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#### Citation for published version:

Tostevin, R, Clarkson, MO, Gangl, S, Shields, GA, Wood, R, Bowyer, F, Penny, A & Stirling, CH 2019, 'Uranium isotope evidence for an expansion of anoxia in terminal Ediacaran oceans', Earth and Planetary Science Letters. https://doi.org/10.1016/j.epsl.2018.10.045

#### **Digital Object Identifier (DOI):**

10.1016/j.epsl.2018.10.045

#### Link:

Link to publication record in Edinburgh Research Explorer

**Document Version:** Peer reviewed version

**Published In:** Earth and Planetary Science Letters

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1 2	Uranium isotope evidence for an expansion of anoxia in terminal Ediacaran oceans
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16	
17	Keywords: redox; uranium; Ediacaran; oxygen; animals
18	Abstract
19	Anoxic and iron-rich oceanic conditions prevailed throughout most of the Archean
20	and Proterozoic (4000 to c.540 million years ago, Ma), but the oceans are
21	hypothesised to have become progressively oxygen-rich during the Ediacaran-
22	Cambrian transition interval, coincident with the rise of animal life. We utilise the
23	uranium isotope ratio of seawater ( $^{238}U/^{235}U$ ; reformulated as $\delta^{238}U$ ), an effective
24	tracer of oceanic redox conditions, as a proxy for changes in the global proportion of
25	anoxic seafloor. We present a new $\delta^{238}$ U dataset for carbonate rocks from the Lower
26	Nama Group, Namibia, deposited in a shelf ramp succession during the terminal
27	Neoproterozoic (~550 to ~547 Ma). These data have persistently low $\delta^{238}$ U (average
28	= $-0.81 \pm 0.06\%$ ) compared with the signature of modern day seawater. Such low

 $\delta^{238}$ U are consistent with enhanced U drawdown from the water column under anoxic 29 conditions, and the preferential export of 'heavy' <sup>238</sup>U to sediments following U(VI)-30 U(IV) reduction. Placing our results into a steady state ocean box model suggests at 31 32 least a third of the global seafloor was covered by anoxic bottom waters compared with only 0.3% in today's oxygenated oceans. Comparison with  $\delta^{238}$ U from older 33 34 sediments deposited in other basins further supports an expansion of anoxic bottom 35 waters towards the end of the Ediacaran. Our data are consistent with an emerging 36 picture of a dominantly anoxic Ediacaran ocean punctuated by brief ocean 37 oxygenation events. In the Nama Group, the transition towards globally widespread 38 anoxic conditions post-dates the first appearance of both skeletal metazoans and soft-39 bodied fauna of the Nama Assemblage. This suggests that the global expansion of 40 anoxia did not coincide with the decline of the Ediacaran biota, or drive the biotic 41 turnover between the White Sea and Nama Assemblages. The impact of this global 42 redox change on metazoan ecosystems is unclear, since the expansion of anoxia, if 43 contained mainly within deeper waters, may not have impinged significantly upon 44 continental shelves that host the majority of biodiversity.

45

#### 46 **1. Introduction**

The oxygen-deficient Proterozoic oceans (2500 to c.540 million years ago, Ma) were characterised by ferruginous (anoxic and iron rich) conditions, with oxygenated surface waters and occasional euxinia (anoxia and free-H<sub>2</sub>S) at middepths (Poulton and Canfield, 2011). The oceans are thought to have become progressively oxygenated in the Cryogenian, Ediacaran and Cambrian Periods (720 -485 Ma), coincident with the rise of animal life (Canfield et al., 2007; Planavsky et al., 2014; Sahoo et al., 2012). However, the overall trend towards more oxygenated 54 conditions has been difficult to constrain, because reconstructions have relied on 55 localised redox proxies that record heterogeneous oceanic conditions among 56 Neoproterozoic basins. Deepwater oxygenation has been recorded as early as 580 Ma 57 in some basins, but others remained largely anoxic into the early Phanerozoic 58 (Bowyer et al., 2017; Canfield et al., 2007; Wood et al., 2015). In particular, a recent 59 compilation of Fe-speciation data, which records regional anoxia, from sediments 60 deposited below storm wave base in multiple basins, finds no statistically significant 61 trend towards oxygenation across the Ediacaran–Cambrian transition period (Sperling 62 et al., 2015). Together, this suggests that widespread deep water oxygenation did not 63 occur until the Palaeozoic Era. However, such reconstructions based on localised 64 redox proxies have only limited potential to constrain global trends in marine redox 65 conditions due to incomplete coverage, bias in preserved facies, and hydrodynamic 66 controls on local redox conditions that do not relate to changes in atmospheric oxygen 67 levels.

68 Attempts to constrain the global extent of atmospheric and ocean oxygenation 69 commonly are based on i) constraints on the burial of organic carbon and sulfide using carbon ( ${}^{13}C/{}^{12}C; \delta^{13}C$ ) and sulfur isotopes ( ${}^{34}S/{}^{32}S; \delta^{34}S$ ), ii) sedimentary 70 71 enrichments or depletions in the redox-sensitive elements (e.g. uranium, vanadium, 72 cerium, iodine and molybdenum), and iii) compositional shifts in various redoxsensitive metal stable isotope systems (e.g. chromium, selenium and molybdenum). 73 74 However, these systems produce conflicting results for the onset of ocean 75 oxygenation, with a very broad range that spans almost 300 Myr, from ca. 800 to 520 76 Ma (Fike et al., 2006; Kendall et al., 2015; Planavsky et al., 2014; Sahoo et al., 2012; 77 Stolper and Keller, 2018). These conflicting findings may in part be due to the unique 78 reduction potential of each system, each of which would have been surpassed

