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## **CONCISE REPORT**

# No evidence of an association between mitochondrial DNA variants and osteoarthritis in 7393 cases and 5122 controls

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#### **ABSTRACT** published online only. To view

**Objectives** Osteoarthritis (OA) has a complex aetiology with a strong genetic component. Genome-wide association studies implicate several nuclear genes in the aetiology, but a major component of the heritability has yet to be defined at the molecular level. Initial studies implicate maternally inherited variants of mitochondrial DNA (mtDNA) in subgroups of patients with OA based on gender and specific joint involvement, but these findings have not been replicated.

Methods The authors studied 138 maternally inherited mtDNA variants genotyped in a two cohort genetic association study across a total of 7393 OA cases from the arcOGEN consortium and 5122 controls genotyped in the Wellcome Trust Case Control consortium 2 study. **Results** Following data quality control we examined 48 mtDNA variants that were common in cohort 1 and cohort 2, and found no association with OA. None of the phenotypic subgroups previously associated with mtDNA haplogroups were associated in this study.

**Conclusions** We were not able to replicate previously published findings in the largest mtDNA association study to date. The evidence linking OA to mtDNA is not compelling at present.

#### **INTRODUCTION**

Osteoarthritis (OA) is the most common age-related joint disease. The pathogenesis of OA is complex, with several environmental and genetic factors implicated in the aetiology.<sup>1</sup> Genome-wide association studies implicate several nuclear genes in the risk of developing OA, but a major component of the heritability remains unexplained.<sup>2</sup>

Mitochondria are intracellular organelles involved in the synthesis of ATP, the principal source of energy within cells. Several lines of evidence implicate mitochondrial dysfunction in the pathogenesis of OA, including the disruption of respiratory chain activity in chondrocytes,<sup>3</sup> the increased production of reactive oxygen species which compromise chondrocyte function  $^{4\ 5}$  and a central role in one apoptotic pathway.<sup>6</sup>

Mitochondria contain multiple copies of the 16.5 kb mitochondrial genome (mitochondrial DNA (mtDNA)). MtDNA codes for 13 essential respiratory chain proteins and the RNAs required for intramitochondrial protein synthesis. Point mutations of mtDNA compromise oxidative phosphorylation and are a major cause of human disease.<sup>7</sup> This raises the possibility that more subtle polymorphic variants contribute to genetic susceptibility of common complex traits, including OA. Being strictly maternally inherited, mtDNA undergoes negligible intermolecular recombination. Specific sequence variants that occurred 10-40 000 years ago define clades of the European mtDNA phylogeny called mtDNA haplogroups, each containing both distinct and shared genetic variants.<sup>8</sup> Two studies have reported an association between different mtDNA haplogroups and OA, with one describing a reduced risk of knee OA with haplogroups J (defined by m.4216T>C and m.10398A>G) and JT (m.4216T>C), and another describing a reduced risk of hip OA with haplogroups J (defined by m.4216T>C and m.10398A>G) and J1c (m.14798T>C).<sup>9</sup> However, each study lacked the power and resolution to reliably detect an association with all but the most common haplogroups. Moreover, despite being from the same geographic location, the studies reported different haplogroup associations with different joint involvement in OA for specific genders.<sup>9</sup> <sup>10</sup> While these data support the potential role of mtDNA in determining OA risk, the absence of direct replication means that the role of mtDNA in OA is thus uncertain, and the joint-specific risk is difficult to explain based on the proposed mechanism. To address this issue, we carried out a two phase study of 138 mtDNA variants in 7393 OA cases and 5122 controls as part of the arcOGEN consortium.

