

THE UNIVERSITY of EDINBURGH

Edinburgh Research Explorer

Physical-Layer Security in Multiuser Visible Light Communication Networks

Citation for published version:

Yin, L & Haas, H 2017, 'Physical-Layer Security in Multiuser Visible Light Communication Networks', IEEE Journal on Selected Areas in Communications, pp. 162 - 174. https://doi.org/10.1109/JSAC.2017.2774429

Digital Object Identifier (DOI):

10.1109/JSAC.2017.2774429

Link:

Link to publication record in Edinburgh Research Explorer

Document Version: Peer reviewed version

Published In: IEEE Journal on Selected Areas in Communications

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Physical-Layer Security in Multiuser Visible Light Communication Networks

Liang Yin and Harald Haas, Senior Member, IEEE

Abstract—In this paper, we study the physical-layer security in 1 a 3-D multiuser visible light communication (VLC) network. The 2 locations of access points (APs) and mobile users are modeled as 3 two 2-D, independent and homogeneous Poisson point processes 4 at distinct heights. Using mathematical tools from stochastic 5 geometry, we provide a new analytical framework to charac-6 terize the secrecy performance in multiuser VLC networks. 7 Closed-form results for the outage probability and the ergodic secrecy rate are derived for networks without AP cooperation. Considering the cooperation among APs, we give tight lower 10 and upper bounds on the secrecy outage probability and the 11 ergodic secrecy rate. To further enhance the secrecy performance 12 at the legitimate user, a disk-shaped secrecy protected zone is 13 implemented in the vicinity of the transmit AP. Based on the 14 obtained results, it is shown that cooperating neighboring APs 15 in a multiuser VLC network can bring performance gains on 16 the secrecy rate, but only to a limited extent. We also show 17 that building an eavesdropper-free protected zone around the 18 AP significantly improves the secrecy performance of legitimate 19 users, which appears to be a promising solution for the design 20 21 of multiuser VLC networks with high security requirements.

Index Terms—Visible light communication, secrecy capacity,
 physical-layer security, poisson point process, stochastic
 geometry.

I. INTRODUCTION

25

Y UTILIZING the existing lighting infrastructure and 26 D shifting the communication frequency to the visible spec-27 trum, visible light communication (VLC) [1]–[3] has recently 28 emerged as a promising candidate for future high-speed broad-29 band communications, which could effectively alleviate the 30 spectrum congestion issue in current radio frequency (RF) 31 based wireless systems. Recent advances have also led to the 32 standardization of short-range wireless optical communication 33 using VLC for local and metropolitan area networks [4], 34 which serves as a major step towards its commercialization 35 in the near future. Compared to RF communication, VLC 36 has the following main advantages: 1) VLC builds upon 37 existing lighting devices and operates on the license-free 38 spectrum so that it has lower implementation cost; 2) VLC can 39 operate safely in electromagnetic sensitive areas, where RF is 40 intrinsically prohibited; 3) VLC networking can be designed in 41

Manuscript received February 22, 2017; revised July 15, 2017; accepted September 16, 2017. This work was supported by the U.K. Engineering and Physical Sciences Research Council under Grant EP/K008757/1. (*Corresponding author: Liang Yin.*)

The authors are with the School of Engineering, Institute for Digital Communications, Li-Fi Research and Development Centre, University of Edinburgh, Edinburgh EH9 3JL, U.K. (e-mail: l.yin@ed.ac.uk; h.haas@ed.ac.uk). Color versions of one or more of the figures in this paper are available

online at http://ieeexplore.ieee.org.

Digital Object Identifier 10.1109/JSAC.2017.2774429

addition to existing heterogeneous wireless networks because 42 it receives zero interference from, and adds zero interference to 43 its RF counterparts; 4) Based on the property that visible light 44 does not penetrate through opaque objects, the communication 45 bandwidth in one room can be efficiently reused in other rooms 46 to obtain a high frequency reuse factor and hence a high area 47 spectral efficiency; 5) Indoor VLC typically achieves higher 48 physical-layer security since the transmitted signal is confined 49 within the room. 50

The broadcast property of VLC has been utilized in many novel designs of multiuser VLC networks [5]-[7]. However, it also causes potential concerns to legitimate users and network administrators regarding the information privacy and confidentiality, especially in public areas, such as train stations and libraries. From an information-theoretic point of view, the physical-layer security was pioneered by Wyner for proposing the wiretap channel [8]: a channel in which an eavesdropper receives a degraded version of the transmitted signal. The degraded wiretap channel was later extended to the non-degraded broadcast channel by Csiszár and Körner [9]. In their seminal work, it is shown that perfect secrecy can be achieved as long as the legitimate user has a less degraded channel than the eavesdropper, and the secrecy capacity is derived as the difference between the information capacity for the two users. Typical security enhancement techniques that are implemented at upper layers of the communication chain include password protection and user admission control. Physical-layer security, on the other hand, exploits the randomness of the noise and the wireless communication channel to limit the amount of legitimate information to be detected by unauthorized eavesdroppers [8], [9].

Different from point-to-point communication, studying the 73 secrecy performance in a large-scale wireless network requires 74 not only the knowledge of locations of legitimate users but also 75 the knowledge of locations of eavesdropping users that may 76 interact with legitimate users. Initial works that characterize 77 the secrecy performance in multiuser wireless networks rely 78 on the secrecy graph model to study the node connectiv-79 ity [10], [11] and the maximum secrecy rate [12], from 80 an information-theoretic perspective. Following these works, 81 the secrecy rate per source-destination pair was investigated 82 in [13] by characterizing the secrecy capacity scaling laws 83 in a wireless network. Moving from network information 84 theory, recent works have evaluated the secrecy performance 85 in multiuser wireless networks using mathematical tools from 86 stochastic geometry [14], [15]. It should be noted that works 87 in [8]-[15] are all focused on RF based wireless networks. 88

0733-8716 © 2017 IEEE. Translations and content mining are permitted for academic research only. Personal use is also permitted,

but republication/redistribution requires IEEE permission. See http://www.ieee.org/publications_standards/publications/rights/index.html for more information.

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

Different from RF communication, which is typically mod-89 eled as a Gaussian broadcast channel with an average power 90 constraint at the transmitter side, VLC typically uses intensity 91 modulation and direct detection (IM/DD) due to the use 92 of inexpensive light-emitting diodes (LEDs) and photodi-93 odes (PDs) as the optical transmitter and receiver, respectively. 94 In VLC, since the signal is modulated onto the intensity of 95 the emitted light, it must satisfy average, peak as well as 96 non-negative amplitude constraints, that are imposed by the 97 dynamic range of typical LEDs and practical illumination 98 requirements [6], [16]–[18]. Although typical LEDs have a 99 nonlinear electrical-to-optical (E/O) transfer characteristic, this 100 nonlinearity can be successfully compensated by pre-distortion 101 techniques [19]. Also, since the wavelength of visible light is 102 hundreds of nanometers while the detection area of a typical 103 PD is millions of square wavelengths, this spatial diversity 104 essentially prevents the "multipath fading" effect in the VLC 105 channel. Due to these fundamental differences, results on the 106 secrecy capacity obtained for RF networks can not be directly 107 applied to VLC networks. 108

Since the secrecy capacity is related to the information 109 capacity of the communication channel [8], [9], before deter-110 mining the secrecy capacity in VLC networks it is essential 111 to obtain the information capacity of the VLC channel with 112 average, peak and non-negative constraints. However, to the 113 best of authors' knowledge, the exact information capacity of 114 the VLC channel with such constraints still remains unknown, 115 even for the simplest single-input single-output (SISO) case, 116 despite some lower and upper bounds have been deri-117 ved [16]–[18]. By considering one transmitter, one legitimate 118 user and one eavesdropper in a VLC system, lower and upper 119 bounds on the secrecy capacity of the amplitude-constrained 120 Gaussian wiretap channel was recently studied in [20], with 121 the use of the derived capacity lower and upper bounds in [16]. 122 In the same work [20], beamforming was also utilized to 123 improve the secrecy capacity for the multiple-input single-124 output (MISO) VLC channel. Following this, the optimal 125 beamformer design problem subject to amplitude constraints 126 was further studied in [21]. The secrecy performance in 127 a single-cell VLC system with only one AP was studied 128 in [22]. However, the randomness of legitimate users as well as 129 130 eavesdroppers and, more importantly, the interactions between them, have not been fully characterized when analyzing the 131 secrecy performance in a random multiuser VLC network. 132

133 A. Approaches and Contributions

In this work, we aim to characterize the secrecy performance 134 in an indoor multiuser VLC network by considering the 135 unique properties of the VLC channel as well as the network 136 layout, that differ from typical RF networks. Our approach 137 builds upon a proposed three-dimensional network model with 138 two independent random topologies for the VLC APs and 139 mobile users. Specifically, the VLC APs are modeled by a 140 two-dimensional homogeneous Poisson point process (PPP) 141 in the ceiling, while the locations of users, that include 142 both legitimate users and eavesdroppers, are modeled by 143 another independent two-dimensional homogeneous PPP at 144

the user plane. To separate eavesdroppers from legitimate 145 users, the locations of random eavesdroppers are obtained 146 from a thinned PPP. Despite the grid-like deployment of LEDs 147 in typical offices, the following observations indicate that a 148 stochastic model may be required to accurately capture the 149 distribution of APs in a VLC network. First, more and more 150 LEDs with built-in motion-detection sensors are deployed in 151 public spaces in order to reduce energy consumption. In this 152 case, some of the LEDs will be temporally switched off when 153 they are not required to provide illumination. Second, the dis-154 tribution of ceiling lights is not necessarily equivalent to the 155 distribution of APs in a VLC network because not necessarily 156 all of the ceiling lights are simultaneously operating in the 157 communication mode, i.e., some of the ceiling lights may 158 operate in the illumination mode only when no data traffic 159 is demanded from them. In these scenarios, the distribution 160 of APs can not be accurately modeled by the grid model. 161 Instead, a stochastic thinning process built upon the grid-162 like deployment of LEDs is more accurate, where the active-163 ness/idleness of each AP is determined by a time-varying 164 probability distribution function (PDF). However, finding the 165 PDF of activeness/idleness of the LED requires full knowledge 166 of the users' movement and handover characteristics, which is 167 generally complicated and not analytically tractable. In order 168 to derive analytically tractable results, the PPP model is 169 assumed in this work. For completeness, we also compare 170 the secrecy performance between the PPP model and the grid 171 model and provide a method of applying the derived analytical 172 results to estimate the secrecy performance in a conventional 173 grid-like VLC network. 174

The main contributions of this paper are as follows:

 When the legitimate user is served by the nearest AP in its vicinity, we derive the distribution function of the secrecy rate of a typical legitimate user, based on which secrecy outage probability and ergodic secrecy rate are obtained. To provide further insights into the secrecy performance with different network parameters, lower and upper bounds on the secrecy outage probability as well as on the ergodic secrecy rate are given.

175

176

177

178

179

180

181

182

183

- We enhance the secrecy performance by implementing AP cooperation in a multiuser VLC network, and give lower and upper bounds on the secrecy outage probability and the ergodic secrecy rate. The derived analytical bounds are found to be reasonably tight in general and become tighter when the density of eavesdroppers becomes larger.
- 3) To further enhance the secrecy performance for legiti-191 mate users, we introduce a disk-shaped secrecy protected 192 zone around the AP in a multiuser VLC network, 193 in which the presence of eavesdroppers is prohibited. 194 In this scenario, the secrecy outage probability and the 195 ergodic secrecy rate are derived. The impact of designing 196 the protected zone with different sizes on the secrecy 197 performance is also investigated. 198

The remainder of this paper is organized as follows. ¹⁹⁹ In Section II, we introduce a three-dimensional link ²⁰⁰ model for multiuser VLC networks and formulate the ²⁰¹ information-theoretic secrecy rate expression based on a close ²⁰² approximation of the channel capacity. The secrecy outage
probability and the ergodic secrecy rate with/without the AP
cooperation are derived in Section III. We extend the analysis
on the secrecy performance in Section IV by implementing a
disk-shaped protected zone. Simulation results and discussions
are provided in Section V. Finally, concluding remarks are
given in Section VI.

II. SYSTEM MODEL

211 A. Poisson Network Model

210

We consider a downlink transmission scenario of a multiuser 212 VLC network with the presence of both legitimate users and 213 eavesdroppers inside a three-dimensional space. The VLC 214 APs are vertically fixed, since they are attached to the room 215 ceiling, and their horizontal positions are modeled by a 216 two-dimensional homogeneous PPP Φ_a with density λ_a , 217 in nodes per unit area. Similarly, mobile users are assumed to 218 be at a fixed height and their horizontal positions are modeled 219 by another independent two-dimensional homogeneous PPP 220 Φ_u with density λ_u . The vertical distance between the AP 221 plane and the user plane is denoted by L. After adding an 222 additional user at the room center,¹ the new point process 223 for mobile users becomes $\Phi_u \bigcup \{0\}$. Slivnyak's theorem states 224 that adding a user into Φ_u is equivalent to conditioning Φ_u 225 on the added point, and this process does not change the 226 distribution of Φ_u [23]. Therefore, the added user at the origin 227 can be treated as the *typical* legitimate user in the study 228 since it can reflect the spatial average of the performance of 229 all legitimate users in the network. Among all of the users, 230 there exist malicious eavesdroppers that could compromise 231 the transmission privacy of ongoing legitimate links, due to 232 the broadcast nature of the VLC channel. Since eavesdroppers 233 typically disguise as legitimate users, it is uncertain whether 234 a random user $u \in \Phi_u$ is a legitimate user or an eavesdropper. 235 Therefore, it is assumed that u is an eavesdropper with 236 probability p_e and that u is a legitimate user with probability 237 $1 - p_e$. This thinned realization of Φ_u gives the point process 238 for eavesdroppers, Φ_e , which is also a homogeneous PPP 239 whose density can be found as $\lambda_e = p_e \lambda_u$ [23]. Furthermore, 240 it is assumed that eavesdroppers do not collude with each other 241 so that each eavesdropper needs to decode any confidential 242 messages sent to legitimate users individually. An example of 243 the described multiuser VLC network is depicted in Fig. 1. 244

A complete VLC channel includes both the line-of-245 sight (LOS) link and non-line-of-sight (NLOS) links, that are 246 caused by light reflections from interior surfaces. However, 247 in a typical indoor lighting environment, the sum signal power 248 carried by NLOS components is significantly weaker than that 249 carried by the LOS link [1], [24], [25]. Therefore, we will 250 only focus on the LOS link in the following analysis in 251 order to obtain tractable analytical results. The VLC APs 252 are assumed to have a Lambertian radiation profile whose 253 Lambertian order is $m = -1/\log_2(\cos(\Phi_{1/2}))$, where $\Phi_{1/2}$ 254

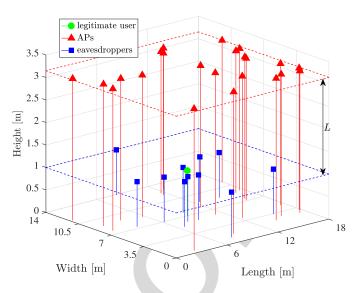


Fig. 1. Random network model: the legitimate user of interest is placed at the room center; VLC APs are randomly distributed in the ceiling according to a homogeneous PPP Φ_a ; and eavesdroppers are randomly distributed on the same plane as the legitimate user, following a homogeneous PPP Φ_e . In this example, an indoor VLC network of size $18 \times 14 \times 3.5$ m³ is shown.

denotes the semi-angle of the LED. The PD equipped at each user is assumed to be facing vertically upwards with a field-ofview (FOV) of Ψ_{fov} . For each VLC link, the optical channel direct current (DC) gain is given by [26]: 258

$$h = \frac{(m+1)A\eta}{2\pi d^2} \cos^m(\phi) T(\psi) g(\psi) \cos(\psi), \qquad (1) \quad {}_{259}$$

where A denotes the effective detection area of the PD; η ²⁶⁰ is the responsivity of the PD; ϕ and ψ are the angle of ²⁶¹ irradiance and the angle of incidence of the optical link, ²⁶² respectively; $T(\psi)$ represents the gain of the optical filter used at the receiver; and $g(\psi)$ represents the gain of the optical concentrator. The optical concentrator gain is given by [26]: ²⁶⁵

$$g(\psi) = \begin{cases} \frac{n^2}{\sin^2(\Psi_{\text{fov}})}, & 0 \le \psi \le \Psi_{\text{fov}} \\ 0, & \psi > \Psi_{\text{fov}} \end{cases}, \quad (2) \quad 266 \end{cases}$$

where *n* is the reflective index of the optical concentrator, and 267 it is defined as the ratio of the speed of light in vacuum and 268 the phase velocity of light in the optical material. For visible 269 light, the typical value for *n* varies between 1 and 2. 270

Consider the communication link from an AP $x \in \Phi_a$ to an eavesdropper $e \in \Phi_e$. Based on the geometry [7] of the VLC link, it is easy to obtain $d = \sqrt{\|e - x\|^2 + L^2}$, $\cos(\phi) = \frac{273}{274}$ $L/\sqrt{\|e - x\|^2 + L^2}$ and $\cos(\psi) = L/\sqrt{\|e - x\|^2 + L^2}$. Therefore, the received optical power at eavesdropper *e* from AP *x* can be written as:

$$P_{\rm rx}(x,e) = h P_{\rm tx}$$

$$= \frac{(m+1)A\eta T(\psi)g(\psi)L^{m+1}}{2\pi (\|e-x\|^2 + L^2)^{\frac{m+3}{2}}} P_{\rm tx},$$
(3) 278

where P_{tx} denotes the transmit optical power of the AP. 279 Similarly, the received signal power at the legitimate user can 280 be written as $P_{rx}(x, o)$, where *o* representing the origin is the 281 location of the typical user of interest. 282

¹The room center is also called the origin. We use both expressions interchangeably throughout the paper since the room center has more geographical meanings while the origin has more mathematical meanings when we apply stochastic geometry tools in the theoretical analysis.

29

B. Secrecy Capacity Formulation 283

The classic Shannon equation does not apply to VLC 284 because of the average, peak and non-negative constraints on 285 the modulated optical signal. Although the exact capacity of 286 the VLC channel remains unknown, several upper and lower 287 bounds have been derived [16]-[18]. Based on the capacity 288 lower bound derived in [16], the exact channel capacity of 289 VLC can be written as: 290

$$C = \frac{1}{2}\log_2\left(1 + \frac{\exp(1)P_{\rm rx}^2}{2\pi\sigma_{\rm n}^2}\right) + \epsilon\left(\frac{P_{\rm rx}}{\sigma_{\rm n}}\right),\tag{4}$$

where ϵ , as a function of the received optical-signal-to-292 noise ratio (OSNR) $P_{\rm rx}/\sigma_{\rm n}$, represents a positive capacity 293 gap between the exact channel capacity and the analytical 294 lower bound [16], and σ_n^2 represents the total power of noise 295 processes at the receiver. Note that inside the receiver circuit 296 the dominant noise sources are the thermal noise and shot 297 noise [1], [25]. The thermal noise is mainly caused by the 298 preamplifier circuits while the shot noise originates mainly 299 from the ambient light and/or other light sources. The signal-300 dependent shot noise, on the other hand, is relatively small, 301 and hence its effect can be ignored. The overall noise process 302 is generally well modeled as the additive white Gaussian 303 noise (AWGN) [1], [25]. As the legitimate user and eaves-304 droppers may use different grades of receivers, for example, 305 PDs with different detection areas and/or bandwidths, they are 306 subject to different levels of receiver noise and are capable of 307 detecting signals with different amplifying gains. Without loss 308 of generality, the choice of different grades of receivers can 309 be accounted for in the system model by assigning different 310 noise variances at the legitimate user and the eavesdropper. Based on this, we denote by σ_{nb}^2 and σ_{ne}^2 the noise variance at 311 312 the legitimate user and the noise variance at the eavesdropper, 313 respectively. Unlike RF channels whose input signals are 314 subject to an average power constraint [29], VLC channels 315 require the input signals to satisfy a peak amplitude (optical 316 power) constraint. This makes it challenging to obtain closed-317 form expressions for the secrecy capacity of a VLC link, 318 even for the simplest SISO case [20], [30]. Therefore, in the 319 following analysis we focus on a tight achievable lower bound 320 on the secrecy capacity [20]: 321

328

$$C_{\rm s} \ge [C_{\rm b} - C_{\rm e}]^+ = \underline{C}_{\rm s},\tag{5}$$

where $[a]^+ = \max\{a, 0\}$; C_s represents the exact secrecy 323 capacity; \underline{C}_{s} represents the tight lower bound on the secrecy 324 capacity given by the right-hand side of (5); C_b is the channel 325 capacity of the legitimate link; and C_e is the channel capacity 326 of the eavesdropper's link. 327