79 progressively, and their different oceanic residence times which affect the timescale 80 of response. Enrichments in redox sensitive trace metals in black shales suggest that 81 rather than a single, unidirectional step change in oxygenation, the oceans instead 82 remained broadly anoxic throughout the Neoproterozoic, but hosted a series of large 83 perturbations, dubbed 'ocean oxygenation events (OOEs)' (Sahoo et al., 2016). 84 The timing of oceanic oxygenation is significant as it is hypothesised to have coincided with the rise of macroscopic metazoan life (Canfield et al., 2007). Body 85 86 fossils of putative metazoans are first recorded ~571 Ma (Pu et al., 2016), but 87 burrowing animals did not appear until after 560 Ma (Budd and Jensen, 2017). The 88 earliest skeletal macrofossils appear globally ~550 Ma (Germs, 1983). The 89 development of hard body parts is energetically costly, and explanations for the 90 abrupt and globally synchronous emergence of biomineralisation have included 91 ecological factors such as a rise in predation, and environmental factors, such an 92 increase in alkalinity, or increased oxygen availability (Hua et al., 2003; Wood et al., 93 2017). Proxies that record the global extent of anoxia are required to investigate 94 relationships between the innovation and distribution of biota, and major 95 environmental change. 96 Using techniques in multiple-collector ICP-MS (MC-ICPMS) and doublespiking, we present coupled uranium isotope ( $\delta^{238}$ U) and U concentration 97 98 (approximated by U/Ca) data preserved in carbonate rocks from the lower Nama 99 Group, Namibia. Radiometric ages, as well as the presence of well-preserved biota, 100 and globally correlative carbon isotope trends provide some constraints on the timing

101 of deposition of the Nama Group (for geological and geochemical background, see

102 SI-1). The integration of local and global redox proxies, in a section that directly

103 preserves changes in biota, allows for co-interpretation without a need to correlate

between possibly contemporaneous sections. These new results constrain global
oceanic redox conditions spanning the interval from ~550-~547 Ma, and suggest
anoxic bottom waters expanded to cover at least a third of the sea floor, following the
emergence of the first skeletal animals and coincident with major perturbations in the
sulfur and carbon cycles (Cui et al., 2016; Fike et al., 2006; Tostevin et al., 2017;
Wood et al., 2015).

110

#### 111 **1.1 The Uranium isotope paleo-redox proxy**

112 Uranium primarily enters the ocean through riverine runoff, and in the modern ocean, 113 U burial is split between sediments below anoxic bottom waters (20%), euxinic 114 sediments below productive but oxygenated waters (23%), carbonates (23%), deltaic 115 sediments (19%) and altered oceanic crust (10%)(Andersen et al., 2017; Dunk et al., 116 2002) (Figure 1). Given that anoxic sediments account for less than 0.3% of the 117 modern seafloor (Andersen et al., 2017; Dunk et al., 2002), U removal into anoxic 118 sediments, which occurs via the reduction of highly soluble U(VI) to relatively 119 insoluble U(IV), is disproportionately high. This makes the concentration of U in 120 seawater very sensitive to the global extent of anoxia. Additionally, although the U 121 isotope proxy has so far only been calibrated in modern euxinic settings, U reduction 122 and removal should occur under all anoxic conditions. If this is the case, then U 123 isotope systematics provide information on the total anoxic water mass. This differs 124 from the isotope systematics of some other palaeo-redox proxies which instead reflect 125 the end-member redox state of anoxic and sulfidic conditions (e.g. Molybdenum). 126 In nature, the largest uranium isotope fractionations have been documented 127 during oxidation-reduction associated with the U(VI)-U(IV) exchange reaction, 128 resulting from variable nuclear volumes and electron density distributions between

129 the different U isotopes (Abe et al., 2008). During the reduction of U(VI) to U(IV)

130 under anoxic conditions, authigenic U enrichment occurs in the sediments as

131 dispersed U(IV) precipitates (e.g. uranite), leaving the anoxic water column depleted

132 in dissolved U. <sup>238</sup>U is preferentially removed into the sediments, leaving seawater

133 depleted in this heavy isotope. The magnitude of this redox-related fractionation

between <sup>238</sup>U and <sup>235</sup>U is at the permil-level (Stirling et al., 2007; Weyer et al., 2008),

and dominates the U isotope signature of seawater.

In the modern environment, the  $\delta^{238}$ U signature in seawater is well constrained 136 137  $(-0.39 \pm 0.01\%)$ , and appears to be slightly lower than the mean signature of riverine 138 input (-0.26‰) (Andersen et al., 2017; Stirling et al., 2007; Weyer et al., 2008). This is largely a result of <sup>238</sup>U-<sup>235</sup>U fractionation during U burial in anoxic settings, despite 139 these settings only accounting for a small proportion of the modern seafloor. Uranium 140 141 has a long residence time in the modern ocean of  $\sim 400 \pm 120$  thousand years (kyr), and behaves conservatively (Dunk et al., 2002). The  $\delta^{238}$ U of modern seawater is 142 143 therefore globally homogeneous and captures changes in the strength of the anoxic 144 sink over long timescales.

145 Uranium exists in seawater predominantly as the uranyl-tricarbonate ion [UO<sub>2</sub>(CO<sub>3</sub>)<sub>3</sub><sup>4-</sup>], and this soluble form of uranium is directly incorporated into calcium 146 carbonate. There is a growing body of evidence showing that, under most oceanic 147 conditions, modern marine carbonate sediments preserve seawater  $\delta^{238}$ U signatures 148  $(\delta^{238}U_{SW})$  without large U isotope fractionations (Andersen et al., 2014; Chen et al., 149 150 2018; Romaniello et al., 2013; Stirling et al., 2007; Tissot and Dauphas, 2015; Weyer et al., 2008), provided minimal diagenetic exchange of the U isotopes has occurred 151 following deposition.  $\delta^{238}$ U in ancient carbonate rocks has therefore been successfully 152

used as a global paleo-redox proxy (Andersen et al., 2014; Clarkson et al., 2018; Lau

154 et al., 2017, 2016; Stirling et al., 2007; Zhang et al., 2018).

