  
28 vesicular glutamate transporters ( $K_M \approx 2\text{-}100 \mu\text{M}$  for EAAT1-5 and  $K_M \approx 20\text{-}55 \mu\text{M}$  for xCT versus  $K_M$   
29  $\approx 1.5\text{-}3.5 \text{mM}$  for vGLUT1-3) [37-50]. Although the EAATs have relatively high affinity for glutamate,  
30 enabling them to sequester low concentrations of extracellular glutamate to prevent excitotoxicity,  
31 they interestingly have relatively slow transport cycle times (see Table 1). This problem may in part  
32 be overcome by rapid surface diffusion and transporter trafficking of the EAATs upon glutamate  
33 stimulation [51, 52].

34 The different EAAT subtypes are found throughout the body, and within the brain they are  
35 found on different cell types and in different brain regions. Although they all transport glutamate  
36 into cells, each subtype possesses a different degree of chloride permeability, and it appears the  
37 function of each of these subtypes may vary. A summary of the five EAAT transporters is given in  
38 Table 1. Note well, for clarity in this review the transporters encoded by *SLC1A3/Slc1a3*,  
39 *SLC1A2/Slc1a2* and *SLC1A1/Slc1a1* will be consistently referred to as EAAT1, EAAT2 and EAAT3,  
40 respectively, independent of species. Rodent EAAT1, EAAT2 and EAAT3 are typically referred to as  
41 GLAST (*Slc1a3*), Glt-1 (*Slc1a2*) and EAAC (*Slc1a1*) in other studies. However, there is high amino acid  
42 homology between human and rodent proteins, with 96% identity shared between human EAAT1  
43 and rat GLAST, 95% identity between EAAT2 and rat Glt-1, and 92% identity between EAAT3 and  
44 rabbit EAAC1 [39].

### 45 2.2. Location of excitatory amino acid transporters

46 The two subtypes EAAT1 (i.e. GLAST) and EAAT2 (i.e. Glt-1) are referred to as the astrocytic  
47 glutamate transporters as they are the only EAAT subtypes expressed on astrocytes, where they are  
48 predominantly found on fine astrocytic processes opposed to glutamatergic synapses [53-55]. EAAT1  
49 is found in astroglia (including the Bergmann and Müller glia) throughout the brain, on which they  
50 are exclusively expressed, and are the primary collectors of glutamate in both the cerebellum and

retina (via Bergmann and Müller glia, respectively) [56-62]. EAAT2 is the primary glutamate transporter in all other brain regions, most prominently in the hippocampus and cortex [54, 57]. The location of EAAT2 is less astrocyte-exclusive, with some evidence suggesting it is also found to a small degree in neurons, particularly in the hippocampus and retina (see Zhou and Danbolt, 2013 for discussion). Combined, EAAT1 and EAAT2 make up a significant proportion of the total protein in the brain, representing ~2.1% of protein in the molecular layer of the cerebellum, 1.6% in the hippocampal *stratum radiatum*, and 1% of protein in forebrain tissue, and are by far the most abundant EAAT subtypes in the CNS [56].

A more recent study using label-free quantitative (LFQ) tandem mass-spectrometry to survey the regional protein expression of postnatal human brain tissue has confirmed that EAAT1 and EAAT2 are abundantly expressed in all regions sampled: the cerebellar cortex (CBC), mediodorsal thalamic nucleus (MD), striatum (STR), amygdala (AMY), hippocampus (HIP), primary visual cortex (V1C) and the dorsal prefrontal cortex (DFC) [63]. Apart from the cerebellar cortex (where EAAT2 was within the 93<sup>rd</sup> percentile), EAAT2 was the most abundantly expressed of the transporters across all regions, sitting in the 97<sup>th</sup> (MD), 98<sup>th</sup> (STR, AMY, HIP and DFC) and 99<sup>th</sup> (V1C) percentile of most abundant proteins within each region. EAAT1 on the other hand was more abundantly expressed in the CBC, being in the 99<sup>th</sup> percentile of expressed proteins, with consistently high, although lower than EAAT2, expression across other regions: 94<sup>th</sup> percentile in the AMY, 95<sup>th</sup> in MD, STR and HIP and 97<sup>th</sup> percentile in the V1C and DFC (calculated from supplementary data Table 10 of Carlyle et al., 2017) [63].

Protein	Gene	Cl <sup>-</sup> conduct.	Kinetics	Location	Protein abundance
EAAT1	<i>SLC1A3</i>	Mod	$K_M = 22-48 \mu\text{M}$ Cycle time = 62 ms	Astrocytes (incl. Bergmann & Müller glia); Predominant EAAT subtype in cerebellum (1.8 mg/g of protein) and retina	99 <sup>th</sup> percentile of protein found in human CBC; ≥95 <sup>th</sup> in V1C, DFC, MD, STR and HIP; 94 <sup>th</sup> in AMY
EAAT2	<i>SLC1A2</i>	Low	$K_M = 25-97 \mu\text{M}$ Cycle time = 70 ms	Astrocytes (and some sparse neurons); Predominant EAAT subtype in hippocampus (1.3 mg/g of protein) and cortex (0.8 mg/g)	99 <sup>th</sup> percentile of protein found in human V1C; ≥95 <sup>th</sup> in DFC, HIP, AMY, STR and MD; 93 <sup>rd</sup> in CBC
EAAT3	<i>SLC1A1</i>	Mod	$K_M = 42-62 \mu\text{M}$ Cycle time = 10 ms	Neurons (typically on spines); Highest concentration in hippocampus (0.013 mg/g of protein)	26 <sup>th</sup> percentile of protein found in human MD; 21 <sup>st</sup> in HIP; ≤20 <sup>th</sup> in AMY, STR, CBC, DFC and V1C
EAAT4	<i>SLC1A6</i>	High	$K_M = 2.5 \mu\text{M}$ Cycle time >166 ms	Cerebellar Purkinje cells (0.2 mg/g of protein in cerebellar molecular layer)	89 <sup>th</sup> percentile of protein in human CBC; 19 <sup>th</sup> in MD and AMY; <15 <sup>th</sup> in STR, DFC and HIP
EAAT5	<i>SLC1A7</i>	High	$K_M = 61-62 \mu\text{M}$ Cycle time >1,000 ms	Retina (rod photo receptors, bipolar cells)	

**Table 1.** Excitatory amino acid transporter family overview. Abbreviations: CBC = cerebellar cortex, V1C = primary visual cortex, DFC = dorsal prefrontal cortex, MD = mediodorsal thalamic nucleus, STR = striatum, HIP = hippocampus and AMY = amygdala. References: [64].

The EAAT3 subtype is exclusively neuronal, and is found on neurons throughout the brain [65, 66]. It typically localises on dendritic spines and not axon terminals, with its highest expression seen in the hippocampus, at a concentration of 0.013 mg/g [65]. This is 100 times lower than EAAT2 levels in the same region, so even in the hippocampus it may only contribute modestly to glutamate clearance. Consistent with these earlier reports, the more recent LFQ mass spectrometry data showed a relatively low protein abundance of EAAT3 ranging from the 13<sup>th</sup> percentile in the V1C to the 26<sup>th</sup> percentile in the MD, and sitting at just the 21<sup>st</sup> percentile of abundance in the hippocampus (from supplementary Table 10, Carlyle et al., 2017)[63]. This is compared to EAAT1 and EAAT2 which were in the top percentiles of expressed proteins across all regions in these samples (>90<sup>th</sup> percentile for both EAAT1/2 in all regions) [63].

1 EAAT4 is another neuronally expressed glutamate transporter, however its expression profile is  
2 more restricted than that of EAAT3, being found primarily on Purkinje cells of the cerebellum, with  
3 some sparse expression in certain subregions of the forebrain and midbrain [67, 68]. It represents  
4 about 0.2% of protein in the molecular layer of the cerebellum, which is approximately 10 times less  
5 than the predominant astroglial subtype in this region, EAAT1 (which represents 1.8% of total  
6 cerebellar protein), and similar to EAAT2 levels (0.3% of total protein) [56, 67]. Again, consistent with  
7 these earlier findings, Carlyle and colleagues more recent mass spectrometry data revealed EAAT4  
8 to be most abundantly expressed in the cerebellar cortex, in the 89<sup>th</sup> percentile of proteins. This is less  
9 than both EAAT1 and EAAT2 in this region, but significantly higher than EAAT4's expression across  
10 all other regions (8<sup>th</sup> percentile in HIP, 10<sup>th</sup> in V1C, 14<sup>th</sup> in STR and DFC and 19<sup>th</sup> in MD and AMY),  
11 confirming that EAAT4 is primarily restricted to the cerebellum [63]. The final member of the family,  
12 EAAT5, has only been found in the eye, where it is located on synaptic terminals of retinal rod bipolar  
13 cells as well as rod and cone photoreceptors [38, 69]. Again, the astrocytic EAAT1 subtype is  
14 expressed more strongly in the retina (located on Müller glia) than EAAT5, and there is evidence that  
15 EAAT5 may physiologically act as a chloride channel rather than a glutamate transporter in these  
16 retinal neurons [70, 71].