#### **METHODS**

We performed a two stage genetic association study. The cases were part of the arcOGEN study, and the controls were part of the Wellcome Trust Case Control Consortium 2 (WTCCC2) study,

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Additional data are

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both ascertained as described previously.<sup>2 11</sup> In cohort 1, 3093 cases (ARC1) were compared with 2640 controls from the 1958 Birth Cohort (WTCCC-58C). In cohort 2, 4300 cases (ARC2) were compared with 2482 UK Blood Service controls (WTCCC-NBS). All cases were genotyped using the Illumina Human610 platform (Illumina, San Diego, California, USA). All controls were genotyped on the Illumina 1.2M Duo platform (Illumina). Individuals were excluded from subsequent analysis if data were absent in >10% of single nucleotide polymorphism (SNP) (cohort 1 cases=0 and WTCCC-58C=44 and cohort 2 cases=0 and WTCCC-NBS=11) and SNPs were excluded if >10% of genotypes were absent (cohort 1=5 and cohort 2=4).<sup>11</sup> We excluded 30 SNPs (cohort 1=15 and cohort 2=21) with a study-wide missing data rate >5% or >1% for SNPs with a study-wide minor allele frequency (MAF) <5%.<sup>11</sup> Finally, 64 SNPs with MAF<1% were removed (cohort 1=53 and cohort 2=64). Subsequent analysis was restricted to a concordant dataset of 62 mtDNA variants passing quality control (QC) in both phases. Differential missingness tests between cases and controls revealed significant differences in 14 SNPs  $(p = <10^{-4})$  reducing the final number of experimental SNPs to 48. Statistical significance was defined as p < 0.05 in both phases.  $\chi^2$  And missingness were computed using PLINK v 2.050 (http://pngu.mgh.harvard.edu/purcell/plink/).<sup>1</sup>

Array genotypes were used to identify mtDNA haplogroupspecific sequence motifs, when compared with the mtDNA reference sequence (see online supplementary materials and table S1), allowing 98.48% of subjects to be successfully assigned to a European haplogroup.

A principal components analysis (PCA )<sup>13</sup> was performed on the X : Y ratios of raw intensities for all SNPs for the combined dataset of cases (n=7393) and controls (n=5122) using R. The k-means function of the R cluster package was used to cluster individuals into 5, 10, 15 and 20 groups using these PCA scores.

#### **RESULTS**

Using established QC criteria<sup>14</sup> we rejected 90 SNPs, leaving 48 in both cohorts. There was no association between any mtDNA variant passing QC and OA. Stratifying by gender, joint involvement (knee or hip) and method of case ascertainment (radiograph or arthroplasty) failed to reveal any significant associations (see online supplementary table S2).

We then studied mtDNA haplogroups using two independent approaches: (1) manual haplogroup calling based on phylogenetics (for methods see online supplementary table 1) and

(2) hypothesis-free approach based on raw fluorescence intensities in a principal components analysis. Analysis of mtDNA haplogroups between cases and controls failed to replicate previous studies (table 1). Stratifying by gender, joint involvement (knee or hip) and method of case ascertainment (radiograph or arthroplasty) failed to reveal any significant associations with mtDNA haplogroups (see online supplementary table S3). PCA analysis identified the main mtDNA haplogroups previously identified through phylogenetic analysis. These appear as clusters in figure 1, where each cluster represents a major European mtDNA haplogroup. However, there was no significant difference in cluster membership for any of the disease or control cohorts, or when the cases and controls were pooled (figure 1, online supplementary table S4). The data were only pooled after confirming that the allele frequencies were not significantly different between the two case groups or between the two control groups.

### **DISCUSSION**

The previously reported haplogroup associations were with specific subgroups of OA patients, subcategorised based on gender and/or specific joint involvement.<sup>9</sup>  $^{10}$  Our study had >99% power to detect these associations, and there was no significant difference in the frequency of the previously associated SNPs between controls in the published studies  $^{9\ 10}$  and the controls used in our study. The previously published results were based on substantially smaller study groups than the ones we describe here, raising the possibility that the published findings are a false positive finding. On the other hand, the relative contribution of specific mtDNA variants could vary in different ethnic groups, possibly through an interaction with environmental factors and different nuclear genes.<sup>15</sup> In practice, this means that the specific mtDNA variants which fail to show an association with disease in this study could be associated with disease in a different ethnic population. Geographic variation in allelic association could also arise through homoplasy. Homoplasy is the recurrence of mutations on different branches of the mtDNA phylogeny in different parts of the world. Homoplasy accounts for up to 20% of mtDNA variation, and often involves non-synonymous substitutions.<sup>16</sup> This raises the possibility that haplogroup markers tag different homoplastic functional variants in different populations. If the homoplasies are having a functional effect, then this would lead to different haplogroup associations in different studies across the globe. Finally, it is possible that geographic differences in the fine detail of the sub-haplogroup structure of mtDNA could account for inconsistencies between studies, as