Early diagenesis has been observed to systematically drive  $\delta^{238}$ U to higher 155 values in some recent carbonates, especially those derived of primary metastable 156 aragonite or aragonite-calcite mixtures, resulting in a positive offset from modern 157 158 seawater of up to 0.3‰ (Romaniello et al., 2013). This offset is present in the 159 majority of Holocene aragonitic sediments from the Bahamas, even those deposited 160 below oxygenated bottom waters (Chen et al., 2018). As such, early diagenetic enrichments in <sup>238</sup>U with respect to <sup>235</sup>U cannot be easily detected using redox proxies 161 162 such as Ce anomalies or Fe speciation (Chen et al., 2018; Hood et al., 2018). In 163 addition, fabric specific work on Cryogenian carbonates has demonstrated that early cements, micrite and ooids may preserve primary  $\delta^{238}$ U while microbialites and burial 164 165 cements generally have altered  $\delta^{238}$ U (Hood et al., 2018, 2016). However, if burial 166 diagenesis is occurring under closed system conditions, the phase specific variability 167 may be averaged, meaning the bulk rock values could still provide a reliable 168 approximation of the initial primary signal. 169

170 **2. Methods** 

The Nama Group, Namibia, is a mixed carbonate-siliciclastic sequence
deposited in a ramp system, and exceptional exposure has allowed sequence
stratigraphic, geochemical analysis and ecological surveys across multiple transects
(see SI-1). Samples from a range of carbonate facies were selected from the Zebra
River Section, which covers the Lower and Upper Omkyk, and Hoogland Members.
The carbonates were probably originally deposited dominantly as aragonite, but have
since neomorphosed to calcite. Dolomite-rich samples were excluded due to

178	uncertainty surrounding the impact of dolomitisation on $\delta^{238}$ U. $\delta^{13}$ C ( $^{13}$ C/ $^{12}$ C;
179	reformulated as $\delta^{13}$ C), $\delta^{18}$ O ( $^{18}$ O/ $^{16}$ O; reformulated as $\delta^{18}$ O), $\delta^{34}$ S ( $^{34}$ S/ $^{32}$ S;
180	reformulated as $\delta^{34}$ S), major element, Fe-speciation and rare earth element data for
181	the same samples, and the associated methods are published in Tostevin et al., (2017,
182	2016) and Wood et al., (2015).
183	All samples were prepared and analysed for their $\delta^{238}$ U composition at the
184	Centre for Trace Element Analysis, University of Otago, New Zealand following
185	protocols reported in SI-2. In brief, powders were subjected to a two-step reductive
186	and oxidative cleaning procedure to remove potential Mn-oxides and residual organic
187	matter (Clarkson et al., 2018). Carbonate was then selectively digested using a 1M
188	sodium acetate buffer solution maintained at pH $>$ 5, which avoids attacking the
189	silicate fraction, as demonstrated by low Al concentrations (<20 ppm) (Table S2). The
190	digest was resuspended in nitric acid and analysed via quadrupole ICP-MS to
191	determine the concentrations of trace metals, including U and Ca. Based on the U
192	concentration, leachates were subsampled to achieve a total U mass of 30-150 ng, and
193	double spiked to give a $^{236}$ U/ $^{235}$ U ratio of approximately 3. Matrix elements (e.g. Na,
194	Ca) were first removed through co-precipitation using pre-cleaned FeCl <sub>3</sub> and
195	ammonia solution (Clarkson et al., 2018). The resulting precipitates were first
196	dissolved in 6M HCl, and then resuspended in 3M HNO3 and loaded onto heat shrink
197	teflon columns containing UTEVA resin. Samples were then oxidised to eliminate
198	any organic residues from the resin.
199	The purified U fractions were re-dissolved in 2% HCl and 0.01% HF, and
200	analysed via MC-ICPMS. A <sup>236</sup> U- <sup>233</sup> U double spike was used to correct for
201	instrumental mass fractionation (Rolison et al., 2017; Stirling et al., 2007). The
202	$^{238}$ U/ $^{235}$ U composition is presented in $\delta$ -notation following Eq (1):

203 
$$\delta^{238} U = \left( \frac{\binom{(^{238}U/^{^{235}}U)_{sample}}{\binom{(^{238}U/^{^{235}}U)_{CRM-145}}{-1}} - 1 \right)$$
(1)

where CRM-145 is the 'zero-delta' standard. For a detailed method description, seeSI-2 and references therein.

206

#### 207 **3. Results and assessment of diagenesis**

208 U/Ca decreases up-section from scattered but generally higher values in the 209 Lower Omkyk Member (average =  $0.63 \pm 0.28 \mu mol/mol$  (1 SE), range = 0.22 to 1.04 210 µmol/mol) to lower, more stable values in the Upper Omkyk and Hoogland Members (average =  $0.21 \pm 0.09 \mu mol/mol$  (1 SE), n=14, range = 0.11 to 0.41  $\mu mol/mol$ ) 211 (Figure 2, Table S2).  $\delta^{238}$ U decreases systematically from a maximum of -0.27% in 212 213 the Lower Omkyk Member to a stable baseline of  $-0.81 \pm 0.06\%$  (1 SE) for the Upper Omkyk and Hoogland Members (excluding ZR29 at 168.1 m, with an outlying  $\delta^{238}$ U 214 215 of -0.48‰). One outlying sample (LO4 at 18 m) deviates from this trend and has a lower  $\delta^{238}$ U than the adjacent samples of -0.97‰ (Figure 2, Table S2). For a full 216 217 assessment of the impacts of local water column redox conditions during deposition, 218 facies control, early and late stage diagenesis, and detrital leaching, see SI-3 and SI-4. There are several compelling reasons to suggest that the  $\delta^{238}$ U in the Nama 219 220 Group record a primary open ocean signature. Firstly, there is limited stratigraphic variability in  $\delta^{238}$ U for the Upper Omkyk and Hoogland Members. Secondly, the 221 222 rocks generally preserve primary marine geochemical signals based on other 223 diagnostic parameters, including  $\delta^{13}$ C and rare earth element patterns (Tostevin et al., 2016; Wood et al., 2015). Furthermore, the co-occurring  $\delta^{13}$ C is relatively enriched, 224 225 suggesting minimal overprinting during meteoric diagenesis, and this is supported by 226 petrographic analysis (Wood et al., 2018). While these parameters cannot be relied upon to identify alteration of  $\delta^{238}$ U, they suggest the Nama Group has the potential to 227

