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1 **Interpreting the Paris climate target**

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12 To the editor – In the 2015 UNFCCC Paris Agreement, article 2 targets “Holding the increase
13 in global temperature to well below 2°C above pre-industrial levels and pursuing efforts to
14 limit [...] to 1.5°C [...] recognising that this would significantly reduce the risks and impacts
15 of climate change”¹. Different interpretations of the precise meaning of the phrases “increase
16 in global temperature”² and “pre-industrial”³ could have large effects on mitigation
17 requirements and corresponding social, policy, and political responses. Here we suggest that
18 levels of current global mean surface warming since pre-industrial times higher than those
19 derived by Millar *et al.*⁵ could have been calculated using alternative, but equally valid
20 assumptions as the ones made by those authors.

21 In Millar *et al.*⁴, an observational dataset (HadCRUT4)⁵ was used to estimate current levels of
22 anthropogenic warming above 1861-1880 (0.93°C as of 2015) and thereby determine the
23 amount of warming remaining before the 1.5°C target is reached. HadCRUT4, in common
24 with most datasets, calculates global mean surface temperature (GMST) as a blend of surface
25 air temperature (SAT) measurements over land and sea surface temperatures (SSTs) over the
26 ocean. It only has partial global coverage, limited to where the observations exist. As such,
27 data from the Arctic, which has been found to be warming much faster than the global mean,
28 are not included. By choosing to use this observational dataset Millar *et al.*⁵ have implicitly
29 assumed a definition of GMST that is restricted to observational coverage, measured as a
30 blend of SATs and SSTs. In addition, they assume that 1861-1880 is representative of pre-
31 industrial conditions as used in the UNFCCC ‘Structured Expert Dialogue’ (SED)⁶. However,
32 this approach has potential shortcomings. For example, when model simulations are
33 processed in a similar way to the observations, they show less warming with the SED
34 method, compared to an alternative approach where complete global coverage of SAT is
35 assumed. It therefore seems likely that the SED approach underestimates the warming that
36 has actually occurred in global air temperatures⁷. In addition, changes in GMST could have
37 been calculated from a different baseline. As industrialisation was already under way by the
38 late 19th century, an earlier period could be more appropriate for a pre-industrial baseline.

39 The sensitivity of observed warming in 2010-2016 to these choices is highlighted in figure 1
40 which estimates the effect of calculating: (1) warming for total global coverage rather than

41 for the coverage for which observations are available; (2) warming using SATs over all the
42 globe instead of the observational blend of SSTs and SATs; (3) warming from a pre-
43 industrial, instead of a late 19th century, baseline. The effect of observational coverage is
44 estimated in two ways. First, we compare HadCRUT4 to a dataset that uses identical
45 temperature information but fills in missing data with a kriging statistical technique⁸;
46 alternatively, we calculate a correction factor from CMIP5 model simulations to convert
47 spatially incomplete temperatures to full global coverage. A factor to convert the observed
48 blend of SSTs and SATs to a fully SAT product is also calculated from the range of CMIP5
49 model simulations⁷. Finally, we estimate additional warming associated with placing the pre-
50 industrial baseline further back in time, using model simulations of the period 1400-1800³; an
51 observational-based estimate⁹ gives a similar result.

52 We conclude that alternative assumptions that are equally valid as those made in Millar *et al*⁵
53 lead to estimated higher levels of present-day GMST warming compared to pre-industrial
54 conditions. Each of the factors considered above adds approximately 0.1°C of warming to the
55 estimate in ref. 5 (Figure 1). Millar *et al.*⁵ show (their Tables 1, 2) that an additional 0.3°C
56 warming to date would halve the remaining carbon budget, which highlights the high
57 sensitivity of carbon budgets to definitions of GMST.

