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Validation of Aura Microwave Limb Sounder O$_3$ and CO observations in the upper troposphere and lower stratosphere

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[1] Global satellite observations of ozone and carbon monoxide from the Microwave Limb Sounder (MLS) on the EOS Aura spacecraft are discussed with emphasis on those observations in the 215–100 hPa region (the upper troposphere and lower stratosphere). The precision, resolution and accuracy of the data produced by the MLS “version 2.2” processing algorithms are discussed and quantified. O$_3$ accuracy is estimated at ~40 ppbv +5% (~20 ppbv +20% at 215 hPa) while the CO accuracy is estimated at ~30 ppbv +30% for pressures of 147 hPa and less. Comparisons with expectations and other observations show good agreements for the O$_3$ product, generally consistent with the systematic errors quoted above. In the case of CO, a persistent factor of ~2 high bias is seen at 215 hPa. However, the morphology is shown to be realistic, consistent with raw MLS radiance data, and useful for scientific study. The MLS CO data at higher altitudes are shown to be consistent with other observations.


1. Introduction

[2] Ozone and carbon monoxide play important and distinct roles in the upper troposphere. Upper tropospheric ozone is a potent and poorly understood greenhouse gas [Intergovernmental Panel on Climate Change, 2001] whose abundance, ranging from tens to a few hundred parts per billion (ppbv), is influenced by a variety of factors including the abundance of precursor HO$_x$ and NO$_x$ species and influx of ozone rich air from the lower stratosphere. Rapid transport of boundary layer air to the upper troposphere by deep convection has a significant affect on ozone through transport of precursor species [Prather and Jacob, 1997]. Carbon monoxide is a byproduct of combustion, both natural and anthropogenic, and is one of the main sinks of tropospheric OH [Jacob, 1999], the main atmospheric oxidant. Its relatively long (~2 month) photochemical lifetime makes it a useful tracer of atmospheric motions, particularly of the long-range transport of polluted air [e.g., Stohl et al., 2002; Liu et al., 2003].

[3] The Microwave Limb Sounder (MLS) [Waters et al., 2006] on the Aura spacecraft [Schoeberl et al., 2006b], launched on 15 July 2004, observes thermal microwave limb emission from many molecules including O$_3$ and CO. This paper describes MLS O$_3$ and CO data in the upper troposphere and lower stratosphere (UT/LS), broadly defined here as the region from ~300–100 hPa. With the exception of section 3.3, all the MLS data described in this paper are those produced by version 2.2 of the data processing algorithms.

[4] Validation of the CO observations at higher altitudes is discussed by Pumphrey et al. [2007]. The MLS ozone product is also described in two companion papers in this special section. Froidevaux et al. [2008] focus on observations in the stratosphere and mesosphere, while Jiang et al. [2007] describe comparisons of MLS O$_3$ data with sondes and ground-based observations, including in the altitude region discussed in this paper.

[5] Section 2 describes the relevant aspects of the MLS instrument and data processing strategy, gives rules on appropriate screening for the UT/LS O$_3$ and CO data, and quantifies their typical precision, expected accuracy and spatial resolution. Section 3 describes some “zero-order”...
validation of these data including comparisons with non-
coincident observations. Section 4 focuses on comparisons
between MLS data and various colocated aircraft based
observations. Finally, section 5 summarizes all these find-
ings, and outlines plans for further validation and future
versions of the MLS products.

2. MLS UT/LS O₃ and CO Observations

2.1. MLS Instrument Operations and Data Description

[6] MLS observes thermal microwave emission from the
Earth’s limb in five spectral regions from 118 GHz to
2.5 THz. The O₃ and CO standard products described in this
paper are taken from observations in the 230–250 GHz
spectral range. MLS looks forward from the Aura spacecraft
and scans the Earth’s limb vertically from the ground to
~90 km every 24.7 s.

[7] This paper describes MLS “Level 2” data, which are
geospatial products reported along the measurement track
of the instrument. These are retrieved from calibrated MLS
radiance observations (“Level 1 data”) by the MLS Level 2
data processing software [Livesey et al., 2006]. The MLS
O₃ and CO products are reported on a fixed vertical pressure
grid having 6 levels per decade change in pressure in the
troposphere and stratosphere, evenly spaced in log₁₀
pressure starting at 1000 hPa (thinning out to 3 per decade
at pressures less than 0.1 hPa). These profiles are evenly
spaced at 1.5° great circle angle (geodetic) along the orbit
track. This gives 240 Level 2 profiles per orbit at fixed
latitudes, synchronized to the MLS vertical scans.

[8] The MLS Level 2 products are reported in Level 2
Geophysical Physical (L2GP) data files. Individual files
describe one MLS “standard product” (O₃, CO, H₂O etc.)
for a 24 h period from midnight to midnight universal time.
The L2GP files store the data in an HDF-EOS version 5
“swath” format. The ozone files contain additional swaths
giving the estimated column ozone amount above the
tropopause [Froidevaux et al., 2008]. The MLS Version
2.2 data quality document [Livesey et al., 2007] gives more
information on the format and contents of the MLS data
files.

2.2. Proper Use of MLS UT/LS O₃ and CO Data

[9] In addition to describing file formats and contents,
the data quality document [Livesey et al., 2007] also gives
detailed instructions on the proper use of all MLS data
products. The pertinent information for MLS UT/LS CO
and O₃ is repeated here.