III. SECRECY RATE IN RANDOM VLC NETWORKS

A. Nearest AP to Serve the Legitimate User 329

Without AP cooperation, the nearest AP is typically 330 assumed to serve a mobile user in the VLC network in order to 331 maximize the information rate of the communication link. As a 332 result, based on (4), the capacity of the legitimate link can be 333 written as $C_{\rm b} = \max_{x \in \Phi_{\rm a}} \frac{1}{2} \log_2(1 + \exp(1)P_{\rm rx}^2(x, o)/2\pi\sigma_{\rm nb}^2) +$ 334 $\epsilon (P_{\rm rx}(x, o)/\sigma_{\rm nb}) = \frac{1}{2}\log_2(1 + \exp(1)P_{\rm rx}^2(x_0, o)/2\pi\sigma_{\rm nb}^2) + \epsilon (P_{\rm rx}(x_0, o)/\sigma_{\rm nb})$, where x_0 represents the location of the 335 336

nearest AP to the origin. Since it is assumed that eavesdroppers 337 do not collude, the secrecy performance of the legitimate 338 user is limited by the eavesdropper with the highest OSNR. 339 Therefore, the lower bound on the secrecy capacity at the 340 typical legitimate user is formulated as: 341

$$\underline{C}_{s} = \left[\frac{1}{2}\log_{2}\left(1 + \frac{\exp(1)P_{rx}^{2}(x_{0}, o)}{2\pi\sigma_{nb}^{2}}\right) - \frac{1}{2}\log_{2}\left(1 + \frac{\exp(1)P_{rx}^{2}(x_{0}, e^{*}(x_{0}))}{2\pi\sigma^{2}}\right) 343$$

$$+\frac{c_{x}p(1)r_{xx}(x_{0},e^{-(x_{0})})}{2\pi\sigma_{ne}^{2}}\right)$$

$$(P_{x}(x_{0},e^{-(x_{0})})) = 1^{+}$$

$$(P_{x}(x_{0},e^{-(x_{0})})) = 1^{+}$$

$$+\epsilon \left(\frac{P_{\rm rx}(x_0, o)}{\sigma_{\rm nb}}\right) - \epsilon \left(\frac{P_{\rm rx}(x_0, e^*(x_0))}{\sigma_{\rm ne}}\right) \right]^+, \quad (6) \quad {}_{34}$$

where $e^*(x_0)$ denotes the horizontal distance from AP x_0 to 345 the nearest eavesdropper. Given that the legitimate user is 346 connected to AP x, the general solution for $e^{*}(x)$, denot-347 ing the horizontal distance between AP x and the strongest 348 eavesdropper, can be obtained by finding the location of the 349 eavesdropper $e \in \Phi_e$ that receives the strongest signal power: 350

$$e^{*}(x) = \arg\max_{e \in \Phi_{e}} P_{rx}(x, e)$$

$$= \arg\min_{e \in \Phi_{e}} \|e_{e} - x\|$$
(7)

$$= \arg\min_{e \in \Phi_{e}} \|e - x\|, \tag{7} 352$$

where the last step is obtained based on the monotonic 353 property of (3). By utilizing fractional frequency reuse [28] 354 or orthogonal multiple access techniques, the achievable data 355 rate can be quantified through the received signal-to-noise 356 ratio (SNR) without the side effect of co-channel inter-357 ference (CSI). As a result, OSNR of $P_{\rm rx}/\sigma_{\rm n}$ > 30 dB 358 can be achieved at typical illumination levels [25], [27], 359 where $\epsilon(P_{\rm rx}/\sigma_{\rm n})$ is found to be comparatively small [16]– 360 [18]. Therefore, we focus on the high OSNR regime, where 361 $\epsilon(P_{\rm rx}(x_0, o)/\sigma_{\rm nb}) \ll 1/2\log_2(\exp(1)P_{\rm rx}^2(x_0, o)/2\pi\sigma_{\rm nb}^2)$ and 362 $\epsilon(P_{\rm rx}(x_0, e^*(x_0))/\sigma_{\rm ne}) \ll 1/2\log_2(\exp(1)P_{\rm rx}^2(x_0, e^*(x_0))/\sigma_{\rm ne})$ 363 $2\pi \sigma_{\rm ne}^2$). Based on this, (6) can be further approximated to: 364

$$\underline{C}_{s} \approx \left[\frac{1}{2}\log_{2}\left(\frac{P_{rx}^{2}(x_{0}, o)}{P_{rx}^{2}(x_{0}, e^{*}(x))}\right) + \log_{2}\left(\frac{\sigma_{ne}}{\sigma_{nb}}\right)\right]^{+} = R_{s}.$$
 (8) 365

To distinguish from the exact secrecy capacity, we define in (8)366 $R_{\rm s}$ as the achievable secrecy rate. Due to the lack of the com-367 plete knowledge of the exact secrecy capacity C_s , the secrecy 368 rate R_s is of interest in this paper. It is shown in (8) that a non-369 negative secrecy rate can only be achieved when the legitimate 370 user achieves a higher SNR than the strongest eavesdropper. 371 In the case that a eavesdropper receives signals from a less-372 degraded link than the legitimate user, the achievable secrecy 373 rate drops to zero. It can also be seen from (8) that when 374 the legitimate user and the eavesdropper use different grades 375 of receivers, the achieved secrecy capacity at the legitimate 376 user is offset by a constant, whose value is proportional to the 377 logarithm of $\sigma_{\rm ne}/\sigma_{\rm nb}$. Therefore, without loss of generality, 378 $\sigma_{\rm nb} = \sigma_{\rm ne}$ is assumed in the following analysis. 379

Theorem 1: When the legitimate user is served by the 380 nearest AP in its vicinity, the cummulative distribution func-381 tion (CDF) of the secrecy rate R_s is given by: 382

$$F_{R_{s}}(v) = 1 - \frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}} 4^{\frac{v}{m+3}}} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right) L^{2}\right), \quad (9) \quad \text{set}$$

where v > 0.

Proof: According to (8), we have $R_s \ge 0$. Therefore, the CDF of the secrecy rate R_s can be calculated by:

$$F_{R_{s}}(v) = \mathbb{P}[R_{s} \le v]$$

$$= \mathbb{P}\left[\frac{P_{rx}^{2}(x_{0}, o)}{P_{rx}^{2}(x_{0}, e^{*}(x_{0}))} \le 4^{v}\right]$$

$$= \mathbb{P}\left[\|e^{*}(x_{0}) - x_{0}\| \le \sqrt{\beta x_{0}^{2} + (\beta - 1)L^{2}}\right], (10)$$

where $\beta = 4^{\nu/(m+3)}$. Since the legitimate user is served by the nearest AP, the PDF of x_0 is [31]:

$$f_{x_0}(x_0) = 2\pi \lambda_a x_0 \exp\left(-\pi \lambda_a x_0^2\right).$$
(11)

When conditioned on distance x_0 , (10) is the probability that no eavesdroppers exist within a circle, which is centered at x_0 and has a radius of $\sqrt{\beta x_0^2 + (\beta - 1)L^2}$. Such probability can be calculated using the void probability of PPP [32]. As a result, (10) can be calculated as:

$$F_{R_{s}}(v) = \mathbb{E}_{x_{0}} \left[\mathbb{P} \left[\left\| e^{*}(x_{0}) - x_{0} \right\| \leq \sqrt{\beta x_{0}^{2} + (\beta - 1)L^{2}} \right| x_{0} \right] \right]$$

$$= \int_{0}^{\infty} \mathbb{P} \left[\left\| e^{*}(x_{0}) - x_{0} \right\| \leq \sqrt{\beta x_{0}^{2} + (\beta - 1)L^{2}} \right| x_{0} \right] f_{x_{0}}(x_{0}) dx_{0}$$

$$= \int_{0}^{\infty} \left(1 - \exp \left(-\pi \lambda_{e} \left(\beta x_{0}^{2} + (\beta - 1)L^{2} \right) \right) \right) 2\pi \lambda_{a} x_{0}$$

$$+ \exp \left(-\pi \lambda_{a} x_{0}^{2} \right) dx_{0}$$

$$_{403} = 1 - \frac{1}{1 + \frac{\lambda_e}{\lambda_a}\beta} \exp\left(-\pi \lambda_e \left(\beta - 1\right) L^2\right).$$
(12)

After plugging
$$\beta = 4^{v/(m+3)}$$
 into (12), we obtain (9).
Corollary 1: When the legitimate user is served by the *n*-th
nearest AP in its vicinity, the CDF of the secrecy rate is:

407
$$F_{R_{s}}(v) = 1 - \left(\frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}}4^{\frac{v}{m+3}}}\right)^{n} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right) L^{2}\right),$$
408 (13)

where $v \ge 0$.

412

392

⁴¹⁰ *Proof:* The distance distribution of the legitimate user to ⁴¹¹ the *n*-th nearest AP is given by [31]:

$$f_{x_n}(x_n) = \frac{2(\pi \lambda_a x_n^2)^n}{x_n \Gamma(n)} \exp\left(-\pi \lambda_a x_n^2\right).$$
(14)

⁴¹³ By using (14) and following similar steps as in (12), (13) can ⁴¹⁴ be obtained.

The secrecy outage probability, denoted by p_{so} , is defined as the probability that the secrecy rate is below a target secrecy rate \bar{R}_s . Mathematically, it is formulated as:

418
$$p_{so} = \mathbb{P}[R_s \le \bar{R}_s] = F_{R_s}(\bar{R}_s),$$
 (15)

⁴¹⁹ which can be obtained directly from Theorem 1.

420 Corollary 2: When the legitimate user is served by the
 421 nearest AP in its vicinity, the secrecy outage probability is
 422 lower bounded by:

423
$$p_{so}^{LB} = 1 - \exp\left(-\pi \lambda_e \left(4^{\frac{\bar{R}_s}{m+3}} - 1\right) L^2\right),$$
 (16)

⁴²⁴ when the density of VLC APs approaches infinity.

Proof: (16) can be obtained from $p_{so}^{LB} = {}_{425} \lim_{\lambda_a \to \infty} p_{so}$.

Theorem 1 and Corollary 2 provide an important guideline 427 for the design of VLC networks: installing more VLC APs 428 can help decrease the secrecy outage probability of a typical 429 legitimate user; however, when the density of APs reaches 430 a certain level, further increasing the density of APs is 431 not meaningful since it can no longer enhance the secrecy 432 performance. In other words, it is impossible for a legitimate 433 user in the network to simultaneously achieve a target secrecy 434 rate \bar{R}_{s} and have an outage probability lower than $p_{so}^{\text{LB}}(\bar{R}_{s})$. 435 Given a target secrecy rate \bar{R}_s and a target outage proba-436 bility $\bar{p}_{so} > p_{so}^{LB}(\bar{R}_s)$, this requirement can be achieved by 437 installing more APs in the network so that the density of 438 APs satisfies $\lambda_a \geq \lambda_e \left(1 - \bar{p}_{so}\right) 4^{R_s/(m+3)} / \left(\bar{p}_{so} - p_{so}^{LB}(\bar{R}_s)\right)$. 439 From (9) and (16), it is shown that reducing the semi-angle 440 of the LED, or equivalently increasing the Lambertian order, 441 can also help improve the secrecy performance of the network. 442 Nevertheless, the actual choice of the semi-angle of the LED 443 should also satisfy the illumination requirement. 444

Theorem 2: When the legitimate user is served by the nearest AP in its vicinity, the ergodic secrecy rate at the legitimate user is: 447

$$\mathbb{E}[R_{\rm s}] = \frac{m+3}{\ln(4)} \Big[\exp\left(\pi \left(\lambda_{\rm e} + \lambda_{\rm a}\right)L^2\right) \operatorname{Ei}\left(-\pi \left(\lambda_{\rm e} + \lambda_{\rm a}\right)L^2\right) - \exp\left(\pi \lambda_{\rm e}L^2\right) \operatorname{Ei}\left(-\pi \lambda_{\rm e}L^2\right) \Big], \quad (17) \quad 449$$

where $\text{Ei}(a) = -\int_{-a}^{\infty} \exp(-t)/t dt$ is the exponential integral 450 function [33].

Proof: The ergodic secrecy rate can be calculated based on the CDF of R_s : 452

$$\mathbb{E}[R_{\rm s}] = \int_0^\infty \left(1 - F_{R_{\rm s}(v)}\right) \mathrm{d}v$$

$$= \frac{m+3}{2} \int_0^\infty \frac{1}{1-2} \exp\left(-\pi \lambda \left(\beta - 1\right) L^2\right) \mathrm{d}\beta \qquad 454$$

$$= \frac{m+3}{\ln(4)} \int_{1}^{1} \frac{1}{\beta \left(1 + \frac{\lambda_{e}}{\lambda_{a}}\beta\right)} \exp\left(-\pi \lambda_{e} \left(\beta - 1\right) L^{2}\right) d\beta \qquad 451$$

$$= \frac{m+3}{\ln(4)} \left[\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \left(\beta - 1\right) L^{2}\right)}{\beta} d\beta \right]$$
⁴⁵⁶

$$-\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \left(\beta - 1\right) L^{2}\right)}{\beta + \frac{\lambda_{a}}{\lambda_{e}}} \mathrm{d}\beta \bigg], \qquad (18) \quad {}_{457}$$

where the integration variable has been changed from v to β . 458 After applying [33, eq. 3.351.5], the first integration in (18) 459 can be calculated as: 460

$$\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \left(\beta-1\right) L^{2}\right)}{\beta} d\beta = -\exp\left(\pi \lambda_{e} L^{2}\right) \operatorname{Ei}\left(-\pi \lambda_{e} L^{2}\right). \quad {}_{461}$$

$$(19) \quad {}_{462}$$

After applying [33, eq. 3.352.2], the second integration in (18) 463 can be calculated as: 464

$$\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{\rm e} \left(\beta - 1\right) L^2\right)}{\beta + \frac{\lambda_{\rm a}}{\lambda_{\rm e}}} \mathrm{d}\beta \tag{465}$$

$$= -\exp\left(\pi\left(\lambda_{e} + \lambda_{a}\right)L^{2}\right)\operatorname{Ei}\left(-\pi\left(\lambda_{e} + \lambda_{a}\right)L^{2}\right). \quad (20) \quad {}_{466}$$

After plugging (19) and (20) into (18), (17) is obtained. \blacksquare 467

Corollary 3: When the legitimate user is served by the 468 nearest AP in its vicinity, the ergodic secrecy rate at the 469 legitimate user is upper bounded by: 470

$$R_{\rm s}^{\rm UB} = \frac{m+3}{\ln(4)} \left(-\exp\left(\pi \,\lambda_{\rm e} L^2\right) \operatorname{Ei}\left(-\pi \,\lambda_{\rm e} L^2\right) \right).$$
(21)

Proof: The upper bound on the secrecy rate can be 472 obtained from $R_{s}^{UB} = \lim_{\lambda_{a} \to \infty} \mathbb{E}[R_{s}]$. Based on the equality 473

⁴⁷⁴
$$\lim_{\lambda_{a}\to\infty} \exp\left(\pi\left(\lambda_{e}+\lambda_{a}\right)L^{2}\right) \operatorname{Ei}\left(-\pi\left(\lambda_{e}+\lambda_{a}\right)L^{2}\right) = 0, \quad (22)$$

we obtain (21). 475

Theorem 2 and Corollary 3 indicate that increasing the density 476 of VLC APs can help enhance the ergodic secrecy rate of 477 a typical legitimate user. However, when the density of APs 478 exceeds a certain level, installing more APs can not enhance 479 the ergodic secrecy rate any further. While satisfying the 480 illumination requirement, using LEDs with a smaller semi-481 angle can increase the ergodic secrecy rate of a typical user. 482 Specifically, it can be seen from (17) and (21) that a linear 483 relationship exists between the ergodic secrecy rate and the 484 Lambertian order *m*. Given the choice of LEDs, the maximum 485 ergodic secrecy rate can not exceed the upper bound given 486 in (21). To achieve a target ergodic secrecy rate \bar{R}_s , whose 487 value is smaller than R_s^{UB} , the density of APs needs to 488 exceed λ_a^* , where λ_a^* is the numerical solution for λ_a to equa-489 tion $\exp\left(\pi (\lambda_e + \tilde{\lambda}_a)L^2\right) \operatorname{Ei}\left(-\pi (\lambda_e + \lambda_a)L^2\right) = \ln(4)\tilde{R}_s/$ 490 $(m+3) + \exp(\pi \lambda_e L^2) \operatorname{Ei}(-\pi \lambda_e L^2).$ 491

B. Optimal AP to Serve the Legitimate User 492

Due to the randomness of eavesdroppers, it is not always 493 optimal to serve the legitimate user with the nearest AP. For 494 example, if the eavesdropper is close to the nearest AP around 495 the legitimate user but far away from the second nearest 496 AP around the legitimate user, selecting the second nearest 497 AP to serve the legitimate user may yield a higher secrecy 498 rate. Therefore, with the cooperation among APs, the secrecy 499 performance at legitimate users can be further enhanced. 500 However, it should be noted that selecting the optimal AP to 501 serve legitimate users requires the knowledge of the location 502 information of all eavesdroppers at the central controller, 503 which can be achieved with indoor sensing and localization 504 technologies. Despite the additional implementation and com-505 putation complexity, this optimal scheme yields an enhanced 506 secrecy rate, which is useful for network designers to quantify 507 the secrecy performance provided by the nearest AP and 508 optimal AP and to decide which scheme is more suitable for 509 practical implementations. When the optimal AP is selected 510 to serve the legitimate user, the secrecy rate is formulated as: 511

512
$$R_{\rm s} = \left[\max_{x \in \Phi_{\rm a}} \left\{ \frac{1}{2} \log_2 \left(\frac{P_{\rm rx}^2(x, o)}{P_{\rm rx}^2(x, e^*(x))} \right) \right\} \right]^+.$$
(23)

Due to the intractability of the secrecy rate expression given 513 in (23), the distribution function of R_s is hard to obtain. In the 514 following, we provide two analytical bounds on the CDF of 515 the secrecy rate. 516

Corollary 4: With the cooperation among VLC APs, 517 the CDF of the secrecy rate at the typical legitimate user is 518 lower bounded by: 519

$$F_{R_{s}}(v) \ge \exp\left(-\frac{\lambda_{a}}{\lambda_{e}}4^{-\frac{v}{m+3}}\exp\left(-\pi\lambda_{e}\left(4^{\frac{v}{m+3}}-1\right)L^{2}\right)\right), \qquad (24)$$

and is upper bounded by:

$$F_{R_{s}}(v) \leq 1 - \frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}} 4^{\frac{v}{m+3}}} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right) L^{2}\right).$$
(25) 523

Proof: With the cooperation of VLC APs, the CDF of the 524 secrecy rate can be calculated with the help of the probability 525 generating functional (PGFL) of the PPP [23]: 526

$$F_{R_s}(v)$$
 52

$$= \mathbb{P}\left[\max_{x \in \Phi_{a}}\left\{\frac{1}{2}\log_{2}\left(\frac{P_{\mathrm{rx}}^{2}(x,o)}{P_{\mathrm{rx}}^{2}(x,e^{*}(x))}\right)\right\} \le v\right]$$
528

$$= \mathbb{P}\left[\frac{1}{2}\log_2\left(\frac{P_{rx}^2(x,o)}{P_{rx}^2(x,e^*(x))}\right) \le v, \forall x \in \Phi_a\right]$$
52

$$= \mathbb{E}_{\Phi_{e}} \left[\mathbb{E}_{\Phi_{a}} \left[\prod_{x \in \Phi_{a}} \mathbf{1} \left(\|e - x\| \le \sqrt{\beta l^{2} + (\beta - 1)L^{2}} \right) \right] \right]$$

$$= \mathbb{E}_{\Phi_{e}} \left[\exp \left[-\lambda_{a} \int_{\mathbb{R}^{2}} \mathbf{1} \left[\|e - x\| > \sqrt{\beta l^{2} + (\beta - 1)L^{2}} \, |x| \right] dx \right] \right],$$
so

533

535

522

where $\mathbf{1}(\mathcal{A}) = 1$ with event \mathcal{A} being true, and zero otherwise. Based on Jensen's inequality, the lower bound can be calcu-534 lated as:

After calculating the integration part in (27), the lower bound 538 result in Corollary 4 is obtained. The upper bound can be 539 obtained straightforwardly from the following inequality: 540

$$\left[\max_{x\in\Phi_{a}}\left\{\log_{2}\left(\frac{P_{rx}^{2}(x,o)}{P_{rx}^{2}(x,e^{*}(x))}\right)\right\}\right]^{+} \ge \left[\log_{2}\left(\frac{P_{rx}^{2}(x_{0},o)}{P_{rx}^{2}(x_{0},e^{*}(x_{0}))}\right)\right]^{+}.$$
(28) 542

In other words, choosing the nearest AP to serve the legitimate 543 user is sub-optimal, which gives an upper bound on the CDF 544 of the secrecy capacity. Therefore, the upper bound expression 545 shown in (25) can be obtained directly from Theorem 1. 546

Based on the upper bound on the CDF of the secrecy rate, 547 a lower bound on the ergodic secrecy rate can be obtained, 548 as given in (17). An upper bound on the ergodic secrecy 549 rate can be obtained by integrating the complement of the 550 CDF of R_s : 551

$$\mathbb{E}[R_{\rm S}]$$
552

$$= \int_{0}^{\infty} \left(1 - F_{R_{s}(v)} \right) \mathrm{d}v$$
 553

$$\leq \frac{m+3}{\ln(4)} \int_{1}^{\infty} \left(1 - \exp\left(-\frac{\lambda_{a}}{\lambda_{e}\beta} \exp\left(-\pi \lambda_{e} \left(\beta - 1\right) L^{2}\right)\right) \right) \frac{1}{\beta} d\beta.$$
(29)
55

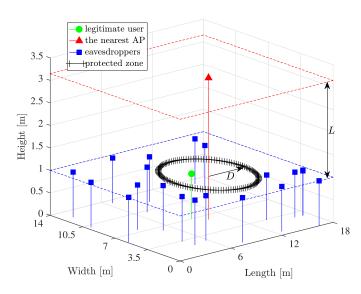


Fig. 2. Random network model with a secrecy protected zone. In this model, each VLC AP has a disk-shaped protected zone, which is centered around the AP and has a radius of D on the user plane. For simplicity, only the protected zone around the nearest AP is drawn.

