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### Atmospheric HCFC-22, HFC-125, and HFC-152a at Cape Point, South Africa

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# 1 HCFCs and HFCs in the atmosphere at Cape Point, 2 South Africa

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## 19 **ABSTRACT**

20

21 One hydrochlorofluorocarbon and two hydrofluorocarbons (HCFC-22, HFC-125, HFC-152a)  
22 were measured in air samples at the Cape Point observatory (CPT), South Africa during 2017.  
23 These data represent the first such atmospheric measurements of these compounds from south  
24 western South Africa. Our results indicate Cape Town to be the dominant source of the  
25 halocarbon pollution events observed at CPT. Baseline atmospheric growth rates were estimated  
26 to be 8.36 ppt yr<sup>-1</sup>, 4.10 ppt yr<sup>-1</sup> and 0.71 ppt yr<sup>-1</sup> for HCFC-22, HFC-125 and HFC-152a,  
27 respectively. The CPT measurements were combined with an inverse model to investigate the  
28 possibility of estimating emissions for South Africa. The results exhibited some dependency on  
29 the choice of prior – this could be reduced with further measurements, particularly in the winter  
30 months during which the instrument was down, but which coincided with a maximum in the  
31 sensitivity of CPT to terrestrial sources. At 3.6 (1.3 – 8.7) Gg yr<sup>-1</sup> for HCFC-22, 1.6 (0.8 – 2.6)  
32 Gg yr<sup>-1</sup> for HFC-125, and 0.13 (0.10 – 0.19) Gg yr<sup>-1</sup> for HFC-152a, the current contribution of  
33 South Africa to the global emissions of these gases is relatively minor. Further measurements  
34 could provide a useful means to verify progress made by South Africa towards its Montreal  
35 Protocol commitments.

36

37 Keywords: HFC, HCFC, South Africa, climate, greenhouse gases, ozone depleting  
38 substances, emissions

## 39 **INTRODUCTION**

40 The phasing-out of the industrial production of chlorofluorocarbons (CFCs), as a direct  
41 consequence of the Montreal Protocol, has led to an increase in the production and use of  
42 hydrochlorofluorocarbons (HCFCs) and hydrofluorocarbons (HFCs) as substitutes. Commonly  
43 used as refrigerants in air conditioners and in the production of insulating foams. HCFCs and

44HFCs also find widespread applications as solvents used in lubricants, coatings and cleaning  
45fluids. The presence of a reactive hydrogen atom in the molecular structures of HCFCs and  
46HFCs results in these compounds being more susceptible to attack and degradation in the  
47troposphere through reaction with hydroxyl radicals (OH).<sup>1,2</sup> HFCs have zero Ozone Depletion  
48Potentials (ODP) as they contain no chlorine or bromine atoms and, despite the presence of  
49chlorine in HCFCs these compounds have lower ODPs than the CFCs they replace.<sup>3</sup> Conversely,  
50HCFCs and HFCs both have an immediate and significant effect on the Earth's climate due to  
51their high global warming potentials (GWP).<sup>4,5</sup> Given their non-negligible ODPs and high  
52GWPs,<sup>6</sup> the industrial production of HCFCs has been controlled under the Montreal Protocol and  
53its amendments since 1992, and owing to their high GWPs, the production of HFCs will now be  
54regulated following the Kigali amendment to the Protocol.<sup>7,8</sup>

55 HCFC-22 (CHClF2), which has a tropospheric lifetime of 11.9 years<sup>9</sup>, was introduced in the  
56early 1990s as a replacement for CFCs and is the most abundant HCFC in the atmosphere<sup>10</sup>. The  
57GWP of HCFC-22 is 1760 integrated over a 100-yr time horizon ( $\text{GWP}_{100}$ )<sup>9</sup> and its ODP is  
580.055.<sup>11</sup> The principal removal process for this compound from the atmosphere is reaction with  
59OH ( $k_{\text{OH}} = 5.0 \times 10^{-15} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  at 298 K).<sup>12</sup> Following a maximum global mean growth  
60rate in 2007 of 8.2 ppt yr<sup>-1</sup>, the rate of growth had decreased by 2015 to 3.7 ppt yr<sup>-1</sup> (-54%).<sup>11</sup> The  
61emissions of HCFC-22 have now stabilized at approximately 370 Gg yr<sup>-1</sup> (2016) due to the  
62freezing of HCFC production and consumption for dispersive (emitted to the atmosphere) uses in  
63developing countries.<sup>9,13</sup> Production is limited to existing chemical plants and no increase in  
64production is permitted under the Montreal Protocol guidelines. Currently, the main source of  
65emission of HCFC-22 into the atmosphere is a result of leakage from refrigeration equipment  
66either during use, servicing or final disposal, rather than from the chemical plants in which it is

67produced.<sup>14</sup> Traditionally, emissions from leakage and servicing were thought to be relatively  
68constant throughout the year.<sup>15</sup> However, more recent studies have suggested that there is  
69significant seasonality in the emission rates of HCFC-22. Xiang et al.<sup>16</sup> estimated that emissions  
70of HCFC-22 were over twice as large during summer months, compared to the winter. While this  
71seasonal cycle is observed globally, the magnitude is larger in the northern hemisphere.<sup>16</sup> The  
72authors proposed that the increased usage rates and ambient temperatures (resulting in greater  
73charge pressures and hence greater leakage) generally associated with summer months as  
74potential reasons for the observed seasonality.

75 HFC-125 ( $\text{CF}_3\text{CHF}_2$ ) is the third most abundant HFC and currently makes the third largest  
76contribution of the HFCs to atmospheric radiative forcing value with a  $\text{GWP}_{100}$  of 3500.<sup>9,17,18</sup> The  
77atmospheric lifetime of HFC-125 is estimated to be 31 years<sup>9</sup> and this trace gas is removed from  
78the atmosphere by reaction with OH resulting in *inter alia* carbonyl fluoride and  
79trifluoromethanol degradation products. In 2015, the global average mixing ratio of HFC-125  
80was 18.4 ppt in the lower troposphere with an estimated growth of 2.3% per annum for the  
81period of 1995-2015.<sup>11</sup> HFC-125 is almost exclusively used in blends with HFC-134a, HFC-143a  
82and HFC-32. Common examples of these blends include R-410A (50% by wt. HFC-125, 50% by  
83wt. HFC-32) and R-407C (52% by wt. HFC-134a, 25% by wt. HFC-125, 23% by wt. HFC-32).  
84Both blends were designed as replacements for HCFC-22, in applications including domestic air-  
85conditioning and commercial refrigeration. Commercial refrigeration systems, in particular, are  
86notorious for their high leakage rates, with as much as 30% charge loss per year.<sup>19</sup> The rapid  
87increase in global HFC-125 mixing ratios is well documented (e.g. Lunt et al.<sup>20</sup>; Li et al.<sup>21</sup>).