[10] Each MLS Level 2 data point is reported with a
corresponding precision value. This quantifies the impact
MLS radiance noise and (particularly in regions of lower
measurement sensitivity) the contribution of a priori infor-
mation. These issues are discussed in more detail in section
2.4. As an aid to users, the precisions are set to negative
values in situations where the retrieved uncertainty is larger
than 60% of a priori uncertainty, indicating that MLS
contributed little information to these data and that they
should not be used in scientific study.

[11] Three additional data quality metrics are provided for
each MLS profile. “Status” is an integer bit field indicating
where profiles are not to be used, or may be suspect because
of instrumental and/or retrieval issues. Odd values denote
profiles that should never be used. Nonzero, even values
indicate situations where care may be needed, typically
where the retrieval algorithm detected strong cloud signa-
tures in some radiances and chose to discard those radian-
ces. The impact of this on MLS data varies with species and
height. Such profiles are typically suitable for scientific use,
though they are usually reported with poorer precision due
to the fewer number of radiances used in their retrieval.

[12] The MLS data processing algorithms simultaneously
retrieve multiple (~10) MLS profiles in 15° orbit sections
known colloquially as “chunks.” The “Convergence”
diagnostic compares the fit achieved across an entire
“chunk” to that expected by the retrieval algorithms. Values
in the range 1.0–1.1 indicate excellent convergence.

[13] MLS v2.2 CO and O₃ data in the range 215 hPa to
100 hPa should only be used when (1) the precision value
for that data point is positive, (2) “Status” for that profile is
an even number (this differs from the rules for v1.5 data),
(3) the “Quality” field for that profile is greater than 1.2 (note
this is stricter than the stratospheric and mesospheric thresh-
olds of 0.4 for O₃ and 0.2 for CO), and (4) “Convergence”
is less than 1.8.

[14] The MLS v2.2 O₃ and CO data are retrieved over the
range 316–0.00046 hPa. As will be shown later, the v2.2
O₃ and CO data at 316 hPa are not considered useful for
scientific study, and only data in the range 215–0.0022 hPa
should be used (see Froidevaux et al. [2008] and Pumphrey
et al. [2007] for discussion of upper altitude limits).

2.3. Signature of UT/LS O₃ and CO in MLS Radiances

[15] Figure 1 shows typical MLS radiance observations in
the 240 GHz region of the spectrum, from which the UT/LS
O₃ and CO products are derived. All of the strong spectral
features are due to emission from O₃ lines, with the
exception of the feature at ~234.0 GHz in the lower sideband
from O¹⁸O emission. The CO spectral line is at
~230.5 GHz in the lower sideband and has a ~1 K typical
amplitude in the upper troposphere. The small features in
this region seen at the higher tangent altitudes (e.g., red line)
are due to strong emission from mesospheric CO. The UT/
LS O₃ information derives from the broad spectral contrast
across the spectral region, mainly seen by three of the four
“wide” channels, namely those at ~244.5 GHz,
~246.8 GHz and ~247.5 GHz and CO upper sideband frequency.

[16] The MLS CO and O₃ data are retrieved using an
optimal estimation approach [Rodgers, 2000; Livesey et al.,
2006] from these 240 GHz radiances, along with observa-
tions of the 118 GHz O₂ line for additional pointing
information. In addition to O₃ and CO, this retrieval
also produces estimates for HNO₃, SO₂, temperature, geo-
potential height and tangent pressure (along with spectrally
flat “extinction” terms). Figure 1 (bottom) shows the
average fit achieved to measured radiances. The scatter
about these averages (not shown) is generally consistent
with the levels of noise seen in the radiances, as would be
expected and desired. The fits in the CO region are
The diagonal elements of the solution covariance matrix [Livesey et al., 2006]. This mainly reflects the contributions of radiance noise to the MLS measurements. In regions where MLS is less sensitive, the uncertainty on the a priori values used as virtual measurements begins to dominate the reported precision. Figure 2 summarizes the reported precision seen in MLS UT/LS O₃ and CO measurements on 17 September 2004 in the 30°S to 30°N region, typical of all these data (although the reported UT/LS O₃ precision in winter polar regions is ~20–40% poorer). The root mean square average of the estimated precision (solid lines) for O₃ in the UT/LS is 20–40 ppbv, with ~15–40 ppbv estimated for CO. Because the relationship between MLS radiances and UT/LS O₃ and CO mixing ratios is close to linear, the precision on the retrieved mixing ratios is independent of abundance.

[19] It is useful to compare these precision estimates to the actual scatter seen in MLS data (dashed lines). In cases where atmospheric variability is expected to be low compared to the MLS precision, this scatter will be comparable to the estimated precision (typically a little less, because of the influence of smoothing on the MLS retrievals [Froidevaux et al., 2006]), as is seen here for CO in the midstratosphere. For the UT/LS CO and O₃ observations, the scatter is generally larger than the estimated precision, implying significant atmospheric variability and/or contributions from other sources of random error than radiance noise.