Because of the nested exponential function in (29), a closedform expression is not available. However, (29) can be
efficiently calculated using numerical methods.

IV. ENHANCING SECRECY RATE IN VLC NETWORKS WITH A PROTECTED ZONE

In order to further enhance the secrecy performance of 561 legitimate users in VLC networks, a strategy named the "pro-562 tected zone" [34] can be implemented. As depicted in Fig. 2, 563 a protected zone is an eavesdropper-free area (on the user 564 plane), which allows only legitimate users to enter. If any 565 eavesdropper enters the protected zone, such behavior will be 566 made aware to the AP, and the AP will notify the legitimate 567 user and temporarily stop the communication. A practical 568 implementation of the protected zone in VLC networks can be 569 achieved with motion sensors that are already built in modern 570 energy-efficient lighting devices. We acknowledge that there 571 might be means to break the suggested enforcement of the 572 protected zone. However, a deeper investigation of this aspect 573 is outside the scope of this work. A secrecy protected zone 574 can be completely described by its center, i.e., its associated 575 AP, and a security radius D. The security radius is defined 576 as the smallest horizontal distance between the AP and any 577 eavesdroppers that are undetectable. 578

Lemma 1: Given that the horizontal distance between the nearest AP to the legitimate user is x_0 , the PDF of the horizontal distance between this AP and the nearest eavesdropper, that is outside the protected zone, is:

$$f_{\|e^*(x_0) - x_0\|}(\alpha) = 2\pi \lambda_e \alpha \exp\left(-\pi \lambda_e (\alpha^2 - D^2)\right), \quad (30)$$

⁵⁸⁴ for $\alpha \ge D$, and zero otherwise.

Proof: (30) can be obtained using the void probability of PPP [32].

With Lemma 1, we are ready to obtain the CDF of the secrecy rate enhanced by the protected zone. *Corollary 5:* When the legitimate user is served by the nearest AP in its vicinity, which has a protected zone with radius *D*, the CDF of the enhanced secrecy rate is given by: 591

$$F_{R_{s}}(v) = 1 - \frac{\exp\left(-\pi \lambda_{e}\left(\left(4^{\frac{v}{m+3}} - 1\right)L^{2} - D^{2}\right)\right)}{1 + \frac{\lambda_{e}}{\lambda_{a}}4^{\frac{v}{m+3}}},$$
 (31) 592

for
$$v \ge \frac{m+3}{2}\log_2(D^2/L^2+1)$$
, and 59.

$$F_{R_{s}}(v) = \frac{\exp\left(-\pi \lambda_{a} \left(D^{2} - \left(4^{\frac{v}{m+3}} - 1\right)L^{2}\right)4^{-\frac{v}{m+3}}\right)}{1 + \frac{\lambda_{a}}{\lambda_{e}}4^{-\frac{v}{m+3}}}, \quad (32) \quad {}^{594}$$

for
$$0 \le v < \frac{m+3}{2} \log_2 \left(D^2 / L^2 + 1 \right)$$
.

Proof: Since the protected zone has a radius D, the minimum distance between the nearest eavesdropper and the AP is D. Therefore, 598

$$e^*(x_0) = \arg\min_{e \in \Phi_c, e \notin \mathcal{B}(x_0, D)} \|e - x_0\|,$$
 (33) 59

where $\mathcal{B}(x_0, D)$ denotes the disk-shaped area centered at x_0 with radius D. Due to the exclusive region in (33), the derivation of the CDF of the enhanced secrecy rate needs to be separated into two scenarios. First, when $\sqrt{(\beta - 1)L^2} \ge D$, i.e., $v \ge \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, the CDF of the enhanced secrecy rate can be calculated as:

$$F_{R_{s}}(v) = \int_{0}^{\infty} \left(1 - \exp\left(-\pi \lambda_{e} \left(\beta x_{0}^{2} + (\beta - 1)L^{2} - D^{2}\right)\right) \right)$$
 60

$$\times 2\pi \lambda_a x_0 \exp\left(-\pi \lambda_a x_0^2\right) \mathrm{d}x_0, \quad (34) \quad {}_{607}$$

which gives the result in (31). Second, when $\sqrt{(\beta - 1)L^2} < D$, i.e., $0 \le v < \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, the CDF of the enhanced secrecy rate can be calculated as:

$$F_{R_{\rm s}}(v) = \int_{\sqrt{\frac{D^2 - (\beta - 1)L^2}{\beta}}}^{\infty} 2\pi \lambda_{\rm a} x_0 \exp\left(-\pi \lambda_{\rm a} x_0^2\right)$$
⁶¹

$$\times \left(1 - \exp\left(-\pi \lambda_{e} \left(\beta x_{0}^{2} + (\beta - 1)L^{2} - D^{2}\right)\right)\right) dx_{0} \qquad 612$$

$$+ \int_{0}^{\sqrt{\frac{\beta}{\beta}}} 2\pi \lambda_{a} x_{0} \exp\left(-\pi \lambda_{a} x_{0}^{2}\right)$$
⁶¹³

$$\times \mathbb{P}\left[e^*(x_0) \in \mathcal{B}(x_0, D)\right] \mathrm{d}x_0, \tag{35}$$

in which the critical point $x_0 = \sqrt{(D^2 - (\beta - 1)L^2)/\beta}$ is found by solving $\sqrt{\beta x_0^2 + (\beta - 1)L^2} = D$. Since $e^*(x_0) \notin \beta(x_0, D)$, $\mathbb{P}\left[e^*(x_0) \in \mathcal{B}(x_0, D)\right] = 0$, and the second integration in (35) reduces to zero. After calculating the first integration in (35), we obtain (32). To this end, the proof is completed.

It can be seen from Corollary 5 that the radius of the protected zone has a strong impact on the CDF of the secrecy rate and on the secrecy outage probability. On the one hand, if the radius of the protected zone is small enough so that the target secrecy rate satisfies $\bar{R}_{s} \geq \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, given a fixed density of eavesdroppers, the secrecy outage probability is lower bounded by:

$$p_{\rm so}^{\rm LB} = 1 - \exp\left(-\pi \,\lambda_{\rm e} \left(\left(4^{\frac{\tilde{R}_{\rm s}}{m+3}} - 1\right)L^2 - D^2\right)\right),$$
 (36) 624

670

674

687

which is obtained when the density of the APs goes to 629 infinity. On the other hand, if the radius of the protected 630 zone is large enough so that the target secrecy rate satisfies 631 $\bar{R}_{\rm s} < \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, increasing the density of VLC 632 APs can efficiently reduce the secrecy outage probability, and 633 the worst-case scenario of the secrecy outage probability is 634 upper bounded by: 635

$$_{636} \quad p_{so}^{\rm UB} = \exp\left(-\pi\,\lambda_{\rm a}\left(D^2 - \left(4\frac{\bar{R}_{\rm s}}{m+3} - 1\right)L^2\right)4^{-\frac{\bar{R}_{\rm s}}{m+3}}\right), \quad (37)$$

which is obtained by letting λ_e approach infinity. 637

Corollary 5 provides an essential guideline to network 638 designers so that they can design a suitable protected zone 639 around each VLC AP in order to provide legitimate users 640 with guaranteed secrecy service. Specifically, for legitimate 641 users to achieve a target secrecy rate R_s with a target 642 secrecy outage probability \bar{p}_{so} , network designers can set up 643 the protected zone with radius no smaller than D^* , where 644 $D^* = ((4^{\bar{R}_{\rm s}/(m+3)} - 1)L^2 + (\ln(1 - \bar{p}_{\rm so}) + \ln(1 + 4^{\bar{R}_{\rm s}/(m+3)}))$ 645 $\lambda_{\rm e}/\lambda_{\rm a}))/\pi \,\lambda_{\rm e})^{1/2} \text{ for } \bar{p}_{\rm so} \geq 1 - (1 + 4^{\bar{R}_{\rm s}/(m+3)}\lambda_{\rm e}/\lambda_{\rm a})^{-1},$ and $D^* = ((4^{\bar{R}_{\rm s}/(m+3)} - 1)L^2 - (\ln \bar{p}_{\rm so} + \ln(1 + 1))^2)$ 646 647 $(4 - \bar{R}_{\rm s}/(m+3)\lambda_{\rm a}/\lambda_{\rm e}))4 \bar{R}_{\rm s}/(m+3)/\pi \lambda_{\rm a})^{1/2}$ for $\bar{p}_{\rm so} < 1 - (1 + 1)/(1$ 648 $4^{R_s/(m+3)}\lambda_e/\lambda_a)^{-1}$. Also, it is evident that a more stringent 649 secrecy requirement with a larger \bar{R}_{s} and/or a smaller \bar{p}_{so} 650 requires the implementation of a larger secrecy protected zone. 651 Theorem 3: When the legitimate user is served by the 652 nearest AP in its vicinity, which has a protected zone with 653 radius D, the enhanced ergodic secrecy rate at the typical 654 legitimate user is: 655

$$\begin{array}{ll} {}_{656} & \mathbb{E}[R_{\rm s}] \\ {}_{657} & = \frac{m+3}{\ln(4)} \bigg[-\exp\left(\pi \,\lambda_{\rm e} \left(L^2 + D^2\right)\right) \operatorname{Ei}\left(-\pi \,\lambda_{\rm e} \left(L^2 + D^2\right)\right) \\ {}_{658} & +\ln\left(\frac{D^2}{L^2} + 1\right) \bigg] + \frac{m+3}{\ln(4)} \exp\left(\pi \,\lambda_{\rm a} L^2\right) \bigg[\operatorname{Ei}\left(-\pi \,\lambda_{\rm a} L^2\right) \\ {}_{659} & +\exp\left(\pi \,\lambda_{\rm e} \left(L^2 + D^2\right)\right) \operatorname{Ei}\left(-\pi (\lambda_{\rm a} + \lambda_{\rm e}) \left(L^2 + D^2\right)\right) \\ {}_{659} & = \operatorname{Ex}\left(-\lambda_{\rm e} \left(L^2 - \lambda_{\rm e}^2\right)\right) \bigg]$$

$$660 \qquad -\operatorname{Ei}\left(-\pi\,\lambda_{\mathrm{a}}\left(L^{2}+D^{2}\right)\right)\bigg]. \tag{38}$$

Proof: Based on Corollary 5, the enhanced ergodic rate 661 can be calculated by integrating the complement of the CDF. 662 Since the CDF has different expressions at different regions, 663 the integration should be separated into two parts: 664

665
$$\mathbb{E}[K_S]$$

ומוש

$$_{666} = \frac{m+3}{\ln(4)} \int_{1}^{\frac{D^2}{L^2}+1} \left(1 - \frac{\exp\left(\frac{-\pi\lambda_a(D^2 - (\beta - 1)L^2)}{\beta}\right)}{1 + \frac{\lambda_a}{\lambda_e}\frac{1}{\beta}} \right) \frac{1}{\beta} d\beta$$

$$667 \qquad + \frac{m+3}{\ln(4)} \int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi \lambda_e \left((\beta-1)L^2 - D^2\right)\right)}{\beta + \frac{\lambda_e}{\lambda_a}\beta^2} d\beta, \quad (39)$$

where for simplicity the variable of integration has been 668 changed from v to β . The first integration in (39) can be 669

simplified to:

$$\int_{1}^{\frac{D^{2}}{L^{2}}+1} \left(1 - \frac{\exp\left(\frac{-\pi\lambda_{a}(D^{2}-(\beta-1)L^{2})}{\beta}\right)}{1 + \frac{\lambda_{a}}{\lambda_{e}}\frac{1}{\beta}}\right) \frac{1}{\beta} d\beta$$
⁶⁷¹

$$= \ln\left(\frac{D^2}{L^2} + 1\right) + \exp\left(\pi\lambda_a L^2\right)$$
⁶⁷²

$$= \int_{1}^{\frac{D^{2}}{L^{2}}+1} \frac{\exp\left(-\frac{\pi\lambda_{a}(L^{2}+D^{2})}{\beta}\right)}{\beta + \frac{\lambda_{a}}{\lambda_{e}}} d\beta, \qquad (40) \quad 67$$

in which the integration part can be obtained as:

×

$$\int_{1}^{\frac{D^{2}}{L^{2}+1}} \frac{\exp\left(-\frac{\pi\lambda_{a}(L^{2}+D^{2})}{\beta}\right)}{\beta + \frac{\lambda_{a}}{\lambda_{e}}} d\beta$$
⁶⁷⁵

$$= \operatorname{Ei}\left(-\pi \lambda_{a} L^{2}\right) - \operatorname{Ei}\left(-\pi \lambda_{a} \left(L^{2} + D^{2}\right)\right)$$
676

+
$$\exp\left(\pi \lambda_{e}\left(L^{2}+D^{2}\right)\right)$$
 Ei $\left(-\pi \left(\lambda_{a}+\lambda_{e}\right)\left(L^{2}+D^{2}\right)\right)$ 677

$$-\exp\left(\pi\lambda_{e}\left(L^{2}+D^{2}\right)\right)\operatorname{Ei}\left(-\pi\lambda_{a}L^{2}-\pi\lambda_{e}\left(L^{2}+D^{2}\right)\right).$$
(41)
(41)
67

Similarly, the second integration in (39) can be simplified to: 680

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi \lambda_e \left((\beta-1) L^2 - D^2\right)\right)}{\beta + \frac{\lambda_e}{\lambda_a} \beta^2} \mathrm{d}\beta$$
⁶⁸¹

$$= \exp\left(\pi \lambda_{e} \left(L^{2} + D^{2}\right)\right) \left[\int_{\frac{D^{2}}{L^{2}}+1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \beta L^{2}\right)}{\beta} d\beta\right]$$
682

$$-\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi\,\lambda_{\rm e}\beta\,L^2\right)}{\beta+\frac{\lambda_{\rm e}}{\lambda_{\rm e}}} \mathrm{d}\beta \bigg]. \tag{42}$$

Applying [33, eq. 3.352.2], the two integrations in (42) can 684 be calculated as: 685

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi\,\lambda_{\rm e}\beta\,L^2\right)}{\beta} \mathrm{d}\beta = -\mathrm{Ei}\left(-\pi\,\lambda_{\rm e}\left(L^2+D^2\right)\right),\qquad(43)\qquad_{666}$$

and

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi\,\lambda_{\rm e}\beta\,L^2\right)}{\beta+\frac{\lambda_{\rm a}}{\lambda_{\rm e}}} \mathrm{d}\beta \tag{688}$$

$$= -\exp\left(\pi\,\lambda_{a}L^{2}\right)\operatorname{Ei}\left(-\pi\,\lambda_{e}L^{2}\left(\frac{\lambda_{a}}{\lambda_{e}} + \frac{D^{2}}{L^{2}} + 1\right)\right). \quad (44) \quad _{680}$$

Combining (40) - (44) gives the result shown in (38), which 690 completes the proof. 691

Note that the expression for the ergodic secrecy rate 692 in Theorem 3 can be simplified to the one given in Theorem 2 693 when D = 0. Also, it is shown in Theorem 3 that the ergodic 694 secrecy rate scales linearly with the Lambertian order m, 695 regardless of the size of the protected zone. Given the choice 696 of LEDs, the density of APs and the density of eavesdroppers, 697 a target ergodic secrecy capacity \bar{R}_{s} can be achieved through 698 the implementation of a protected zone with radius D^* , where 699

SIMULATION PARAMETERS	
Parameter	value
Room dimensions	$18 \times 14 \times 3.5 \text{ m}^3$
Height of VLC APs	3.15 m
Height of mobile users	1 m
Semi-angle of VLC APs, $\Phi_{1/2}$	30°
Transmit optical power of VLC APs, P_{tx}	1 W
Receiver detection area, A	1 cm^2
Receiver responsivity, η	0.4 A/W
Reflective index of the optical concentrator, n	1.5
Optical filter gain, T	1
Receiver FOV, Ψ_{fov}	90°
Receiver noise power, $\sigma_{nb}^2 = \sigma_{ne}^2$	-103.98 dBm

TABLE I

⁷⁰⁰ D^* is the numerical solution for D by letting (38) equal \bar{R}_s . ⁷⁰¹ Since the expression in (38) monotonically increases with ⁷⁰² respect to D, the numerical solution for D^* is unique.

703 V. SIMULATION RESULTS AND DISCUSSIONS

704 A. Results Based on the PPP Model

In this section, we use a MATLAB implementation to validate the derived results. Simulation results are obtained by averaging 20, 000 realizations of Monte Carlo simulations. A typical office of size $18 \times 14 \times 3.5$ m³ is considered, as illustrated in Figs. 1 and 2. If not otherwise specified, the network parameters used for the simulation setup are described in Table I.

First, we consider the scenario where the legitimate user is 712 served by the nearest AP in its vicinity, without the imple-713 mentation of the secrecy protected zone. Therefore, malicious 714 eavesdroppers can be horizontally as close as possible to the 715 AP that serves the legitimate user. By fixing the density of 716 eavesdroppers ($\lambda_e = 0.2$), the secrecy outage probability at 717 the typical legitimate user is evaluated at different values of 718 the AP density, as shown in Fig. 3. It can be seen that, 719 when λ_a is small, increasing the density of VLC APs can 720 efficiently reduce the secrecy outage probability at the legiti-721 mate user. However, when λ_a is large, further increasing the 722 density of VLC APs only slightly reduces the secrecy outage 723 probability. For example, given that the target secrecy rate is 724 $R_{\rm s} = 1$ bit/s/Hz, increasing $\lambda_{\rm a}$ from 0.1 to 1 can cause the 725 secrecy outage probability to drop by 0.3. In comparison, when 726 $\lambda_{\rm a}$ is increased from 1 to 10, the secrecy outage probability 727 only drops by 0.1. Also, it is shown that a lower bound on 728 the secrecy outage probability exists even if the density of 729 VLC APs approaches infinity. This result is in agreement 730 with Corollary 2. In Fig. 4, the ergodic secrecy rate is plotted 731 against the density of APs. It is shown that the ergodic secrecy 732 rate at the legitimate user drops when the density of eaves-733 droppers increases. Given a fixed density of eavesdroppers, 734 increasing the density of VLC APs can efficiently enhance the 735 ergodic secrecy rate when λ_a is small. However, the ergodic 736 secrecy rate of the legitimate user tends to saturate at high 737 AP densities. As a result, increasing the density of VLC APs 738 when λ_a is large does not bring a significant incrementation 739 to the ergodic secrecy rate. Instead, increasing the density of 740 APs when λ_a is small is more meaningful. 741

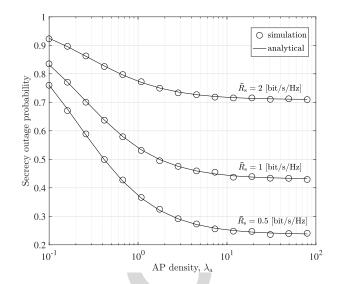


Fig. 3. Secrecy outage probability versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity. $\lambda_e = 0.2$.

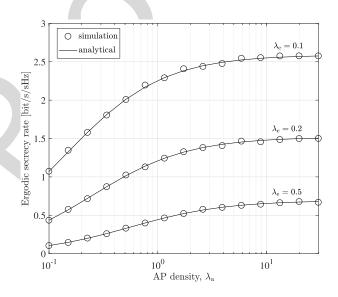


Fig. 4. Ergodic secrecy rate versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity.

Second, we consider the scenario where the legitimate user 742 is served by the optimal AP when APs are cooperated in the 743 network. For the typical legitimate user, the optimal AP is 744 not necessarily the nearest one, depending on the locations 745 of potential eavesdroppers. With the cooperation among VLC 746 APs, the optimal AP that brings the highest secrecy rate to 747 the legitimate user is selected. For Monte Carlo simulations, 748 the optimal AP is found out through the exhaustive search 749 method. In Fig. 5, the secrecy outage probability is plotted 750 against different eavesdropper densities, and it can be seen 751 that the simulation results are well bounded by the derived 752 analytical results. On the one hand, by assuming that the 753 optimal AP is the nearest one, we underestimate the secrecy 754 rate at the legitimate user. As a result, this assumption leads to 755 an upper bound on the secrecy outage probability. On the other 756 hand, the lower bound on the secrecy outage probability is 757

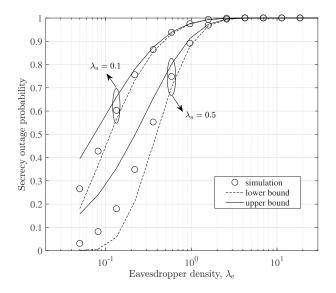


Fig. 5. Secrecy outage probability versus eavesdropper density. The legitimate user is served by the optimal AP. $\bar{R}_s = 0.5$ bit/s/Hz.