88 HFC-152a ( $\text{CH}_3\text{CHF}_2$ ) has a relatively small GWP of 138<sup>17</sup> and a significantly shorter  
89atmospheric lifetime of approximately 1.5 years<sup>9,22</sup>, compared with other HFCs. Consequently,

90HFC-152a is often used as a replacement for CFCs, various HCFCs and HFC-134a in technical  
91aerosol applications, foam blowing and mobile air-conditioners. A rapid accumulation of HFC-  
92152a in the atmosphere up to 2012, with increases of 8.9 ppt (1992 – 2012) and 3.7 ppt (1998 –  
932012) for the Northern and Southern Hemispheres respectively, were reported.<sup>22</sup> However, the  
94global mean mixing ratio has since stabilized, with a global mean growth rate post-2012 that  
95does not differ significantly from 0 ppt yr<sup>-1</sup> ( $-0.06 \pm 0.05$  ppt yr<sup>-1</sup>)<sup>22</sup>. Global emissions were  
96estimated to be  $52.5 \pm 20.1$  Gg yr<sup>-1</sup> in 2014.<sup>22</sup>

97 The production and consumption of HCFCs is controlled by amendments to the Montreal  
98Protocol. Specifically, the HCFC Phase-out Management Plan (HPMP) seeks to define targets  
99for the reduction of HCFC consumption in developing countries such as South Africa. Under  
100stage two of the HPMP, these countries agreed to freeze their consumption of HCFCs by 2013,  
101followed by a 10% reduction by 2016. A complete ban on the production and consumption of  
102HCFCs for dispersive applications is planned for 2030.<sup>23</sup> South Africa is expected to ratify the  
103Kigali Amendment to the Montreal Protocol, which sets out phase-down targets for HFCs.  
104However, developing countries will not be required to make their first reductions until 2040.

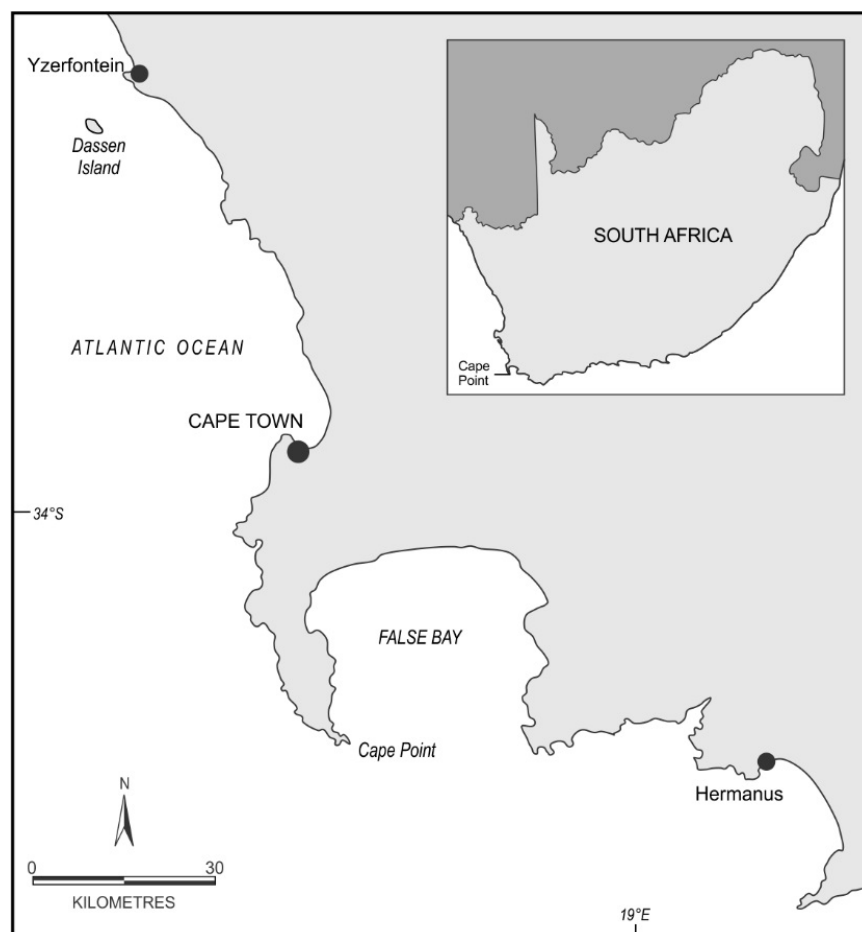
105 Given the greater population and industrialization in the Northern Hemisphere, a North-South  
106interhemispheric gradient has been established for all of these compounds.<sup>11,22</sup> The number of *in-*  
107*situ* measurements of HCFCs and HFCs available from Northern Hemispheric sites exceeds  
108those available from the Southern Hemisphere. Continuous measurements of three CFCs (CFC-  
10911, CFC-12 and CFC-113) and TCE have been made at the Cape Point Global Atmospheric  
110Watch Station, South Africa over the period 1979-2015.<sup>24</sup> Extension of the range of tropospheric  
111HCFC and HFC concentrations measured at sites in the Southern Hemisphere is required for  
112more robust constraints on global, southern-hemispheric and regional emissions estimates. In this

study, we report the 2017 time series of atmospheric mixing ratios of HCFC-22, HFC-125 and HFC-152a at Cape Point, South Africa. We consider variations in the mixing ratios of each gas with respect to various meteorological parameters (e.g. wind speed and direction) and use an inverse model to provide the first documented top down emissions estimates of HCFC-22, HFC-125 and HFC-152a for South Africa.

## METHODS

### **Global Atmospheric Watch Monitoring station**

The South African Weather Service manage and maintain the Global Atmospheric Watch (GAW) monitoring station at Cape Point (34.5° S, 18.2° E) situated approximately 60 km south of the City of Cape Town (population ~4 million). The station is situated on an elevated peninsula (230 m above sea level) extending out into the south Atlantic (Figure 1). The local seasonal synoptic patterns around Cape Town results in predominantly clean marine air arriving at Cape Point during austral summer and occasionally anthropogenically modified air arriving during austral winter.<sup>24,25</sup> The differing air mass sources are driven by South Atlantic High Pressure (SAHP) system which occupies a latitudinal position roughly in line with Cape Town during summer, driving strong south-easterly winds, drawing air from deep in the south Atlantic, towards Cape Point.<sup>25–27</sup> The SAHP system retreats to the north during austral winter, thus allowing transient low-pressure systems to impact Cape Town and Cape Point.<sup>25–28</sup>



133

134 **Figure 1. Cape Point and the GAW monitoring station in relation to Cape Town and the**  
 135 **south Atlantic Ocean.**

136

### 137 **Cape Point Gas Chromatograph-Mass Spectrometer**

138 An Agilent gas chromatograph-mass spectrometer (GC-MS, 6890/5973N) with a custom-built  
 139 adsorption/desorption system (ADS) was used to measure HCFC and HFC mixing ratios in the  
 140 atmosphere at Cape Point.<sup>29</sup> Air samples for analysis were drawn through a 15 m x 1/4" OD  
 141 stainless steel sampling from above the laboratory at ~17 l min<sup>-1</sup> by a diaphragm pump (GAST,  
 142 Miniature Diaphragm Pump 22D). Samples and standards were autonomously pre-concentrated



143on a triple bed microtrap (3 mg Carbotrap B; 5 mg Carboxen 1003; 4 mg Carboxen 1000) at -50  
144°C in the ADS.<sup>30,31</sup> Following pre-concentration on the microtrap, samples or standards were  
145heated to 240 °C and injected directly on to the column (CP Sil-5, 100m x 0.32 mm x 5 µm) at  
146240 °C. Separation of the injected sample was achieved with a helium carrier flow (1.8 ml min<sup>-1</sup>)  
147and temperature programme with an initial isothermal period (30 °C, 12 min) and temperature  
148gradient (10 °C min<sup>-1</sup> to 150 °C).