preserve primary marine  $\delta^{238}$ U (Chen et al., 2018; Hood et al., 2018). Thirdly, these 228 229 samples have low TOC (<0.2 wt%) and were deposited under a locally oxygenated 230 water column, and should therefore act as a passive sink for seawater U (Wood et al., 231 2015). Fourth, the Nama Group samples analysed here are composed of high purity 232 samples (>90% CaCO<sub>3</sub>) that preserve textural detail (Wood et al., 2018), indicating 233 that neomorphism from primary aragonite occurred early and in the presence of fluids 234 similar in composition to seawater. Finally, and most significantly, the Nama Group  $\delta^{238}$ U closely matches pene-contemporaneous  $\delta^{238}$ U from carbonates deposited in two 235 236 independent sections from a geographically distant basin in South China (Zhang et al., 237 2018).

The  $\delta^{238}$ U from south China display a similarly low  $\delta^{238}$ U centred around -238  $0.95 \pm 0.10\%$  and  $-0.97 \pm 0.09\%$  for Gaojiashan and Wuhe sections, respectively 239 240 (Zhang et al., 2018), together confirming that low  $\delta^{238}$ U is a primary global signal from the late Ediacaran.  $\delta^{238}$ U from the oldest part of the Xiaotan section, south China 241 reported in Wei et al., (2018) also capture the minima in  $\delta^{238}$ U around -1‰. 242 Additionally, the systematic trend towards lower  $\delta^{238}$ U in the Lower Nama Group is 243 244 also captured in equivalent sections from south China (Zhang et al., 2018). Zhang et al. (2018) screened their  $\delta^{238}$ U data using a number of geochemical criteria, including 245 246 Mn/Sr ratios <2.5, although there is no evidence to suggest that Mn/Sr cut-offs can be reliably used to screen for alteration of  $\delta^{238}$ U in bulk carbonate rocks (Chen et al., 247 2018). In Figure 3, we plot the un-screened  $\delta^{238}$ U for comparison, and the trend from 248 near-modern  $\delta^{238}$ U to very low  $\delta^{238}$ U is apparent in all three sections. 249 250 Despite similar trends, the Nama Group does not appear to record the minima in  $\delta^{238}$ U observed in south China. While there are numerous lines of evidence to 251 252 suggest the Lower Nama Group and the Dengying Formation were deposited at

253	similar times, including capturing similar $\delta^{13}C$ and $\delta^{34}S$ , the presence of the Nama
254	biota, and overlapping radiometric dates, there is some uncertainty in dating and
255	correlations that mean the sections could either be contemporaneous or very close in
256	age (Cui et al., 2016; Tostevin et al., 2017; Zhang et al., 2018). If the Nama Group
257	was deposited slightly earlier than the Dengying, it may not record the full breadth of
258	the transition to a very low $\delta^{238}$ U of around -1‰. Alternately, if the sections were
259	deposited at precisely the same time, then there may be a diagenetic offset of 0.1-
260	0.2‰ in the Nama section (see full discussion of diagenetic effects in SI-3, SI-4 and
261	Figure S1). Since diagenetic alteration in shallow modern carbonate sediments
262	generally results in higher $\delta^{238}$ U (Chen et al., 2018; Hood et al., 2018), the minimum
263	values recorded globally may be more representative of seawater signatures. This
264	suggests that the lowest $\delta^{238} U$ as recorded in south China might be closest to seawater
265	$\delta^{238}$ U for the latest Ediacaran.

266

#### 267 **4. Discussion**

#### 268 **4.1 Ediacaran seawater** $\delta^{238}$ U

There are some higher  $\delta^{238}$ U in the Lower Omkyk Member (up to -0.27‰) 269 which give rise to an apparent systematic, secular trend of decreasing  $\delta^{238}$ U up section 270 towards a baseline of  $-0.81 \pm 0.06\%$  in the Upper Omkyk and Hoogland Members. 271 This  $\delta^{238}$ U baseline sits 0.43‰ below the  $\delta^{238}$ U of modern seawater and may 272 represent a maximum estimate of seawater  $\delta^{238}$ U at the time of deposition. If we 273 assume that the higher  $\delta^{238}$ U and generally higher U/Ca represents a primary signal, 274 275 these trends can be interpreted to reflect the progressive removal of U from the water column and preferential export of heavy <sup>238</sup>U to sediments under expanding seafloor 276 anoxia in the latest Ediacaran. Primary trends in the Lower Omkyk Member of the 277

Nama Group are supported by comparisons with the  $\delta^{238}$ U of organic-rich mudrocks 278 279  $(\delta^{238}U_{ORM})$  from Member IV of the Doushantuo Formation, South China (Kendall et al., 2015), deposited immediately before the Nama Group at 560-551 Ma. The 280  $\delta^{238}$ U<sub>SW</sub> for this time period can be calculated from the  $\delta^{238}$ U<sub>ORM</sub>, using an assumed U 281 282 isotope fractionation factor between seawater and the anoxic sinks of 0.6‰ (Kendall et al., 2015). This gives an average  $\delta^{238}U_{SW}$  of  $-0.34 \pm 0.11\%$  (excluding anomalously 283 284 low values at the top of the cores) which is within error of the modern ocean value of 285  $-0.39 \pm 0.01\%$  (Andersen et al., 2017, 2014; Rolison et al., 2017; Tissot and Dauphas, 2015). This result was interpreted as indicating widespread marine oxygenation, and 286 287 is supported by oxygenated signals from molybdenum (Mo) isotopic signatures on the 288 same samples.