[20] The MLS retrieval algorithms operate in a two-dimensional manner, retrieving multiple profiles along the track based on information from multiple vertical limb scans [Livesey and Read, 2000; Livesey et al., 2006]. This approach allows for the direct modeling of the impact of gradients along the forward looking MLS line of sight, and for rigorous quantification of the horizontal resolution in that direction. As with most remote sounding measurements, the resolution of the retrieved data can be describing using “Averaging Kernels” [Rodgers, 2000]. The two-dimensional nature of the MLS retrieval system means that these kernels describe both vertical and horizontal resolution. Figures 3 and 4 show vertical and horizontal aspects of the averaging kernels for tropical retrievals of UT/LS O₃ and CO respectively. Orbital and seasonal variations in the averaging kernels are small, and the kernels shown are representative of all the data. The vertical resolution of UT/LS O₃, as defined by the width of the

2.4. Precision, Scatter, and Spatial Resolution

[18] Each point in the retrieved MLS profiles is accompanied by an estimated “precision” field, taken from

Figure 1. (top) Sample radiances (shown in terms of brightness temperature) from the MLS 240 GHz radiometer. Global average radiances from observations on 24 September 2004 are shown for 8 selected tangent point altitudes ranging from ~7.5 km (purple) to ~45 km (red). The MLS signal is a combination of incoming radiance at frequencies above (upper sideband, upper x axis) and below (lower sideband, lower x axis) the 239.660 GHz local oscillator. The widths of the various MLS spectral channels are denoted by the horizontal bars. The position of O₃, O¹⁸O and CO emission lines are noted. (bottom) Average fit achieved to these radiances by the MLS version 2.2 retrieval algorithms. Some channels are not used in the retrievals, and so are not in the bottom plot (e.g., those around 243.5 GHz upper sideband frequency).

Figure 2. Root-mean-square estimated precision (solid line) and 1-σ scatter (dashed line) seen in the MLS UT/LS (left) O₃ and (right) CO measurements on 17 September 2004 in the 30°S–30°N region.
kernels, is $\sim2.5$ km (essentially the same as the vertical spacing of the retrieval surfaces), while the CO data have poorer $\sim4$ km vertical resolution. For example, the 215 hPa MLS CO values derive $\sim35\%$ of their information from the atmospheric state at 147 hPa. The 316 hPa CO kernel has an unusual shape, indicating that these retrievals are more sensitive to CO at 215 hPa than 316 hPa, and show anticorrelations with CO at higher altitudes.

[21] In the along-track horizontal direction, the O$_3$ product has single-profile resolution ($\sim165$ km) at pressures of 100 hPa and less, with resolution closer to $\sim350$ km at greater pressures. The along-track resolution of the CO observations is $\sim500–600$ km. The cross-track horizontal resolution for both products is defined by the horizontal width of the MLS field of view, which, for the 240 GHz radiometer that measures O$_3$ and CO, is $\sim6$ km.

2.5. Quantification of Systematic Uncertainties

2.5.1. Approach

[22] A major component of the validation of MLS data is the quantification of the various sources of systematic uncertainty. These can arise from instrumental issues (e.g., radiometric calibration, field of view characterization), spectroscopic uncertainty, and through approximations in the retrieval formulation. This section summarizes the relevant results of a comprehensive quantification of these uncertainties, performed for all MLS products. More
For each identified source of systematic uncertainty, its impact on MLS measurements of radiance (or pointing where appropriate) has been quantified and modeled. These modeled impacts correspond to either 2-σ estimates of uncertainties in the relevant parameter(s), or an estimate of their maximum reasonable error(s) based on instrument knowledge and/or design requirements. The impact of these perturbations on retrieved MLS products has been quantified for each uncertainty source by one of two methods.

In the first method, sets of modeled errors corresponding to the possible magnitude of each uncertainty have been applied to simulated MLS cloud-free radiances (based on a model atmosphere) for a whole day of MLS observations. These sets of perturbed radiances have then been run through the routine MLS data processing algorithms, and the comparison between these runs and the results of the “unperturbed” run used to quantify the systematic uncertainty in each case. The impact of the perturbations varies from product to product and among uncertainty sources. In some cases, the perturbation leads mainly to an additive bias in the product; in others, some multiplicative bias may be introduced. In most cases, some additional scatter is also introduced into the data.

Although the term “systematic uncertainty” is often associated with consistent biases and/or scaling errors, many sources of “systematic” error in the MLS measurement system give rise to additional scatter. For example, an error in the \( \text{O}_3 \) spectroscopy, while being a bias on the fundamental parameter, will have an impact on the retrievals of species with weaker signals (e.g., \( \text{CO} \)) that varies according to morphology of atmospheric \( \text{O}_3 \). The extent to which such terms can be expected to average down is estimated to first order by these “full up studies” through their separate consideration of the bias and scatter each uncertainty source introduces.

The difference between the retrieved product in the unperturbed run and the original “true” model atmosphere is taken as a measure of uncertainties due to retrieval formulation and numerics. The potential impact of some remaining (typically small) uncertainties has been quantified through analytic calculation based on simplified models of the MLS measurement system [Read et al., 2007]. These calculations provide only an estimate of the possible multiplicative error introduced, with no bias or scatter quantification.
2.5.2. Results

[27] Figures 5 and 6 summarize the results of this quantification for UTLS O\textsubscript{3} and CO, respectively. These show the magnitudes of expected biases, additional scatters and possible scaling uncertainties the various errors may introduce into the data, and should be interpreted as 2-\(\sigma\) estimates of their likely magnitude.

[28] The contribution of clouds to systematic uncertainty applies only to regions of thick cloud, and has been quantified by adding the effects of scattering from a representative cloud field to the simulated radiances. Retrievals based on these radiances (including the cloud radiance screening approach outlined in section 2.3) have been compared to the unperturbed results. The bias and scatter shown are based on consideration of only the cloudy profiles (as defined by the known amount of cloud in the "true" fields). In the case of UT/LS O\textsubscript{3}, this study indicates a possible cloud-induced bias of \(\sim\pm5\) ppbv with an additional scatter of \(\sim\pm40\) ppbv at 215 hPa (less at smaller pressures). The corresponding impact on CO at 215 hPa is a bias of \(\sim\pm15\) ppbv with an additional scatter of \(\sim15\) ppbv, with smaller impacts at lesser pressures. Both products show much larger cloud impacts (50–80 ppbv) for 316 hPa data.