### 17 2.3. Structure and function of excitatory amino acid transporters – the case for astrocytic EAAT1 and 18 EAAT2

19 Given that the glutamate concentration within cells is over 1,000-fold higher than that in the  
20 extracellular space ( $\approx 10$  mmol/L compared to 4  $\mu$ mol/L), combined with the fact that glutamate is an  
21 anion carrying -1 charge, transporters responsible for sequestering glutamate must overcome both  
22 concentration- and electrochemical gradients. All EAATs support the transport of L-glutamate as  
23 well as D and L-Aspartate, displaying a relatively high affinity for inward L-glutamate transport,  
24 with reported  $K_M$  for glutamate ranging from 10-100  $\mu$ M (see *Table 1*) [38, 39, 72]. This high affinity  
25 allows the transporters to continue to work efficiently to uptake glutamate under the low  
26 concentrations of the seen in the extracellular space. However, the times to complete one transport  
27 cycle of a glutamate molecule for the EAATs are relatively low, from 10 ms for EAAT3, 60-70 ms for  
28 EAAT1 and 2, >100 ms for EAAT4 and >1,000 ms for EAAT5 [40, 41, 43-45]. Although this may seem  
29 a limitation as glutamate is required to be quickly cleared, the transporters (especially EAAT1 and  
30 EAAT2) are some of the most abundantly expressed proteins in the CNS (see *Table 1*), and  
31 furthermore appear to undergo rapid surface diffusion and shuttling, helping to overcome  
32 transporter saturation and slow transport cycle times [51{Carlyle, 2017 #1435}].

33 The mechanism of EAAT transport was debated for some time, but it has now been established  
34 that the EAATs combine the transport of 1 glutamate molecule with the co-transport of 3 Na<sup>+</sup> and 1  
35 H<sup>+</sup>, whilst counter-transporting 1 K<sup>+</sup> [32, 73-75]. This has been supported by more recent studies using  
36 the homologous archaeal glutamate transporters Glt<sub>Ph</sub> and Glt<sub>Tk</sub> whose structures were crystallised  
37 in 2004 and 2013 [76-79]. As a result of this stoichiometry, there is a net +2 charge per molecule of  
38 glutamate transported, facilitating the inward movement of the otherwise negatively charged  
39 glutamate by using the Na<sup>+</sup> and K<sup>+</sup> electrochemical gradients to drive transport into the cell. This  
40 stoichiometry is estimated to allow the internal glutamate concentration to be in the order of 10<sup>6</sup> times  
41 greater than the external concentration under physiological conditions, ensuring the transporters  
42 work to take up rather than extrude glutamate under normal conditions where the concentration  
43 differential is only in the order of 10<sup>3</sup> [1, 19, 20, 22]. The electrogenic nature of EAAT function also  
44 enables their function to be measured by both patch clamp and two-electrode voltage clamp  
45 electrophysiology [80-82]. Although this transporter stoichiometry is believed to be common to all  
46 members of the EAAT family, meaning all EAATs could help facilitate glutamate clearance, there is  
47 a difference between the EAATs. As well as functioning as a transporter, EAATs can also act as  
48 ligand-gated ion channels, with glutamate activation leading to an uncoupled conductance of Cl<sup>-</sup>  
49 through the channel [37, 40, 41, 83]. However, the level of anion conductance varies largely between  
50 the different subtypes [84]. EAAT4 and EAAT5 display the largest ion conductance, with their Cl<sup>-</sup>  
51 conductance being greater than that of their glutamate uptake, EAAT1 and EAAT3 have intermediate

1 ion conductance, while EAAT2 displays very little conductance at all [26, 37, 38, 41]. Recent work has  
2 suggested that EAAT5 uses this anionic conductance to act as an “inhibitory” glutamate receptor in  
3 retinal cells, hyperpolarising these cells’ membrane potentials following glutamate activation [71, 85,  
4 86]. It is likely that both the EAAT4 and EAAT5 subtypes do not physiologically function as  
5 glutamate uptake systems, but instead act as Cl<sup>-</sup> channels.

6 The first support for astrocytic glutamate transporters EAAT1 and EAAT2 being primarily  
7 responsible for glutamate clearance rather than neuronal subtypes came from studies using  
8 autoradiographic localization, which found the bulk of cleared glutamate was seen in glial cells [87,  
9 88]. Electrophysiological recordings later went on to show that this astrocytic glutamate clearance  
10 was mediated by EAAT1 and EAAT2 [89, 90]. Final evidence that it is the astrocytic EAAT1 and  
11 EAAT2 subtypes, and not the neuronal EAAT3, that are responsible for glutamate clearance comes  
12 from knockout studies.

13 An EAAT2 knockout animal was generated in 1997, which developed lethal seizures resulting  
14 in an 80% death rate by 13 weeks of age, compared to 100% survival in controls [91]. It was found  
15 that there was a slower clearance of synaptically released glutamate in knockout animals, with  
16 neuronal degeneration appearing specifically in the hippocampal CA1 region [91]. In 1998 the group  
17 went on to generate an EAAT1 knockout animal [92]. Unlike the EAAT2 knockout, removal of EAAT1  
18 did not appear to be lethal, and brain development appeared normal. The group focused on the  
19 cerebellum, given that is EAAT1’s prominent region of expression, and found that in EAAT1  
20 knockouts glutamate uptake in this region was nearly half that of wild-types. Although finding no  
21 difference in basic motor tasks, they found a significant impairment in the knockouts’ ability to  
22 complete a more challenging rotor-rod experiment. Further, they found that mutant EAAT1 animals,  
23 but not wild-types, were susceptible to cerebellar edema following cold injury [92]. Inevitably, in 2006  
24 the group reported on a double EAAT1/EAAT2 knockout animal. Unlike the single mutants, the  
25 double knockout of EAAT1 and EAAT2 was embryonic lethal, with mice dying by E17-18, and brain-  
26 wide abnormalities in structure observed [93]. These studies highlight the vital importance of these  
27 transporters in the CNS.

28 In 1997 a second group generated a mouse knockout for the neuronal glutamate uptake  
29 transporter, EAAT3 [94]. Contrary to the neurological deficits seen in EAAT1 or EAAT2 knockout  
30 animals, removal of EAAT3 had no negative effect on brain formation or function over a period of  
31 >12 months. There was no impairment in motor skills, nor in memory, nor in susceptibility to induced  
32 seizures [94]. A limitation of all these studies, particularly for the EAAT2 knockout, is that they  
33 utilised global knockout models, and not astrocyte specific. As EAAT2 is reportedly expressed on  
34 neurons, this does not rule out neuronal EAAT2 glutamate uptake as being an important source of  
35 glutamate clearance to prevent excitotoxicity. Addressing this limitation, Rosenberg and colleagues  
36 produced conditional neuronal and conditional astrocytic EAAT2 knockout lines [95]. Whilst  
37 neuronal knockouts showed no difference in growth and lifespan, astrocytic EAAT2 mutants had  
38 lower weight gain and significantly higher mortality rates compared to controls [95]. In proteo-  
39 liposome preparations from forebrains they found glutamate uptake in astrocytic mutants was 25%  
40 of that in controls, whereas there was no difference in glutamate uptake in these preparations  
41 between neuronal knockouts and controls. EEG recordings further showed astrocytic EAAT2  
42 knockouts to have significantly more seizure events than controls, with no difference between the  
43 conditional neuronal knockouts and controls [95].