58 Millar *et al.* then used climate models (using full coverage of SAT) to calculate the remaining
59 budget of carbon emissions consistent with keeping GMST within 1.5°C above preindustrial
60 level, using their observed estimate of current warming. Projections have been tied to more
61 recent observations instead of using model simulations to assess past warming, as in earlier
62 studies^{3,10}, because it reduces the impact of uncertainty in past radiative forcing for future
63 projections. Negotiators at the time when the Paris Agreement text was finalised⁶ were aware
64 of this approach; however, it mixes different definitions of GMST. These inconsistencies may
65 not have been explicitly discussed and have only been fully investigated subsequently⁹. We
66 explore the implications of this approach in Figure 2 using model simulations with strong
67 mitigation (RCP2.6). The simulations display a difference of approximately 0.25°C by 2050-
68 2060 between the typically model-derived GMST values (SATs for complete coverage) and a
69 GMST calculated to mimic observations (blended SATs and SSTs with partial coverage). In
70 addition, if one definition is used for past GMST warming and a different one for projected
71 GMST warming, as in Millar *et al*⁴ and IPCC AR5¹⁰, then the final results will be dependent
72 on the period when the two are joined. For example, the choice of the year 2015 in Millar *et al.*
73 leads to final temperatures close to the blended partial coverage definition, because in this
74 case most of the warming has occurred in the past. Mixing different definitions of GMST
75 could also lead to misleading findings about the carbon budget remaining. In Figure 1 in
76 Millar *et al.*, results from model simulations (SATs, full global coverage) are used to calculate
77 the warming for a given level of cumulative carbon emissions and then the current observed
78 warming (blended, partial coverage – shown by the black cross) combined with actual
79 emissions is used to re-align the graph to calculate the remaining carbon budget. This is in
80 effect a correction of the modelled estimate based on the observations. However,
81 approximately 0.2°C of the difference between the two approaches can be explained by the
82 different definitions of GMST (Fig 2).

83 Crucially, in order for the temperature targets in the Paris Agreement to be as meaningful as
84 possible, the amount of mitigation required to cap GMST needs to be linked to the impacts
85 expected at that level of warming. It is here that ambiguity surrounding the definition of
86 GMST is most problematic. For example, the impacts of 1.5°C global warming on Australia

87 were calculated with a GMST estimate based on SATs with complete coverage¹¹, contrary to
88 Millar *et al*'s assumptions, and other impact studies also used different definitions¹².

89 We therefore recommend that a clear definition of GMST change is agreed, so that mitigation
90 actions required to limit climate change impacts are assessed using self-consistent
91 information. This would prevent apparently contradictory results due to differing
92 interpretations.

93

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121 **Figures**

122 **Figure 1 – Present global temperatures relative to 1.5°C above pre-industrial**
123 **temperatures.** Kernel density estimates and 5-95% range of the observed warming: (a)
124 HadCRUT4⁶ (a dataset with partial coverage) (b) HadCRUT4 scaled to full global coverage
125 using a ratio calculated in model simulations, (c) Cowtan and Way⁷ (a dataset which has been
126 in-filled using kriging). Panels show observed GMST warming since 1850-1900 with
127 published uncertainty (blue), GMST warming estimated as SATs over whole globe (green),
128 observed GMST with anomalies from for a true pre-industrial baseline (orange), and SATs
129 with pre-industrial baseline (purple). All conversion factors are calculated using model
130 CMIP5 simulations with RCP2.6 projections.

131 **Figure 2 – Global temperature for CMIP5 model simulations with RCP2.6 projections.**
132 Multi-model ensemble mean temperature for SATs for complete global coverage (red) and
133 for a blend of SATs and SSTs with masked coverage, mimicking HadCRUT4⁶ (purple) ,
134 where future projections are masked with the mean HadCRUT4 coverage in 2000-2009. To
135 mimic the use of observed temperature for the past and projected model temperatures for the
136 future, different coloured lines show results when the two are joined together in different
137 periods. Shaded box in main panel shows where Millar et al⁴ tied the past observations to
138 future projections. Double headed arrow and accompanying value indicate difference
139 between red and purple lines in 2015 and dot shows the anthropogenic warming (0.93°C –
140 Millar et al⁴) in 2015. Additional arrows indicate GMST for the HadCRUT4 approach when
141 the models (SAT, full coverage) passes 1.5°C and vice versa. The $p > 0.66$ GMST model
142 range in 2050-2060 is shown in the right panel.

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