[29] The retrieval formulation uncertainty (grey lines) mainly reflect the difference between the retrieval of unperturbed simulated radiances and the "true" model atmosphere. In the case of CO, a positive bias of \(\sim30\pm10\) ppbv is seen at 215 hPa, thought mainly to be due to the modeling of spectrally flat "extinction" terms. This bias is likely to directly apply also to real MLS observations. The large bias and scatter this error source introduces into the 316 hPa O\textsubscript{3} data make it unlikely to be useful for scientific study.

[30] Of the remaining uncertainty sources, those related to pointing issues (red), and MLS radiometric calibration (cyan) are the most significant, with contaminating species (blue) also being important for CO. Pointing uncertainties arise from uncertainty in the width of the O\textsubscript{3} lines used to determine limb tangent pressure, and in the vertical offsets between the fields of view of the MLS 118 and 240-GHz receivers. The main component of the uncertainties associated with radiometric calibration originate from the spectral signature introduced in calibrated MLS radiances by departures from a linear response within the signal chains. In addition, standing waves within the MLS instrument contribute significantly to the systematic uncertainty in the 316 hPa CO data (O\textsubscript{3} data at this altitude are less affected).

[31] Overall, this study indicates a potential bias of up to \(\pm25\) ppbv for O\textsubscript{3} at 147 and 215 hPa, with an additional scatter of \(\sim\pm50\) ppbv. For 100 hPa, the bias and scatter are \(\sim\pm50\) ppbv each. Possible multiplicative errors in UT/LS O\textsubscript{3} are 10% at 215 hPa and 5% at smaller pressures. In the case of CO, there are potential biases of roughly \(\pm40, \pm30,\) and \(\pm20\) ppbv at 215, 147 and 100 hPa, respectively, with a scatter of \(\sim10\) ppbv. Possible scaling errors in the UT/LS CO product are around 30%. In scientific studies the accuracy quoted for each MLS data point should be the estimated bias plus the multiplicative error times the retrieved value. These findings are summarized, along with precision and resolution information in Table 1. In some cases, the estimated accuracy quoted is based on comparisons with observations shown later in this paper, rather than on the expectations from this study.

3. "Zero-Order" Validation of MLS UT/LS O\textsubscript{3}/CO

3.1. Overview and Comparisons With Expectations

[32] Figure 7 shows zonal means of \(\sim80\) d of MLS v2.2 O\textsubscript{3} and CO data (distributed roughly evenly among years and seasons). The generally expected structure is seen for...
O$_3$, with larger abundances seen in or closer to the stratosphere. However, the 316 hPa O$_3$ values (not recommended for scientific use) show an unexpected peak in the tropics. The CO also shows expected morphology with low stratospheric abundances and generally larger values lower in the atmosphere. However, the absolute values appear too high compared to expectations at 215 and 316 hPa (in situ observations indicate that, while abundances above 150 ppbv at these altitudes are possible, average values are more typically 50–100 ppbv).

Figure 8 compares these zonal means (and standard deviations) to data from the MOZAIC commercial aircraft data set [Marenco et al., 1998; Thouret et al., 1998; Nedelec et al., 2003, 2005]. MOZAIC observations rarely extend to pressures smaller than 200 hPa. There is encouraging agreement between MLS O$_3$ and MOZAIC observations at 215 hPa. However, MLS CO data at these altitudes exhibit a high bias compared to MOZAIC. MLS CO data at 316 hPa also show a high bias and more latitudinal structure than is seen by MOZAIC, while the 316 hPa MLS O$_3$ data show very little relationship to MOZAIC and generally very unexpected behavior. In all these comparisons, the strong emphasis of midlatitude northern hemisphere observations in the MOZAIC data set should be borne in mind.

MLS radiances observations in the upper troposphere in the 240 GHz region are dominated by emission from O$_3$. The poor quality of the v2.2 MLS 316 hPa O$_3$ implies an inability of the retrievals to correctly interpret the radiances measured at tangent pressures from ~250–316 hPa. This in turn implies that the 316 hPa MLS upper tropospheric CO data is unlikely to be of sufficient quality for scientific use, despite having more reasonable latitudinal variations than O$_3$ (though with a clear high bias). The same inference applies to the 316 hPa MLS HNO$_3$ observations [Santee et al., 2007].

The combination of this inference with the results of the systematic error study in section 2.5 and the unusual form of the 316 hPa CO averaging kernel (see Figure 4) lead to the conclusion that version 2.2 O$_3$ and CO at 316 hPa are not suitable for scientific use. [36] Figure 9 shows histograms of MLS UT/LS O$_3$ and CO observations from ~40 d of observations. The screening by “Quality” and “Convergence” described in section 2.2 discards some unrealistically small (often negative) values of O$_3$ and CO at 215 hPa in the tropics. These poor retrievals probably reflect poorly modeled cloud signatures in the MLS radiances. The O$_3$ histograms clearly show the influence of stratospheric air at high and middle latitudes. While generally good agreement is seen between MLS and MOZAIC O$_3$, the CO histograms show the MLS data to be

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**Table 1. Summary of MLS v2.2 UT/LS O$_3$ and CO Products**