44 Altogether, the evidence shows that it is EAAT1 and EAAT2 expressed on astrocytes that are  
45 primarily responsible for clearing extracellular glutamate to prevent excitotoxicity. The stoichiometry  
46 of the EAAT transporters provides one explanation for why astrocytes and not neurons are primarily  
47 responsible for glutamate homeostasis: uptake can result in significant depolarisation (up to 2+  
48 charge per molecule). If neurons were required to take up the bulk of released glutamate, this process  
49 in itself would cause significant neuronal depolarisation, potentially leading to a hyper-excitable  
50 feedback loop. Additionally, uptake would result in a significant increase in internal Na<sup>+</sup>  
51 concentration, which is counterproductive to the neuron’s need to remove internal Na<sup>+</sup> following an

1 action potential and could represent a metabolic strain on the neurons and impede their ability to  
2 sustain action potential firing.

### 3 3. Astrocytic EAAT regulation

4 Before the different EAAT isoforms were isolated, it was observed that culturing cerebellar  
5 astrocytes in the presence of cortical neuronal conditioned media increased glutamate uptake [96].  
6 Over a decade later, astrocytic EAAT1 and EAAT2 were first found to be significantly downregulated  
7 in the striatum following glutamatergic denervation, and the following year it was reported that  
8 EAAT1 was upregulated in cortical astrocyte cultures following activation of astrocytic AMPA and  
9 kainate receptors [97, 98]. These reports implicated a role for neurons and neuronal activity in  
10 regulating astrocytic glutamate transporters. Following on from this work, Swanson and colleagues  
11 cultured cortical astrocytes alone or in the presence of cortical neurons, finding that isolated  
12 astrocytes expressed very low levels of EAAT1 and EAAT2, that was robustly induced by neuronal  
13 co-culture [99]. It was further documented by Gegelashvili and colleagues that physical culture of  
14 neurons with cortical astrocytes increased astrocytic EAAT1 expression, as well as inducing EAAT2  
15 expression [100]. Additionally, the group showed that feeding pure cortical astrocytes with neuronal  
16 conditioned media was able to induce EAAT2 expression in astrocytes, although they did not find  
17 EAAT1 to be affected by conditioned media. They concluded from this work that a neuronally  
18 released soluble factor was responsible for the regulation of EAAT2, whereas contact mediated  
19 interactions were predominant in the regulation of EAAT1 [100]. Interestingly, this group had earlier  
20 reported that glutamate was able to increase cortical astrocytic EAAT1 expression, suggesting soluble  
21 factors could play a role in regulating this transporter as well [98]. Work since then has focused on  
22 discovering the signalling molecules and pathways behind this neuronal regulation (see *Table 2* for  
23 overview).

24

Treatment	<i>Slc1a3</i> / EAAT1	<i>Slc1a2</i> / EAAT2	Species
Neuronal coculture	Increased expression	Robust induction of expression	Mouse and rat; <i>in vitro</i>
Neuronal Conditioned Media	No	Yes	Mouse; <i>in vitro</i>
cAMP	Increases expression and function	Robust increases in expression and function	Mouse; <i>in vitro</i>
Glutamate	Downregulated by glutamatergic denervation; Upregulated by AMPA receptor activation	Downregulated by glutamatergic denervation	Mouse and Rat; <i>in situ</i>
Epidermal growth factor / NF-κB	No	Increased expression. Overlap with NCM and cAMP pathway	Mouse, rat and human; <i>in vitro</i>
Pax6	No	Induces expression in pure astrocytes; knockdown represses neuron coculture induction	Mouse; <i>in vitro</i>
Notch (Neuron/endothelial cell to astrocyte contact dependant)	Increases expression; inhibition decreases expression	Increased expression; inhibition decreases expression	Mouse, rat and drosophila; <i>in vitro</i> and <i>in vivo</i>

25

26 **Table 2.** Regulation of astrocytic glutamate transporters. References: Hardingham lab unpublished  
27 data, [97-109]

28 3.1. Regulation of EAAT expression by soluble factors

29 3.1.1. Cyclic AMP signalling



1 One of the first chemicals shown to induce glutamate transporter function in astrocytes was the  
2 cyclic AMP analogue, dibutyryl cyclic AMP (db-cAMP) [110]. Primary astrocytes grown alone  
3 showed little response to glutamate and appeared flat, whilst astrocytes fed with db-cAMP became  
4 more morphologically complex with significantly greater glutamate uptake [98, 110, 111]. As a result,  
5 many researchers began to treat astrocyte cultures with db-cAMP as standard practice, to make them  
6 more reminiscent of their *in vivo* counterparts. This suggests that one potential mechanism for the  
7 neuronal regulation of astrocytic glutamate transporters is through an induction of the astrocytic  
8 cAMP signalling pathway.

9 Further evidence for a role of cAMP signalling in astrocytic EAAT regulation comes from studies  
10 showing that application of the adenylyl cyclase activator forskolin, to stimulate cAMP production, to  
11 both astrocyte cultures and striatal homogenates is able to increase glutamate uptake [102, 112]. The  
12 mechanism behind this cAMP induced upregulation is less clear, with one group finding inhibition  
13 of cAMP's downstream target of protein kinase A (PKA) to be sufficient to block the effects of  
14 forskolin, whilst others found an effect of PKA inhibition in pure astrocyte cultures but no effect on  
15 astrocyte glutamate transporter function when grown in the presence of neurons [102, 112]. The latter  
16 finding suggests that although PKA activation may upregulate EAAT1 and EAAT2 activity in  
17 astrocytes in the absence of neurons, in the presence of neurons this pathway is occluded by other  
18 mechanisms that are responsible for the observed neuronal regulation of astrocytic EAATs. Also  
19 unclear is what neuronally derived factor could be responsible for astrocyte cAMP elevation, since  
20 astrocytes express many adenylyl cyclase-activating Gs-coupled receptors. Indeed, different ones  
21 may play a role in different circumstances.

### 22 3.1.2. Glutamatergic signalling and EAAT trafficking

23 Despite early reporting that astrocytic AMPA and kainate receptor activation may upregulate  
24 EAAT expression, and that denervation decreases EAAT2 expression, there still lacks a consensus as  
25 to whether glutamatergic synaptic activity has a role in astrocytic EAAT expression. Both work from  
26 our lab and others have found no effect of pharmacological blockade of neuronal activity on  
27 astrocytic EAAT expression [101, 102]. Contrary to these findings, it has been reported that in  
28 hippocampal astrocyte-neuron co-cultures pharmacological block of synaptic activity does reduce  
29 protein levels of both EAAT1 and EAAT2 [113]. Furthermore, acute kainate injections to induce  
30 seizure activity in rats were seen to initially cause a significant increase in cortical EAAT2 expression,  
31 peaking after 4 hours, before ultimately decreasing below baseline levels (following neuronal death)  
32 [114].

33 Although glutamatergic signalling's role in regulating EAAT expression is not yet clear, it has  
34 been observed that glutamate treatment can increase functional astrocytic glutamate clearance, and  
35 that this increase in function is mediated directly by the activation of the astrocytic glutamate  
36 transporters, rather than glutamate receptors [115]. The mechanism for this glutamate mediated  
37 increase in EAAT function was found to be due to an induction of EAAT1 surface expression in  
38 mouse astrocytic cultures, with no change in total transporter protein expression [115]. More recently,  
39 it has been reported by two groups using quantum dot tracking that glutamate treatment increases  
40 astrocytic glutamate clearance via robustly increasing the surface diffusion of EAAT2 in rodent  
41 astrocytes from primary culture, organotypic hippocampal slice and acute slice preparations [51, 52].  
42 This increase in diffusion, especially in the synaptic region, leads to a faster turnover of unoccupied  
43 transporters thereby enabling more efficient clearance of the perisynaptic glutamate. Indeed,  
44 immobilising the diffusion of EAAT2 was found to alter synaptic kinetics, increasing both the rise  
45 and decay time of spontaneous excitatory postsynaptic currents, although total glutamate clearance  
46 was unaltered [51]. As well as surface diffusion, EAATs also undergo surface trafficking, with  
47 glutamate triggering Ca<sup>2+</sup>-dependent internalization of EAAT2 through endocytosis [116, 117]. On the  
48 otherhand, surface expression of EAAT1 has been shown to be increased by glutamate application,  
49 as well as insulin-like growth factor through phosphatidylinositol-3-kinase signalling, whereas the  
50 ubiquitin ligase neuronal developmentally down regulated gene 4, isoform 2 (Nedd4-2) has been  
51 shown to decrease EAAT1 surface expression [115, 118, 119]. These dynamic mechanisms for

1 regulating EAAT surface expression are likely to have a significant impact on the functional  
 2 glutamate clearance speed and capacity of astrocytes, especially given the EAATs rather slow  
 3 transport cycle times.