The decrease in average  $\delta^{238}U_{SW}$  from -0.34‰ to -0.81‰, obtained by 289 290 combining the average  $\delta^{238}$ U during Doushantuo deposition (~560 to ~551 Ma) with the average  $\delta^{238}$ U during Nama Group deposition (~550 to ~547 Ma), implies 291 292 increased U(IV) removal from seawater and the preferential export of heavy <sup>238</sup>U from the water column around 550 Ma (Figure 1 and 4). Lower  $\delta^{238}U_{ORM}$  of -1.02‰ 293 294 observed in the youngest rocks of the Doushantuo cores (Kendall et al., 2015) could 295 indicate the onset of this anoxia expansion, and be equivalent to the apparent secular 296 trends seen in the lower Nama Group and Dengying Formation (Zhang et al., 2018). 297 Further, U/TOC in Member IV of the Doushantuo Formation supports declining U 298 concentrations ~550 Ma (Sahoo et al., 2016), consistent with declining average U/Ca 299 across the Lower Nama Group, suggesting U drawdown under expanded ocean anoxia. Overall, the trends in  $\delta^{238}$ U and U/Ca in the Nama Group, when compared 300 with  $\delta^{238}$ U from the Doushantuo Formation and the Dengying Formation, fit well with 301

302 global trends and support a rapid and dramatic decrease in  $\delta^{238}$ U around 550 Ma

303 (Figure 4).

304

319

#### 305 **4.2** Constraining the extent of anoxia during the Ediacaran

306 Low  $\delta^{238}U_{SW}$  is broadly consistent with an expansion of seafloor anoxia, and 307 we use an ocean box model to explore the implications of a  $\delta^{238}U_{SW}$  of -0.81‰ for the 308 Ediacaran ocean redox state after Zhang et al., (2018b), as follows:

$$309 \qquad \delta^{238} U_{SW} = \frac{\left[\delta^{238} riv - (A_{anox} * k_{anox} * \Delta_{anox}) + (A_{low} * k_{low} * \Delta_{low}) + (A_{oxic} * k_{oxic} * \Delta_{oxic})\right]}{(A_{anoxic} * k_{anoxic}) + (A_{low} * k_{low}) + (A_{oxic} * k_{oxic})}$$
(1)

310 For a full description of the model structure and derivation of equation 1, see SI-5. In

311 equation 1,  $\delta^{238}U_{riv}$  is the modern riverine  $\delta^{238}U$ , prescribed here as  $\delta^{238}U_{riv} = -0.30\%$ ,

312 consistent with previous models and the average for upper continental crust (-0.29  $\pm$ 

313 0.06‰) (Andersen et al., 2017; Montoya-Pino et al., 2010; Zhang et al., 2018).

314 Variable k is the effective burial rate constant for each of the three burial sinks, and is 315 derived by inverting the area and burial fluxes of U in modern environments (Zhang

316 et al., 2018). The model assumes that uranium enters the ocean through rivers, and

317 leaves via three major sinks: sediments below anoxic, low oxygen or oxic bottom

318 waters. Here, low oxygen is defined as deposition below bottom waters containing 0.2

- 2 ml/L of dissolved O<sub>2</sub> and the anoxic sink includes deposition below both anoxic

320 ferruginous and euxinic waters. The oxic sink is an amalgamation of several minor

321 sinks, including Fe-Mn crusts, carbonate sediments, pelagic clays, alteration of oceanic

322 crust, and coastal retention. The U isotope fractionation associated with the oxic and

323 low oxygen sinks is small (0.005‰ and 0.1‰ respectively; Andersen et al., 2017).

324 We take the U isotope fractionation factor between seawater and anoxic sinks,  $\Delta_{anox}$ ,

- to be 0.6‰ (Andersen et al., 2014; Rolison et al., 2017). The area of anoxic, low
- 326 oxygen and oxic seafloor (A<sub>anox</sub>, A<sub>low</sub> and A<sub>oxic</sub>) is determined by the total area of

seafloor ( $A_{ocean}=3.61*10^{16} \text{ dm}^2$ ) multiplied by the fraction of seafloor covered by anoxic ( $F_{anox}$ ), low oxygen ( $F_{low}$ ) or oxic ( $F_{ox}$ ) bottom waters, respectively.  $F_{anox}$  is allowed to vary between 0 and 1.  $F_{low}$  covaries with  $F_{ox}$  and is assumed to remain 6% of the size of the oxic sink, consistent with modern environments.

Expanding ocean anoxia drives  $\delta^{238}U_{SW}$  lower. To generate a  $\delta^{238}U_{SW}$  of -331 332 0.81‰ requires a large area of seafloor anoxia of 33%. The model is very insensitive 333 at low  $\delta^{238}U_{SW}$ , such that a large change in seafloor anoxia is required to drive a small 334 change in the resulting U isotope value (Lau et al., 2017). This means that the small variations in  $\delta^{238}$ U<sub>SW</sub> through the section, and uncertainty about the extent of 335 336 diagenetic enrichment, have large implications for the calculated area of anoxic 337 seafloor. Given diagenetic enrichments may have skewed the Nama Group to higher  $\delta^{238}$ U, 33% represents a minimum estimate of seafloor anoxia. Under the conditions 338 described above, the model cannot reproduce the very low  $\delta^{238}$ U of -0.97‰ recorded 339 340 in south China. However, the model is sensitive to the U isotope fractionation factor 341 associated with the anoxic sink, the assumed k function, and, to a lesser extent, the  $\delta^{238}$ U of riverine input. We explore the sensitivity of our model to these parameters 342 343 below.