<table>
<thead>
<tr>
<th>Pressure</th>
<th>Resolution, a km</th>
<th>Precision, b ppbv</th>
<th>Bias Uncertainty, ppbv</th>
<th>Scaling Uncertainty</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>MLS v2.2 O$_3$ Product</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>46 hPa and less</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>see Froidevaux et al. [2008]</td>
</tr>
<tr>
<td>68 hPa</td>
<td>3 × 200</td>
<td>±5</td>
<td>±50</td>
<td>±5%</td>
<td></td>
</tr>
<tr>
<td>100 hPa</td>
<td>3 × 200</td>
<td>±40</td>
<td>±50</td>
<td>±5%</td>
<td></td>
</tr>
<tr>
<td>147 hPa</td>
<td>3 × 200</td>
<td>±40</td>
<td>±20</td>
<td>±5%</td>
<td></td>
</tr>
<tr>
<td>215 hPa</td>
<td>3 × 200</td>
<td>±40</td>
<td>±20</td>
<td>±20% c</td>
<td></td>
</tr>
<tr>
<td>316 hPa</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>unsuitable for scientific use</td>
</tr>
<tr>
<td>1000–464 hPa</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>not retrieved</td>
</tr>
<tr>
<td><strong>MLS v2.2 CO Product</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>46 hPa and less</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>see Pumphrey et al. [2007]</td>
</tr>
<tr>
<td>68 hPa</td>
<td>4 × 400</td>
<td>±10</td>
<td>±10</td>
<td>±30%</td>
<td></td>
</tr>
<tr>
<td>100 hPa</td>
<td>4 × 500</td>
<td>±20</td>
<td>±20</td>
<td>±30%</td>
<td></td>
</tr>
<tr>
<td>147 hPa</td>
<td>4 × 500</td>
<td>±20</td>
<td>±30</td>
<td>±30%</td>
<td></td>
</tr>
<tr>
<td>215 hPa</td>
<td>5 × 600</td>
<td>±40</td>
<td>±40</td>
<td>approximately +100% d</td>
<td>see text</td>
</tr>
<tr>
<td>316 hPa</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>unsuitable for scientific use</td>
</tr>
<tr>
<td>1000–464 hPa</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>not retrieved</td>
</tr>
</tbody>
</table>

aVertical × along-track. Cross-track resolution is ~6 km.
bPrecision on individual profiles.
cIndicated by comparisons with other observations described in this and other papers, rather than from the predictions used for other levels, see text for discussion.
dIndicated by comparisons with other observations, rather than from the predictions used for other levels, see text for discussion.

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**Figure 7.** Zonal means from ~80 d (distributed across seasons and years) of MLS v2.2 O$_3$ and CO data in the UT/LS region.
Figure 8. Zonal means (left hand axes) of MOZAIC (left) $O_3$ and (right) CO data for 2004 and 2005 (solid line plus grey shading indicating standard deviation), compared to the MLS zonal means shown in Figure 7 (points, with “error bars” indicating standard deviation). The dashed lines indicate the number of MOZAIC measurements that formed the averages (right hand axes). The “316 hPa” MOZAIC data is an average of all the measurements from 383 to 261 hPa, while the “215 hPa” values are the 261–177 hPa average. Uncertainties on the MOZAIC observations are 2 ppbv precision, 2% accuracy for $O_3$, and 5 ppbv precision, 5% accuracy for CO.

Figure 9. Histograms of all the MLS UT/LS $O_3$ and CO data for ~80 d (distributed across seasons and years) in three latitude bins (tropics, midlatitudes and polar regions). The light grey histogram shows all the MLS data for which the “Status” field is an even number and the precision field is positive. The darker grey region shows those values meeting the “Quality” and “Convergence” screening described in section 2.2. The black lines show the comparable histogram for 2004–2005 MOZAIC data (renormalized vertically to fit the same scale).
generally high compared to MOZAIC, with a generally larger dynamic range of values. In the midlatitudes around 215 hPa (where the bulk of the MOZAIC data were taken) a significant fraction of the CO observations indicate abundances larger than 150 ppbv. The MLS histogram in this region shows a somewhat similar tail, albeit with a clear bias toward higher values. The fact that a similar tail is not seen in MOZAIC data at 215 hPa in the tropics (a region where convective transport of polluted air is likely to be more frequent) may simply reflect the highly sparse nature of MOZAIC observations at these latitudes.

3.2. Validity of MLS CO Morphology

The high bias in v2.2 215 hPa MLS CO data indicate that the morphology, while reasonable, needs specific validation. Quantification of the CO signature in raw MLS radiance measurements is one way to gain confidence. The 240 GHz region observed by MLS is dominated by emission from ozone, along with dry air and water vapor continua. The $^{12}/^{13}$C brightness temperature CO feature is small compared to these signatures, and can only be discerned after these other contributions are characterized and removed.

Here, we repeat the analysis performed in Filipiak et al. [2005] (for a different time period) and extend it to consider MLS CO observations at 215 hPa. MLS v2.2 CO observations for 17–19 September 2004 are shown in Figure 10 (top). Clear enhancements in CO at 147 and 215 hPa are seen over central Africa and southern Asia (region A), and also off the west coast of central America. Our analysis considers MLS radiance observations in this period from band 9 (the CO band). The continuum contributions can be largely removed by subtracting the signal seen in a nearby window region (MLS band 33 channel 3). The remaining dependence of the band 9 signal on ozone can be estimated from the behavior of the MLS radiances in the 25°S–25°N, 150°E–150°W region (region B in Figure 10), where MLS reports lower abundances of CO with little significant morphology. In this region, the band 9 radiances show very good correlation with those in channel 25 of band 7, a channel sensitive to UT/LS O$_3$ but insensitive to CO abundances and only weakly sensitive to stratospheric ozone (correlation coefficients are generally greater than 0.85, except for channels closer to the CO line center, which are more strongly affected by mesospheric CO signatures). Accordingly, a least-squares linear fit between each band 9 channel and band 7 channel 25 in this region can be used to deduce and subtract the band 9 ozone signature in other regions.