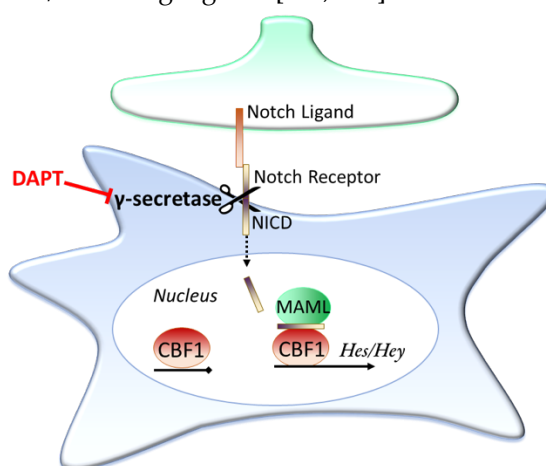
### 4 3.1.3. Other secreted signals

5 The EAAT2 transporter is able to be regulated by neuronal secreted factors, and much of the  
 6 work investigating EAAT regulation has been focused on EAAT2 in particular [100]. Epidermal  
 7 growth factor application has been shown to upregulate EAAT2 expression through activation of NF-  
 8  $\kappa$ B signalling, with neuron-dependent induction of astrocytic NF- $\kappa$ B having been shown to  
 9 upregulate astrocytic EAAT2 expression [103-105]. Additionally, enhanced expression of Pax6, a well  
 10 characterized transcription factor in astrocytes and the CNS [120, 121], in pure astrocyte cultures has  
 11 been recently shown to induce EAAT2 expression, while knockdown of Pax6 in astrocytes grown  
 12 with neurons was seen to strongly repress neuron-induced EAAT2 expression [106]. However, the  
 13 authors do not speculate upon the neuronally released factor(s) that may modulate EAAT2  
 14 expression through astrocytic Pax6.

### 15 3.2. Contact dependent regulation: Notch signalling

16 In contrast to neuronally released factors, relatively little work had investigated the role of  
 17 contact-dependent signalling pathways in neuronal control of astrocytic EAAT1 and/or EAAT2  
 18 expression. It has been reasonably well established that unlike EAAT2, neuronal upregulation of  
 19 EAAT1 expression is via a contact-dependent mechanism and not through a soluble factor, [122] but  
 20 the mechanism remained unclear. Furthermore, it had not been established if contact-dependent  
 21 signalling also has a role in EAAT2 regulation.

22 Notch is an important contact dependent signalling pathway present in astrocytes; in fact, it is  
 23 the interaction of Notch ligands expressed on neuronally committed precursor cells with  
 24 uncommitted precursors that first initiates the precursors' development into astrocyte lineage cells  
 25 [123]. An overview of the Notch signalling pathway is shown in *Figure 2*. Briefly, when Notch ligands  
 26 (for example Delta and Jagged1 & 2) contact the receptors (Notch1-4) the receptors undergo cleavage  
 27 by the enzyme  $\gamma$ -secretase, releasing the Notch intracellular domain (NICD) of the receptor. The  
 28 NICD then translocates into the cell nucleus, where it associates with the Notch effector (CBF1) and  
 29 Mastermind-like (MAML) to activate transcription, with the *Hes* and *Hey* family of genes being well-  
 30 established examples of NICD/CBF1 target genes [124, 125].



31  
 32 **Figure 2.** The Notch signalling pathway. Notch is a contact dependent signalling pathway. When a  
 33 Notch ligand contacts a Notch receptor this initiates a cleavage event through the enzyme  $\gamma$ -secretase,  
 34 releasing the Notch intracellular domain (NICD). The NICD then translocates into the cell nucleus,  
 35 where it pulls down various proteins, such as MAML, and associates with the Notch effector, CBF1.  
 36 This association turns on transcription, with the *Hes* and *Hey* family of genes prominent examples of

1 genes transcribed by this cascade. The  $\gamma$ -secretase inhibitor DAPT is able to prevent activation of the  
2 Notch signalling pathway as the NICD is unable to be cleaved.

3 In drosophila only the EAAT1 subtype of high affinity glutamate transporters are found, where  
4 it is located on glia cells. Using this model system it was observed that Notch signalling mediated by  
5 neuronally expressed Delta ligands induced the expression of EAAT1 in glia cells [107]. If this is a  
6 conserved process, these results could suggest a role for Notch signalling not only in allowing  
7 astrocyte cell type differentiation, but also in inducing astrocytic EAAT expression.

8 Strengthening the case for Notch, our lab recently demonstrated using a mixed-species culture  
9 model that neuron-to-astrocyte Notch signalling was a major regulator of astrocytic *Slc1a2* (EAAT2)  
10 and *Slc1a3* (EAAT1) expression, functionally boosting glutamate transporter activity in mouse  
11 astrocytes [101]. We also found, by expressing a constitutively active form of CBF1, that driving  
12 canonical notch signaling is sufficient to induce glutamate uptake capacity in astrocytes [101].

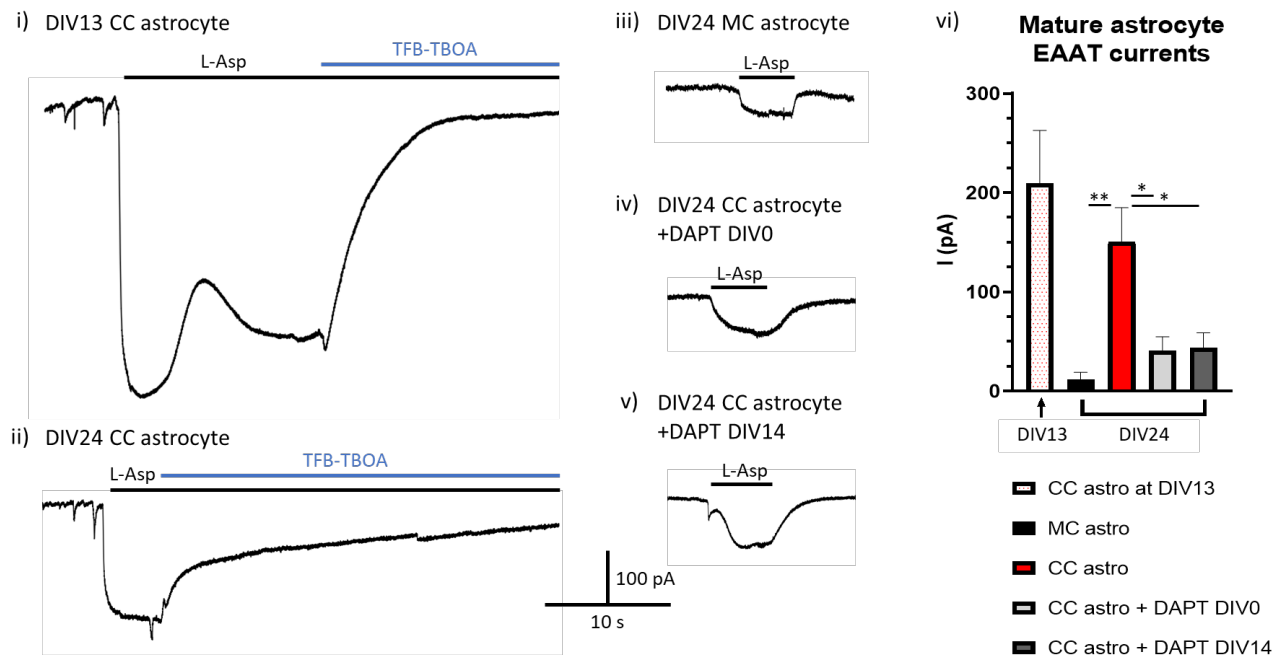
13 Around the same time, another group found that endothelial cells were likewise able to induce  
14 EAAT1 and EAAT2 expression in mouse astrocytes through contact dependent Notch signalling  
15 [108]. The group has now gone on to show that the endothelial Notch ligands responsible for inducing  
16 the astrocytic Notch signalling pathway, and downstream increases in astrocytic *Slc1a2* and *Slc1a3*  
17 expression, are the two Delta-like Notch ligands, Dll1 and Dll4 [109].