The  $\delta^{238}$ U of modern rivers varies widely, depending on the geology of the 344 catchment area, since evaporites, limestones, dolomites, granites and black shales all 345 have unique  $\delta^{238}$ U (Andersen et al., 2017; Stirling et al., 2007; Tissot and Dauphas, 346 2015). However, a weighted mean of all surveyed rivers gives a  $\delta^{238}$ Uriv of -0.34‰. 347 but this average is strongly influenced by one exceptionally low  $\delta^{238}$ U reported from 348 349 the Yangtze river (-0.70‰). If this river is excluded from the calculation, the global mean riverine  $\delta^{238}$ U is -0.26‰ (Andersen et al., 2017). In general, lower  $\delta^{238}$ U<sub>riv</sub> 350 351 results in a smaller estimate of the area of anoxic seafloor. For example, for a higher  $\delta^{238}$ U<sub>riv</sub> of -0.26‰ a larger expanse of anoxic seafloor is required to explain the Nama Group data (55%). Conversely, for a  $\delta^{238}$ U<sub>riv</sub> of -0.34‰, a reduced extent of anoxic seafloor is implied (24%). Changes in  $\delta^{238}$ U<sub>riv</sub> within this range can not drive  $\delta^{238}$ U<sub>SW</sub> to the low values recorded in south China (-0.97‰), even with 100% sea floor anoxia (Figure 5a).

357 Of all the fractionations involved in the U isotope system, those associated with the anoxic sink are the largest but remain under constrained. Our model is highly 358 359 sensitive to the assumed fractionation factor, with a smaller  $\Delta_{anox}$  implying a greater extent of anoxia for a given  $\delta^{238}U_{SW}$ . We test the sensitivity of our model to extreme 360 361 high and low fractionation factors between 0.5 and 1.2‰ (Figure 5b). For example, a 362 larger  $\Delta_{anox}$ , of 0.7‰, can be reconciled with our data with 18% of the seafloor 363 covered by anoxic bottom waters, whereas a smaller  $\Delta_{anox}$ , of 0.5 cannot be reconciled 364 with our data, even if 100% of the seafloor is anoxic (Figure 5). For a  $\delta^{238}$ Uriv of -0.30%, a minimum  $\Delta_{anox}$  of 0.67% is required to produce a  $\delta^{238}U_{SW}$  of -0.97%, as 365 366 recorded in south China (Zhang et al., 2018). The model is extremely sensitive to the assigned global average  $k_{anox}$  and  $\Delta_{anox}$ , but both of these values are based on fluxes in 367 368 isolated modern anoxic water bodies such as the Black Sea and the Cariaco Basin. It 369 is not clear whether these values can be extrapolated to the past global ocean with very high A<sub>anox</sub>. Further U isotope studies of modern anoxic and euxinic basins are 370 371 required to continue to refine the magnitude of  $k_{anox}$  and  $\Delta_{anox}$ , particularly under the 372 anoxic ferruginous conditions that may have typified Ediacaran oceans (Rolison et al., 373 2017).

374 Regardless of the sensitivity of the model to various poorly constrained fluxes 375 and  $\delta^{238}$ U, our data cannot be explained simply by variation in the riverine 376 composition or the U isotope fractionation factor between anoxic sediments and

- 377 seawater ( $\Delta_{anox}$ ). Importantly, only changing the size of the anoxic sink is able to drive 378 the  $\delta^{238}$ Usw to the very negative values observed in the Nama group, although 379 uncertainties in riverine  $\delta^{238}$ U and  $\Delta_{anox}$  will impact the scale of anoxic expansion 380 required to explain the data. The only way to reconcile our U isotope data with those 381 from 560-550 Ma is a dramatic expansion of marine anoxia from near-modern levels 382 to between 24% and 100% of the seafloor.
- 383

#### **Table 1: Parameters, symbols and selected values used in the steady state model.**

Parameter	Symbol	Value	Reference
$\delta^{238}$ U of global riverine input	$\delta^{238} U_{riv}$	-0.30‰ (-0.34 to -	Andersen et
		0.26‰)	al., 2017
$\delta^{238}$ U of Ediacaran seawater	$\delta^{238} U_{SW}$	-0.81‰ to 0.89‰	
Isotope fractionation between seawater and oxic sinks	$\Delta_{ m oxic}$	0.005‰	Weyer et al., 2008
Isotope fractionation between seawater and low oxygen sinks	$\Delta_{ m low}$	0.1‰	Tissot and Dauphas, 2015
Isotope fractionation between seawater and anoxic sinks	$\Delta_{anox}$	0.6‰ (0.5-1.2‰)	Andersen et al., 2017; Weyer et al., 2008
Fraction of seafloor overlain by oxic bottom waters	Foxic	$= 1 - F_{low} - F_{anox}$	
Fraction of seafloor overlain by low oxygen bottom waters	Flow	0.06 until $F_{anox} > 0.94$ , then $F_{oxic} = 0$ and $F_{low} = 1 - F_{anox}$	
Fraction of seafloor overlain by anoxic bottom waters	F <sub>anox</sub>	0-1	
Total area of ocean floor	A <sub>ocean</sub>	3.61E16 dm <sup>2</sup>	
Effective burial rate constant for oxic sinks	koxic	0.0536 dm/yr	Dunk et al., 2002
Effective burial rate constant for low oxygen sinks	$k_{ m low}$	0.469 dm/yr	Dunk et al., 2002
Effective burial rate constant for anoxic sinks	k <sub>anox</sub>	0.939 dm/yr	Zhang et al., 2018b

**385** Values in brackets are the ranges considered in sensitivity tests.

#### 387 **4.3 Neoproterozoic redox conditions**

388 The new  $\delta^{238}$ U and U/Ca records presented here, considered in a global 389 context, suggest that there was a rapid and dramatic increase in the extent of seafloor 390 anoxia around 550 Ma (Kendall et al., 2015; Wei et al., 2018; Zhang et al., 2018) 391 (Figure 4 and 5). This transition coincides with a small positive carbon isotope 392 excursion (Figure 3, Cui et al., 2016; Zhang et al., 2018b), indicating enhanced carbon 393 burial under anoxic conditions. A shift in the marine sulfur cycle, recorded by  $\delta^{34}$ S, 394 occurs around the same time, possibly consistent with more widespread sulfate 395 reduction under anoxic conditions (Cui et al., 2016; Fike et al., 2006; Tostevin et al., 396 2017). Certainly, U reduction mediated by sulfate-reducing bacteria under anoxic 397 conditions is known to drive U isotope fractionation of the direction and magnitude 398 captured by the Nama Group (Andersen et al., 2017; Stirling et al., 2015; Stylo et al., 399 2015). One possibility is that the combined  $\delta^{238}$ U and  $\delta^{34}$ S data capture an intriguing 400 link between the sulfur and uranium cycles, reflecting increased euxinia in the latest 401 Ediacaran. The transition to higher  $\delta^{34}$ S may additionally reflect an elevated riverine 402 flux or changing riverine source, suggesting a link between changes in weathering 403 regimes and the type and extent of anoxia (Cui et al., 2016).