Figure 11 compares average MLS radiance observations in regions A and B and shows that the difference between these, once the continuum and ozone signatures are removed, has a clear CO signature (departure at the low-frequency end is due to O$_3$ signatures). A simple metric of CO abundance can be defined as the average radiance in this signature seen in channels 1–7 and 16–21 (channels 8–15 are affected by strong emission from mesospheric CO, while channels 22–25 are strongly affected by ozone). Figure 10 (bottom) shows a map of this metric at 147 and 215 hPa for comparison with the CO maps in the Figure 10 (top). The overall morphology in this metric compares well with the CO maps in the Figure 10 (top).
with that seen in the v2.2 MLS CO data. The fitting of the
O$_3$ signature based on tropical radiances (region B) is not
generally applicable to other latitudes because of differences
introduced from changes in stratospheric ozone and the
contributions of the moist and dry-air continua. This metric
is therefore only applicable to tropical areas.

3.3. Comparison With MLS v1.5 Data

This paper describes MLS UT/LS O$_3$ and CO data produced by version 2.2 of the MLS data processing
algorithms. The previous version of MLS data, v1.5, has
been produced for the majority of days from August 2004 to
the end of February 2007, and has been used in a large
number of scientific studies. Version 1.5 CO data have
formed the basis of several scientific papers, including the
discovery of a “tape recorder” signal [Schoeberl et al.,
2006a], a study of transport paths into the stratosphere [Fu
et al., 2006], quantification of the influence of convection
on upper tropospheric composition [Folkins et al., 2006],
and the trapping of polluted air in the upper troposphere [Li
et al., 2005].

[41] The main difference between the v1.5 and v2.2 UT/LS
O$_3$ and CO data is a dramatic reduction of the “spikes” seen
in the v1.5 data due to the impact of thick clouds on the MLS
radiances. This was achieved by using an atmospheric
extinction term rather than a radiance baseline term to retrieve
the spectrally broad signatures of clouds [Livesey et al.,
2006].

[42] Figures 12 and 13 compare 1 May 2006 v1.5 and
v2.2 data for UT/LS O$_3$ and CO, respectively. O$_3$ shows
generally good agreement between the two versions. The
CO, by contrast, is markedly improved in v2.2 over the
everlier v1.5 product. Radiance signatures of thick clouds led
to very high anomalous values of CO in v1.5, many of
which were not identified as suspect by the retrieval
algorithms. In v2.2 there are far fewer anomalous values
and very few clearly unrealistic values not identified as bad
by the retrieval. While v1.5 reported very high values of CO
in cases of cloud contamination, v2.2 generally reports low
or negative values (somewhat similar behavior is seen in the
O$_3$ product). V2.2 CO data show smaller difference between
the tropics and midlatitudes at 147 hPa than is seen in v1.5,
bringing the data into better agreement with models such as
GEOS-CHEM [Bey et al., 2001]. The underlying high bias
in v2.2 215 hPa CO was apparent also in the v1.5 data,

Figure 12. Comparison of MLS v1.5 and v2.2 O$_3$ data for
1 May 2006. (left) Data at 215 hPa and (right) 147 hPa data.
(top) MLS v1.5 O$_3$ data as a function of latitude. Black
points meet all the screening criteria given for v1.5 O$_3$ data
[Livesey et al., 2005]. Blue points are those v1.5 O$_3$ points
where quality >0.1 or status was a nonzero even number,
indicating cloud contamination (points with negative
precision or odd values of status are completely neglected
in all these plots). (middle) Equivalent for v2.2 O$_3$, with the
green points being those where quality >1.2 or convergence
<1.8 (see section 2.2, the status = 0 criteria is not required
for v2.2 data). (bottom) Scatterplot of the v2.2 data (y axis)
against v1.5 (x axis) with black points indicating data that
was “good” in both data sets, blue and green indicating data
marked bad by v1.5 and v2.2, respectively, and orange
symbols used for points identified as “bad” in both
versions.

Figure 13. As Figure 12 but for CO. Here the v1.5
screening criterion was Quality >0.05, and the v2.2 screening
criteria are the same as for O$_3$.  

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where it was exacerbated by the anomalously large values associated with unreported cloud contamination.

4. Comparisons With Other Observations

[43] The validation of Aura observations has been the focus, or partial focus, of several aircraft campaigns since the Aura launch. The Polar Aura Validation Experiment (PA VE) during January/February 2005 consisted of multiple flights of the NASA DC-8 to high latitudes over North America. Measurements from this campaign include vertical profiles of ozone above and below the aircraft from Light Detection and Ranging (LIDAR) instruments and in situ observations of both O$_3$ and CO. The April/May 2006 Intercontinental Chemical Transport Experiment (INTEX-B) campaign made similar observations (among many others) from the same aircraft in the Northern Pacific (generally at lower altitudes). The Houston deployments of the Aura Validation Experiment (AVE) in October/November 2004 and January/February 2005 and the Costa Rica AVE (CR-AVE) deployment in March 2006 provided in situ measurements of several MLS species, including O$_3$ and CO from the NASA high-altitude WB-57 aircraft in the tropical upper troposphere and lower stratosphere.