149 A short-term working standard, filled at Cape Point under baseline conditions, was analysed  
150alternately to each air sample, to account for instrument drift. Calibrated mixing ratios were  
151assigned to short-term working standards from an external long-term working standard tank  
152which was calibrated using the Advanced Global Atmospheric Gases Experiment (AGAGE)  
153Medusa GC-MS at Mace Head.<sup>32,33</sup> The procedure provided a direct comparison of the short-term  
154working standard with the relevant Scripps Institute of Oceanography (SIO) primary calibration  
155scales.<sup>32</sup> The calibration of the long-term working standard (filled at Mace Head) had mixing  
156ratio values assigned from SIO-05 (HCFC-22 and HFC-152a) and SIO-14 (HFC-125). A  
157complete description of the ADS-GC-MS system and set up can be found in Simmonds et al.<sup>29</sup>

158

## 159Baseline classification algorithm

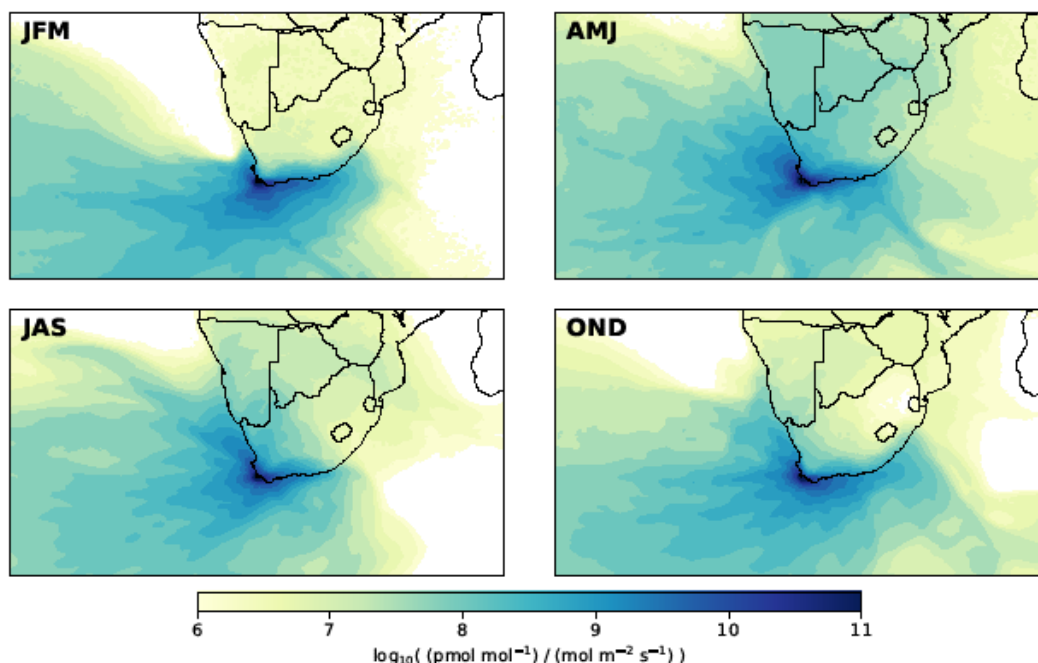
160 A statistical method based on the Advanced Global Atmospheric Gases Experiment (AGAGE)  
161pollution algorithm was developed to identify baseline samples within long-lived trace gas mole  
162fraction datasets. A full description can be found in the SI and the appendix to O'Doherty et al.<sup>34</sup>  
163and Simmonds et al.<sup>22</sup>. In brief, a second order polynomial is fitted to the daily minima over a  
164121-day window. The polynomial was subtracted from each data point in the window, creating a

matrix of distances. Measurements that were larger than 3 times the median of the distances were marked as 'polluted'. This was repeated all the 'polluted' marked data removed. Measurements between 2-3 times the median were marked as 'possibly polluted'. In the final step 'possibly polluted' measurements were tested for adjacency with 'polluted' measurements.

169

## **Atmospheric dispersion modelling using NAME**

The U.K. Meteorological (Met.) Office's Lagrangian atmospheric dispersion model, NAME (Numerical Atmospheric dispersion Modelling Environment), was used to simulate 30-day back-trajectories for each atmospheric measurement.<sup>35</sup> The NAME model was driven by meteorological fields derived from the operational analysis of the U.K. Met. Office Numerical Weather Prediction model, the Unified Model (UM), at an approximate horizontal resolution of 17 km in 2017 (reduced to ~12 km from 11<sup>th</sup> July 2017). The model domain spanned from 64° S to 4.3° N, and from 50° W to 87.3° E, covering southern Africa and the south Atlantic (Figure 2). Particles were released into the model domain from randomly generated points on a 20 m vertical line, centred on the Cape Point inlet (30 m above ground level) at a rate of 333 particles min<sup>-1</sup>. All particles were assumed to be inert throughout the length of each 30-day simulation. Given the long lifetimes of the HCFC and HFCs studied here, this assumption can be made with very little loss of accuracy. At the edges of the NAME model domain, the 3-dimensional location and time at which each particle left the domain was recorded to provide sensitivity to mole fraction boundary conditions.



185

186**Figure 2: Mean 2017 quarterly air history footprints at Cape Point using the NAME model.**

187

## 188**Estimating emissions using a hierarchical trans-dimensional Bayesian** 189**framework**

190 A hierarchical trans-dimensional Bayesian framework was used to estimate South Africa's  
191halocarbon emissions using the atmospheric measurements made at Cape Point. A full  
192description of the inverse method can be found in Lunt et al.<sup>36</sup> The hierarchical treatment of  
193uncertainties is described by Ganesan et al.<sup>37</sup> This inverse method has been used to estimate  
194halocarbon emissions from other regions.<sup>38,39</sup> In short, the inverse approach attempts to solve for  
195a parameters vector,  $\mathbf{x}$  (including the flux grid and boundary conditions), using a set of  
196atmospheric observations,  $\mathbf{y}$ . The system starts from an *a priori* flux field,  $\mathbf{x}_{ap}$ , which is adjusted  
197using the atmospheric measurements in order to estimate the posterior flux field,  $\mathbf{x}$ , in

198 conjunction with a linear model,  $\mathbf{H}$ .  $\mathbf{H}$  is a Jacobian matrix of sensitivities which describes the  
199 relationship between changes in atmospheric mixing ratio and the parameters vector,  $\mathbf{x}$ . In a  
200 traditional Bayesian set-up, uncertainty in the *a priori* emissions ( $\mathbf{x}_{ap}$ ) and model-measurement  
201 mismatch ( $\epsilon$ ) are defined prior to the inversion. Hence, they are based on a subjective decision by  
202 the investigator. However, the choice of uncertainties has been shown to significantly influence  
203 the posterior solution. The hierarchical framework attempts to reduce the influence of this  
204 subjectivity by introducing hyper-parameters which define the uncertainties within these  
205 uncertainties.