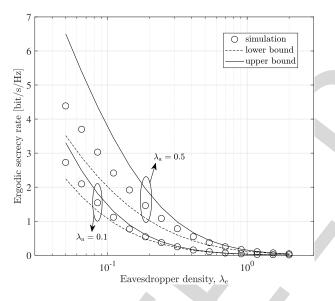


Fig. 6. Ergodic secrecy rate versus eavesdropper density. The legitimate user is served by the optimal AP.

obtained from Jensen's inequality, as described in Corollary 4. 758 Comparing the lower bound with the upper bound, it can be 759 seen that the lower bound is closer to the simulation results. 760 It is also shown in Fig. 5 that both theoretical bounds on 761 the secrecy outage probability are reasonably tight when the 762 eavesdropper density is large. In Fig. 6, the ergodic secrecy 763 rate at the legitimate user is computed for different values of 764 the eavesdropper density. It should be noted that assuming the 765 optimal AP is the nearest one gives the lower bound on the 766 ergodic secrecy rate in Fig. 6, which corresponds to the upper 767 bound on the secrecy outage probability in Fig. 5. Again, both 768 analytical bounds become tighter as the eavesdropper density 769 increases. Based on the results shown in Fig. 5 and Fig. 6, 770 we can conclude that the optimal AP that maximizes the 771 secrecy performance at the legitimate user is not necessarily 772 the nearest one. To investigate deeper, we show in Fig. 7 773

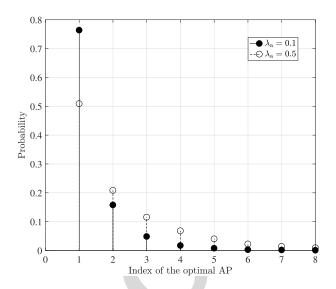


Fig. 7. Probability mass function (PMF) of the index of the optimal AP. $\lambda_e = 0.2$.

the probability mass function (PMF) of the index of the 774 optimal AP that maximizes the secrecy rate at the legitimate 775 user. Index *i* relates to the *i*-th nearest neighboring AP to 776 the legitimate user. For example, index 1 corresponds to the 777 nearest AP, index 2 corresponds to the second nearest AP, and 778 so on. It is shown in Fig. 7 that, compared to other neighboring 779 APs, the nearest AP is most likely the optimal one. However, 780 it is also possible that the optimal AP is the second nearest, 781 third nearest, etc. Fig. 7 also shows that with a smaller value 782 of λ_a , it is more likely that the nearest AP is the optimal one, 783 which therefore explains why the analytical bounds are tighter 784 for smaller values of λ_a , as observed in Fig. 5 and Fig. 6. 785

Third, we consider the scenario where the legitimate user 786 is served by the nearest AP in its vicinity, with the imple-787 mentation of a secrecy protected zone. It is assumed that any 788 malicious eavesdroppers that are inside the protected zone can 789 be detected by the AP so that these eavesdroppers do not cause 790 any secrecy information loss at the legitimate user. As a result, 791 the secrecy information loss at the legitimate user is caused 792 by the eavesdroppers that are outside the protected zone only. 793 In Fig. 8, the secrecy outage probability is plotted against the 794 density of VLC APs. It is shown that, for a given target secrecy 795 rate, the secrecy outage probability decreases as the AP density 796 increases. However, when λ_a is large, further increasing the 797 density of VLC APs only slightly reduces the secrecy outage 798 probability. Also, it is shown that there exists a lower bound 799 on the secrecy outage probability when λ_a approaches infinity. 800 After implementing a secrecy protected zone with radius D, 801 the secrecy outage probability is reduced significantly. More 802 specifically, when $\lambda_a = 1$, $\lambda_e = 0.2$ and the target secrecy rate 803 is $R_s = 2$ bit/s/Hz, implementing a secrecy protected zone 804 with radius D = 1 m reduces the secrecy outage probability 805 by 0.2. If the secrecy protected zone has a radius of D = 2 m, 806 the secrecy outage probability can be reduced to nearly zero. 807 It is also shown in Fig. 8 that, with a sufficiently large 808 protected area, the secrecy outage probability is no longer 809 bounded at the lower end, i.e., increasing the density of VLC 810

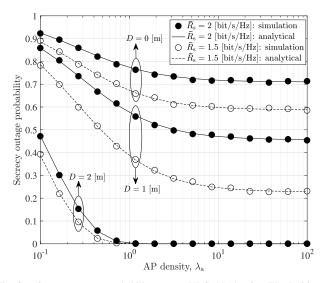


Fig. 8. Secrecy outage probability versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity, and eavesdroppers are outside the protected zone with radius D. $\lambda_e = 0.2$.

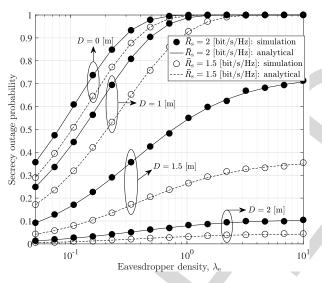


Fig. 9. Secrecy outage probability versus eavesdropper density. The legitimate user is served by the nearest AP in its vicinity, and eavesdroppers are outside the protected zone with radius D. $\lambda_a = 0.5$.

APs can efficiently reduce the secrecy outage probability to 811 zero. In Fig. 9, we fix $\lambda_a = 0.5$ and evaluate the impact of 812 the eavesdropper density on the secrecy outage probability. 813 It can be seen that, without the protected zone, the secrecy 814 outage probability can be as large as one if the eavesdropper 815 density is sufficiently high. However, with the implementation 816 of a protected zone, the worst-case scenario of the secrecy 817 outage probability can be limited below a certain level. For 818 example, when the target secrecy rate is $\bar{R}_s = 2$ bit/s/Hz and 819 the protected zone has a radius of D = 2 m, the worst-case 820 secrecy outage probability at the legitimate user does not 821 exceed 0.12, regardless of the eavesdropper density. To fur-822 ther investigate the impact of the protected zone, we show 823 in Fig. 10 the ergodic secrecy rate against the radius of 824 the protected zone while fixing the eavesdropper density to 825 $\lambda_{\rm e} = 0.2$. The slope of the curve shows that a very small 826 protected area brings only marginal improvement on the 827

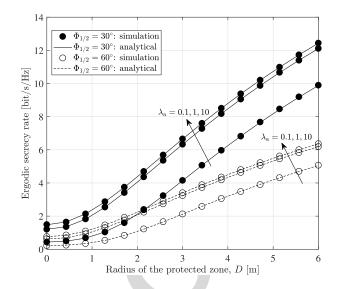


Fig. 10. Ergodic secrecy rate versus the radius of the protected zone. The legitimate user is served by the nearest AP in its vicinity. $\lambda_e = 0.2$.

secrecy performance. However, by increasing the size of 828 the protected zone further, the secrecy performance at the 829 legitimate user can be enhanced significantly. Specifically, 830 when $\lambda_a = 1$ and $\Phi_{1/2} = 30^\circ$, increasing the radius of the 83 protected zone from 0 to 1 m increases the ergodic secrecy 832 rate by 0.6 bit/s/Hz. In contrast, increasing the radius of 833 the protected zone from 1 to 2 m can increase the ergodic 834 secrecy rate by 1.9 bit/s/Hz. In Fig. 10, it is also shown that 835 using more directional LEDs, i.e., LEDs with a smaller semi-836 angle, enhances the secrecy performance at the legitimate user. 837 However, the actual choice of LEDs should also take practical 838 illumination requirements into consideration. 839

B. PPP Model vs. Grid Model

In the following, we compare the secrecy performance 841 between the stochastic PPP model and the deterministic grid 842 model. For the grid model, it implicitly assumes that the 843 number of APs, as well as their locations in the network, are 844 fixed and known. As shown in Fig. 11 and Fig. 12, we use a 845 hexagonal-shaped grid to model the locations of APs within 846 the same indoor space. A total number of 31 APs (represented 847 by red triangles) are considered, and without loss of generality 848 the secrecy performance is studied by focusing on the central 849 hexagonal cell. A legitimate user (represented by the green 850 circle) is randomly distributed within the central cell and is 851 served by the central AP. The eavesdroppers (represented by 852 blue squares) are assumed to follow a Poisson distribution 853 with intensity λ_e . To allow for a fair comparison between 854 the PPP model and the grid model, the density of APs in 855 the PPP model is set to 0.12 so that the expected number 856 of APs in the PPP model equals the total number of APs 857 in the grid model. It can be seen from Fig. 11 that the 858 PPP model and the grid model yield similar results for the 859 secrecy outage probability. Both curves have similar shapes 860 and trends, especially for higher target secrecy rates and 861 with larger eavesdropper densities. In general, the grid model 862

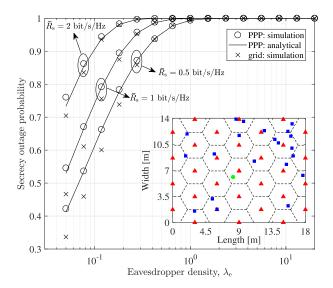


Fig. 11. Secrecy outage probability comparison between the PPP model and the grid model. $\lambda_a = 0.12$.

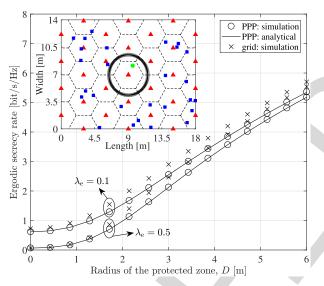


Fig. 12. Ergodic secrecy rate comparison between the PPP model and the grid model. $\lambda_a = 0.12$.

provides slightly superior coverage performance than the PPP 863 model because of its more regularized cell shapes. With the 864 implementation of a secrecy protected zone, we compare 865 in Fig. 12 the achieved ergodic secrecy rate between the PPP 866 model and the grid model. The configuration of the grid model 867 in Fig. 12 is the same as that in Fig. 11, except that the 868 eavesdroppers are prohibited in the circular protected zone 869 centered around the central AP. Results show that both models 870 yield close ergodic secrecy rates, especially for networks with 871 more populated eavesdroppers. 872

VI. CONCLUSION

873

In this work, we studied the performance of physical-layer secrecy in a three-dimensional multiuser VLC network. With the use of mathematical tools from stochastic geometry, analytical expressions for the secrecy outage probability, the ergodic secrecy rate, as well as their lower and upper bounds, are derived in tractable forms and verified through Monte Carlo 879 simulations. Impacts of AP cooperation and the implementa-880 tion of a secrecy protected zone on the secrecy performance 881 have also been investigated. Results show that cooperating 882 neighboring APs can enhance the secrecy performance of VLC 883 networks, but only to a limited extent. We also show that 884 building a secrecy protected zone around the AP significantly 885 improves the network secrecy performance. 886

Justifying the application of the PPP model to the performance analysis of VLC networks is an important research direction. Also, improved stochastic models may be developed in the future to more accurately capture the spatial distribution of APs in a real network deployment.

REFERENCES

- T. Komine and M. Nakagawa, "Fundamental analysis for visiblelight communication system using LED lights," *IEEE Trans. Consum. Electron.*, vol. 50, no. 1, pp. 100–107, Feb. 2004.
- [2] S. Dimitrov and H. Haas, Principles of LED Light Communications: Towards Networked Li-Fi. Cambridge, U.K.: Cambridge Univ. Press, 2015.
- [3] H. Haas, L. Yin, Y. Wang, and C. Chen, "What is LiFi?" J. Lightw. Technol., vol. 34, no. 6, pp. 1533–1544, Mar. 15, 2016.
- [4] IEEE Standard for Local and Metropolitan Area Networks—Part 15.7: Short-Range Wireless Optical Communication Using Visible Light, IEEE Computer Society, IEEE Standard 802.15.7-2011, 2011.
- [5] X. Li, F. Jin, R. Zhang, J. Wang, Z. Xu, and L. Hanzo, "Users first: User-centric cluster formation for interference-mitigation in visible-light networks," *IEEE Trans. Wireless Commun.*, vol. 15, no. 1, pp. 39–53, Jan. 2016.
- [6] H. Ma, L. Lampe, and S. Hranilovic, "Coordinated broadcasting for multiuser indoor visible light communication systems," *IEEE Trans. Commun.*, vol. 63, no. 9, pp. 3313–3324, Sep. 2015.
- [7] L. Yin, W. O. Popoola, X. Wu, and H. Haas, "Performance evaluation of non-orthogonal multiple access in visible light communication," *IEEE Trans. Commun.*, vol. 64, no. 12, pp. 5162–5175, Dec. 2016.
- [8] A. D. Wyner, "The wire-tap channel," *Bell Syst. Tech. J.*, vol. 54, no. 8, pp. 1355–1387, 1975.
- [9] I. Csiszär and J. Körner, "Broadcast channels with confidential messages," *IEEE Trans. Inf. Theory*, vol. IT-24, no. 3, pp. 339–348, May 1978.
- [10] M. Haenggi, "The secrecy graph and some of its properties," in *Proc. IEEE Int. Symp. Inf. Theory*, Toronto, ON, Canada, Jul. 2008, pp. 539–543.
- [11] P. C. Pinto, J. Barros, and M. Z. Win, "Secure communication in stochastic wireless networks—Part I: Connectivity," *IEEE Trans. Inf. Forensics Security*, vol. 7, no. 1, pp. 125–138, Feb. 2012.
- [12] P. C. Pinto, J. Barros, and M. Z. Win, "Secure communication in stochastic wireless networks—Part II: Maximum rate and collusion," *IEEE Trans. Inf. Forensics Security*, vol. 7, no. 1, pp. 139–147, Feb. 2012.
- [13] O. O. Koyluoglu, C. E. Koksal, and H. El Gamal, "On secrecy capacity scaling in wireless networks," *IEEE Trans. Inf. Theory*, vol. 58, no. 5, pp. 3000–3015, May 2012.
- [14] X. Zhou, R. K. Ganti, J. G. Andrews, and A. Hjørungnes, "On the throughput cost of physical layer security in decentralized wireless networks," *IEEE Trans. Wireless Commun.*, vol. 10, no. 8, pp. 2764–2775, Aug. 2011.
- [15] H. Wang, X. Zhou, and M. C. Reed, "Physical layer security in cellular networks: A stochastic geometry approach," *IEEE Trans. Wireless Commun.*, vol. 12, no. 6, pp. 2776–2787, Jun. 2013.
- [16] A. Lapidoth, S. M. Moser, and M. A. Wigger, "On the capacity of free-space optical intensity channels," *IEEE Trans. Inf. Theory*, vol. 55, no. 10, pp. 4449–4461, Oct. 2009.
- [17] J.-B. Wang, Q.-S. Hu, J. Wang, M. Chen, and J.-Y. Wang, "Tight bounds on channel capacity for dimmable visible light communications," *J. Lightw. Technol.*, vol. 31, no. 23, pp. 3771–3779, Dec. 1, 2013.
- [18] A. Chaaban, J. M. Morvan, and M. S. Alouini, "Free-space optical communications: Capacity bounds, approximations, and a new sphere-packing perspective," *IEEE Trans. Commun.*, vol. 64, no. 3, pp. 1176–1191, Mar. 2016.

945

946

- S. Dimitrov and H. Haas, "Information rate of OFDM-based optical wireless communication systems with nonlinear distortion," *J. Lightw. Technol.*, vol. 31, no. 6, pp. 918–929, Mar. 15, 2013.
- [20] A. Mostafa and L. Lampe, "Physical-layer security for MISO visible light communication channels," *IEEE J. Sel. Areas Commun.*, vol. 33, no. 9, pp. 1806–1818, Sep. 2015.
- A. Mostafa and L. Lampe, "Optimal and robust beamforming for secure transmission in MISO visible-light communication links,"
 IEEE Trans. Signal Process., vol. 64, no. 24, pp. 6501–6516, Dec. 2016.
- [22] G. Pan, J. Ye, and Z. Ding, "On secure VLC systems with spatially
 random terminals," *IEEE Commun. Lett.*, vol. 21, no. 3, pp. 492–495,
 Mar. 2016.
- [23] D. Stoyan, W. Kendall, and J. Mecke, *Stochastic Geometry and its Applications*, 2nd ed. Hoboken, NJ, USA: Wiley, 1996.
- [24] L. Zeng *et al.*, "High data rate multiple input multiple output (MIMO) optical wireless communications using white LED lighting," *IEEE J. Sel. Areas Commun.*, vol. 27, no. 9, pp. 1654–1662, Dec. 2009.
- J. Grubor, S. Randel, K. D. Langer, and J. W. Walewski, "Broadband information broadcasting using LED-based interior lighting," *J. Lightw. Technol.*, vol. 26, no. 24, pp. 3883–3892, Dec. 15, 2008.
- [26] J. M. Kahn and J. R. Barry, "Wireless infrared communications," *Proc. IEEE*, vol. 85, no. 2, pp. 265–298, Feb. 1997.
- [27] L. Hanzo, H. Haas, S. Imre, D. O'Brien, M. Rupp, and L. Gyongyosi,
 "Wireless myths, realities, and futures: From 3G/4G to optical and
 quantum wireless," *Proc. IEEE*, vol. 100, pp. 1853–1888, May 2012.
- [28] C. Chen, S. Videv, D. Tsonev, and H. Haas, "Fractional frequency reuse in DCO-OFDM-based optical attocell networks," *J. Lightw. Technol.*, vol. 33, no. 19, pp. 3986–4000, Oct. 1, 2015.
- [29] S. Leung-Yan-Cheong and M. E. Hellman, "The Gaussian wire-tap channel," *IEEE Trans. Inf. Theory*, vol. IT-24, no. 4, pp. 451–456, Jul. 1978.
- [30] O. Ozel, E. Ekrem, and S. Ulukus, "Gaussian wiretap channel with an amplitude constraint," in *Proc. IEEE Inf. Theory Workshop (ITW)*, Sep. 2012, pp. 139–143.
- [31] M. Haenggi, "On distances in uniformly random networks," *IEEE Trans. Inf. Theory*, vol. 51, no. 10, pp. 3584–3586, Oct. 2005.
- [32] S. Srinivasa and M. Haenggi, "Distance distributions in finite uniformly random networks: Theory and applications," *IEEE Trans. Veh. Technol.*, vol. 59, no. 2, pp. 940–949, Feb. 2010.
- [33] I. S. Gradshteyn and I. M. Ryzhik, *Tables of Integrals, Series, and Products*, 7th ed. San Diego, CA, USA: Academic, 2007.
- [34] N. Romero-Zurita, D. McLernon, M. Ghogho, and A. Swami, "PHY
 layer security based on protected zone and artificial noise," *IEEE Signal Process. Lett.*, vol. 20, no. 5, pp. 487–490, May 2013.



Liang Yin received the B.Eng. degree (Hons.) in 994 electronics and electrical engineering from the Uni-995 versity of Edinburgh, Edinburgh, U.K., in 2014, 996 where he is currently pursuing the Ph.D. degree in 997 electrical engineering. His research interests are in 998 visible light communication and positioning, multi-999 user networking, and wireless network performance 1000 analysis. He received the Class Medal Award and 1001 IET Prize Award from the University of Edinburgh. 1002



Harald Haas (S'98-AM'00-M'03-SM'17) 1003 received the Ph.D. degree from the University of 1004 Edinburgh in 2001. He currently holds the Chair 1005 of mobile communications with the University of 1006 Edinburgh, and is the Initiator, Co-Founder, and 1007 the Chief Scientific Officer of pureLiFi Ltd and 1008 the Director of the LiFi Research and Development 1009 Center, University of Edinburgh. He has authored 1010 400 conference and journal papers including a 1011 paper in Science and co-authored a book entitled 1012 Principles of LED Light Communications Towards 1013

Networked Li-Fi (Cambridge University Press, 2015). His main research 1014 interests are in optical wireless communications, hybrid optical wireless 1015 and RF communications, spatial modulation, and interference coordination 1016 in wireless networks. He first introduced and coined spatial modulation 1017 and LiFi. LiFi was listed among the 50 best inventions in TIME Magazine 1018 2011. He was an invited speaker with TED Global 2011, and his talk 1019 on Wireless Data from Every Light Bulb has been watched online over 1020 2.4 million times. He gave a second TED Global lecture in 2015 on the 1021 use of solar cells as LiFi data detectors and energy harvesters. This has 1022 been viewed online over 1.8 million times. He was elected as a Fellow 1023 of the Royal Society of Edinburgh in 2017. In 2012 and 2017, he was 1024 the recipient of the prestigious Established Career Fellowship from the 1025 Engineering and Physical Sciences Research Council (EPSRC) within 1026 Information and Communications Technology in the U.K. In 2014, he was 1027 selected by EPSRC as one of ten Recognising Inspirational Scientists and 1028 Engineers Leaders in the U.K. He was the co-recipient of the EURASIP Best 1029 Paper Award for the Journal on Wireless Communications and Networking 1030 in 2015, and co-recipient of the Jack Neubauer Memorial Award of the IEEE 1031 VEHICULAR TECHNOLOGY SOCIETY. In 2016, he was a recipient of the 1032 outstanding achievement award from the International Solid State Lighting 1033 Alliance. He was the co-recipient of recent Best Paper Awards at VTC, 1034 2013, VTC 2015, ICC 2016, and ICC 2017. He is currently an Editor of the 1035 IEEE TRANSACTIONS ON COMMUNICATIONS and the IEEE JOURNAL OF 1036 LIGHTWAVE TECHNOLOGIES. 1037

Physical-Layer Security in Multiuser Visible Light Communication Networks

Liang Yin and Harald Haas, Senior Member, IEEE

Abstract—In this paper, we study the physical-layer security in a 3-D multiuser visible light communication (VLC) network. The 2 locations of access points (APs) and mobile users are modeled as 3 two 2-D, independent and homogeneous Poisson point processes 4 at distinct heights. Using mathematical tools from stochastic 5 geometry, we provide a new analytical framework to charac-6 terize the secrecy performance in multiuser VLC networks. 7 Closed-form results for the outage probability and the ergodic secrecy rate are derived for networks without AP cooperation. Considering the cooperation among APs, we give tight lower 10 and upper bounds on the secrecy outage probability and the 11 ergodic secrecy rate. To further enhance the secrecy performance 12 at the legitimate user, a disk-shaped secrecy protected zone is 13 implemented in the vicinity of the transmit AP. Based on the 14 obtained results, it is shown that cooperating neighboring APs 15 in a multiuser VLC network can bring performance gains on 16 17 the secrecy rate, but only to a limited extent. We also show that building an eavesdropper-free protected zone around the 18 AP significantly improves the secrecy performance of legitimate 19 users, which appears to be a promising solution for the design 20 21 of multiuser VLC networks with high security requirements.