[44] Comparisons with data from other satellite sensors are also possible in the UT/LS region. Froidevaux et al. [2008] compares MLS v2.2 O$_3$ data with observations from the Stratospheric Aerosol and Gas Experiment (SAGE) instruments. Similarly, Pumphrey et al. [2007] compare MLS v2.2 CO data with observations from the Canadian ACE satellite.

4.1. Comparisons With Airborne LIDAR O$_3$ Data

[45] During the PAVE and INTEX-B campaigns, the DC-8 payload included two LIDAR instruments measuring ozone. The Differential Absorption Lidar (DIAL) instrument

![Figure 14](image1.png)

**Figure 14.** Summary of all the MLS/DIAL LIDAR ozone comparisons. Each data point compares one MLS retrieved O$_3$ value (y axis) with an estimate from a least-squares fit to INTEX-B LIDAR data. The error bars denote the MLS precision estimates. Different colors are used to denote different DC-8 flights, see Figure 15 for details. The number of points (N), correlation coefficient (r) and linear fit gradient (m) are quoted for each panel.

![Figure 15](image2.png)

**Figure 15.** Maps showing the DC-8/MLS coincidences during the (top) PAVE and (bottom) INTEX-B missions. Colors are as used in Figures 14 and 20. The 22 March 2006 flight in the INTEX-B campaign which was a transfer from Houston to NASA-AMES is not shown.
[Browell et al., 1990, 1998] observes ozone above and below the aircraft, while the Airborne Raman Ozone, Temperature and Aerosol LIDAR (AROTAL) looks only upward [McGee et al., 1993, and references therein]. The AROTAL and DIAL observations during PAVE were focused on validation of stratospheric ozone, and are discussed by Froidevaux et al. [2008]. This paper considers the DIAL measurements of O₃ during INTEX-B.

Figure 14 shows a comparison of MLS observations with the results of this fit for all the INTEX-B DIAL coincidences (corresponding flight tracks are shown in Figure 15 (right)). Good agreement is seen for the high values at 215 hPa, mainly corresponding to stratospheric observations from the 7 May 2006 flight. The agreement of the few useful points at 147 hPa is somewhat encouraging but far from definitive. The strong vertical gradient in O₃ in this region, in combination with proximity to the upper altitude limit of the DIAL data, can lead to additional ambiguities associated with the extrapolation introduced by the least-squares fitting. The large scatter generally seen in these comparisons compared to the MLS error bars may reflect atmospheric variability seen by MLS but not captured by the aircraft. This includes variations across the MLS line of sight (i.e., perpendicular to the DC-8 flight track) and unsampled variability at the altitudes where the DC-8 data represents an interpolation between the DIAL lidar and the FASTOZ in situ measurements.

4.2. Comparisons With in Situ Aircraft Data

4.2.1. WB-57 Ozone Comparisons

Figure 16. Summary of all MLS/WB-57 O₃ comparisons. Points are individual MLS O₃ values (y axis) compared to average nearby in situ observations (x axis). The y axis error bars show the estimated precision of MLS O₃. The x axis error bars show the variability seen in the WB-57 observations as described in the text. Different colors denote different flights, as shown on Figure 17. The solid line is the least-squares linear fit to the data, and the dashed line shows the one-to-one correlation line. The number of points (N), correlation coefficient (r) and linear fit gradient (m) are quoted for each panel. In addition, two normalized χ² metrics are shown, one for a one-to-one correspondence, the other for the linear fit.

Figure 17. Map showing all the WB-57/MLS coincidences during the AVE missions. Colors are as used in Figures 16, 18, and 19.
to the MLS grid is applicable to in situ observations, such fits are very unstable, because of the sparse nature of the aircraft observations. Instead, for all in situ comparisons considered here, we simply compare the MLS data to the average (in mixing ratio space) of all the in situ data points that fall within a 6/decade vertical, 1.5° great circle angle "box" centered on the MLS point. Points where the aircraft departed from the MLS measurement track by more than 100 km or 24 h were discarded. A summary of all the comparisons of MLS with NOAA O\textsubscript{3}WB-57 data is shown in Figure 16, along with summary statistics for each altitude. Flight tracks for all these comparisons are given in Figure 17.

[50] In addition to the usual linear fitting metrics (correlation coefficient, gradient and intercept) we have also computed \( \chi^2 \) statistics for each of these comparisons. These factor in the uncertainty on both the MLS and aircraft data in quantifying "goodness of fit." The "uncertainty" ascribed to the aircraft data (x axis error bars in the figures) arises not from the raw data themselves, but from trying to compare collections of point measurements to the average over the \( \sim 500 \times 4 \times 6 \) km volume observed by MLS. The extent to which the multiple aircraft observations within a "box" are representative of the average mixing ratio in that box is hard to quantify, as the amount of atmospheric variability within the box is not completely captured. Simply considering the standard deviation of the in situ data within each box would lend undue weight to those cases where the aircraft sampled only a small fraction of the box. Instead, we have approximated an uncertainty due to this effect as the largest standard deviation (i.e., variability) seen by the aircraft within any of the boxes at a given pressure level for a given flight. For each fit, we show the \( \chi^2 \) statistics, normalized by the number of degrees of freedom, such that values around unity indicate good fits while larger numbers indicate poor agreement.