206 A reversible-jump Markov Chain Monte Carlo algorithm (rj-MCMC) was used to estimate the  
207 posterior solution.<sup>36</sup> For each species, the rj-MCMC algorithm was run for a chain length of  
208 400,000. The first 100,000 iterations were discarded to ensure that the system had no knowledge  
209 of the initial state. The remaining 400,000 iterations were then thinned via sub-sampling of every  
210 100<sup>th</sup> iteration, resulting in 4000 samples, which were used to form the posterior PDFs. The  
211 emission estimates discussed in the following sections represent the means of these PDFs, with  
212 the corresponding uncertainty estimated by the 95<sup>th</sup> percentile confidence interval of the same  
213 PDFs.

214

## 215 *A priori* emissions

216 Little detailed information is available for South Africa's halocarbon emissions. Therefore, *a*  
217 *priori* emissions were constructed from a variety of sources which together represent the existing  
218 state of knowledge. In the absence of emissions data, HCFC-22 *a priori* emissions were  
219 estimated using consumption data from South Africa's most recent HPMP report, which was

220estimated at  $3.16 \text{ Gg yr}^{-1}$  in 2009. In general, consumption is not a good approximation for  
221emissions magnitudes (as, for this gas, emissions are likely dominated by release from the bank).  
222However, as no estimates exist for South African emissions, we use consumption statistics as a  
223proxy for emissions, but with a very large uncertainty (see below), on the assumption that they  
224are of a similar order of magnitude to emissions. For HFC-125 and HFC-152a, emissions were  
225taken from the EDGAR v4.2 emissions inventory, which reports gridded emissions data up to  
2262009. For all three gases, the *a priori* emissions total was distributed across the inverse model  
227domain using the National Oceanic and Atmospheric Administration (NOAA) DMSP-OLS  
228(Defence Meteorological Program - Operational Line-Scan System) satellite night-light data.  
229These data are available at the increment of 30 arc second from  
230[https://ngdc.noaa.gov/eog/data/web\\_data/v4composites/](https://ngdc.noaa.gov/eog/data/web_data/v4composites/). Night-lights have been shown to  
231correlate with population density,<sup>40</sup> and hence this distribution is expected to be roughly  
232representative of the sources of all three domestically consumed halocarbons. In each instance,  
233the *a priori* emissions were given a 100% uncertainty, with the magnitude of this uncertainty  
234further described by a uniform PDF with upper and lower bounds of 50% and 400% respectively.  
235This PDF was explored within the inversion.

236

## 237Boundary conditions

238 We incorporate boundary conditions to account for emissions from outside of the model  
239domain. Uniform mixing ratio ‘curtains’ were estimated using output from the AGAGE 12-box  
240model; an extension of the work by Rigby et al.<sup>41</sup> The 12-box model resolves baseline mixing  
241ratios for four semi-hemispheres. For each month in which measurements were obtained, the  
242simulated mixing ratio from latitude bands 0-30° N, 0-30° S and 30-90° S were assigned to the

243 North, East and West and South boundaries of the model domain respectively. The sensitivity of  
244 each measurement to the boundary conditions was estimated by mapping the exit locations of  
245 particles from the model domain for each measurement. The *a priori* boundary conditions were  
246 adjusted within the inversion.

247

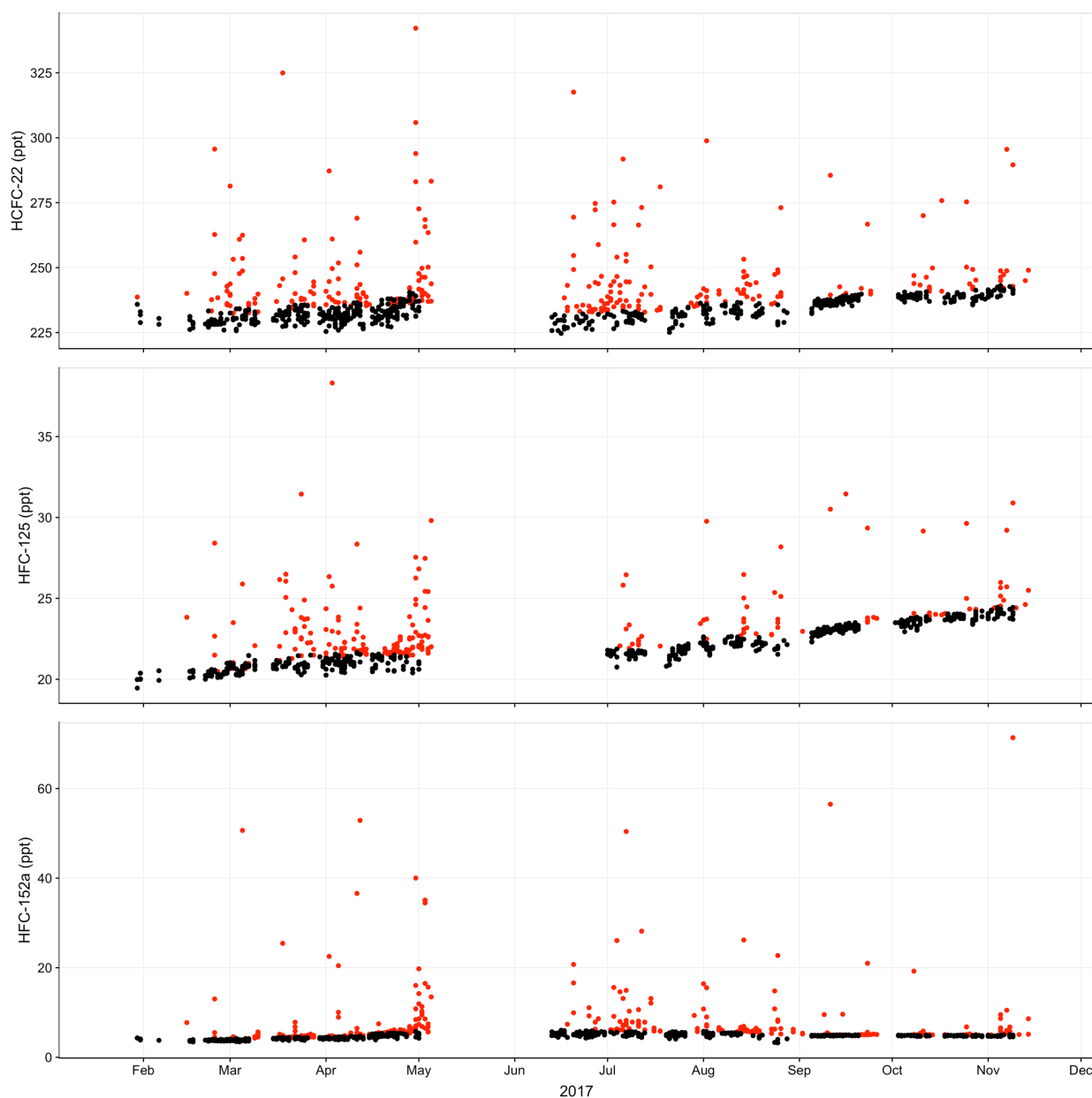
## 248 RESULTS AND DISCUSSION

### 249 Cape Point measurements and observations

250 The baseline mixing ratios of one hydrochlorofluorocarbon and two hydrofluorocarbons  
251 (HCFC-22, HFC-125, HFC-152a) were determined from measurements made at the Cape Point  
252 Global Atmospheric Watch Station in 2017. The measurements were clustered along a baseline  
253 for the three species with occasional elevated data points (Figure 3). The mean mixing ratios  
254 observed at Cape Point were: HCFC-22:  $237.80 \pm 12.31$  ppt; HFC-125:  $22.47 \pm 1.78$  ppt and  
255 HFC-152a:  $6.44 \pm 5.32$  ppt. The Cape Point HCFC-22 and HFC-125 mixing ratios increased  
256 throughout the year, in contrast with HFC-152a which displayed a small seasonal cycle. The  
257 increase through the year for HCFC-22 and HFC-125 was particularly noticeable for the last  
258 three months of 2017. Variability within the HCFC-22 and HFC-125 mixing ratios, particularly  
259 in the early part of the year, were observed. Changes in wind direction, and therefore source  
260 contributions, likely contributed significantly to the observed variability.