Index Terms—Visible light communication, secrecy capacity,
 physical-layer security, poisson point process, stochastic
 geometry.

I. INTRODUCTION

25

Y UTILIZING the existing lighting infrastructure and 26 D shifting the communication frequency to the visible spec-27 trum, visible light communication (VLC) [1]–[3] has recently 28 emerged as a promising candidate for future high-speed broad-29 band communications, which could effectively alleviate the 30 spectrum congestion issue in current radio frequency (RF) 31 based wireless systems. Recent advances have also led to the 32 standardization of short-range wireless optical communication 33 using VLC for local and metropolitan area networks [4], 34 which serves as a major step towards its commercialization 35 in the near future. Compared to RF communication, VLC 36 has the following main advantages: 1) VLC builds upon 37 existing lighting devices and operates on the license-free 38 spectrum so that it has lower implementation cost; 2) VLC can 39 operate safely in electromagnetic sensitive areas, where RF is 40 intrinsically prohibited; 3) VLC networking can be designed in 41

Manuscript received February 22, 2017; revised July 15, 2017; accepted September 16, 2017. This work was supported by the U.K. Engineering and Physical Sciences Research Council under Grant EP/K008757/1. (*Corresponding author: Liang Yin.*)

The authors are with the School of Engineering, Institute for Digital Communications, Li-Fi Research and Development Centre, University of Edinburgh, Edinburgh EH9 3JL, U.K. (e-mail: l.yin@ed.ac.uk; h.haas@ed.ac.uk). Color versions of one or more of the figures in this paper are available

online at http://ieeexplore.ieee.org.

Digital Object Identifier 10.1109/JSAC.2017.2774429

addition to existing heterogeneous wireless networks because 42 it receives zero interference from, and adds zero interference to 43 its RF counterparts; 4) Based on the property that visible light 44 does not penetrate through opaque objects, the communication 45 bandwidth in one room can be efficiently reused in other rooms 46 to obtain a high frequency reuse factor and hence a high area 47 spectral efficiency; 5) Indoor VLC typically achieves higher 48 physical-layer security since the transmitted signal is confined 49 within the room. 50

The broadcast property of VLC has been utilized in many novel designs of multiuser VLC networks [5]-[7]. However, it also causes potential concerns to legitimate users and network administrators regarding the information privacy and confidentiality, especially in public areas, such as train stations and libraries. From an information-theoretic point of view, the physical-layer security was pioneered by Wyner for proposing the wiretap channel [8]: a channel in which an eavesdropper receives a degraded version of the transmitted signal. The degraded wiretap channel was later extended to the non-degraded broadcast channel by Csiszár and Körner [9]. In their seminal work, it is shown that perfect secrecy can be achieved as long as the legitimate user has a less degraded channel than the eavesdropper, and the secrecy capacity is derived as the difference between the information capacity for the two users. Typical security enhancement techniques that are implemented at upper layers of the communication chain include password protection and user admission control. Physical-layer security, on the other hand, exploits the randomness of the noise and the wireless communication channel to limit the amount of legitimate information to be detected by unauthorized eavesdroppers [8], [9].

Different from point-to-point communication, studying the 73 secrecy performance in a large-scale wireless network requires 74 not only the knowledge of locations of legitimate users but also 75 the knowledge of locations of eavesdropping users that may 76 interact with legitimate users. Initial works that characterize 77 the secrecy performance in multiuser wireless networks rely 78 on the secrecy graph model to study the node connectiv-79 ity [10], [11] and the maximum secrecy rate [12], from 80 an information-theoretic perspective. Following these works, 81 the secrecy rate per source-destination pair was investigated 82 in [13] by characterizing the secrecy capacity scaling laws 83 in a wireless network. Moving from network information 84 theory, recent works have evaluated the secrecy performance 85 in multiuser wireless networks using mathematical tools from 86 stochastic geometry [14], [15]. It should be noted that works 87 in [8]-[15] are all focused on RF based wireless networks. 88

0733-8716 © 2017 IEEE. Translations and content mining are permitted for academic research only. Personal use is also permitted,

but republication/redistribution requires IEEE permission. See http://www.ieee.org/publications_standards/publications/rights/index.html for more information.

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

Different from RF communication, which is typically mod-89 eled as a Gaussian broadcast channel with an average power 90 constraint at the transmitter side, VLC typically uses intensity 91 modulation and direct detection (IM/DD) due to the use 92 of inexpensive light-emitting diodes (LEDs) and photodi-93 odes (PDs) as the optical transmitter and receiver, respectively. 94 In VLC, since the signal is modulated onto the intensity of 95 the emitted light, it must satisfy average, peak as well as 96 non-negative amplitude constraints, that are imposed by the 97 dynamic range of typical LEDs and practical illumination 98 requirements [6], [16]–[18]. Although typical LEDs have a 99 nonlinear electrical-to-optical (E/O) transfer characteristic, this 100 nonlinearity can be successfully compensated by pre-distortion 101 techniques [19]. Also, since the wavelength of visible light is 102 hundreds of nanometers while the detection area of a typical 103 PD is millions of square wavelengths, this spatial diversity 104 essentially prevents the "multipath fading" effect in the VLC 105 channel. Due to these fundamental differences, results on the 106 secrecy capacity obtained for RF networks can not be directly 107 applied to VLC networks. 108

Since the secrecy capacity is related to the information 109 capacity of the communication channel [8], [9], before deter-110 mining the secrecy capacity in VLC networks it is essential 111 to obtain the information capacity of the VLC channel with 112 average, peak and non-negative constraints. However, to the 113 best of authors' knowledge, the exact information capacity of 114 the VLC channel with such constraints still remains unknown, 115 even for the simplest single-input single-output (SISO) case, 116 despite some lower and upper bounds have been deri-117 ved [16]–[18]. By considering one transmitter, one legitimate 118 user and one eavesdropper in a VLC system, lower and upper 119 bounds on the secrecy capacity of the amplitude-constrained 120 Gaussian wiretap channel was recently studied in [20], with 121 the use of the derived capacity lower and upper bounds in [16]. 122 In the same work [20], beamforming was also utilized to 123 improve the secrecy capacity for the multiple-input single-124 output (MISO) VLC channel. Following this, the optimal 125 beamformer design problem subject to amplitude constraints 126 was further studied in [21]. The secrecy performance in 127 a single-cell VLC system with only one AP was studied 128 in [22]. However, the randomness of legitimate users as well as 129 eavesdroppers and, more importantly, the interactions between 130 them, have not been fully characterized when analyzing the 131 secrecy performance in a random multiuser VLC network. 132

133 A. Approaches and Contributions

In this work, we aim to characterize the secrecy performance 134 in an indoor multiuser VLC network by considering the 135 unique properties of the VLC channel as well as the network 136 layout, that differ from typical RF networks. Our approach 137 builds upon a proposed three-dimensional network model with 138 two independent random topologies for the VLC APs and 139 mobile users. Specifically, the VLC APs are modeled by a 140 two-dimensional homogeneous Poisson point process (PPP) 141 in the ceiling, while the locations of users, that include 142 both legitimate users and eavesdroppers, are modeled by 143 another independent two-dimensional homogeneous PPP at 144

the user plane. To separate eavesdroppers from legitimate 145 users, the locations of random eavesdroppers are obtained 146 from a thinned PPP. Despite the grid-like deployment of LEDs 147 in typical offices, the following observations indicate that a 148 stochastic model may be required to accurately capture the 149 distribution of APs in a VLC network. First, more and more 150 LEDs with built-in motion-detection sensors are deployed in 151 public spaces in order to reduce energy consumption. In this 152 case, some of the LEDs will be temporally switched off when 153 they are not required to provide illumination. Second, the dis-154 tribution of ceiling lights is not necessarily equivalent to the 155 distribution of APs in a VLC network because not necessarily 156 all of the ceiling lights are simultaneously operating in the 157 communication mode, i.e., some of the ceiling lights may 158 operate in the illumination mode only when no data traffic 159 is demanded from them. In these scenarios, the distribution 160 of APs can not be accurately modeled by the grid model. 161 Instead, a stochastic thinning process built upon the grid-162 like deployment of LEDs is more accurate, where the active-163 ness/idleness of each AP is determined by a time-varying 164 probability distribution function (PDF). However, finding the 165 PDF of activeness/idleness of the LED requires full knowledge 166 of the users' movement and handover characteristics, which is 167 generally complicated and not analytically tractable. In order 168 to derive analytically tractable results, the PPP model is 169 assumed in this work. For completeness, we also compare 170 the secrecy performance between the PPP model and the grid 171 model and provide a method of applying the derived analytical 172 results to estimate the secrecy performance in a conventional 173 grid-like VLC network. 174

The main contributions of this paper are as follows:

 When the legitimate user is served by the nearest AP in its vicinity, we derive the distribution function of the secrecy rate of a typical legitimate user, based on which secrecy outage probability and ergodic secrecy rate are obtained. To provide further insights into the secrecy performance with different network parameters, lower and upper bounds on the secrecy outage probability as well as on the ergodic secrecy rate are given.

175

176

177

178

179

180

181

182

183

- We enhance the secrecy performance by implementing AP cooperation in a multiuser VLC network, and give lower and upper bounds on the secrecy outage probability and the ergodic secrecy rate. The derived analytical bounds are found to be reasonably tight in general and become tighter when the density of eavesdroppers becomes larger.
- 3) To further enhance the secrecy performance for legiti-191 mate users, we introduce a disk-shaped secrecy protected 192 zone around the AP in a multiuser VLC network, 193 in which the presence of eavesdroppers is prohibited. 194 In this scenario, the secrecy outage probability and the 195 ergodic secrecy rate are derived. The impact of designing 196 the protected zone with different sizes on the secrecy 197 performance is also investigated. 198

The remainder of this paper is organized as follows. ¹⁹⁹ In Section II, we introduce a three-dimensional link ²⁰⁰ model for multiuser VLC networks and formulate the ²⁰¹ information-theoretic secrecy rate expression based on a close ²⁰² approximation of the channel capacity. The secrecy outage
probability and the ergodic secrecy rate with/without the AP
cooperation are derived in Section III. We extend the analysis
on the secrecy performance in Section IV by implementing a
disk-shaped protected zone. Simulation results and discussions
are provided in Section V. Finally, concluding remarks are
given in Section VI.

II. SYSTEM MODEL

211 A. Poisson Network Model

210

We consider a downlink transmission scenario of a multiuser 212 VLC network with the presence of both legitimate users and 213 eavesdroppers inside a three-dimensional space. The VLC 214 APs are vertically fixed, since they are attached to the room 215 ceiling, and their horizontal positions are modeled by a 216 two-dimensional homogeneous PPP Φ_a with density λ_a , 217 in nodes per unit area. Similarly, mobile users are assumed to 218 be at a fixed height and their horizontal positions are modeled 219 by another independent two-dimensional homogeneous PPP 220 Φ_u with density λ_u . The vertical distance between the AP 221 plane and the user plane is denoted by L. After adding an 222 additional user at the room center,¹ the new point process 223 for mobile users becomes $\Phi_u \bigcup \{0\}$. Slivnyak's theorem states 224 that adding a user into Φ_u is equivalent to conditioning Φ_u 225 on the added point, and this process does not change the 226 distribution of Φ_u [23]. Therefore, the added user at the origin 227 can be treated as the *typical* legitimate user in the study 228 since it can reflect the spatial average of the performance of 229 all legitimate users in the network. Among all of the users, 230 there exist malicious eavesdroppers that could compromise 231 the transmission privacy of ongoing legitimate links, due to 232 the broadcast nature of the VLC channel. Since eavesdroppers 233 typically disguise as legitimate users, it is uncertain whether 234 a random user $u \in \Phi_u$ is a legitimate user or an eavesdropper. 235 Therefore, it is assumed that u is an eavesdropper with 236 probability p_e and that u is a legitimate user with probability 237 $1 - p_e$. This thinned realization of Φ_u gives the point process 238 for eavesdroppers, Φ_e , which is also a homogeneous PPP 239 whose density can be found as $\lambda_e = p_e \lambda_u$ [23]. Furthermore, 240 it is assumed that eavesdroppers do not collude with each other 241 so that each eavesdropper needs to decode any confidential 242 messages sent to legitimate users individually. An example of 243 the described multiuser VLC network is depicted in Fig. 1. 244

A complete VLC channel includes both the line-of-245 sight (LOS) link and non-line-of-sight (NLOS) links, that are 246 caused by light reflections from interior surfaces. However, 247 in a typical indoor lighting environment, the sum signal power 248 carried by NLOS components is significantly weaker than that 249 carried by the LOS link [1], [24], [25]. Therefore, we will 250 only focus on the LOS link in the following analysis in 251 order to obtain tractable analytical results. The VLC APs 252 are assumed to have a Lambertian radiation profile whose 253 Lambertian order is $m = -1/\log_2(\cos(\Phi_{1/2}))$, where $\Phi_{1/2}$ 254

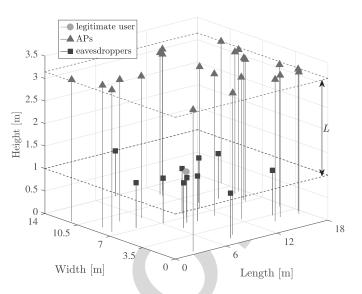


Fig. 1. Random network model: the legitimate user of interest is placed at the room center; VLC APs are randomly distributed in the ceiling according to a homogeneous PPP Φ_a ; and eavesdroppers are randomly distributed on the same plane as the legitimate user, following a homogeneous PPP Φ_e . In this example, an indoor VLC network of size $18 \times 14 \times 3.5$ m³ is shown.

denotes the semi-angle of the LED. The PD equipped at each user is assumed to be facing vertically upwards with a field-ofview (FOV) of Ψ_{fov} . For each VLC link, the optical channel direct current (DC) gain is given by [26]: 258

$$h = \frac{(m+1)A\eta}{2\pi d^2} \cos^m(\phi) T(\psi) g(\psi) \cos(\psi), \qquad (1) \quad {}_{259}$$

where A denotes the effective detection area of the PD; η ²⁶⁰ is the responsivity of the PD; ϕ and ψ are the angle of ²⁶¹ irradiance and the angle of incidence of the optical link, ²⁶² respectively; $T(\psi)$ represents the gain of the optical filter used at the receiver; and $g(\psi)$ represents the gain of the optical concentrator. The optical concentrator gain is given by [26]: ²⁶⁵

$$g(\psi) = \begin{cases} \frac{n^2}{\sin^2(\Psi_{\text{fov}})}, & 0 \le \psi \le \Psi_{\text{fov}} \\ 0, & \psi > \Psi_{\text{fov}} \end{cases}, \quad (2) \quad {}_{266}$$

where *n* is the reflective index of the optical concentrator, and 267 it is defined as the ratio of the speed of light in vacuum and 268 the phase velocity of light in the optical material. For visible 269 light, the typical value for *n* varies between 1 and 2. 270

Consider the communication link from an AP $x \in \Phi_a$ to an eavesdropper $e \in \Phi_e$. Based on the geometry [7] of the VLC link, it is easy to obtain $d = \sqrt{\|e - x\|^2 + L^2}$, $\cos(\phi) = \frac{273}{274}$ $L/\sqrt{\|e - x\|^2 + L^2}$ and $\cos(\psi) = L/\sqrt{\|e - x\|^2 + L^2}$. Therefore, the received optical power at eavesdropper *e* from AP *x* can be written as: 276

$$P_{\rm rx}(x,e) = h P_{\rm tx}$$

$$= \frac{(m+1)A\eta T(\psi)g(\psi)L^{m+1}}{2\pi (\|e-x\|^2 + L^2)^{\frac{m+3}{2}}} P_{\rm tx},$$
(3) 278

where P_{tx} denotes the transmit optical power of the AP. 279 Similarly, the received signal power at the legitimate user can 280 be written as $P_{rx}(x, o)$, where *o* representing the origin is the 281 location of the typical user of interest. 282

¹The room center is also called the origin. We use both expressions interchangeably throughout the paper since the room center has more geographical meanings while the origin has more mathematical meanings when we apply stochastic geometry tools in the theoretical analysis.

29

283 B. Secrecy Capacity Formulation

The classic Shannon equation does not apply to VLC because of the average, peak and non-negative constraints on the modulated optical signal. Although the exact capacity of the VLC channel remains unknown, several upper and lower bounds have been derived [16]–[18]. Based on the capacity lower bound derived in [16], the exact channel capacity of VLC can be written as:

$$C = \frac{1}{2}\log_2\left(1 + \frac{\exp(1)P_{\rm rx}^2}{2\pi\sigma_{\rm n}^2}\right) + \epsilon\left(\frac{P_{\rm rx}}{\sigma_{\rm n}}\right),\tag{4}$$

where ϵ , as a function of the received optical-signal-to-292 noise ratio (OSNR) $P_{\rm rx}/\sigma_{\rm n}$, represents a positive capacity 293 gap between the exact channel capacity and the analytical 294 lower bound [16], and σ_n^2 represents the total power of noise 295 processes at the receiver. Note that inside the receiver circuit 296 the dominant noise sources are the thermal noise and shot 297 noise [1], [25]. The thermal noise is mainly caused by the 298 preamplifier circuits while the shot noise originates mainly 299 from the ambient light and/or other light sources. The signal-300 dependent shot noise, on the other hand, is relatively small, 301 and hence its effect can be ignored. The overall noise process 302 is generally well modeled as the additive white Gaussian 303 noise (AWGN) [1], [25]. As the legitimate user and eaves-304 droppers may use different grades of receivers, for example, 305 PDs with different detection areas and/or bandwidths, they are 306 subject to different levels of receiver noise and are capable of 307 detecting signals with different amplifying gains. Without loss 308 of generality, the choice of different grades of receivers can 309 be accounted for in the system model by assigning different 310 noise variances at the legitimate user and the eavesdropper. Based on this, we denote by σ_{nb}^2 and σ_{ne}^2 the noise variance at 311 312 the legitimate user and the noise variance at the eavesdropper, 313 respectively. Unlike RF channels whose input signals are 314 subject to an average power constraint [29], VLC channels 315 require the input signals to satisfy a peak amplitude (optical 316 power) constraint. This makes it challenging to obtain closed-317 form expressions for the secrecy capacity of a VLC link, 318 even for the simplest SISO case [20], [30]. Therefore, in the 319 following analysis we focus on a tight achievable lower bound 320 on the secrecy capacity [20]: 321

328

$$C_{\rm s} \ge [C_{\rm b} - C_{\rm e}]^{-} = \underline{C}_{\rm s},\tag{5}$$

where $[a]^+ = \max\{a, 0\}; C_s$ represents the exact secrecy capacity; \underline{C}_s represents the tight lower bound on the secrecy capacity given by the right-hand side of (5); C_b is the channel capacity of the legitimate link; and C_e is the channel capacity of the eavesdropper's link.