18 Interestingly, a link between cAMP signalling and Notch in astrocytes has been reported [126].  
19 Application of db-cAMP was observed to increase the amount of NICD that translocated into the cell  
20 nucleus, and that either application of the  $\gamma$ -secretase inhibitor DAPT to block NICD cleavage, or  
21 application of the PKA inhibitor H89 to prevent cAMP mediated PKA signalling, was sufficient to  
22 prevent this cAMP induced increase [126]. They confirmed that db-cAMP was able to induce Notch  
23 transcription, first by showing increased CBF1 activity via a luciferase assay. They then demonstrated  
24 that db-cAMP treatment increased both *Hes5* gene and *Hes5* protein expression, which was also  
25 prevented by inhibition of either Notch (via DAPT application) or PKA (via H89) signalling [126].  
26 This suggests the possibility that neuron contact-dependent and -independent signaling may  
27 converge on the notch pathway, with neuron secreted factors that boost cAMP signaling potentiating  
28 notch signaling.

### 29 3..2.1. Is ongoing Notch signalling required to maintain glutamate uptake capacity?

30 Having found that Notch signalling was required to functionally increase the activity of the  
31 astrocytic glutamate transporters, a key conceptual question remained for us: is this signalling  
32 required to maintain expression throughout development, or is EAAT expression set after their initial  
33 induction? If constant Notch signalling is required to maintain EAAT activity, then faulty neuronal  
34 Notch signalling could be a cause of impaired astrocytic glutamate clearance and increased  
35 excitotoxicity.

36 To investigate this possibility, we set up a mature coculture paradigm, growing established  
37 mouse astrocytes in the absence (monoculture, MC) or presence (coculture, CC) of rat neurons for 24  
38 days (to neuron DIV24). The  $\gamma$ -secretase inhibitor DAPT was then applied to some cocultured cells  
39 from the point of neuronal plate down (DIV0) or else after two weeks of astrocyte-neuron coculture  
40 (DIV14) to block Notch signalling either from the outset or after the establishment of functional  
41 astrocytic glutamate transporters had occurred. Astrocyte glutamate transporter function was  
42 assessed by electrophysiological recording of astrocytic EAAT currents both on the 13<sup>th</sup> day of  
43 coculture with neurons in untreated astrocytes to confirm EAAT functional establishment, and then  
44 again on DIV24 across all conditions.



**Figure 3.** Notch signalling is needed to maintain astrocytic EAAT function. Example traces of EAAT mediated currents in response to 200 μM L-Asp in i) DIV13 neuronal cocultured (CC) astrocyte, ii) DIV24 CC astrocyte, iii) DIV24 monocultured (MC) astrocyte, iv) DIV24 CC astrocyte +DAPT from DIV0, and v) DIV24 astrocyte +DAPT from DIV14. Cells were voltage-clamped at -80 mV and all recordings were done in the presence of 100 μM AP-5. vi) At DIV24 there was a significantly larger EAAT mediated response in control CC astrocytes compared to MC astrocytes ( $p = 0.009$ , LME ANOVA,  $df = 29$ ), as well as CC astrocytes treated with DAPT from either DIV0 or DIV14 ( $p = 0.028$  &  $0.027$ , for DIV0 and DIV14 respectively, LME ANOVA,  $df = 29$ ). There was no difference in EAAT response in CC astrocytes treated with DAPT from DIV0 or from DIV14 after currents had been established. Recordings were taken from cells across at least 3 independent culture batches.

We found that after two weeks exposure to neurons (DIV13) there was robust induction of astrocytic glutamate transport activity in astrocytes (Fig. 3 i). As expected, by DIV24 there was significantly greater EAAT activity in astrocytes cultured in the presence of neurons compared to astrocytes cultured without neurons (Fig 3 ii & iii). The transport activity of cocultured astrocytes that had Notch inhibited from the outset was (as expected) lower than untreated cocultured cells, although some residual transport activity was seen (Fig. 3 iv). Most significantly, the EAAT transport activity in cocultured astrocytes that had Notch signalling inhibited on DIV14 of coculture (i.e. after the induction of EAAT function) was indistinguishable from those cells who had Notch signalling inhibited from the outset (Fig. 3 v & vi, Hardingham lab unpublished data). These data support a model whereby continuous neuron-to-astrocyte Notch signalling is required in order to maintain astrocytic glutamate transporter activity. This is consistent with the observation that in astrocytes isolated ex vivo both EAAT1/2 expression and notch target genes decline in culture compared to their levels immediately post-isolation [101, 127].

### 3.3. Epigenetic regulation of EAATs

There is a growing interest into the role of epigenetic regulation of glutamate transporter expression in astrocytes, in particular the regulation of EAAT2. Astrocytes express both a number of epigenetic “writers” – enzymes capable of DNA and histone protein modification usually through the processes of methylation or acetylation, and “erasers” – enzymes capable of removing the epigenetic marks i.e. demethylation and deacetylation, allowing for the epigenetic control of astrocytic gene transcription [128-133]. With respect to the glutamate transporters, it has been shown that overexpression of the epigenetic “erasers” histone deacetylase (HDAC) 1, 3, 6 and 7 all reduce

1 EAAT2 promotor activity, which can be reversed by treatment with HDAC inhibitors [134]. There  
 2 are suggestions that altered epigenetic regulation of EAAT expression may be a factor in various  
 3 diseases. For example it has been observed that in tissue samples from malignant glioma tumors there  
 4 is a near total absence of astrocytic EAAT2 expression, which was explained by the pronounced  
 5 hypermethylation of the EAAT2 promotor, resulting in the abolishment of EAAT2 transcription [135,  
 6 136]. Treatments to inhibit both DNA methyltransferase (an epigenetic “writer” that causes  
 7 epigenetic transcriptional repression) and HDAC (which likewise leads to transcriptional repression)  
 8 were successfully able to increase astrocytic EAAT2 expression in these glioma cell lines [135]. These  
 9 findings raise the possibility of targeting epigenetic mechanisms as a way of controlling astrocytic  
 10 EAAT expression in the context of disease [137].

#### 11 4. Astrocytic EAAT in ageing and neurodegenerative disease

12 As noted above, disruption to glutamate homeostasis has the capacity to disturb synaptic  
 13 transmission, and by extension, synaptic connectivity and synaptic plasticity of both the classical and  
 14 homeostatic type. [138-141], although direct evidence so far extends to Hebbian, spike timing-  
 15 dependent plasticity [142]. Given the importance of plasticity in shaping circuits in development, one  
 16 can envisage that even mild disruption to astrocyte-mediated glutamate homeostasis may contribute  
 17 to deficits in early life brain disorders. Indeed, EAAT2 variants have been associated with cerebral  
 18 palsy in pre-term infants [143], and with grey matter deficits and working memory in schizophrenia,  
 19 along with altered EAAT1 and EAAT2 mRNA expression seen in some CNS regions of schizophrenic  
 20 patients [144-146]. Alterations in astrocytic EAAT expression have further been associated with  
 21 attention deficit hyperactivity disorder [147, 148], autism [147, 149] and depressive illnesses [150-152].  
 22 Additionally, given the protective effects of transient, phasic synaptic NMDAR activation, [153, 154],  
 23 perturbations which interfere with this are likely to be deleterious. Moreover, aberrant glutamate  
 24 homeostasis leads to toxic extrasynaptic NMDAR activation, implicated in the pathophysiology of a  
 25 number of disorders [155], including stroke [156], epilepsy [157, 158] Huntington’s disease [159, 160],  
 26 and Alzheimer’s disease [161-164]. Though pharmacological inhibition [165], altering  
 27 synaptic/extrasynaptic signaling balance [166, 167], or uncoupling extrasynaptic NMDARs from  
 28 downstream cascades [168, 169] can mitigate these effects, it is important to understand the situations  
 29 that lead to glutamate dyshomeostasis in the first place. A number of accounts have linked astrocytic  
 30 glutamate transporter dysfunction both to epilepsy and the neurodegenerative diseases, such as  
 31 amyotrophic lateral sclerosis (ALS), multiple sclerosis, Alzheimer’s disease and Parkinson’s disease  
 32 (see [170] for a recent review of EAATs in diseases of the CNS). Interestingly, there have been recent  
 33 suggestions that decreases in astrocytic EAAT expression may also be a natural feature of ageing in  
 34 humans.