261 The HFC-152a mixing ratio increased between February and May, which continued in June.  
262 Following the winter maximum, the HFC-152a mixing ratios decreased through the latter half of  
263 the year. A lower rate of growth in the HFC-152a mixing ratios, compared with HCFC-22 and  
264 HFC-125, was observed over the year. The HFC-152a mixing ratios displayed a maximum in

265austral winter and minima in January and December. The seasonal cycle observed in the HFC-  
 266152a mixing ratios was likely driven by the winter minimum OH concentration. The shorter  
 267atmospheric life of HFC-152a compared with HCFC-22 and HFC-125 highlights the sensitivity  
 268of this compound to reaction with OH, resulting in the observed seasonal cycle.



**270Figure 3. Time series of the HCFC and HFCs measured in the atmosphere at Cape Point.**

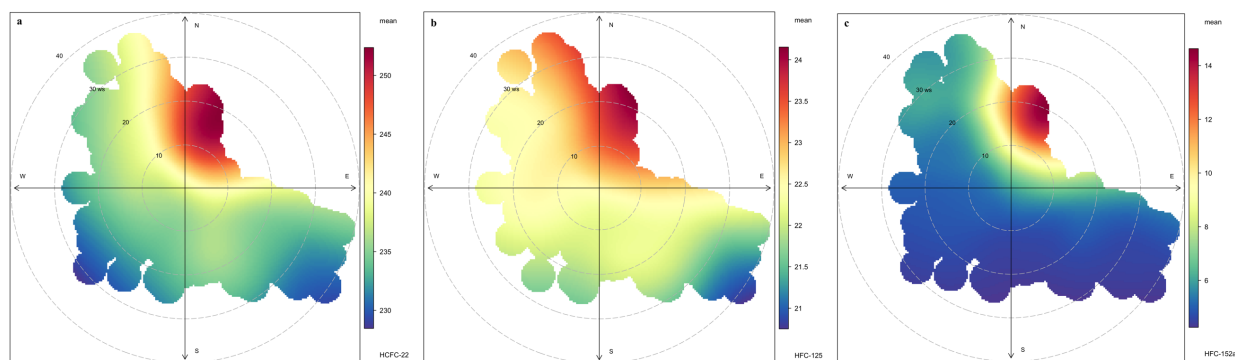
271The gaps in the data represents instrument down time. Black points highlight the baseline  
272measurements while red denote air from polluted sources.

273 The baselines within the Cape Point HCFC and HFC datasets were identified using the adapted  
274AGAGE algorithm described in the Baseline Classification Algorithm in the Supplementary  
275Information.<sup>22,34</sup> The algorithm relied on the iterative fitting of a second order quadratic function  
276to the daily minima over a 121-day window.<sup>22,34</sup> An analysis of the ‘polluted’ points identified by  
277the pollution algorithm, suggests that these were specific intrusions of anthropogenically  
278modified air arriving at Cape Point. The pollution events at Cape Point strongly suggest the  
279prevalence of local source of all three of these compounds. Baseline mixing ratios at Cape Point  
280for HCFC-22, HFC-125 and HFC-152a grew by 8.36 ppt yr<sup>-1</sup>, 4.10 ppt yr<sup>-1</sup> and 0.71 ppt yr<sup>-1</sup>,  
281respectively, during the 2017 data acquisition window. The mean baseline mixing ratios from  
282Cape Point were  $233.50 \pm 4.0$  ppt,  $21.95 \pm 1.2$  ppt, and  $4.69 \pm 0.5$  ppt for HCFC-22, HFC-125  
283and HFC-152a, respectively. The baseline growth rates and mean mixing ratios reported here are  
284in line with previous studies of the concentrations of these compounds in the atmosphere at  
285another Southern Hemisphere site, Cape Grim (e.g. Simmonds et al.<sup>13,22</sup>). The baseline mixing  
286ratios reported here were similar to reported global averages.<sup>11,22</sup> Any differences could be  
287attributed to either the Southern Hemisphere location where these measurements were made, or  
288the existence of additional, as yet unidentified, anthropogenic sources of these compounds in the  
289region.

290 The measurements made at Cape Point imply that the HCFC and HFCs share a common  
291anthropogenic source situated in the wider City of Cape Town metropole. A bivariate analysis of  
292the HCFC and HFC measurements from Cape Point indicate a good agreement with a dominant



293source to the north-east, most probably from stationary air conditioning units (Figure 4). HCFC-  
 29422 and HFC-125 appear to have greater spread of sources, based on the air sampled at Cape  
 295Point, whereas HFC-152a seems to have a single dominant source located immediately to the  
 296north of Cape Point, as shown in the bivariate plots (Figure 4c). Interestingly, the pollution  
 297marked HCFC and HFC measurements showed only marginal relationships ( $r^2 < 0.5$ ) with  
 298known anthropogenic markers such as carbon monoxide and  $^{222}\text{Rn}$ . The lack of relationship  
 299between HCFC and HFC mixing ratios and anthropogenic markers observed here is consistent  
 300with previous studies of this kind (e.g. Rivett et al.<sup>42,43</sup>, Mead et al.<sup>44</sup>, Khan et al.<sup>45</sup>). The HCFC-  
 30122 and HFC-125 relationship displayed weak commonality in the pollution marked air, to an  $r^2$  of  
 3020.37.



304**Figure 4. Bivariate plots for HCFC and HFC measurements at Cape Point.** HCFC and HFC  
 305mixing ratio displayed as a function of wind speed and direction for a. HCFC-22, b. HFC-125,  
 306and c. HFC-152a.