Table 1	Frequency of mitochondrial DNA	(mtDNA) haplogroups in	n osteoarthritis and control subjects
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Hap	F ACR1	F WTCCC-58C	Р	F ARC2	F WTCCC-NBS	Р	F cases	F control	p Value
Н	1375 (44.5)	1160 (43.9)	5.97E-01	1887 (43.9)	1080 (43.5)	6.36E-01	3262 (44.1)	2240 (43.7)	6.67E-01
V	107 (3.5)	84 (3.2)	5.40E-01	133 (3.1)	86 (3.5)	4.25E-01	240 (3.2)	170 (3.3)	8.42E-01
J	335 (10.8)	311 (11.8)	2.81E-01	527 (12.3)	292 (11.8)	5.02E-01	862 (11.7)	603 (11.8)	8.25E-01
Т	309 (10.0)	266 (10.1)	9.56E-01	449 (10.4)	227 (9.1)	7.50E-02	758 (10.3)	493 (9.6)	5.39E-01
U	440 (14.2)	334 (12.7)	7.20E-02	549 (12.8)	366 (14.7)	2.70E-02	989 (13.4)	700 (13.7)	7.35E-01
К	280 (9.1)	241 (9.1)	9.59E-01	362 (8.4)	216 (8.7)	7.32E-01	642 (8.7)	457 (8.9)	8.20E-01
W	56 (1.8)	46 (1.7)	8.30E-01	91 (2.1)	56 (2.3)	7.26E-01	147 (2.0)	102 (2.0)	3.38E-01
Х	50 (1.6)	46 (1.7)	7.26E-01	76 (1.8)	35 (1.4)	2.53E-01	126 (1.7)	81 (1.6)	8.27E-01
I	88 (2.8)	99 (3.8)	5.80E-02	131 (3.0)	80 (3.2)	7.13E-01	219 (3.0)	179 (3.5)	1.19E-01
0	53 (1.7)	53 (2.0)	4.22E-01	95 (2.2)	44 (1.8)	2.11E-01	148 (2.0)	97 (1.9)	6.31E-01
Missing	6	59		11	19		17	78	

Haplogroups determined manually, as described in the Methods section and online supplementary table S1.

Uncorrected  $\chi^2$  comparison of haplogroup frequencies showing: (i) cohort 1 (*ARC1*, n=3093 and controls *WTCCC-58C*, n=2640); (ii) cohort 2 (*ARC2*, n=4300 and controls *WTCCC-NBS*, n=2482); and (iii) pooled arcOGEN cases (n=7393) and controls (n=5122). Hap=mtDNA haplogroup, *F* = frequency (percentage in brackets) and p=uncorrected Pearson's  $\chi^2$  probability. For study and control group acronyms see the Methods section.

### **Basic and translational research**



**Figure 1** Principal components analysis (PCA) performed on raw fluorescent intensities in the pooled data set of 7393 osteoarthritis (OA) cases and 5122 controls. The PCA provided independent confirmation of the European haplogroup structure. There was no significant difference in the cluster distribution between OA cases and controls (online supplementary table S4). 58C, WTCCC MRC 1958 birth cohort control genotypes; ARC1, arcOGEN cohort 1; ARC2, arcOGEN cohort 2; NBS, WTCCC national blood transfusion service control genotypes; WTCCC, Wellcome Trust Case Control Consortium. This figure is only reproduced in colour in the online version.

described for Leber's hereditary optic neuropathy, where subbranches of haplogroup J are associated with either an increased or decreased risk of visual failure in different populations, largely due to specific differences in the cytochrome B protein sequence.<sup>17</sup> However, in the largest mtDNA association study to date, we found no association between OA and the major European mtDNA haplogroups in either cohort. If an association does exist between OA and mtDNA, it is likely that this will only be resolved through extensive genotyping, ideally at the whole mtDNA level, in a much larger cohort of cases and controls and should be consistent in more than one geographic region.

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#### Competing interest None.

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