35

Disease	Transporter	Observed association	Refs
Alzheimer’s Disease (AD)	EAAT1,	EAAT1/2 function and expression reduced by amyloid $\beta$ ; Aberrant EAAT1 expression in AD patient neurons;	[171-179]
	EAAT2	Reduced function and expression of EAAT1 and 2 in hippocampal and cortical AD tissue	
Amyotrophic lateral sclerosis (ALS) / motor neuron disease	EAAT2	Impaired Glu uptake in patients with sporadic ALS; Reduced EAAT2 protein in tissue from motor regions;	[101, 180- 187]
		One reported case of a patient with a mutation in <i>SLC1A2</i> causing reduced EAAT2 activity; Familial ALS with <i>SOD1</i> mutations expected to reduce functional EAAT2 protein; Deletion of <i>slc1a2</i> in mice spinal cord leads to motor neuron degeneration;	

		Reduction in <i>slc1a2</i> in P301S tauopathy mouse model	
<b>Epilepsy / temporal lobe epilepsy (TLE)</b>	EAAT1, EAAT2	Reduced EAAT2 in TLE patients with hippocampal sclerosis; Reduced EAAT1 & 2 in treatment resistant TLE patients; Mouse EAAT2 KO -> lethal epilepsy	[91, 188-190]
<b>Multiple sclerosis (MS)</b>	EAAT1, EAAT2	Increased EAAT1 and EAAT2 mRNA and protein in MS optic nerve, with increased glutamate uptake; Loss of EAAT1 and EAAT2 in areas surrounding cortical lesions of MS patients; In rat EAE model cortex, increased EAAT2 mRNA and protein, increased EAAT1 mRNA but <i>decreased</i> protein. In rat EAE model cerebellum, increased EAAT1 and EAAT2 mRNA, but decreased EAAT1 and EAAT2 protein.	[191-193]
<b>Synucleinopathies (including Parkinson's disease -PD)</b>	EAAT1, EAAT2	Increased EAAT1 and EAAT2 expression following injection of $\alpha$ -synuclein oligomers in mouse striatum; Decreased EAAT1 and EAAT2 in rat striatum following dopaminergic denervation via MPTP treatment or 6-ODHA induced lesion rat models; Reduced glutamate uptake in platelets from PD patients; PD-related mutation <i>DJ-1</i> mouse model showed reduced EAAT2 function	[194-198]
<b>Huntington's disease (HD)</b>	EAAT2	Decrease in EAAT2 mRNA expression in neostriatum of HD patients, decrease corresponding to disease severity; Decrease in EAAT2 mRNA and protein in mice expressing mutant huntingtin;	[199, 200]
<b>Schizophrenia (SCZ)</b>	EAAT1, EAAT2	Increased mRNA expression of EAAT1 in Brodmann's area (BA)9; Increased mRNA expression of EAAT1 and EAAT2 in BA10; Increased EAAT1 mRNA and decreased protein in post mortem SCZ CNS tissue; Clozapine (used to treat SCZ) decreased EAAT2 expression;	[145, 146, 201, 202]
<b>Major depressive disorder (MDD)</b>	EAAT1, EAAT2	Reduced mRNA expression of EAAT1 and EAAT2 in anterior cingulate, dorsolateral prefrontal cortex, locus coeruleus and hippocampus of human MDD patients; Decreased protein in orbitofrontal cortex of MDD patients;	[150-152, 203]
<b>Autism</b>	EAAT1, EAAT2	EAAT1 mRNA expression upregulated; Decreased functional EAAT2 in conditional Fmr1 KO mouse astrocytes (mouse model of fragile-X)	[149, 204]
<b>Attention deficit hyperactivity disorder (ADHD)</b>	EAAT1	Duplication of <i>SLC1A3</i> gene observed in clinical case of ADHD; <i>SLC1A3</i> rs1049522 allele significantly associated with ADHD; Increased EAAT1 mRNA expression in cerebellar cortex	[147, 148]
<b>Chronic pain</b>	EAAT2	Decreased EAAT2 mRNA in rostral ventromedial medulla and spinal cord in rodent chronic pain models; Administration of EAAT2 antagonist alleviates hyperalgesia in rats;	[205-209]

Analgesic effects of valproic acid suggested to be due to increasing EAAT1 expression.

<b>Episodic ataxia type 6</b>	EAAT1	Caused by mutations in <i>SLC1A3</i> altering properties of EAAT1	[210]
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**Table 3.** CNS diseases and disorders associated with astrocytic glutamate transporter dysfunction

#### 4.1. Epilepsy and EAATs

The first demonstration that increased glutamate concentrations are a feature of epilepsy came from the results of an *in vivo* microdialysis investigation into the concentrations of GABA and glutamate in the hippocampi of epilepsy patients from 1989 to 1992 [211]. The investigators found that an increase in glutamate concentration appeared in the epileptogenic hippocampus approximately 1.5 minutes prior to seizure onset, but not in the contralateral hippocampus. At the onset of seizure glutamate levels became further elevated, with concentrations in glutamate also beginning to increase in the opposing hippocampus. Ten minutes post seizure the non-epileptic hippocampus glutamate concentrations had returned to baseline, whereas the epileptic side had persistently elevated glutamate levels >15 minutes post seizure [211]. The patients went on to receive surgical resection of the epileptic hippocampus, with microscopy of the removed tissue revealing moderate to severe pyramidal neuron loss throughout the hippocampal tissue along with reactive gliosis [211].

Given the deleterious effects of seizure-induced glutamate elevation in epilepsy, later work investigated the potential role of the glutamate transporters. A reduction in astrocytic EAAT2 expression was found in patients with temporal lobe epilepsy (TLE) that went on to develop hippocampal sclerosis, but no change was found in EAAT2 expression in patients without neuronal loss [188, 189]. More recently it was reported that there was a decrease in both EAAT1 and EAAT2 in epileptic hippocampi of patients with intractable treatment-resistant TLE [190]. It is not known if reduced astrocytic glutamate transporter function initiates some epileptic disorders or exacerbates it, or even if it is simply an outcome of prolonged disease in humans. However, animal models have shown that removal of functional astrocytic EAAT2, and not neuronal EAAT3, is sufficient to cause lethal epilepsy, demonstrating the possible contribution of astrocytic glutamate transporter dysfunction or hypo-expression in the development of certain epileptic disorders [91, 212].

#### 4.2. Neurodegenerative diseases and EAATs

An increasing amount of research has gone into investigating the role of astrocytes and their glutamate clearance in different neurodegenerative diseases, with some links to disease emerging. One example is in amyotrophic lateral sclerosis (ALS), a motor neuron disease characterised by progressive loss of motor neurons in the motor cortex, somatosensory cortex and spinal cord, with around 90% of cases occurring sporadically and 10% with familial linkage. From 1992 it was discovered that impaired glutamate uptake in motor regions was a feature of tissue samples from patients with sporadic ALS, and in 1995 that there was a pronounced reduction specifically in EAAT2 protein levels in these tissue samples [180, 181]. Additionally, one patient with sporadic ALS was found to have a mutation in the *SLC1A2* gene that resulted in an EAAT2 protein with reduced glutamate transporter activity, suggesting EAAT2 dysfunction may cause some cases of disease [182]. Familial forms of ALS on the other hand were found to be associated with mutations in the superoxide dismutase gene (*SOD1*), with *SOD1* mutant protein being shown to reduce functional EAAT2 protein levels in animal models by initiating the cleavage of EAAT2 by Caspase-3 [183-186]. Specific deletion of *Slc1a2* in the spinal cord of mice was recently shown to be sufficient to lead to motor neuron degeneration by the fifth month of the mice's lives [187]. Also of note, motor neurons carrying the *C9ORF72* expansion are also vulnerable to glutamate excitotoxicity [213], underlying the importance of glutamate homeostasis in this disorder. Finally, in work with our collaborators we have found that in the P301S tauopathy model mouse [214] which results in motor neuron loss of the

1 spinal cord, there is an approximate 35% decrease in *Slc1a2* expression in disease mice [101]. It is  
2 unclear if it is reduced EAAT function that leads to initial motor neuron death, or if it is the death of  
3 neurons that leads to the decrease in functional EAAT (perhaps due to reduced contact-dependent  
4 Notch signalling), although a combination of both may be responsible.