III. SECRECY RATE IN RANDOM VLC NETWORKS

329 A. Nearest AP to Serve the Legitimate User

Without AP cooperation, the nearest AP is typically assumed to serve a mobile user in the VLC network in order to maximize the information rate of the communication link. As a result, based on (4), the capacity of the legitimate link can be written as $C_{\rm b} = \max_{x \in \Phi_{\rm a}} \frac{1}{2} \log_2(1 + \exp(1)P_{\rm rx}^2(x, o)/2\pi\sigma_{\rm nb}^2) + \epsilon (P_{\rm rx}(x, o)/\sigma_{\rm nb}) = \frac{1}{2} \log_2(1 + \exp(1)P_{\rm rx}^2(x_0, o)/2\pi\sigma_{\rm nb}^2) + \epsilon (P_{\rm rx}(x_0, o)/\sigma_{\rm nb})$, where x_0 represents the location of the nearest AP to the origin. Since it is assumed that eavesdroppers do not collude, the secrecy performance of the legitimate user is limited by the eavesdropper with the highest OSNR. Therefore, the lower bound on the secrecy capacity at the typical legitimate user is formulated as: 337

$$\underline{C}_{s} = \left[\frac{1}{2}\log_{2}\left(1 + \frac{\exp(1)P_{rx}^{2}(x_{0}, o)}{2\pi\sigma_{nb}^{2}}\right)^{342}\right]$$

 $-\frac{1}{2}\log_2\left(1+\frac{\exp(1)P_{rx}(x_0,e^{-t}(x_0))}{2\pi\sigma_{ne}^2}\right) 343$

$$+\epsilon \left(\frac{P_{\rm rx}(x_0, o)}{\sigma_{\rm nb}}\right) - \epsilon \left(\frac{P_{\rm rx}(x_0, e^*(x_0))}{\sigma_{\rm ne}}\right) \right]^+, \quad (6) \quad {}_{34}$$

where $e^*(x_0)$ denotes the horizontal distance from AP x_0 to the nearest eavesdropper. Given that the legitimate user is connected to AP x, the general solution for $e^*(x)$, denoting the horizontal distance between AP x and the strongest eavesdropper, can be obtained by finding the location of the eavesdropper $e \in \Phi_e$ that receives the strongest signal power: 350

$$e^{*}(x) = \arg\max_{e \in \Phi_{e}} P_{rx}(x, e)$$

$$= \arg\min_{e \in \Phi_{e}} \|e_{e} - x\|$$
(7)

$$= \arg\min_{e \in \Phi_e} \|e - x\|, \tag{7} 352$$

where the last step is obtained based on the monotonic 353 property of (3). By utilizing fractional frequency reuse [28] 354 or orthogonal multiple access techniques, the achievable data 355 rate can be quantified through the received signal-to-noise 356 ratio (SNR) without the side effect of co-channel inter-357 ference (CSI). As a result, OSNR of $P_{\rm rx}/\sigma_{\rm n}$ > 30 dB 358 can be achieved at typical illumination levels [25], [27], 359 where $\epsilon(P_{\rm rx}/\sigma_{\rm n})$ is found to be comparatively small [16]– 360 [18]. Therefore, we focus on the high OSNR regime, where 361 $\epsilon(P_{\rm rx}(x_0, o)/\sigma_{\rm nb}) \ll 1/2\log_2(\exp(1)P_{\rm rx}^2(x_0, o)/2\pi\sigma_{\rm nb}^2)$ and 362 $\epsilon(P_{\rm rx}(x_0, e^*(x_0))/\sigma_{\rm ne}) \ll 1/2\log_2(\exp(1)P_{\rm rx}^2(x_0, e^*(x_0))/\sigma_{\rm ne})$ 363 $2\pi \sigma_{\rm ne}^2$). Based on this, (6) can be further approximated to: 364

$$\underline{C}_{s} \approx \left[\frac{1}{2}\log_{2}\left(\frac{P_{rx}^{2}(x_{0},o)}{P_{rx}^{2}(x_{0},e^{*}(x))}\right) + \log_{2}\left(\frac{\sigma_{ne}}{\sigma_{nb}}\right)\right]^{+} = R_{s}.$$
 (8) 365

To distinguish from the exact secrecy capacity, we define in (8)366 $R_{\rm s}$ as the achievable secrecy rate. Due to the lack of the com-367 plete knowledge of the exact secrecy capacity C_s , the secrecy 368 rate R_s is of interest in this paper. It is shown in (8) that a non-369 negative secrecy rate can only be achieved when the legitimate 370 user achieves a higher SNR than the strongest eavesdropper. 371 In the case that a eavesdropper receives signals from a less-372 degraded link than the legitimate user, the achievable secrecy 373 rate drops to zero. It can also be seen from (8) that when 374 the legitimate user and the eavesdropper use different grades 375 of receivers, the achieved secrecy capacity at the legitimate 376 user is offset by a constant, whose value is proportional to the 377 logarithm of $\sigma_{\rm ne}/\sigma_{\rm nb}$. Therefore, without loss of generality, 378 $\sigma_{\rm nb} = \sigma_{\rm ne}$ is assumed in the following analysis. 379

Theorem 1:When the legitimate user is served by the
nearest AP in its vicinity, the cummulative distribution func-
tion (CDF) of the secrecy rate R_s is given by:380
381

$$F_{R_{s}}(v) = 1 - \frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}} 4^{\frac{v}{m+3}}} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right) L^{2}\right), \quad (9) \quad \text{set}$$

where $v \ge 0$.

Proof: According to (8), we have $R_s \ge 0$. Therefore, the CDF of the secrecy rate R_s can be calculated by:

$$F_{R_{s}}(v) = \mathbb{P}[R_{s} \le v]$$

$$= \mathbb{P}\left[\frac{P_{rx}^{2}(x_{0}, o)}{P_{rx}^{2}(x_{0}, e^{*}(x_{0}))} \le 4^{v}\right]$$

$$= \mathbb{P}\left[\|e^{*}(x_{0}) - x_{0}\| \le \sqrt{\beta x_{0}^{2} + (\beta - 1)L^{2}}\right], (10)$$

where $\beta = 4^{v/(m+3)}$. Since the legitimate user is served by the nearest AP, the PDF of x_0 is [31]:

$$f_{x_0}(x_0) = 2\pi \lambda_a x_0 \exp\left(-\pi \lambda_a x_0^2\right). \tag{11}$$

When conditioned on distance x_0 , (10) is the probability that no eavesdroppers exist within a circle, which is centered at x_0 and has a radius of $\sqrt{\beta x_0^2 + (\beta - 1)L^2}$. Such probability can be calculated using the void probability of PPP [32]. As a result, (10) can be calculated as:

$$= \mathbb{E}_{x_0} \left[\mathbb{P} \left[\|e^*(x_0) - x_0\| \le \sqrt{\beta x_0^2 + (\beta - 1)L^2} \, \Big| \, x_0 \right] \right]$$

$$= \int_0^\infty \mathbb{P} \left[\|e^*(x_0) - x_0\| \le \sqrt{\beta x_0^2 + (\beta - 1)L^2} \, \Big| \, x_0 \right] f_{x_0}(x_0) dx_0$$

$$= \int_0^\infty \left(1 - \exp\left(-\pi \lambda_e \left(\beta x_0^2 + (\beta - 1)L^2\right)\right) \right) 2\pi \lambda_a x_0$$

$$\times \exp\left(-\pi \lambda_a x_0^2\right) dx_0$$

$$_{403} = 1 - \frac{1}{1 + \frac{\lambda_e}{\lambda_a}\beta} \exp\left(-\pi \lambda_e \left(\beta - 1\right) L^2\right).$$
(12)

After plugging
$$\beta = 4^{v/(m+3)}$$
 into (12), we obtain (9).
Corollary 1: When the legitimate user is served by the *n*-th
nearest AP in its vicinity, the CDF of the secrecy rate is:

407
$$F_{R_{s}}(v) = 1 - \left(\frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}}4^{\frac{v}{m+3}}}\right)^{n} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right)L^{2}\right),$$
408 (13)

where $v \ge 0$.

412

392

 $F_{-}(n)$

⁴¹⁰ *Proof:* The distance distribution of the legitimate user to ⁴¹¹ the *n*-th nearest AP is given by [31]:

$$f_{x_n}(x_n) = \frac{2(\pi \lambda_a x_n^2)^n}{x_n \Gamma(n)} \exp\left(-\pi \lambda_a x_n^2\right).$$
(14)

⁴¹³ By using (14) and following similar steps as in (12), (13) can ⁴¹⁴ be obtained.

The secrecy outage probability, denoted by p_{so} , is defined as the probability that the secrecy rate is below a target secrecy rate \bar{R}_s . Mathematically, it is formulated as:

$$p_{so} = \mathbb{P}\left[R_s \le \bar{R}_s\right] = F_{R_s}(\bar{R}_s), \tag{15}$$

⁴¹⁹ which can be obtained directly from Theorem 1.

420 Corollary 2: When the legitimate user is served by the
421 nearest AP in its vicinity, the secrecy outage probability is
422 lower bounded by:

423
$$p_{so}^{LB} = 1 - \exp\left(-\pi \lambda_e \left(4^{\frac{\bar{R}_s}{m+3}} - 1\right) L^2\right),$$
 (16)

⁴²⁴ when the density of VLC APs approaches infinity.

Proof: (16) can be obtained from $p_{so}^{LB} = 425$ $\lim_{\lambda_a \to \infty} p_{so}$.

Theorem 1 and Corollary 2 provide an important guideline 427 for the design of VLC networks: installing more VLC APs 428 can help decrease the secrecy outage probability of a typical 429 legitimate user; however, when the density of APs reaches 430 a certain level, further increasing the density of APs is 431 not meaningful since it can no longer enhance the secrecy 432 performance. In other words, it is impossible for a legitimate 433 user in the network to simultaneously achieve a target secrecy 434 rate \bar{R}_{s} and have an outage probability lower than $p_{so}^{\text{LB}}(\bar{R}_{s})$. 435 Given a target secrecy rate \bar{R}_s and a target outage proba-436 bility $\bar{p}_{so} > p_{so}^{LB}(\bar{R}_s)$, this requirement can be achieved by 437 installing more APs in the network so that the density of 438 APs satisfies $\lambda_a \geq \lambda_e \left(1 - \bar{p}_{so}\right) 4^{R_s/(m+3)} / \left(\bar{p}_{so} - p_{so}^{LB}(\bar{R}_s)\right)$. 439 From (9) and (16), it is shown that reducing the semi-angle 440 of the LED, or equivalently increasing the Lambertian order, 441 can also help improve the secrecy performance of the network. 442 Nevertheless, the actual choice of the semi-angle of the LED 443 should also satisfy the illumination requirement. 444

Theorem 2: When the legitimate user is served by the nearest AP in its vicinity, the ergodic secrecy rate at the legitimate user is: 447

$$\mathbb{E}[R_{\rm s}] = \frac{m+3}{\ln(4)} \Big[\exp\left(\pi \left(\lambda_{\rm e} + \lambda_{\rm a}\right)L^2\right) \operatorname{Ei}\left(-\pi \left(\lambda_{\rm e} + \lambda_{\rm a}\right)L^2\right) - \exp\left(\pi \lambda_{\rm e}L^2\right) \operatorname{Ei}\left(-\pi \lambda_{\rm e}L^2\right) \Big], \quad (17) \quad 446$$

where $\text{Ei}(a) = -\int_{-a}^{\infty} \exp(-t)/t dt$ is the exponential integral 450 function [33].

Proof: The ergodic secrecy rate can be calculated based 452 on the CDF of R_s : 453

$$\mathbb{E}[R_{\rm s}] = \int_0^\infty \left(1 - F_{R_{\rm s}(v)}\right) \mathrm{d}v \qquad 454$$
$$= \frac{m+3}{2} \int_0^\infty \frac{1}{1-2} \exp\left(-\pi \lambda \left(\beta - 1\right) L^2\right) \mathrm{d}\beta \qquad 454$$

$$= \frac{m+3}{\ln(4)} \int_{1}^{1} \frac{1}{\beta \left(1 + \frac{\lambda_e}{\lambda_a}\beta\right)} \exp\left(-\pi \lambda_e \left(\beta - 1\right) L^2\right) d\beta \qquad 451$$

$$= \frac{m+3}{\ln(4)} \left[\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \left(\beta-1\right) L^{2}\right)}{\beta} d\beta \right]$$
⁴⁵⁶

$$-\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{\rm e} \left(\beta - 1\right) L^{2}\right)}{\beta + \frac{\lambda_{\rm a}}{\lambda_{\rm e}}} \mathrm{d}\beta \bigg], \qquad (18) \quad {}_{457}$$

where the integration variable has been changed from v to β . 458 After applying [33, eq. 3.351.5], the first integration in (18) 459 can be calculated as: 460

$$\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \left(\beta-1\right) L^{2}\right)}{\beta} d\beta = -\exp\left(\pi \lambda_{e} L^{2}\right) \operatorname{Ei}\left(-\pi \lambda_{e} L^{2}\right). \quad {}^{461}$$

$$(19) \quad {}^{462}$$

After applying [33, eq. 3.352.2], the second integration in (18) 463 can be calculated as: 464

$$\int_{1}^{\infty} \frac{\exp\left(-\pi \lambda_{\rm e} \left(\beta - 1\right) L^2\right)}{\beta + \frac{\lambda_{\rm a}}{\lambda_{\rm e}}} \mathrm{d}\beta \tag{465}$$

$$= -\exp\left(\pi\left(\lambda_{e} + \lambda_{a}\right)L^{2}\right)\operatorname{Ei}\left(-\pi\left(\lambda_{e} + \lambda_{a}\right)L^{2}\right). \quad (20) \quad {}_{466}$$

After plugging (19) and (20) into (18), (17) is obtained. \blacksquare 467

468 Corollary 3: When the legitimate user is served by the
 469 nearest AP in its vicinity, the ergodic secrecy rate at the
 470 legitimate user is upper bounded by:

$$R_{\rm s}^{\rm UB} = \frac{m+3}{\ln(4)} \left(-\exp\left(\pi \,\lambda_{\rm e} L^2\right) \operatorname{Ei}\left(-\pi \,\lambda_{\rm e} L^2\right) \right).$$
(21)

⁴⁷² *Proof:* The upper bound on the secrecy rate can be ⁴⁷³ obtained from $R_s^{UB} = \lim_{\lambda_a \to \infty} \mathbb{E}[R_s]$. Based on the equality

474
$$\lim_{\lambda_{a}\to\infty}\exp\left(\pi\left(\lambda_{e}+\lambda_{a}\right)L^{2}\right)\operatorname{Ei}\left(-\pi\left(\lambda_{e}+\lambda_{a}\right)L^{2}\right)=0,\quad(22)$$

475 we obtain (21).

Theorem 2 and Corollary 3 indicate that increasing the density 476 of VLC APs can help enhance the ergodic secrecy rate of 477 a typical legitimate user. However, when the density of APs 478 exceeds a certain level, installing more APs can not enhance 479 the ergodic secrecy rate any further. While satisfying the 480 illumination requirement, using LEDs with a smaller semi-481 angle can increase the ergodic secrecy rate of a typical user. 482 Specifically, it can be seen from (17) and (21) that a linear 483 relationship exists between the ergodic secrecy rate and the 484 Lambertian order *m*. Given the choice of LEDs, the maximum 485 ergodic secrecy rate can not exceed the upper bound given 486 in (21). To achieve a target ergodic secrecy rate \bar{R}_s , whose 487 value is smaller than $R_{\rm s}^{\rm UB}$, the density of APs needs to 488 exceed λ_a^* , where λ_a^* is the numerical solution for λ_a to equa-489 tion $\exp\left(\pi (\lambda_e + \lambda_a)L^2\right) \operatorname{Ei}\left(-\pi (\lambda_e + \lambda_a)L^2\right) = \ln(4)\bar{R}_s/2$ 490 $(m+3) + \exp(\pi \lambda_e L^2) \operatorname{Ei}(-\pi \lambda_e L^2).$ 491

492 B. Optimal AP to Serve the Legitimate User

493 Due to the randomness of eavesdroppers, it is not always optimal to serve the legitimate user with the nearest AP. For 494 example, if the eavesdropper is close to the nearest AP around 495 the legitimate user but far away from the second nearest 496 AP around the legitimate user, selecting the second nearest 497 AP to serve the legitimate user may yield a higher secrecy 498 rate. Therefore, with the cooperation among APs, the secrecy 499 performance at legitimate users can be further enhanced. 500 However, it should be noted that selecting the optimal AP to 501 serve legitimate users requires the knowledge of the location 502 information of all eavesdroppers at the central controller, 503 which can be achieved with indoor sensing and localization 504 technologies. Despite the additional implementation and com-505 putation complexity, this optimal scheme yields an enhanced 506 secrecy rate, which is useful for network designers to quantify 507 the secrecy performance provided by the nearest AP and 508 optimal AP and to decide which scheme is more suitable for 509 practical implementations. When the optimal AP is selected 510 to serve the legitimate user, the secrecy rate is formulated as: 511

512
$$R_{\rm s} = \left[\max_{x \in \Phi_{\rm a}} \left\{ \frac{1}{2} \log_2 \left(\frac{P_{\rm rx}^2(x, o)}{P_{\rm rx}^2(x, e^*(x))} \right) \right\} \right]^+.$$
(23)

⁵¹³ Due to the intractability of the secrecy rate expression given ⁵¹⁴ in (23), the distribution function of R_s is hard to obtain. In the ⁵¹⁵ following, we provide two analytical bounds on the CDF of ⁵¹⁶ the secrecy rate. *Corollary 4:* With the cooperation among VLC APs, 517 the CDF of the secrecy rate at the typical legitimate user is 198 lower bounded by: 519

$$F_{R_{s}}(v) \ge \exp\left(-\frac{\lambda_{a}}{\lambda_{e}}4^{-\frac{v}{m+3}}\exp\left(-\pi\lambda_{e}\left(4^{\frac{v}{m+3}}-1\right)L^{2}\right)\right), \qquad (24)$$

and is upper bounded by:

$$F_{R_{s}}(v) \leq 1 - \frac{1}{1 + \frac{\lambda_{e}}{\lambda_{a}} 4^{\frac{v}{m+3}}} \exp\left(-\pi \lambda_{e} \left(4^{\frac{v}{m+3}} - 1\right) L^{2}\right).$$
(25) 523

Proof: With the cooperation of VLC APs, the CDF of the secrecy rate can be calculated with the help of the probability generating functional (PGFL) of the PPP [23]: 526

$$F_{R_{\rm s}}(v)$$
 522

$$= \mathbb{P}\left[\max_{x \in \Phi_{a}}\left\{\frac{1}{2}\log_{2}\left(\frac{P_{\mathrm{rx}}^{2}(x,o)}{P_{\mathrm{rx}}^{2}(x,e^{*}(x))}\right)\right\} \le v\right]$$
528

$$= \mathbb{P}\left[\frac{1}{2}\log_2\left(\frac{P_{\mathrm{rx}}^2(x,o)}{P_{\mathrm{rx}}^2(x,e^*(x))}\right) \le v, \forall x \in \Phi_a\right]$$
 524

$$= \mathbb{E}_{\Phi_{e}} \left[\mathbb{E}_{\Phi_{a}} \left[\prod_{x \in \Phi_{a}} \mathbf{1} \left(\|e - x\| \le \sqrt{\beta l^{2} + (\beta - 1)L^{2}} \right) \right] \right]$$

$$= \mathbb{E}_{\Phi_{e}} \left[\exp \left[-\lambda_{a} \int_{\mathbb{R}^{2}} \mathbf{1} \left[\|e - x\| > \sqrt{\beta l^{2} + (\beta - 1)L^{2}} \middle| x \right] dx \right] \right],$$
so

522

where $1(\mathcal{A}) = 1$ with event \mathcal{A} being true, and zero otherwise. Based on Jensen's inequality, the lower bound can be calculated as:

After calculating the integration part in (27), the lower bound result in Corollary 4 is obtained. The upper bound can be obtained straightforwardly from the following inequality: 540

$$\left[\max_{x\in\Phi_{a}}\left\{\log_{2}\left(\frac{P_{rx}^{2}(x,o)}{P_{rx}^{2}(x,e^{*}(x))}\right)\right\}\right]^{+} \ge \left[\log_{2}\left(\frac{P_{rx}^{2}(x_{0},o)}{P_{rx}^{2}(x_{0},e^{*}(x_{0}))}\right)\right]^{+}.$$
(28) 54:

In other words, choosing the nearest AP to serve the legitimate user is sub-optimal, which gives an upper bound on the CDF of the secrecy capacity. Therefore, the upper bound expression shown in (25) can be obtained directly from Theorem 1.

Based on the upper bound on the CDF of the secrecy rate, a lower bound on the ergodic secrecy rate can be obtained, as given in (17). An upper bound on the ergodic secrecy rate can be obtained by integrating the complement of the CDF of $R_{\rm s}$:

$$\mathbb{E}[R_{\rm S}]$$
552

$$= \int_{0}^{1} \left(1 - F_{R_{\rm s}(v)} \right) \mathrm{d}v$$
 553

$$\leq \frac{m+3}{\ln(4)} \int_{1}^{\infty} \left(1 - \exp\left(-\frac{\lambda_{a}}{\lambda_{e}\beta} \exp\left(-\pi \lambda_{e} \left(\beta - 1\right) L^{2}\right)\right) \right) \frac{1}{\beta} d\beta.$$
(29) 55

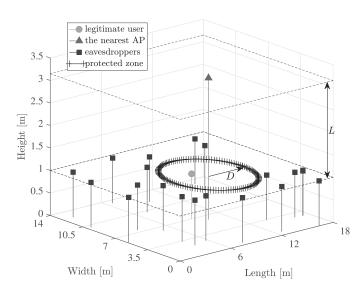


Fig. 2. Random network model with a secrecy protected zone. In this model, each VLC AP has a disk-shaped protected zone, which is centered around the AP and has a radius of D on the user plane. For simplicity, only the protected zone around the nearest AP is drawn.