404 Widespread anoxia within ten million years of the Ediacaran-Cambrian 405 boundary is consistent with recent compilations of Fe-speciation data which show no 406 overall trend towards oxygenation in the Neoproterozoic (Sperling et al., 2015);  $Fe^{3+}/\Sigma Fe$  ratios in submarine basalts which place deep ocean oxygenation in the Late 407 408 Palaeozoic (Stolper and Keller, 2018); biogeochemical models which predict a rise in 409 oxygen around 400 Ma (Bergman et al., 2004), as well as molybdenum isotope data 410 which place the oxygenation of the oceans in the Palaeozoic (~520 to 400 Ma) (Chen 411 et al., 2015). In addition, earlier work based on Th/U ratios suggests the

412 Precambrian-Cambrian Boundary was associated with the widespread development of 413 anoxic shallow marine environments (Kimura and Watanabe, 2001). Together, this 414 evidence suggests that there was no unidirectional change in marine oxygenation 415 during the Neoproterozoic, and instead that the oceans remained broadly anoxic until 416 later in Earth's history. Although the relationship between local and global oceanic 417 redox conditions is complex, local redox proxy data are consistent with 418 heterogeneous, poorly ventilated basins at ~550 Ma (Bowyer et al., 2017; Sperling et 419 al., 2015; Wood et al., 2015).

420 Sahoo et al., (2016) proposed a series of ocean oxygenation events (OOEs) within the broadly anoxic Neoproterozoic ocean. A compilation of  $\delta^{238}$ U<sub>SW</sub> across the 421 422 Neoproterozoic and early Palaeozoic (Figure 4) reveals dramatic oscillations that 423 coincide with proposed OOEs. Our data could capture the end of OOE 3, ~560 Ma, 424 and the transition back to widespread anoxia (Figure 4).  $\delta^{238}$ U data from the Yanjiahe 425 Formation and Zhujiaqiang Formation in south china highlight another oxygenation 426 event (OOE 4), at the base of the Cambrian, followed by a slow return to global anoxia (Wei et al., 2018). Similarly,  $\delta^{238}$ U data as well as trace metal enrichments 427 428 record a brief oxygenation event after the Sturtian glaciation (650 - 630 Ma), 429 followed by a return to anoxic conditions (Lau et al., 2017; Sahoo et al., 2012; Figure 430 4). It appears that oceanic redox conditions oscillated dramatically several times 431 before any permanent switch to a new stable oxygenated state occurred, but the driver 432 for such rapid and global change remains enigmatic. One possibility is that step 433 changes in the burial of phosphorus and organic carbon, driven by evolutionary 434 innovations, progressively lowered marine phosphate levels. Each step change would 435 result in a pulse of marine oxygenation, but over long timescales the decrease in 436 C<sub>org</sub>/P burial ratios would drive atmospheric oxygen levels down, and slowly

deoxygenate the oceans (Lenton and Daines, 2018). One possible evolutionary driver
is the onset of increasingly complex bioturbation during the late Ediacaran and
Cambrian. Fine meiofaunal traces, capable of disrupting the sediment-mat interface,
appeared after 560 Ma, coincident with OOE 3 (Budd and Jensen, 2017; Lenton and
Daines, 2018). The onset of more complex forms of burrowing, including shallow
penetrative burrows, appears close to the Cambrian Boundary, and could be the driver
for OOE 4 (Jensen et al., 2000).

444

#### 445 **4.4 Implications for early animal ecosystems**

446 Widespread anoxia may present both a challenge and an opportunity for 447 marine ecosystems (Wood and Erwin, 2017). Anoxia can drive mass extinctions, 448 through habitat loss or contraction as well as indirect effects on nutrient availability 449 (Hull et al., 2015). But by removing incumbents, mass extinction events disrupt 450 established ecological niches, leaving them open for new taxa to colonise (Hull et al., 451 2015). There is evidence around 550 Ma for a biotic turnover and reduction in 452 diversity between the soft bodied macrobiotas known as the White Sea and Nama 453 Assemblages (Waggoner, 2003). The Nama Assemblage, however, also marks a 454 diversification of bilaterian trace fossils (Tarhan et al., 2018), and the emergence of 455 new innovations including metazoan biomineralization (Germs, 1983). A global 456 expansion of anoxia has been proposed to coincide with decline of the Ediacaran 457 biota around 550 Ma (Zhang et al., 2018). 458 The Nama Group is relatively well dated radiometrically and hosts skeletal as 459 well as soft-bodied biota from the Nama Assemblage. Our integrated data 460 demonstrate that the transition towards globally widespread anoxic conditions post-461 dates both the first appearance of the Nama Assemblage, and skeletal metazoans.