5 Glutamatergic excitotoxicity has been suggested to play a role in PD and other  
6 synucleinopathies, with elevated glutamate levels hypothesized as a potential trigger for  
7 dopaminergic cell death. Most studies into EAAT dysfunction in PD have focused on animal models,  
8 however one human study found that there was reduced glutamate uptake in platelets derived from  
9 PD patients [197]. Many rodent models of PD have shown decreases in both EAAT1 and EAAT2  
10 function and expression, including in the PD mutation *TJ-1* mouse model, MPTP injection and 6-  
11 ODHA lesion models [195, 196, 198]. However, some animal models have seemingly found the  
12 opposite, including one recent study which found that application of pathological  $\alpha$ -synuclein  
13 oligomers to mice *in vivo* caused an increase in EAAT1 and EAAT2 expression that persisted into the  
14 timepoint when Parkinsonian phenotypes occurred [194]. No functional data for glutamate uptake  
15 in this *in vivo* setting was provided, and it would be interesting for future studies to investigate  
16 glutamate transporter function in this scenario, as the increase in expression may not necessarily be  
17 accompanied by an increase in functional uptake. One other possibility for these incongruous results  
18 is that astrocytes initially upregulate EAATs in response to  $\alpha$ -synuclein oligomers (or their effect on  
19 neuronal activity) to try and reduce the excitotoxic effects, but over time with disease this  
20 upregulation is not sufficient, leading to neuronal loss and eventual reductions in EAAT expression.

21 As with motor neuron disease, there is interest in the role that glutamate dysregulation may play  
22 in the progression of neurodegeneration in Alzheimer's disease (AD) and other dementias. From the  
23 1990s it was observed that amyloid  $\beta$ , the main protein found in AD-associated plaques, reduced the  
24 function and expression of EAAT1 and EAAT2 in rat hippocampal and cortical astrocytes [171-173].  
25 Studies of human tissue samples have found the aberrant expression of both the normally astrocyte-  
26 specific EAAT1 transporter and the enzyme glutamine synthetase in subsets of cortical pyramidal  
27 neurons of AD patients, suggesting a marked dysfunction in astrocyte glutamate metabolism [174,  
28 175]. Reduced expression of both EAAT1 and EAAT2 have further been observed in the hippocampi  
29 of patients with AD, alongside a significant decrease in glutamate transporter function in human AD  
30 cortices [176, 177]. Altogether, accumulated evidence suggests that impaired astrocytic glutamate  
31 recycling in the hippocampus and cortex is a feature of dementias and may play a role in the  
32 pathological progression of these disorders.

#### 33 4.3. Ageing and EAATs

34 It appears that there may be a natural decline in the expression of astrocytic EAAT transporters  
35 with age. From a dataset produced by Barres and colleagues in 2016, where they reported the gene  
36 expression in astrocytes purified from healthy human CNS tissue (subjects ranging from 8 to 63 years  
37 old), the mean expression of the astrocytic glutamate transporters *SLC1A2* and *SLC1A3* was  
38 approximately 35% lower in the 6 samples from subjects >40 years old compared to the 6 samples  
39 from subjects <40 years old (see *Table 4*; Zhang et al., 2016). A decrease in astrocytic (EAAT1 and  
40 EAAT2), but not neuronal (EAAT3) protein has further been observed as a feature in aged rats  
41 compared to younger animals, along with a decrease in *Slc1a2* expression seen in aged animals [215-  
42 217]. Thus, reduction in glutamate uptake capacity in ageing may contribute to it being by far the  
43 most important risk factor in neurodegenerative diseases.

#### 44 5. Notch signalling in ageing and disease

45 If impaired glutamate clearance is a feature of age and disease, and Notch signalling is required  
46 to maintain astrocytic EAAT function, then could impaired Notch signalling also be a feature of  
47 disease and ageing? A key enzyme in the Notch signalling pathway is the  $\gamma$ -secretase enzyme  
48 complex, which is responsible for the cleavage of the Notch intracellular domain, a required step for  
49 the induction of the Notch transcriptional pathway. The  $\gamma$ -secretase enzyme complex is itself  
50 comprised of four membrane proteins: presenilin (*PSEN1* and *PSEN2*), nicastrin (*NCSTN*), anterior



pharynx-defective 1 (*APH1A* and *APH1B*) and presenilin enhancer 2 (*PSENEN*) [218]. Impairments in  $\gamma$ -secretase function have long been associated with Alzheimer's disease. As well as  $\gamma$ -secretase's role in cleaving the NICD, it is also involved in cleavage of the amyloid precursor protein (APP); increased cleavage of APP into toxic A $\beta$  fragments leads to the production of amyloid plaques, a characteristic feature of AD [219]. As it turns out, mutations that cause familial early onset AD have predominantly been found to be in the presenilin component of the  $\gamma$ -secretase complex, *PSEN1* and *PSEN2* [220, 221]. These mutations alter the activity of  $\gamma$ -secretase and cause an increase in production of toxic A $\beta$  fragments.

Gene	Mean human expression in <40 y.o. (FPKM)	Mean human expression in >40 y.o. (FPKM)	Relative expression with older age
<b>Notch genes</b>			
<i>HES1</i>	9.31	6.57	0.71
<i>HES6</i>	2.91	1.28	0.44
<i>HES5</i>	3.47	0.92	0.26
<i>HEY2</i>	1.40	1.57	1.12
<i>HEY1</i>	10.43	9.76	0.94
<i>BCL2</i>	5.41	4.75	0.88
<b>Total FPKM</b>	<b>32.93</b>	<b>24.84</b>	<b>0.75</b>
<b>Notch receptors</b>			
<i>NOTCH2</i>	22.36	14.39	0.64
<i>NOTCH1</i>	0.84	0.41	0.49
<i>NOTCH3</i>	0.53	0.20	0.38
<i>NOTCH4</i>	0.11	0.13	1.22
<b>Total FPKM</b>	<b>23.83</b>	<b>15.14</b>	<b>0.64</b>
<b><math>\gamma</math>-secretase genes</b>			
<i>PSEN1</i>	7.87	6.99	0.89
<i>PSEN2</i>	1.23	0.67	0.55
<i>NCSTN</i>	24.59	11.58	0.47
<i>APH1A</i>	1.63	1.27	0.78
<i>APH1B</i>	5.07	4.72	0.93
<i>PSENEN</i>	0.26	0.65	2.51
<b>Total FPKM</b>	<b>40.64</b>	<b>25.89</b>	<b>0.64</b>
<b>Notch effectors/activators</b>			
<i>MAML1</i>	1.83	0.90	0.49
<i>MED8</i>	4.42	2.77	0.63
<i>RBPJ</i>	6.52	7.22	1.11
<i>FURIN</i>	0.46	0.17	0.38
<b>Total FPKM</b>	<b>13.23</b>	<b>11.07</b>	<b>0.84</b>
<b>Sum Notch related genes (FPKM)</b>	<b>110.64</b>	<b>76.93</b>	<b>0.70</b>
<b>Glutamate transporters</b>			
<i>SLC1A2</i>	2454.47	1521.31	0.62
<i>SLC1A3</i>	1146.57	797.77	0.70
<b>Total EAAT (FPKM)</b>	<b>3601.05</b>	<b>2319.07</b>	<b>0.64</b>

**Table 4.** Notch pathway and astrocytic EAAT expression in human astrocytes with age There is a reduction in gene expression for both astrocytic EAAT transporters and Notch pathway associated genes with age in astrocytes isolated from human tissue. Data from Zhang et al., 2016, supplementary Table S6.

An early human AD drug trial was run on the broad spectrum  $\gamma$ -secretase inhibitor semagacestat, with the belief that preventing toxic A $\beta$  and amyloid production would improve disease outcome. However, the opposite occurred: inhibiting  $\gamma$ -secretase *worsened* AD progression, with this worsening eventually attributed to the incidental inhibition of Notch signalling [222, 223]. In the light of recent findings it is a possibility that one of the consequences of Notch inhibition for the CNS was reduced glutamate uptake capacity. Of note, presenilin mutations that give rise to early onset AD and increased pathogenic A $\beta$  production are concurrently found to have a significantly reduced ability to cleave the NICD, suggesting that although mutant presenilin might enhance

1 production of toxic A $\beta$  it also results in loss of Notch activation [224-227]. Again, this raised the  
2 possibility that reduced EAAT1/2 expression may be a feature of these early onset AD variants.