Because of the nested exponential function in (29), a closedform expression is not available. However, (29) can be
efficiently calculated using numerical methods.

IV. ENHANCING SECRECY RATE IN VLC NETWORKS WITH A PROTECTED ZONE

In order to further enhance the secrecy performance of 561 legitimate users in VLC networks, a strategy named the "pro-562 tected zone" [34] can be implemented. As depicted in Fig. 2, 563 a protected zone is an eavesdropper-free area (on the user 564 plane), which allows only legitimate users to enter. If any 565 eavesdropper enters the protected zone, such behavior will be 566 made aware to the AP, and the AP will notify the legitimate 567 user and temporarily stop the communication. A practical 568 implementation of the protected zone in VLC networks can be 569 achieved with motion sensors that are already built in modern 570 energy-efficient lighting devices. We acknowledge that there 571 might be means to break the suggested enforcement of the 572 protected zone. However, a deeper investigation of this aspect 573 is outside the scope of this work. A secrecy protected zone 574 can be completely described by its center, i.e., its associated 575 AP, and a security radius D. The security radius is defined 576 as the smallest horizontal distance between the AP and any 577 eavesdroppers that are undetectable. 578

Lemma 1: Given that the horizontal distance between the nearest AP to the legitimate user is x_0 , the PDF of the horizontal distance between this AP and the nearest eavesdropper, that is outside the protected zone, is:

$$f_{\|e^*(x_0) - x_0\|}(\alpha) = 2\pi \lambda_e \alpha \exp\left(-\pi \lambda_e (\alpha^2 - D^2)\right), \quad (30)$$

for $\alpha \ge D$, and zero otherwise.

Proof: (30) can be obtained using the void probability of PPP [32].

With Lemma 1, we are ready to obtain the CDF of the secrecy rate enhanced by the protected zone. *Corollary 5:* When the legitimate user is served by the nearest AP in its vicinity, which has a protected zone with radius *D*, the CDF of the enhanced secrecy rate is given by: 591

$$F_{R_{s}}(v) = 1 - \frac{\exp\left(-\pi \lambda_{e}\left(\left(4^{\frac{v}{m+3}} - 1\right)L^{2} - D^{2}\right)\right)}{1 + \frac{\lambda_{e}}{\lambda_{a}}4^{\frac{v}{m+3}}},$$
 (31) 592

for
$$v \ge \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$$
, and 580

$$F_{R_{s}}(v) = \frac{\exp\left(-\pi \lambda_{a} \left(D^{2} - \left(4^{\frac{v}{m+3}} - 1\right)L^{2}\right)4^{-\frac{v}{m+3}}\right)}{1 + \frac{\lambda_{a}}{\lambda_{e}}4^{-\frac{v}{m+3}}}, \quad (32) \quad {}^{594}$$

for
$$0 \le v < \frac{m+3}{2} \log_2 \left(D^2 / L^2 + 1 \right)$$
.

Proof: Since the protected zone has a radius D, the minimum distance between the nearest eavesdropper and the AP is D. Therefore, 598

$$e^*(x_0) = \arg\min_{e \in \Phi_e, e \notin \mathcal{B}(x_0, D)} \|e - x_0\|,$$
 (33) 599

where $\mathcal{B}(x_0, D)$ denotes the disk-shaped area centered at x_0 with radius D. Due to the exclusive region in (33), the derivation of the CDF of the enhanced secrecy rate needs to be separated into two scenarios. First, when $\sqrt{(\beta - 1)L^2} \ge D$, i.e., $v \ge \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, the CDF of the enhanced secrecy rate can be calculated as:

$$F_{R_{s}}(v) = \int_{0}^{\infty} \left(1 - \exp\left(-\pi \lambda_{e} \left(\beta x_{0}^{2} + (\beta - 1)L^{2} - D^{2}\right)\right) \right)$$
 60

$$\times 2\pi \lambda_a x_0 \exp\left(-\pi \lambda_a x_0^2\right) \mathrm{d}x_0, \quad (34) \quad {}_{607}$$

which gives the result in (31). Second, when $\sqrt{(\beta - 1)L^2} < D$, i.e., $0 \le v < \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, the CDF of the enhanced secrecy rate can be calculated as:

$$F_{R_{\rm s}}(v) = \int_{\sqrt{\frac{D^2 - (\beta - 1)L^2}{\beta}}}^{\infty} 2\pi \lambda_{\rm a} x_0 \exp\left(-\pi \lambda_{\rm a} x_0^2\right)$$
⁶¹

$$\times \left(1 - \exp\left(-\pi \lambda_e \left(\beta x_0^2 + (\beta - 1)L^2 - D^2\right)\right)\right) dx_0 \quad \text{612}$$

$$+\int_{0}^{\sqrt{\frac{2-(\beta-1)^2}{\beta}}} 2\pi \lambda_a x_0 \exp\left(-\pi \lambda_a x_0^2\right)$$
⁶¹³

$$\times \mathbb{P}\left[e^*(x_0) \in \mathcal{B}(x_0, D)\right] \mathrm{d}x_0, \tag{35}$$

in which the critical point $x_0 = \sqrt{(D^2 - (\beta - 1)L^2)/\beta}$ is ⁶¹⁵ found by solving $\sqrt{\beta x_0^2 + (\beta - 1)L^2} = D$. Since $e^*(x_0) \notin$ ⁶¹⁶ $\mathcal{B}(x_0, D)$, $\mathbb{P}\left[e^*(x_0) \in \mathcal{B}(x_0, D)\right] = 0$, and the second integration in (35) reduces to zero. After calculating the first integration in (35), we obtain (32). To this end, the proof is completed.

It can be seen from Corollary 5 that the radius of the protected zone has a strong impact on the CDF of the secrecy rate and on the secrecy outage probability. On the one hand, if the radius of the protected zone is small enough so that the target secrecy rate satisfies $\bar{R}_{s} \geq \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, given a fixed density of eavesdroppers, the secrecy outage probability is lower bounded by:

$$p_{\rm so}^{\rm LB} = 1 - \exp\left(-\pi \lambda_{\rm e} \left(\left(4^{\frac{\bar{R}_{\rm s}}{m+3}} - 1\right)L^2 - D^2\right)\right),$$
 (36) 628

which is obtained when the density of the APs goes to infinity. On the other hand, if the radius of the protected zone is large enough so that the target secrecy rate satisfies $\bar{R}_{s} < \frac{m+3}{2} \log_2 (D^2/L^2 + 1)$, increasing the density of VLC APs can efficiently reduce the secrecy outage probability, and the worst-case scenario of the secrecy outage probability is upper bounded by:

$$_{636} \quad p_{so}^{\rm UB} = \exp\left(-\pi\,\lambda_{\rm a}\left(D^2 - \left(4\frac{\bar{R}_{\rm s}}{m+3} - 1\right)L^2\right)4^{-\frac{\bar{R}_{\rm s}}{m+3}}\right), \quad (37)$$

which is obtained by letting λ_e approach infinity.

Corollary 5 provides an essential guideline to network 638 designers so that they can design a suitable protected zone 639 around each VLC AP in order to provide legitimate users 640 with guaranteed secrecy service. Specifically, for legitimate 641 users to achieve a target secrecy rate R_s with a target 642 secrecy outage probability \bar{p}_{so} , network designers can set up 643 the protected zone with radius no smaller than D^* , where 644 $\begin{aligned} D^* &= ((4^{\bar{R}_s/(m+3)} - 1)L^2 + (\ln(1 - \bar{p}_{so}) + \ln(1 + 4^{\bar{R}_s/(m+3)}) \\ \lambda_e/\lambda_a))/\pi \lambda_e)^{1/2} \text{ for } \bar{p}_{so} \geq 1 - (1 + 4^{\bar{R}_s/(m+3)} \lambda_e/\lambda_a)^{-1}, \\ \text{and } D^* &= ((4^{\bar{R}_s/(m+3)} - 1)L^2 - (\ln \bar{p}_{so} + \ln(1 + 4^{-\bar{R}_s/(m+3)} \lambda_a/\lambda_e))4^{\bar{R}_s/(m+3)}/\pi \lambda_a)^{1/2} \text{ for } \bar{p}_{so} < 1 - (1 + 4^{\bar{R}_s/(m+3)} \lambda_a/\lambda_e))4^{-\bar{R}_s/(m+3)}/\pi \lambda_a)^{1/2} \end{aligned}$ 645 646 647 648 $4^{R_s/(m+3)}\lambda_e/\lambda_a)^{-1}$. Also, it is evident that a more stringent 649 secrecy requirement with a larger \bar{R}_{s} and/or a smaller \bar{p}_{so} 650 requires the implementation of a larger secrecy protected zone. 651 Theorem 3: When the legitimate user is served by the 652 nearest AP in its vicinity, which has a protected zone with 653 radius D, the enhanced ergodic secrecy rate at the typical 654 legitimate user is: 655

$$\begin{array}{ll} \text{E}[R_{s}] \\ \text{E}[R_$$

$$660 \qquad -\operatorname{Ei}\left(-\pi\,\lambda_{\mathrm{a}}\left(L^{2}+D^{2}\right)\right) \, \right]. \tag{38}$$

Proof: Based on Corollary 5, the enhanced ergodic rate
 can be calculated by integrating the complement of the CDF.
 Since the CDF has different expressions at different regions,
 the integration should be separated into two parts:

$$\mathbb{D}_{[\Lambda_S]}^2$$

ו **ת** זיזו

$$= \frac{m+3}{\ln(4)} \int_{1}^{\frac{D}{L^2}+1} \left(1 - \frac{\exp\left(\frac{\beta}{1+\frac{\lambda_a}{\lambda_e}\frac{1}{\beta}}\right)}{1+\frac{\lambda_a}{\lambda_e}\frac{1}{\beta}} \right) \frac{1}{\beta} d\beta$$

$$m+3 \quad f^{\infty} \quad \exp\left(-\pi \lambda_e \left((\beta-1)L^2 - D^2\right)\right)$$

 $\left(-\pi\lambda_a \left(D^2 - (\beta - 1)L^2\right)\right)$

$$667 \qquad + \frac{m+3}{\ln(4)} \int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi \lambda_e\left((\beta-1)L^2-D^2\right)\right)}{\beta + \frac{\lambda_e}{\lambda_a}\beta^2} d\beta, \quad (39)$$

where for simplicity the variable of integration has been changed from v to β . The first integration in (39) can be simplified to:

$$\int_{1}^{\frac{D^{2}}{L^{2}}+1} \left(1 - \frac{\exp\left(\frac{-\pi\lambda_{a}\left(D^{2}-(\beta-1)L^{2}\right)}{\beta}\right)}{1 + \frac{\lambda_{a}}{\lambda_{e}}\frac{1}{\beta}}\right) \frac{1}{\beta} d\beta$$
⁶⁷¹

$$= \ln\left(\frac{D^2}{L^2} + 1\right) + \exp\left(\pi\lambda_a L^2\right)$$

$$(\pi\lambda_a L^2)$$

$$(\pi\lambda_a L^2)$$

$$(\pi\lambda_a L^2)$$

$$(\pi\lambda_a L^2)$$

$$\int_{1}^{\frac{D^{2}}{L^{2}}+1} \frac{\exp\left(-\frac{\pi \lambda_{a}(L+D)}{\beta}\right)}{\beta + \frac{\lambda_{a}}{\lambda_{e}}} d\beta, \qquad (40) \quad 673$$

in which the integration part can be obtained as:

X

$$\int_{1}^{\frac{D^{2}}{L^{2}}+1} \frac{\exp\left(-\frac{\pi\lambda_{a}(L^{2}+D^{2})}{\beta}\right)}{\beta+\frac{\lambda_{a}}{\lambda_{e}}} d\beta$$
⁶⁷⁵

$$= \operatorname{Ei}\left(-\pi\,\lambda_{\mathrm{a}}L^{2}\right) - \operatorname{Ei}\left(-\pi\,\lambda_{\mathrm{a}}\left(L^{2}+D^{2}\right)\right)$$

+ exp
$$\left(\pi \lambda_{e} \left(L^{2} + D^{2}\right)\right)$$
Ei $\left(-\pi \left(\lambda_{a} + \lambda_{e}\right) \left(L^{2} + D^{2}\right)\right)$ 677

$$-\exp\left(\pi\lambda_{e}\left(L^{2}+D^{2}\right)\right)\operatorname{Ei}\left(-\pi\lambda_{a}L^{2}-\pi\lambda_{e}\left(L^{2}+D^{2}\right)\right).$$
(41)
(41)
(57)

Similarly, the second integration in (39) can be simplified to: 680

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi \lambda_e \left((\beta-1) L^2 - D^2\right)\right)}{\beta + \frac{\lambda_e}{\lambda_a} \beta^2} d\beta$$
⁶⁸¹

$$= \exp\left(\pi \lambda_{e} \left(L^{2} + D^{2}\right)\right) \left[\int_{\frac{D^{2}}{L^{2}} + 1}^{\infty} \frac{\exp\left(-\pi \lambda_{e} \beta L^{2}\right)}{\beta} d\beta \right]$$
682

$$-\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi \lambda_{\rm e} \beta L^2\right)}{\beta + \frac{\lambda_{\rm e}}{\lambda_{\rm e}}} \mathrm{d}\beta \bigg]. \tag{42}$$

Applying [33, eq. 3.352.2], the two integrations in (42) can 684 be calculated as: 685

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi\,\lambda_{\rm e}\beta L^2\right)}{\beta} \mathrm{d}\beta = -\mathrm{Ei}\left(-\pi\,\lambda_{\rm e}\left(L^2+D^2\right)\right), \quad (43) \quad {}_{666}$$

and

$$\int_{\frac{D^2}{L^2}+1}^{\infty} \frac{\exp\left(-\pi\,\lambda_{\rm e}\beta\,L^2\right)}{\beta+\frac{\lambda_{\rm a}}{\lambda_{\rm e}}} \mathrm{d}\beta \tag{688}$$

$$= -\exp\left(\pi\lambda_{a}L^{2}\right)\operatorname{Ei}\left(-\pi\lambda_{e}L^{2}\left(\frac{\lambda_{a}}{\lambda_{e}} + \frac{D^{2}}{L^{2}} + 1\right)\right). \quad (44) \quad \text{686}$$

Combining (40) - (44) gives the result shown in (38), which completes the proof.

Note that the expression for the ergodic secrecy rate 692 in Theorem 3 can be simplified to the one given in Theorem 2 693 when D = 0. Also, it is shown in Theorem 3 that the ergodic 694 secrecy rate scales linearly with the Lambertian order m, 695 regardless of the size of the protected zone. Given the choice 696 of LEDs, the density of APs and the density of eavesdroppers, 697 a target ergodic secrecy capacity \bar{R}_{s} can be achieved through 698 the implementation of a protected zone with radius D^* , where 699

670

674

TABLE I Simulation Parameters

Parameter	value
Room dimensions	$18 \times 14 \times 3.5 \text{ m}^3$
Height of VLC APs	3.15 m
Height of mobile users	1 m
Semi-angle of VLC APs, $\Phi_{1/2}$	30°
Transmit optical power of VLC APs, P_{tx}	1 W
Receiver detection area, A	1 cm^2
Receiver responsivity, η	0.4 A/W
Reflective index of the optical concentrator, n	1.5
Optical filter gain, T	1
Receiver FOV, Ψ_{fov}	90°
Receiver noise power, $\sigma_{\rm nb}^2 = \sigma_{\rm ne}^2$	-103.98 dBm

⁷⁰⁰ D^* is the numerical solution for D by letting (38) equal \bar{R}_s . ⁷⁰¹ Since the expression in (38) monotonically increases with ⁷⁰² respect to D, the numerical solution for D^* is unique.

703 V. SIMULATION RESULTS AND DISCUSSIONS

704 A. Results Based on the PPP Model

In this section, we use a MATLAB implementation to validate the derived results. Simulation results are obtained by averaging 20, 000 realizations of Monte Carlo simulations. A typical office of size $18 \times 14 \times 3.5$ m³ is considered, as illustrated in Figs. 1 and 2. If not otherwise specified, the network parameters used for the simulation setup are described in Table I.

First, we consider the scenario where the legitimate user is 712 served by the nearest AP in its vicinity, without the imple-713 mentation of the secrecy protected zone. Therefore, malicious 714 eavesdroppers can be horizontally as close as possible to the 715 AP that serves the legitimate user. By fixing the density of 716 eavesdroppers ($\lambda_e = 0.2$), the secrecy outage probability at 717 the typical legitimate user is evaluated at different values of 718 the AP density, as shown in Fig. 3. It can be seen that, 719 when λ_a is small, increasing the density of VLC APs can 720 efficiently reduce the secrecy outage probability at the legiti-721 mate user. However, when λ_a is large, further increasing the 722 density of VLC APs only slightly reduces the secrecy outage 723 probability. For example, given that the target secrecy rate is 724 $R_{\rm s} = 1$ bit/s/Hz, increasing $\lambda_{\rm a}$ from 0.1 to 1 can cause the 725 secrecy outage probability to drop by 0.3. In comparison, when 726 $\lambda_{\rm a}$ is increased from 1 to 10, the secrecy outage probability 727 only drops by 0.1. Also, it is shown that a lower bound on 728 the secrecy outage probability exists even if the density of 729 VLC APs approaches infinity. This result is in agreement 730 with Corollary 2. In Fig. 4, the ergodic secrecy rate is plotted 731 against the density of APs. It is shown that the ergodic secrecy 732 rate at the legitimate user drops when the density of eaves-733 droppers increases. Given a fixed density of eavesdroppers, 734 increasing the density of VLC APs can efficiently enhance the 735 ergodic secrecy rate when λ_a is small. However, the ergodic 736 secrecy rate of the legitimate user tends to saturate at high 737 AP densities. As a result, increasing the density of VLC APs 738 when λ_a is large does not bring a significant incrementation 739 to the ergodic secrecy rate. Instead, increasing the density of 740 APs when λ_a is small is more meaningful. 741

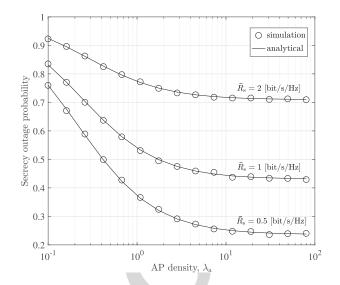


Fig. 3. Secrecy outage probability versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity. $\lambda_e = 0.2$.

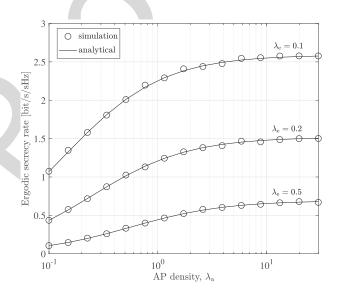


Fig. 4. Ergodic secrecy rate versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity.

Second, we consider the scenario where the legitimate user 742 is served by the optimal AP when APs are cooperated in the 743 network. For the typical legitimate user, the optimal AP is 744 not necessarily the nearest one, depending on the locations 745 of potential eavesdroppers. With the cooperation among VLC 746 APs, the optimal AP that brings the highest secrecy rate to 747 the legitimate user is selected. For Monte Carlo simulations, 748 the optimal AP is found out through the exhaustive search 749 method. In Fig. 5, the secrecy outage probability is plotted 750 against different eavesdropper densities, and it can be seen 751 that the simulation results are well bounded by the derived 752 analytical results. On the one hand, by assuming that the 753 optimal AP is the nearest one, we underestimate the secrecy 754 rate at the legitimate user. As a result, this assumption leads to 755 an upper bound on the secrecy outage probability. On the other 756 hand, the lower bound on the secrecy outage probability is 757

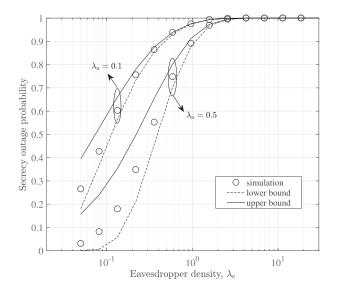


Fig. 5. Secrecy outage probability versus eavesdropper density. The legitimate user is served by the optimal AP. $\bar{R}_s = 0.5$ bit/s/Hz.