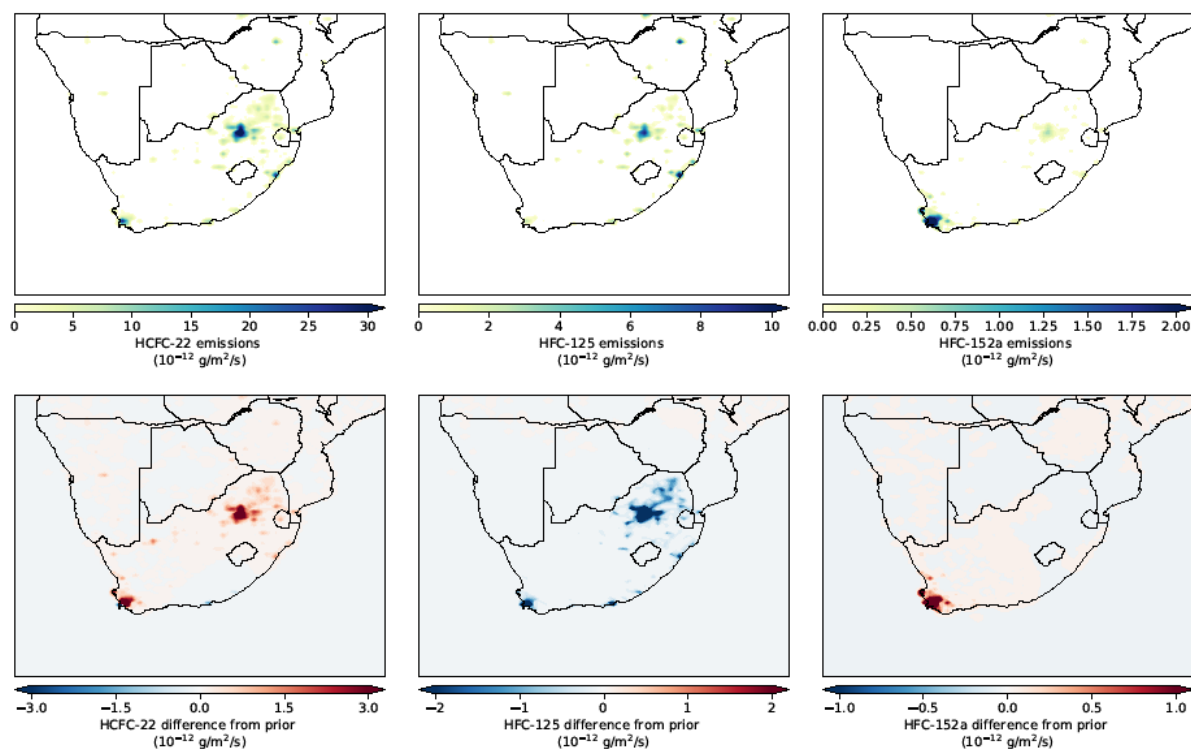
308**Estimation of South African HCFC-22, HFC-125 and HFC-152a emissions**  
 309**using an inverse model**

310 When used in conjunction with an inverse model, long-term atmospheric measurements from  
311the Cape Point observatory could potentially be used to estimate South Africa's halocarbon  
312emissions. In the absence of an annual bottom-up inventory, which South Africa is not currently  
313required to submit, these top-down estimates could provide a useful means by which to track  
314emissions from Africa's second largest economy. To explore this potential, we estimate South  
315Africa's emissions of HCFC-22, HFC-125 and HFC-152a in 2017 using the hierarchical trans-  
316dimensional Bayesian framework described in the Methods section. The estimates presented in  
317the following discussion are based on the mean value of each posterior probability density  
318function (PDF), with an estimation of the corresponding uncertainty taken to be the 95<sup>th</sup>  
319percentile range (2-sigma) of the same PDF. Posterior emissions and prior scaling maps are  
320shown in Fig. 5. A comparison of the atmospheric measurements with modelled mixing ratios is  
321shown in Fig. S1. For each gas, the sensitivity of the inversion to changes in the magnitude of the  
322prior is given in Fig. S2.

323

324 We estimated South African HCFC-22 emissions of 3.6 (1.3 – 8.7) Gg yr<sup>-1</sup> in 2017. Our prior  
325scaling map (Fig. 5) suggests that the measurements at Cape Point provide sufficient information  
326for the inversion to adjust emissions from the entire country, as opposed to those exclusively  
327within close proximity to the measurement site. Despite this, our posterior estimate is somewhat  
328dependent on the choice of prior (Fig. S2), although for all but the smallest prior emissions field  
329(50% of the default), the resultant uncertainty range overlaps the mean of our original estimate.  
330As expected, the regions with large emissions typically corresponded with major urban areas,  
331most notably the city and surroundings of Johannesburg (approximate population of 4.4 million  
332in 2016).<sup>46</sup> The approximate correlation of emissions with population density is consistent with

the use of HCFC-22 as a refrigerant in stationary air-conditioning units. Simmonds et al.<sup>13</sup> estimated global HCFC-22 emissions of  $370.3 \pm 45.9$  Gg yr<sup>-1</sup> in 2016. When placed in context to the global burden, South Africa's HCFC-22 emissions (~1% of the global total) are relatively small. Saikawa et al.<sup>47</sup> estimated combined African and Middle Eastern HCFC-22 emissions of  $36.4 \pm 22.3$  Gg yr<sup>-1</sup> for 2009. Assuming that this total did not change significantly between 2009 and 2017, South Africa could account for ~10% of HCFC-22 emissions from this region. Nevertheless, emissions from the African continent as a whole are comparatively small. As a comparison, Asian Annex 5 countries emitted  $213 \pm 20.8$  Gg yr<sup>-1</sup> in the same year.<sup>47</sup>



**Figure 5.** Top) Maps of the posterior distribution of emissions of HCFC-22, HFC-125 and HFC-152a, based on measurements from the Cape Point monitoring station. Bottom) Maps of the

345 difference between posterior and prior distributions of emissions, presented in the same units as  
346 above. Red indicates regions where the posterior was larger than the prior emissions field.

347 As consumption of HCFC-22 is reduced under the Montreal Protocol, it is widely expected  
348 that South Africa will accelerate the adoption of non-ozone depleting alternatives. R-410A (a  
349 zeotropic 50:50 blend of HFC-125 and HFC-32) is commonly cited as a replacement for HCFC-  
350 22 in refrigeration systems.<sup>48</sup> Our emission maps for these HCFC-22 and HFC-125 (Fig. 5)  
351 suggest a similar distribution of sources, with large emissions from Johannesburg and much  
352 smaller emissions from Cape Town. We estimate South Africa's HFC-125 emissions to be 1.6  
353 (0.8 – 2.6) Gg yr<sup>-1</sup> in 2017. Simmonds et al.<sup>49</sup> estimated global HFC-125 emissions of  $59.7 \pm 9.5$   
354 Gg yr<sup>-1</sup> for 2015, hence South Africa represents approximately 2.7% of the global total. Unlike  
355 HCFC-22 and HFC-152a, our estimate for HFC-125 is insensitive to the choice of prior (Fig.  
356 S2), suggesting that the information content of the measurements is enough to provide some  
357 constraint for the whole of South Africa.

358 As with HFC-125, South Africa's emissions of HFC-152a might be expected to increase as it  
359 replaces ozone-depleting alternatives (e.g. HCFC-141b and HCFC-142b) in applications such as  
360 foam-blowing and as an aerosol propellant. We estimate South Africa's 2017 HFC-152a  
361 emissions to be 0.13 (0.10 – 0.19) Gg yr<sup>-1</sup> which represent less than 0.4% of the global HFC-  
362 152a emissions estimated by Simmonds et al.<sup>22</sup> However, the model fit for this gas was poor,  
363 with a significant number of unresolved data points, possibly indicative of intermittent  
364 emissions, a strong local source or transport errors within NAME. These unresolved peaks also  
365 appear to hinder the ability of the inversion to adjust for emissions beyond Cape Town, though  
366 the posterior distribution is consistent with the HFC-152a bivariate plot in Fig. 4c, which  
367 suggests a single strong source to the north of Cape Point. The results of the sensitivity study

show the inversion to be highly dependent on the choice of prior, consistent with the poor sensitivity of the inversion to distant sources. The uncertainty bounds for both small (50% of the default) and large (200% of the default) priors do not overlap with the mean original estimate.