3 Finally, the same human dataset that saw a reduction in EAAT expression with age likewise  
4 found a significant reduction in Notch pathway genes (including *HES5*  $\gamma$ -secretase components) in  
5 aged human samples, as shown in *Table 4* [129]. This raises the possibility that natural reductions in  
6 Notch signalling with age in humans could engender vulnerability towards reduced EAAT  
7 expression, increased excitotoxicity and ultimately neurodegeneration.

8

9

## 10 6. Concluding remarks

11 There is emerging evidence that there are reductions in both astrocytic Notch signalling and  
12 astrocytic glutamate transporter expression with age in humans, and that this may be further  
13 exacerbated in neurodegenerative disease ([129, 176]. As it appears that Notch signalling is required  
14 in order to maintain EAAT expression levels in astrocytes (albeit in a rodent model), it is unsurprising  
15 that the reductions in EAAT expression in ageing appear alongside reductions in Notch activity. It is  
16 also intuitive that there should be further reductions in both Notch signalling and EAAT expression  
17 in astrocytes in neurodegenerative disease, as loss of neurons will lead to loss of contact with  
18 astrocytes, and therefore loss of Notch signalling [101]. It is less clear whether this is a downstream  
19 symptom of disease, or whether disease can be the outcome of reduced Notch or EAAT function in  
20 astrocytes to begin with. Given mutations that alter the function of  $\gamma$ -secretase in such a way that  
21 reduces Notch signalling lead to familial AD it is certainly plausible that disease may in part be due  
22 to reduced EAAT function leading to excitotoxic synapse loss and neurodegeneration, particularly in  
23 patients where increased excitability and glutamate dysregulation are observed [237]. The failed  
24 results of the semagacestat drug trial, that worsened disease progression, certainly hint that blocking  
25 Notch signalling and perhaps reducing EAAT function exacerbate neurodegenerative disease [222,  
26 238].

27 An involvement of Notch signalling and astrocytic EAAT function would also provide a  
28 pleasing explanation of sporadic cases of AD in humans, and why dementia is not a feature of ageing  
29 in non-transgenic mice: as reductions in Notch signalling and EAAT are natural features of *human*  
30 (and not so far observed in rodent) ageing, this would mean the aged human brain is particularly  
31 vulnerable to the development of dementia, the time when sporadic dementias typically occur. An  
32 external factor, such as vascular deficits, reducing bioenergetic status of the brain and thus reduced  
33 capacity for energy-expensive glutamate uptake, may exacerbate progression in sporadic  
34 neurodegenerative disease where EAATs are under-expressed. A further possibility for the sporadic  
35 occurrence of AD and inter-patient variability in EAAT expression could be explained by differences  
36 in epigenetic repression of EAAT transcription between individuals. Whether astrocytic Notch or the  
37 modulation of EAAT expression could be manipulated in a specific enough way to avoid the myriad  
38 roles of Notch (or epigenetic regulation) plays elsewhere in the body may however be a major  
39 translational hurdle.

40 Several questions remain to be investigated. Firstly, although we have seen that Notch signalling  
41 from neurons to astrocytes is required to maintain EAAT function in a rodent cell model, it needs to  
42 be ascertained whether this is the case for human cells. Secondly, investigations into the potential  
43 involvement of impaired Notch signalling (and potentially by extension reduced glutamate clearance  
44 capacity) have effectively stalled following on from the findings that inhibiting the Notch effector in  
45 *neurons* did not lead to disease in mice [230, 231]. Future studies are needed to determine whether  
46 impairing Notch in *astrocytes* contributes to disease, and what the downstream transcriptional results  
47 are, for example reduced *Slc1a2* and *Slc1a3* expression. Finally, although we agree that research into  
48 targeting  $\gamma$ -secretases as a treatment for AD should not be abandoned, perhaps chronic partial  $\gamma$ -  
49 secretase inhibition is also not the way forward for most cases of AD [222]. More promising are  
50 treatments that specifically inhibit  $\gamma$ -secretase's cleavage of APP without altering  $\gamma$ -secretases ability

1 to cleave the NICD, such as imatinib, although such treatments are still not answering the question  
2 of Notch and EAAT involvement in disease [239]. A novel way forward could be to instead  
3 investigate the effect of increasing astrocytic  $\gamma$ -secretase activity, or in the case of models based on  $\gamma$ -  
4 secretase mutations, specifically boosting Notch signalling in these cells, via direct or indirect  
5 mechanisms [240]. The ability to target drugs to specific cell types is still in its infancy (though see  
6 [241]), but once a cell type and target have been validated, greater attention and investment  
7 invariably results.

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14

## 15 **7. Materials and Methods (for Figure 3)**

### 16 *Tissue cultures and stimulations*

17 Astrocytes and neurons were cultured from E17.5 CD1 mouse and E20.5 Sprague Dawley rat  
18 embryos as previously described [242, 243]. Cortices or spinal cords were dissected, enzymatically  
19 digested with papain and mechanically dissociated using a 5 ml pipette. Mouse cortical and spinal  
20 cord astrocytes were obtained by growing cells at low density in DMEM containing 10% fetal bovine  
21 serum and were passaged twice, using Trypsin (both Life Technologies), and plated onto coverslips  
22 in a 24-well plate. These astrocytes are >99% GFAP positive and <0.1% NeuN positive<sup>12</sup>. For mixed-  
23 species co-cultures, rat neurons were plated on top of a confluent layer of DIV14 mouse astrocytes  
24 and both astrocyte monocultures and astrocyte–neuron co-cultures were subsequently kept in  
25 Neurobasal-A medium containing B27 (both Life Technologies), but devoid of serum. Cultures were  
26 maintained up to DIV24, with regular feeding via 50% media exchanges conducted every 3 days.  
27 During this maintenance the cultured cells were either left untreated, treated with the  $\gamma$ -secretase  
28 inhibitor DAPT (10  $\mu$ M) from DIV0, or treated with DAPT from DIV14.

### 29 *Electrophysiological recordings*

30 Cultures were recorded from at either DIV13 (co-cultured astrocytes prior to DAPT application)  
31 or at DIV24 (all conditions), as described [101]. Coverslips containing cortical neurons and astrocytes  
32 were transferred to a recording chamber perfused (at a flow rate of 3–5 ml min<sup>-1</sup>) with an external  
33 recording solution composed of (in mM): 150 NaCl, 2.8 KCl, 10 HEPES, 2 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, and 10  
34 glucose, pH 7.3 (320–330 mOsm). Patch-pipettes were made from thick-walled borosilicate glass  
35 (Harvard Apparatus, Kent, UK), and when filled with the internal recording solution had tip  
36 resistances of 4–8 M $\Omega$ . A KCl-based internal was used, composed of (in mM): KCl 130, glucose 4,  
37 HEPES 10, EGTA 0.1, CaCl<sub>2</sub> 0.025, and sucrose 20; pH 7.2 with KOH. Astrocytes were voltage-  
38 clamped at -80 mV and any cells with a resting membrane potential >-60 mV upon break-in were  
39 discarded. To determine the maximal induced EAAT transport current, 200  $\mu$ M L-Aspartate was bath  
40 applied followed by the addition of the high affinity EAAT inhibitor TFB-TBOA (20  $\mu$ M) to ensure  
41 that any L-Aspartate-induced current was mediated by the transporter. AP5 (100  $\mu$ M) was included  
42 in the external solution of all astrocyte recordings to block the activation of NMDARs by L-Aspartate.  
43 Recordings were at room temperature (21 $\pm$ 2  $^{\circ}$ C) using a Multiclamp 200B amplifier (Molecular  
44 Devices, Union City, CA). Recordings were filtered at 5 kHz and digitized online at 20 kHz via a BNC-  
45 2090A/PCI-6251 DAQ board interface (National Instruments, Austin, TX, USA) and analysed using  
46 WinEDR 3.6 software (Dr John Dempster, University of Strathclyde, Glasgow, UK).

### 47 *Statistical analysis*

1 Results are given as mean  $\pm$  standard error of mean (SEM), unless otherwise stated. A linear  
2 mixed effects (LME) analysis of variance (ANOVA) model was run using R statistical software to  
3 assess significance of treatment conditions. This analysis was set up to take variation between the  
4 different cultures into consideration. Each data point was coded for the culture batch it was taken  
5 from, and the variation in results due to week by week culture variation was then incorporated as a  
6 random variable into the statistical analysis.

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