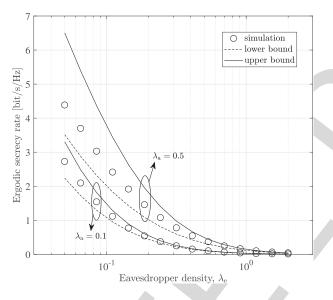


Fig. 6. Ergodic secrecy rate versus eavesdropper density. The legitimate user is served by the optimal AP.

obtained from Jensen's inequality, as described in Corollary 4. 758 Comparing the lower bound with the upper bound, it can be 759 seen that the lower bound is closer to the simulation results. 760 It is also shown in Fig. 5 that both theoretical bounds on 761 the secrecy outage probability are reasonably tight when the 762 eavesdropper density is large. In Fig. 6, the ergodic secrecy 763 rate at the legitimate user is computed for different values of 764 the eavesdropper density. It should be noted that assuming the 765 optimal AP is the nearest one gives the lower bound on the 766 ergodic secrecy rate in Fig. 6, which corresponds to the upper 767 bound on the secrecy outage probability in Fig. 5. Again, both 768 analytical bounds become tighter as the eavesdropper density 769 increases. Based on the results shown in Fig. 5 and Fig. 6, 770 we can conclude that the optimal AP that maximizes the 771 secrecy performance at the legitimate user is not necessarily 772 the nearest one. To investigate deeper, we show in Fig. 7 773

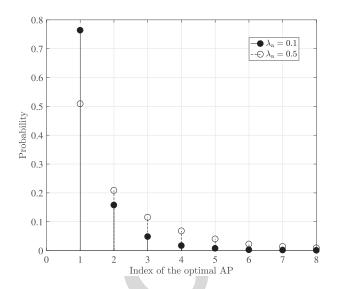


Fig. 7. Probability mass function (PMF) of the index of the optimal AP. $\lambda_e = 0.2$.

the probability mass function (PMF) of the index of the 774 optimal AP that maximizes the secrecy rate at the legitimate 775 user. Index *i* relates to the *i*-th nearest neighboring AP to 776 the legitimate user. For example, index 1 corresponds to the 777 nearest AP, index 2 corresponds to the second nearest AP, and 778 so on. It is shown in Fig. 7 that, compared to other neighboring 779 APs, the nearest AP is most likely the optimal one. However, 780 it is also possible that the optimal AP is the second nearest, 781 third nearest, etc. Fig. 7 also shows that with a smaller value 782 of λ_a , it is more likely that the nearest AP is the optimal one, 783 which therefore explains why the analytical bounds are tighter 784 for smaller values of λ_a , as observed in Fig. 5 and Fig. 6. 785

Third, we consider the scenario where the legitimate user 786 is served by the nearest AP in its vicinity, with the imple-787 mentation of a secrecy protected zone. It is assumed that any 788 malicious eavesdroppers that are inside the protected zone can 789 be detected by the AP so that these eavesdroppers do not cause 790 any secrecy information loss at the legitimate user. As a result, 791 the secrecy information loss at the legitimate user is caused 792 by the eavesdroppers that are outside the protected zone only. 793 In Fig. 8, the secrecy outage probability is plotted against the 794 density of VLC APs. It is shown that, for a given target secrecy 795 rate, the secrecy outage probability decreases as the AP density 796 increases. However, when λ_a is large, further increasing the 797 density of VLC APs only slightly reduces the secrecy outage 798 probability. Also, it is shown that there exists a lower bound 799 on the secrecy outage probability when λ_a approaches infinity. 800 After implementing a secrecy protected zone with radius D, 801 the secrecy outage probability is reduced significantly. More 802 specifically, when $\lambda_a = 1$, $\lambda_e = 0.2$ and the target secrecy rate 803 is $R_s = 2$ bit/s/Hz, implementing a secrecy protected zone 804 with radius D = 1 m reduces the secrecy outage probability 805 by 0.2. If the secrecy protected zone has a radius of D = 2 m, 806 the secrecy outage probability can be reduced to nearly zero. 807 It is also shown in Fig. 8 that, with a sufficiently large 808 protected area, the secrecy outage probability is no longer 809 bounded at the lower end, i.e., increasing the density of VLC 810

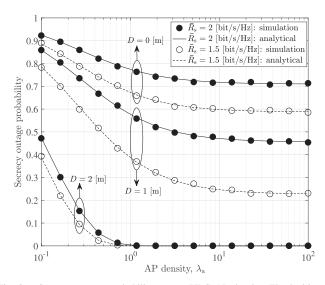


Fig. 8. Secrecy outage probability versus VLC AP density. The legitimate user is served by the nearest AP in its vicinity, and eavesdroppers are outside the protected zone with radius D. $\lambda_e = 0.2$.

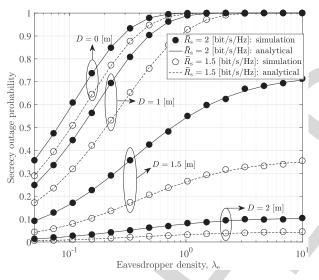


Fig. 9. Secrecy outage probability versus eavesdropper density. The legitimate user is served by the nearest AP in its vicinity, and eavesdroppers are outside the protected zone with radius D. $\lambda_a = 0.5$.

APs can efficiently reduce the secrecy outage probability to 811 zero. In Fig. 9, we fix $\lambda_a = 0.5$ and evaluate the impact of 812 the eavesdropper density on the secrecy outage probability. 813 It can be seen that, without the protected zone, the secrecy 814 outage probability can be as large as one if the eavesdropper 815 density is sufficiently high. However, with the implementation 816 of a protected zone, the worst-case scenario of the secrecy 817 outage probability can be limited below a certain level. For 818 example, when the target secrecy rate is $R_s = 2$ bit/s/Hz and 819 the protected zone has a radius of D = 2 m, the worst-case 820 secrecy outage probability at the legitimate user does not 821 exceed 0.12, regardless of the eavesdropper density. To fur-822 ther investigate the impact of the protected zone, we show 823 in Fig. 10 the ergodic secrecy rate against the radius of 824 the protected zone while fixing the eavesdropper density to 825 $\lambda_{\rm e} = 0.2$. The slope of the curve shows that a very small 826 protected area brings only marginal improvement on the 827

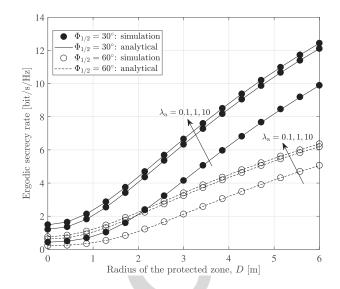


Fig. 10. Ergodic secrecy rate versus the radius of the protected zone. The legitimate user is served by the nearest AP in its vicinity. $\lambda_e = 0.2$.

secrecy performance. However, by increasing the size of 828 the protected zone further, the secrecy performance at the 829 legitimate user can be enhanced significantly. Specifically, 830 when $\lambda_a = 1$ and $\Phi_{1/2} = 30^\circ$, increasing the radius of the 83 protected zone from 0 to 1 m increases the ergodic secrecy 832 rate by 0.6 bit/s/Hz. In contrast, increasing the radius of 833 the protected zone from 1 to 2 m can increase the ergodic 834 secrecy rate by 1.9 bit/s/Hz. In Fig. 10, it is also shown that 835 using more directional LEDs, i.e., LEDs with a smaller semi-836 angle, enhances the secrecy performance at the legitimate user. 837 However, the actual choice of LEDs should also take practical 838 illumination requirements into consideration. 839

B. PPP Model vs. Grid Model

In the following, we compare the secrecy performance 841 between the stochastic PPP model and the deterministic grid 842 model. For the grid model, it implicitly assumes that the 843 number of APs, as well as their locations in the network, are 844 fixed and known. As shown in Fig. 11 and Fig. 12, we use a 845 hexagonal-shaped grid to model the locations of APs within 846 the same indoor space. A total number of 31 APs (represented 847 by red triangles) are considered, and without loss of generality 848 the secrecy performance is studied by focusing on the central 849 hexagonal cell. A legitimate user (represented by the green 850 circle) is randomly distributed within the central cell and is 851 served by the central AP. The eavesdroppers (represented by 852 blue squares) are assumed to follow a Poisson distribution 853 with intensity λ_e . To allow for a fair comparison between 854 the PPP model and the grid model, the density of APs in 855 the PPP model is set to 0.12 so that the expected number 856 of APs in the PPP model equals the total number of APs 857 in the grid model. It can be seen from Fig. 11 that the 858 PPP model and the grid model yield similar results for the 859 secrecy outage probability. Both curves have similar shapes 860 and trends, especially for higher target secrecy rates and 861 with larger eavesdropper densities. In general, the grid model 862

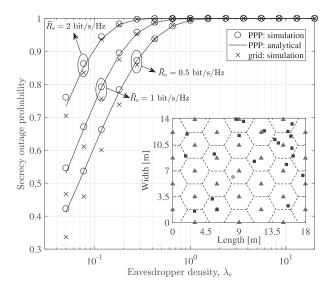


Fig. 11. Secrecy outage probability comparison between the PPP model and the grid model. $\lambda_a = 0.12$.

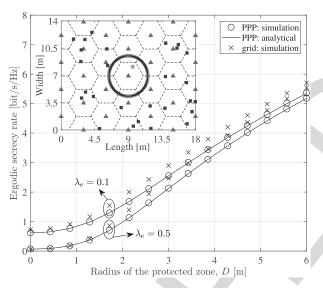


Fig. 12. Ergodic secrecy rate comparison between the PPP model and the grid model. $\lambda_a = 0.12$.

provides slightly superior coverage performance than the PPP 863 model because of its more regularized cell shapes. With the 864 implementation of a secrecy protected zone, we compare 865 in Fig. 12 the achieved ergodic secrecy rate between the PPP 866 model and the grid model. The configuration of the grid model 867 in Fig. 12 is the same as that in Fig. 11, except that the 868 eavesdroppers are prohibited in the circular protected zone 869 centered around the central AP. Results show that both models 870 yield close ergodic secrecy rates, especially for networks with 871 more populated eavesdroppers. 872

VI. CONCLUSION

873

In this work, we studied the performance of physical-layer secrecy in a three-dimensional multiuser VLC network. With the use of mathematical tools from stochastic geometry, analytical expressions for the secrecy outage probability, the ergodic secrecy rate, as well as their lower and upper bounds, are derived in tractable forms and verified through Monte Carlo 879 simulations. Impacts of AP cooperation and the implementa-880 tion of a secrecy protected zone on the secrecy performance 881 have also been investigated. Results show that cooperating 882 neighboring APs can enhance the secrecy performance of VLC 883 networks, but only to a limited extent. We also show that 884 building a secrecy protected zone around the AP significantly 885 improves the network secrecy performance. 886

Justifying the application of the PPP model to the performance analysis of VLC networks is an important research direction. Also, improved stochastic models may be developed in the future to more accurately capture the spatial distribution of APs in a real network deployment.

REFERENCES

- T. Komine and M. Nakagawa, "Fundamental analysis for visiblelight communication system using LED lights," *IEEE Trans. Consum. Electron.*, vol. 50, no. 1, pp. 100–107, Feb. 2004.
- [2] S. Dimitrov and H. Haas, Principles of LED Light Communications: Towards Networked Li-Fi. Cambridge, U.K.: Cambridge Univ. Press, 2015.
- [3] H. Haas, L. Yin, Y. Wang, and C. Chen, "What is LiFi?" J. Lightw. Technol., vol. 34, no. 6, pp. 1533–1544, Mar. 15, 2016.
- [4] IEEE Standard for Local and Metropolitan Area Networks—Part 15.7: Short-Range Wireless Optical Communication Using Visible Light, IEEE Computer Society, IEEE Standard 802.15.7-2011, 2011.
- [5] X. Li, F. Jin, R. Zhang, J. Wang, Z. Xu, and L. Hanzo, "Users first: User-centric cluster formation for interference-mitigation in visible-light networks," *IEEE Trans. Wireless Commun.*, vol. 15, no. 1, pp. 39–53, Jan. 2016.
- [6] H. Ma, L. Lampe, and S. Hranilovic, "Coordinated broadcasting for multiuser indoor visible light communication systems," *IEEE Trans. Commun.*, vol. 63, no. 9, pp. 3313–3324, Sep. 2015.
- [7] L. Yin, W. O. Popoola, X. Wu, and H. Haas, "Performance evaluation of non-orthogonal multiple access in visible light communication," *IEEE Trans. Commun.*, vol. 64, no. 12, pp. 5162–5175, Dec. 2016.
- [8] A. D. Wyner, "The wire-tap channel," *Bell Syst. Tech. J.*, vol. 54, no. 8, pp. 1355–1387, 1975.
- [9] I. Csiszär and J. Körner, "Broadcast channels with confidential messages," *IEEE Trans. Inf. Theory*, vol. IT-24, no. 3, pp. 339–348, May 1978.
- [10] M. Haenggi, "The secrecy graph and some of its properties," in *Proc. IEEE Int. Symp. Inf. Theory*, Toronto, ON, Canada, Jul. 2008, pp. 539–543.
- [11] P. C. Pinto, J. Barros, and M. Z. Win, "Secure communication in stochastic wireless networks—Part I: Connectivity," *IEEE Trans. Inf. Forensics Security*, vol. 7, no. 1, pp. 125–138, Feb. 2012.
- [12] P. C. Pinto, J. Barros, and M. Z. Win, "Secure communication in stochastic wireless networks—Part II: Maximum rate and collusion," *IEEE Trans. Inf. Forensics Security*, vol. 7, no. 1, pp. 139–147, Feb. 2012.
- [13] O. O. Koyluoglu, C. E. Koksal, and H. El Gamal, "On secrecy capacity scaling in wireless networks," *IEEE Trans. Inf. Theory*, vol. 58, no. 5, pp. 3000–3015, May 2012.
- [14] X. Zhou, R. K. Ganti, J. G. Andrews, and A. Hjørungnes, "On the throughput cost of physical layer security in decentralized wireless networks," *IEEE Trans. Wireless Commun.*, vol. 10, no. 8, pp. 2764–2775, Aug. 2011.
- [15] H. Wang, X. Zhou, and M. C. Reed, "Physical layer security in cellular networks: A stochastic geometry approach," *IEEE Trans. Wireless Commun.*, vol. 12, no. 6, pp. 2776–2787, Jun. 2013.
- [16] A. Lapidoth, S. M. Moser, and M. A. Wigger, "On the capacity of free-space optical intensity channels," *IEEE Trans. Inf. Theory*, vol. 55, no. 10, pp. 4449–4461, Oct. 2009.
- [17] J.-B. Wang, Q.-S. Hu, J. Wang, M. Chen, and J.-Y. Wang, "Tight bounds on channel capacity for dimmable visible light communications," *J. Lightw. Technol.*, vol. 31, no. 23, pp. 3771–3779, Dec. 1, 2013.
- [18] A. Chaaban, J. M. Morvan, and M. S. Alouini, "Free-space optical communications: Capacity bounds, approximations, and a new sphere-packing perspective," *IEEE Trans. Commun.*, vol. 64, no. 3, pp. 1176–1191, Mar. 2016.
- 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944

945

946

- S. Dimitrov and H. Haas, "Information rate of OFDM-based optical wireless communication systems with nonlinear distortion," *J. Lightw. Technol.*, vol. 31, no. 6, pp. 918–929, Mar. 15, 2013.
- [20] A. Mostafa and L. Lampe, "Physical-layer security for MISO visible light communication channels," *IEEE J. Sel. Areas Commun.*, vol. 33, no. 9, pp. 1806–1818, Sep. 2015.
- [21] A. Mostafa and L. Lampe, "Optimal and robust beamforming for secure transmission in MISO visible-light communication links,"
 IEEE Trans. Signal Process., vol. 64, no. 24, pp. 6501–6516, Dec. 2016.
- [22] G. Pan, J. Ye, and Z. Ding, "On secure VLC systems with spatially
 random terminals," *IEEE Commun. Lett.*, vol. 21, no. 3, pp. 492–495,
 Mar. 2016.
- [23] D. Stoyan, W. Kendall, and J. Mecke, *Stochastic Geometry and its Applications*, 2nd ed. Hoboken, NJ, USA: Wiley, 1996.
- [24] L. Zeng *et al.*, "High data rate multiple input multiple output (MIMO) optical wireless communications using white LED lighting," *IEEE J. Sel. Areas Commun.*, vol. 27, no. 9, pp. 1654–1662, Dec. 2009.
- J. Grubor, S. Randel, K. D. Langer, and J. W. Walewski, "Broadband information broadcasting using LED-based interior lighting," *J. Lightw. Technol.*, vol. 26, no. 24, pp. 3883–3892, Dec. 15, 2008.
- [26] J. M. Kahn and J. R. Barry, "Wireless infrared communications," *Proc. IEEE*, vol. 85, no. 2, pp. 265–298, Feb. 1997.
- [27] L. Hanzo, H. Haas, S. Imre, D. O'Brien, M. Rupp, and L. Gyongyosi,
 "Wireless myths, realities, and futures: From 3G/4G to optical and
 quantum wireless," *Proc. IEEE*, vol. 100, pp. 1853–1888, May 2012.
- [28] C. Chen, S. Videv, D. Tsonev, and H. Haas, "Fractional frequency reuse in DCO-OFDM-based optical attocell networks," *J. Lightw. Technol.*, vol. 33, no. 19, pp. 3986–4000, Oct. 1, 2015.
- [29] S. Leung-Yan-Cheong and M. E. Hellman, "The Gaussian wire-tap channel," *IEEE Trans. Inf. Theory*, vol. IT-24, no. 4, pp. 451–456, Jul. 1978.
- [30] O. Ozel, E. Ekrem, and S. Ulukus, "Gaussian wiretap channel with an amplitude constraint," in *Proc. IEEE Inf. Theory Workshop (ITW)*, Sep. 2012, pp. 139–143.
- [31] M. Haenggi, "On distances in uniformly random networks," *IEEE Trans. Inf. Theory*, vol. 51, no. 10, pp. 3584–3586, Oct. 2005.
- [32] S. Srinivasa and M. Haenggi, "Distance distributions in finite uniformly random networks: Theory and applications," *IEEE Trans. Veh. Technol.*, vol. 59, no. 2, pp. 940–949, Feb. 2010.
- [33] I. S. Gradshteyn and I. M. Ryzhik, *Tables of Integrals, Series, and Products*, 7th ed. San Diego, CA, USA: Academic, 2007.
- [34] N. Romero-Zurita, D. McLernon, M. Ghogho, and A. Swami, "PHY
 layer security based on protected zone and artificial noise," *IEEE Signal Process. Lett.*, vol. 20, no. 5, pp. 487–490, May 2013.



Liang Yin received the B.Eng. degree (Hons.) in 994 electronics and electrical engineering from the Uni-995 versity of Edinburgh, Edinburgh, U.K., in 2014, 996 where he is currently pursuing the Ph.D. degree in 997 electrical engineering. His research interests are in 998 visible light communication and positioning, multi-999 user networking, and wireless network performance 1000 analysis. He received the Class Medal Award and 1001 IET Prize Award from the University of Edinburgh. 1002



Harald Haas (S'98-AM'00-M'03-SM'17) 1003 received the Ph.D. degree from the University of 1004 Edinburgh in 2001. He currently holds the Chair 1005 of mobile communications with the University of 1006 Edinburgh, and is the Initiator, Co-Founder, and 1007 the Chief Scientific Officer of pureLiFi Ltd and 1008 the Director of the LiFi Research and Development 1009 Center, University of Edinburgh. He has authored 1010 400 conference and journal papers including a 1011 paper in Science and co-authored a book entitled 1012 Principles of LED Light Communications Towards 1013

Networked Li-Fi (Cambridge University Press, 2015). His main research 1014 interests are in optical wireless communications, hybrid optical wireless 1015 and RF communications, spatial modulation, and interference coordination 1016 in wireless networks. He first introduced and coined spatial modulation 1017 and LiFi. LiFi was listed among the 50 best inventions in TIME Magazine 1018 2011. He was an invited speaker with TED Global 2011, and his talk 1019 on Wireless Data from Every Light Bulb has been watched online over 1020 2.4 million times. He gave a second TED Global lecture in 2015 on the 1021 use of solar cells as LiFi data detectors and energy harvesters. This has 1022 been viewed online over 1.8 million times. He was elected as a Fellow 1023 of the Royal Society of Edinburgh in 2017. In 2012 and 2017, he was 1024 the recipient of the prestigious Established Career Fellowship from the 1025 Engineering and Physical Sciences Research Council (EPSRC) within 1026 Information and Communications Technology in the U.K. In 2014, he was 1027 selected by EPSRC as one of ten Recognising Inspirational Scientists and 1028 Engineers Leaders in the U.K. He was the co-recipient of the EURASIP Best 1029 Paper Award for the Journal on Wireless Communications and Networking 1030 in 2015, and co-recipient of the Jack Neubauer Memorial Award of the IEEE 1031 VEHICULAR TECHNOLOGY SOCIETY. In 2016, he was a recipient of the 1032 outstanding achievement award from the International Solid State Lighting 1033 Alliance. He was the co-recipient of recent Best Paper Awards at VTC, 1034 2013, VTC 2015, ICC 2016, and ICC 2017. He is currently an Editor of the 1035 IEEE TRANSACTIONS ON COMMUNICATIONS and the IEEE JOURNAL OF 1036 LIGHTWAVE TECHNOLOGIES. 1037