The sensitivity of our HCFC-22 and HFC-152a emissions estimates to changes in the magnitude of the prior suggests that the inversion is insensitive to sources from the East of South Africa (e.g. those many hundreds of kilometres from Cape Point). To assess how robust our estimates are for sources near to the observatory (including Cape Town but excluding Johannesburg), a second set of emissions were estimated using the sub-domain shown in Fig. S3. The South West South Africa (SWSA) domain extends to a maximum latitude and longitude of 30 °S and 24 °E, respectively. A summary of the results is shown in Fig. S4. Emissions of HCFC-22 and HFC-125 were very insensitive to the choice of prior. In contrast, HFC-152a remained sensitive to increases in the magnitude of the prior, suggesting that the presence of a persistent unresolvable signal results in a less robust estimate for this gas. We estimate SWSA emissions of 0.37 (0.20 – 0.55) Gg yr<sup>-1</sup>, 0.10 (0.06 – 0.15) Gg yr<sup>-1</sup> and 0.08 (0.07 – 0.09) Gg yr<sup>-1</sup>, accounting for 10%, 6% and 61% of South Africa's total emissions, for HCFC-22, HFC-125 and HFC-152a respectively.

As an Article-5 country, South Africa is not required to publish a detailed inventory of its greenhouse gas emissions.<sup>14</sup> Except for consumption statistics submitted as part of its Montreal Protocol commitments, South Africa's HCFC and HFC emissions are poorly defined. As per the HPMP, South Africa was required to freeze its HCFC consumption by 2013 (relative to a 2009/10 baseline) followed by successive cuts leading to a complete phase-out by 2040. South Africa is also in the process of ratifying the Kigali Amendment to the Montreal Protocol, which sets out plans to reduce global emissions of HFCs and came into effect on January 1<sup>st</sup> 2019.

391However, South Africa will not be required to make its first reductions in the production or  
392consumption of HFCs until 2040. Given the current and impending regulations imposed on  
393South Africa's halocarbon emissions, in the absence of a nationwide monitoring programme for  
394these compounds, plausible estimates of the country's emissions are useful. Ongoing  
395atmospheric measurements of key HCFC and HFC mixing ratios at Cape Point provide a  
396valuable means by which to verify South Africa's progress under the Kigali Amendment.

397 Further work is required to verify the results of this study, if these estimates are to form a  
398reliable means of validation for future inventory work. Particular attention to better understand  
399the local sources of HFC-152a is required, as it is possible that a strong source within close  
400proximity of Cape Point could mask emissions from further afield. The usefulness of Cape Point  
401as a means by which to estimate South Africa's halocarbon emissions is also likely to increase as  
402the dataset grows. In particular, more data collected during the Southern Hemisphere autumn and  
403winter months - which corresponds with a maximum in the sensitivity of the site to terrestrial  
404sources – would be highly beneficial. In addition, further measurements from the East of the  
405country and Johannesburg in particular would improve the ability of the inversion to accurately  
406constrain sources from the entirety of South Africa.

407

## 408**ASSOCIATED CONTENT**

### 409**Code availability**

410 The inverse model code used in this study is available upon request from Matt Rigby  
411([Matt.Rigby@bristol.ac.uk](mailto:Matt.Rigby@bristol.ac.uk)). The NAME model is available upon request to the UK Met Office.

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### 415Notes

416The authors declare no competing financial interest.

417

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424

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## 613 **SUPPLEMENTARY INFORMATION**

### 614 **S1 Baseline classification algorithm**

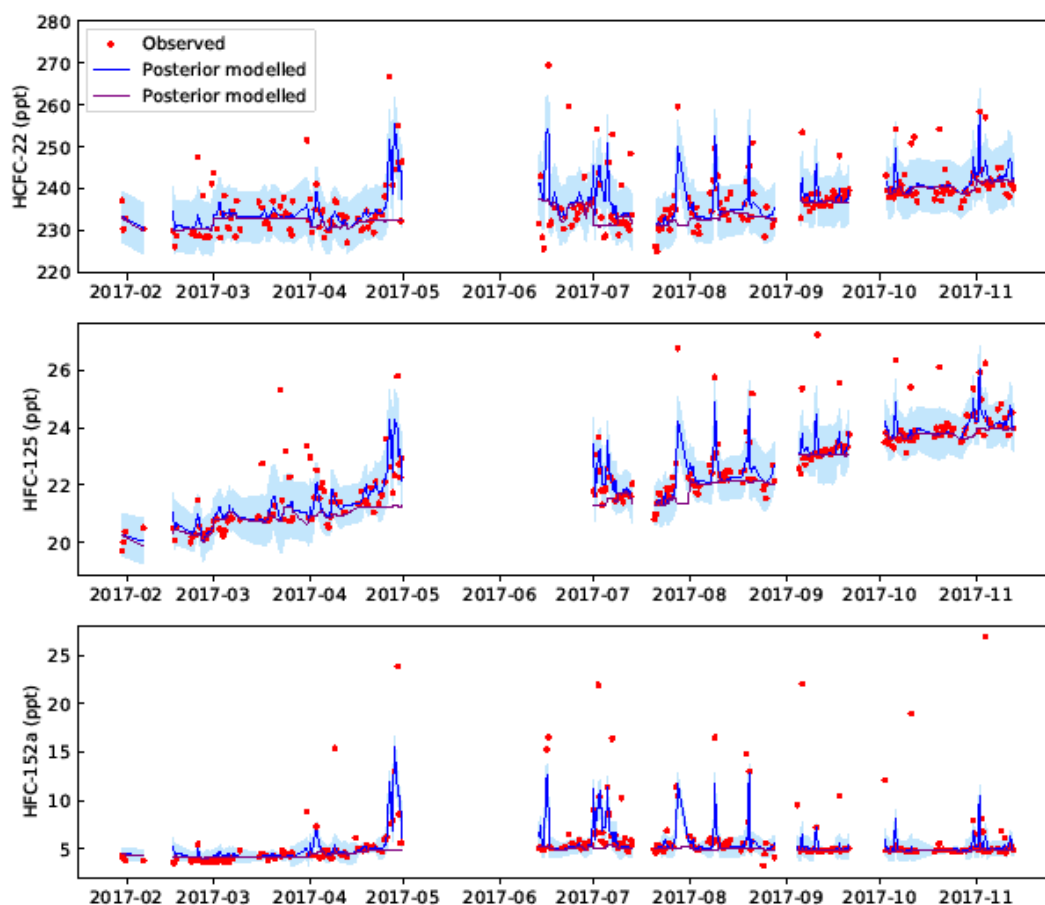
615 The baseline classification algorithm uses a three-step process to determine a baseline fit. A  
616 121-day rolling window, consisting of measurements for 60 days either side of each sampling  
617 day was used in the baseline fit. In the first step the daily minima over the whole 121-day



618 window were determined. A second order polynomial was then fitted to the daily minima. The  
619 polynomial fit was subtracted from each measurement in the 121-day window, creating a matrix  
620 of distances from the polynomial fit. The median of the distances was calculated, which has been  
621 shown to be less sensitive to outliers compared to the mean.<sup>34</sup> Only distance values below the  
622 median were used in the variability calculation. The variability of the distance matrix was  
623 determined by the root mean square (RMS) deviation ( $\sigma$ ) of the distances. Values in the distance  
624 dataset larger than  $3\sigma$  (tunable) above the median were marked as 'polluted'. Consequently, all  
625 the other values were marked as baseline. Only the marked data ('pollution' and 'baseline') for  
626 the day of the event were retained and the window moved to the next sampling day.