4.2.2. WB-57 and DC-8 CO Comparisons

[51] The WB-57 payload also included the Argus and "Aircraft Laser Infrared Absorption Spectrometer" (ALIAS) [Webster et al., 1994] instruments, which provided CO observations. The PAVE and INTEX-B DC-8 missions included CO observations from the Differential Absorption CO Measurements (DACOM) instrument. Figures 18, 19, and 20 summarize these in the same manner as Figure 16, with flight tracks shown in Figures 15 and 17.

[52] Unlike for O\textsubscript{3}, the observed variability in UT/LS CO is small compared to the \( \sim 20 \) ppbv precision on individual MLS data points. This makes it hard to draw definitive conclusions from the in situ comparisons. Many of the

Figure 18. As Figure 16 except comparing MLS CO with WB-57 Argus observations. The estimated absolute accuracy for Argus data is 2%, traceable to CMDL standards.

Figure 19. As Figure 16 comparing MLS CO to measurements from WB-57 ALIAS measurements during the Costa Rica AVE campaign.
Figure 20. As for Figure 16 except comparing MLS CO to observations from DACOM on the NASA DC-8. The large bias seen here is consistent with the Argus and ALIAS comparisons at 215 hPa indicating a factor of ~2 high bias. The colors used for the different flight days are described in Figure 15, except for magenta which is for a 22 March INTEX-B transfer flight.

Argus and ALIAS comparisons at 147 hPa lie within 1-σ of the one-to-one line, with the majority within 2-σ. The largest differences (50–100 ppbv) are seen in the 215 hPa comparisons. At these altitudes, MLS also consistently reports values ~50–100 ppbv higher than are seen by DACOM. All the comparisons at 215 hPa (notably those with DACOM) show results consistent with the comparisons shown earlier (e.g., with MOZAIC) indicating a factor of ~2 high bias in the MLS observations. There is a notable lack of correlation between MLS and DACOM for the 31 January 2005 PAVE and 1 May 2006 INTEX-B DC-8 flights. We note, however, that neither flight was targeting MLS validation and the coincidences are poorer than for other comparisons.

[53] Drawing more quantitative conclusions is challenging. In all cases, χ² statistics imply no significant departures from a 1-1 relationship for the MLS data at 147 hPa or lesser pressures. Highly significant departures from a 1-1 relationship are indicated for all the 215 hPa MLS v2.2 CO comparisons, but no significant departures are seen from the individual linear fits. These comparisons highlight the difficulties associated with comparing measurements with disparate precisions and vastly different sampling volumes using only a few data points.

5. Summary, Conclusions, and Future Plans

[54] Version 2.2 of the MLS data processing algorithms produce O₃ and CO profiles that are scientifically useful in the upper troposphere/lower stratosphere at pressures of 215 hPa and smaller. However, in the case of the 215 hPa CO product, the observed factor of ~2 high bias compared to other observations needs to be borne in mind, and studies generally limited to considerations of morphology only. The vertical resolution for the O₃ product is ~2.5 km, with ~4 km for CO. The cross-track horizontal resolution for both products is ~6 km and along-track is ~100–350 km for O₃, and ~500–600 km for CO. Individual profiles (spaced ~165 km along the MLS track) have a typical precision in the UT/LS of 20–40 ppbv for O₃ and 15–40 ppbv for CO. When using MLS data in scientific studies, care must be taken to screen the data according to the rules given in section 2.2 [Livesey et al., 2007].

[55] The expected resolution, precision and accuracy of the MLS v2.2 O₃ and CO data are summarized in Table 1. The accuracy to be used in scientific studies is given by the bias uncertainty plus the value times the scaling uncertainty. The overall error bar to use for a point is this accuracy plus the precision as scaled according to the number of points that go into a given average.

[56] Comparisons with expectations and other observations generally corroborate the scientific usefulness of these products. The O₃ data show good agreement with expectations and observations, broadly in line with the systematic error study above. The most notable anomaly is the significant (factor of ~2) high bias in the MLS CO product at 215 hPa. This is inconsistent with the systematic error budget described in section 2.5 that estimated a possible ±40 ppbv, ±30% bias. This clearly indicates limitations in our error quantification. This disconnect may lie in the assumptions of linearity used in the quantification of some of the smaller error sources. The MLS radiance signatures in the upper troposphere generally exhibit nonlinear dependence on the atmospheric state. This issue will be the focus of future study.

[57] The comparisons described here show no evidence for a bias in the MLS v2.2 O₃ at 215 hPa larger than ~15%. However, we note that comparisons with SAGE [Froidevaux et al., 2008] and radiosondes [Jiang et al., 2007] indicate a ~20% high bias in MLS v2.2 O₃ at 215 hPa at mid and high latitudes, although comparisons with ground based LIDAR [Jiang et al., 2007] show good agreement in this region. Given these disagreements we ascribe an accuracy of ±20 ppbv and ±20% to the v2.2 MLS 215 hPa O₃.

[58] The bias in the v2.2 MLS upper tropospheric CO data compared to other observations clearly needs further investigation. Further studies are needed to ascertain the nature of this bias (absolute offset, scaling error etc.). More detailed comparisons with MOZAIC and other satellite observations will yield more insight here. Understanding the origin of the CO bias and hopefully correcting it is an important goal for future versions of the MLS data processing algorithms. Planned research will also seek to extend the useful range of these data lower in the troposphere, and further reduce the sensitivity to contamination by thick clouds.

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