462 Soft-bodied biota belonging to the Nama Assemblage are recorded in the Kanies 463 Member (Bowyer et al., 2017), which sits stratigraphically below the lower Omkyk Member so pre-dating the  $\delta^{238}$ U transition to expanded anoxia. Skeletal *Cloudina* is 464 present in the Mara Member (Germs, 1983), which was deposited coincident with the 465 Kanies Member and the lower part of the Lower Omkyk Member, and so predates the 466  $\delta^{238}$ U minima. These data show that the global expansion of anoxia cannot have 467 driven the decline in the Ediacaran biota or biotic turnover. They may, instead, reflect 468 469 a geochemical response to ecological change (Lenton and Daines, 2018). 470 Following the global expansion of anoxia, our model suggests that more than a 471 third of the sea floor was covered by anoxic bottom waters. However, there is no 472 evidence that this transition impacted on the diversity or distribution of biota within 473 the Nama Group (Bowyer et al., 2017). Expanded anoxia does not necessarily have to 474 restrict shallow habitable space, if the oxygen minimum zone expands downwards 475 into deeper waters. Modern continental shelf settings (defined as shallower than 150 476 m) make up less than 10% of the modern sea floor, and yet host the majority of 477 benthic biodiversity. The abyssal plain, in contrast, comprises over 70% of the sea 478 floor. The Nama Group records a continental shelf ramp system with no basinal 479 facies, so it is possible that a global expansion of seafloor anoxia, if contained within 480 deeper waters, did not impinge on shallow shelf communities. The Nama Group, 481 therefore, demonstrates that complex metazoan communities can thrive in locally 482 well-oxygenated niches despite globally widespread anoxia (Tostevin et al., 2016; 483 Wood et al., 2015).

484

485 **5.** Conclusions

486	We present a new $\delta^{238}$ U dataset from carbonate rocks from the Nama Group,
487	Namibia, deposited at ~550-547 Ma. We report a transition from a U isotope
488	signature equivalent to the modern marine $\delta^{238}$ U, to much lower $\delta^{238}$ U, reaching an
489	average of -0.81 $\pm$ 0.06‰. Correlations between $\delta^{238}U$ and other global sections
490	indicate this average could represent a maximum estimate of $\delta^{238}U_{SW}$ . Comparison
491	with $\delta^{238}$ U observations from black shales in directly underlying strata from south
492	China further supports a dramatic shift in $\delta^{238}U_{SW}$ around ${\sim}550$ Ma. We use a mass
493	balance model to explore the implications of this $\delta^{238}$ U transition, and find that
494	oceanic conditions must have switched from broadly oxygenated (with $<0.3\%$ of the
495	seafloor covered by anoxic bottom waters) to having widespread anoxic bottom
496	waters (at least a third of the seafloor). Integrated geochemical and biotic records
497	reveal that the redox transition post-dates the first appearance of skeletal fauna and
498	soft-bodied biota belonging to the Nama Assemblage. These data conclusively
499	demonstrate that expanded anoxia cannot have driven the biotic turnover between the
500	White Sea and Nama Assemblages, and may instead be a response to ecological
501	change.

#### 502 Acknowledgements

- 503 We thank Chris Reinhard, one anonymous reviewer and editor Lou Derry for their
- 504 detailed and thoughtful suggestions. R.T., M.O.C., S.G. and C.H.S., were supported
- 505 by the Royal Society of New Zealand, Marsden Fund Standard Grant UOO1314. RT,
- 506 GAS and RAW acknowledge financial support from NERC's Life and the Planet
- 507 project (NE/1005978/1). We are grateful to L. and G. Fourie for access to Zebra River
- 508 farm, and Gerd Winterleitner for help with field work. Thank you to David Barr and
- 509 Malcolm Reid for support in the lab.
- 510

#### 511 Author contributions

- 512 RT, FB, AMP and RW collected the samples. RT prepared the samples with
- 513 assistance from MOC, SG and CHS. RT created the model with MOC. RT interpreted
- 514 the data and drafted the manuscript with input from all co-authors.
- 515

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#### 697 Figure captions

698 **Figure 1**: Top panel: The range of  $\delta^{238}$ U for different sources and sinks in the modern

- uranium cycle (Andersen et al., 2017, 2014; Stirling et al., 2007; Tissot and Dauphas,
- 700 2015; Weyer et al., 2008). Pale boxes define the potential range of values and solid
- 701 boxes suggest the most likely value. Middle panel: The  $\delta^{238}$ U of organic rich
- mudrocks in the Doushantuo Formation (Kendall et al., 2015), and the inferred  $\delta^{238}$ U
- 703 of seawater ( $\delta^{238}U_{SW}$ ) using a fractionation factor between seawater and anoxic
- sediments ( $\Delta_{anox}$ ) of 0.6‰. Bottom panel: The  $\delta^{238}$ U of carbonates from the Nama
- 705 Group (this study), assumed to directly represent  $\delta^{238}U_{SW}$ , and the inferred  $\delta^{238}U$  of
- 706 the anoxic sink, assuming  $\Delta_{anox}$  of 0.6‰.
- 707

708 **Figure 2**: Panels ordered left to right: Stratigraphic log,  $\delta^{13}$ C (green circles), U/Ca

ratios (orange circles) and  $\delta^{238}$ U (blue circles) for carbonate rocks from Kuibis

710 Subgroup of the Nama Group. The local distribution of biota within the section is

711 marked on the stratigraphic log. The  $\delta^{238}$ U of modern seawater (blue line) is shown

for comparison. The average  $\delta^{238}$ U (dashed grey line) is calculated as an average of

all data in the upper Omkyk and Hoogland Members, excluding one anomalouslyenriched value.

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Figure 3: Comparison of  $\delta^{13}$ C and  $\delta^{238}$ U from the Nama Group, Namibia (this study), with two independent carbonate sections of the Dengying Formation, south China (Wuhe and Gaojiashan) (from Zhang et al., 2018b). The trend from modern marine  $\delta^{238}$ U (black dashed line) towards low  $\delta^{238}$ U of -0.8 to -1.0‰ (grey line) is apparent in all three sections, in both limestone and dolostone. The stratigraphic log for Gaojiashan is based on Cui et al., (2016). The recorded range of the Nama Assemblage is indicated on the stratigraphic log, with dashed lines indicating that therange extends below the base of the section.

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