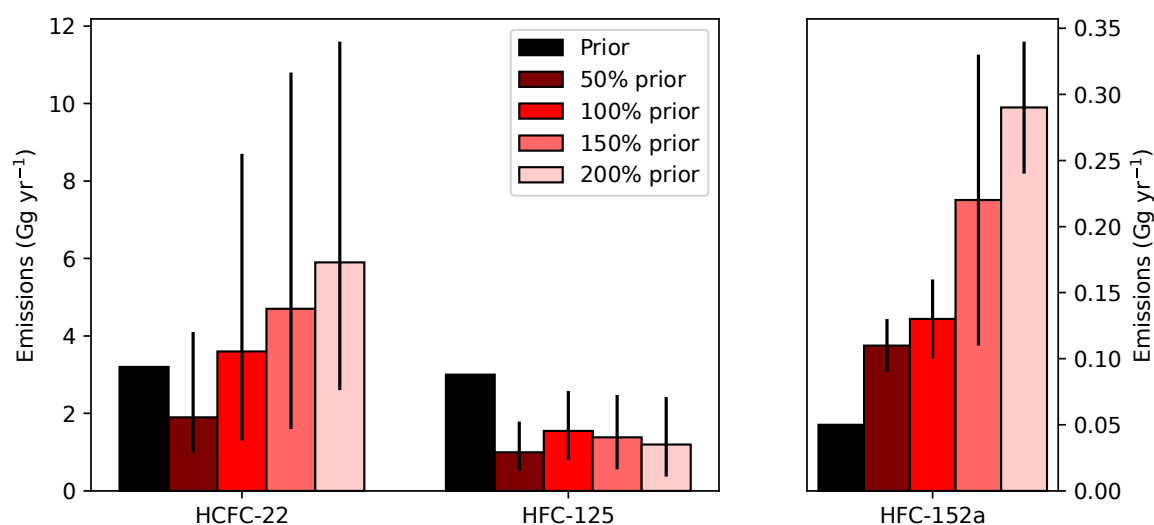
627 The baseline fit was improved in the second step, which was identical to the first step,  
628 described above, except that the data marked as 'polluted' were excluded. The repeat of the  
629 procedure without the 'polluted' marked data is important especially for highly polluted air, as  
630 extremely elevated observations can bias the median. Measurements that were between  $2\sigma$  and  
631  $3\sigma$  in this second round were marked as 'possibly polluted'.

632 The third step analysed the data marked as 'possibly polluted'. A test was performed to  
633 examine if a point marked as 'possibly polluted' was adjacent to 'polluted' data point. If there  
634 was adjacency, then the 'possibly polluted' data point was reclassified as 'polluted'. If  
635 measurements were not marked as polluted these were then considered as baseline.

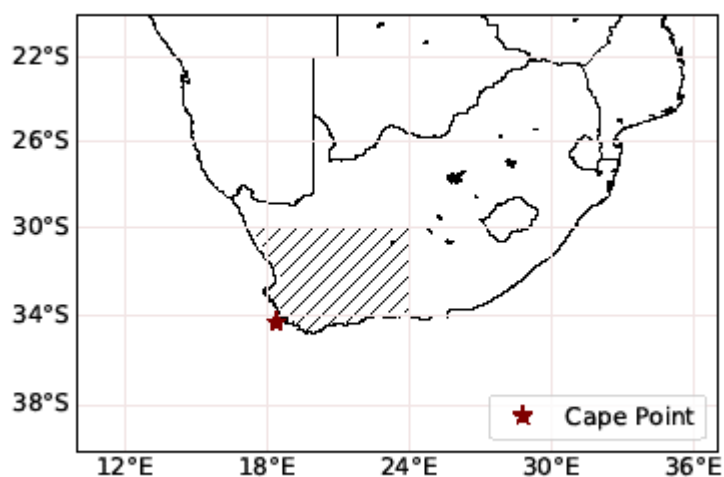


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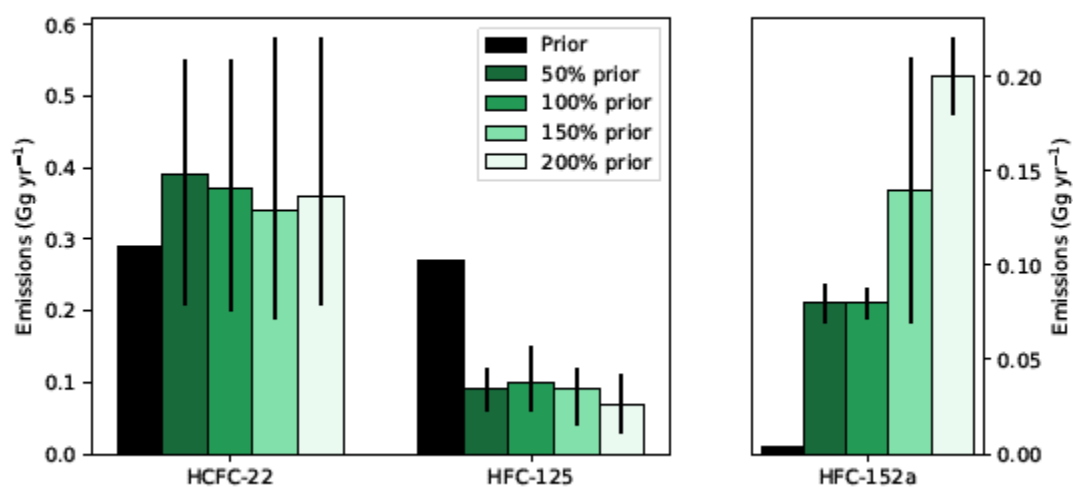
638 **Figure S1:** A comparison of measured (red points, ppt) and modelled (blue line, ppt) mole  
639 fractions at Cape Point. Observations and NAME back-trajectories were binned into 12-hour  
640 averages. Model uncertainty (95<sup>th</sup> percentile confidence interval) is represented by the pale blue  
641 shading. The modelled baseline (purple line) is also shown.  
642



**Figure S2:** Sensitivity plots showing the change in posterior emissions as a result of scaling (50% - 200%) of the prior. Error bars represent the 95<sup>th</sup> percentile confidence interval of the posterior PDFs.



**Figure S3:** Plot showing the reduced domain for South West South Africa (SWSA, hatched lines). The Cape Point observatory is also shown (red star).



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653 **Figure S4:** Sensitivity plots showing the change in posterior emissions for the reduced South  
 654 West South Africa domain as a result of scaling (50% - 200%) of the prior. Error bars represent  
 655 the 95<sup>th</sup> percentile confidence interval of the posterior PDFs.

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