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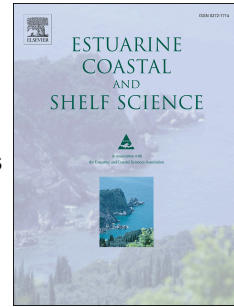
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Parametric Analysis of Three Dimensional Flow Models Applied to the Tidal Energy Sites in Scotland

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Abstract: This paper presents a detailed parametric analysis on various input parameters of two different numerical models, namely Telemac3D and Delft3D, used for the simulation of tidal current flow at potential tidal energy sites in the Pentland Firth in Scotland. The motivation behind this work is to investigate the influence of the input parameters on the above 3D models, as the majority of past research has mainly focused on using the 2D depth-averaged flow models for this region. An extended description of the models setup, along with the utilised parameters is provided. The International Hydrographic Organisation (IHO) tidal gauges and Acoustic Doppler and Current Profiler (ADCP) measurements are used in calibrating model output to ensure the robustness of the models. Extensive parametric study on the impact of varying drag coefficients, roughness formulae and turbulence models has been investigated and reported. The results indicate that both Telemac3D and Delft3D models are able to produce excellent comparison against measured data; however, with Delft3D, the model parameters which provided higher correlation with the measured data, are found to be different from those reported in the previous literature, which could be attributed to the choice of boundary conditions and the mesh size.

Keywords: Telemac3D; Delft3D; three-dimensional; sensitivity analysis; Pentland Firth; tidal currents

27 **1 Introduction**

28 Studies have estimated that 25% of Europe's tidal energy is located in the Scottish waters [1],
29 where most of this resource is concentrated in the Pentland Firth (Figure 1). Tidal current
30 speed up to 5 m/s has been observed surging through the firth, marking this area as one of the
31 best sites for tidal stream power generation in the world. Due to the enormous potential for
32 generating clean and predictable tidal stream energy, the Pentland Firth and Orkney Waters
33 (PFOW) has become the focal point in the marine renewable energy research. Between 2008
34 and 2010, the Crown Estate has leased several sites in the PFOW for tidal energy
35 deployments to industries such as ScottishPower, Renewables, SSE, MeyGen and Marine
36 Current Turbine for commercial scale developments [2]. MeyGen is currently working on
37 the world's first and largest tidal energy farm in the Inner Sound [3], while in Shetland, Nova
38 Innovation Ltd is currently developing the world's first community scale array of five
39 100KW devices [4]. Furthermore, Orkney based Scotrenewables Tidal Power is well on track
40 to build and test the world's largest floating tidal turbine, with 2MW output capacity [4].

41
42 The Pentland Firth is a 10 km wide strait that separates the Orkney archipelago and the
43 Scottish mainland. The region is dominated by semidiurnal tides, with primary M2 and
44 secondary S2 tides propagating from the Atlantic Ocean on the west to the North Sea on the
45 east. Davies et al. [5] have elaborated that the exceptional tidal current observed in the region
46 is attributed to the large differences in the tidal amplitude observed in the west and east of the
47 channel. In addition, this area is also notable for being extremely turbulent and thus present
48 great challenges in obtaining field measurement data. Direct measurement poses several
49 limitations that are essential in a hydrodynamic study. Wide spatial and long temporal data is
50 very hard to collect since the measurement exercises are exceptionally expensive and time
51 consuming. Therefore, accurate and robust numerical modelling is essential in validating

52 theoretical and analytical approaches for marine energy research. Furthermore, as PFOW is
53 one of the most complex regions where strong tidal currents exist, the need to understand and
54 characterise the depth-wise tidal flow behaviour becomes an important element in tidal
55 resource prediction.

56

57 Although field measurements have been undertaken by developers, this does not cover the
58 entire PFOW region, and the alternative is to employ a sophisticated numerical model for
59 resource estimation. When a numerical model is used for the resource prediction purpose, the
60 model has to be properly calibrated and validated before any longer term prediction can be
61 performed. The objective of the calibration exercise is to select appropriate input parameters
62 that would yield numerical output that is comparable to the measurement data. More
63 importantly, model's calibration for any 3D simulations is a laborious process as several
64 additional input parameters need to be considered in comparison to 2D models, and one such
65 exercise is presented in this paper.

66

67 Several numerical models, both 2D depth-averaged and 3D models, have been utilised for
68 hydrodynamics, morphodynamics and resource assessment studies in the Pentland Firth.
69 Chatzirodou and Karunarathna [6] employed a 3D model to study the impacts of tidal energy
70 extraction on sea bed morphology using the open source Delft3D software, where they found
71 that locations favoured for tidal energy extraction (i.e. the Inner Sound channel) lie in
72 proximity to highly sensitive sand and gravel deposits. Baston et al. [7] also utilised the
73 Delft3D model to analyse the sensitivity of the algebraic and k-epsilon turbulence closure
74 models, and concluded that although the models were able to satisfactorily reproduce the
75 shape of the vertical current profile, further validation was required to provide a more
76 'statistically accurate' assessment on the vertical variation of current at the testing sites.

77 Venugopal and Nimalidinne [8] on the other hand used the commercial software, MIKE 21
78 and MIKE 3 to perform a 3D hydrodynamics simulation of combined wave and tidal flow in
79 this area, where the coupled model yielded high correlation coefficients, and were able to
80 provide a good match with ADCP measurements at different depths, despite using default
81 values for most of the flow parameters. Easton et al. [9] also explored the flow dynamics at
82 this location using the MIKE 21 2D hydrodynamics model. Using the quadratic friction law
83 to calculate the energy dissipation, Easton et al. demonstrated that the mean rate of energy
84 dissipation over two consecutive spring-neap tidal cycles in this region to be close to 5.24
85 GW, which agrees well with the 5.62 GW net energy flux calculated across the boundaries of
86 the Pentland Firth.

87
88 Another 3D model, Stanford Unstructured Non-hydrostatic Terrain-following Adaptive
89 Navier-Stokes Simulator (SUNTANS) was employed by Baston and Harris [10] in
90 investigating the complex flow characteristic at the Pentland Firth, although the scope of this
91 study was limited to the sensitivity analysis of the bottom friction coefficient. Furthermore, a
92 discontinuous Galerkin, depth-averaged ADCIRC numerical model was applied by Adcock et
93 al. [11] to explore the maximum extractable power for tidal stream resources, in which the
94 actuator disc concept was used to model the effects of turbines on the flow. Bowyer and
95 Marchi [12] meanwhile constructed a depth-averaged model of the Princeton Ocean Model
96 (POM) to inspect the influence of Tidal Energy Converter (TEC) and wind on the residual
97 flows in the channel, and concluded that the installation of large scale TECs in arrays may
98 influence the residual circulation and possibly increase tracer (i.e. sediments or particles)
99 deposits within the channel. Finally, Telemac2D was used by Ortiz et al. [13] to present an
100 approach in estimating the resources in the Pentland Firth, where their results demonstrated
101 how an oversized tidal farm may produce less power due to reduced incoming current

102 velocities. The study by Ortiz et al. further highlights the need to comprehend the overall
103 effects of tidal arrays and the inherent momentum sinks, rather than just relying on the energy
104 potential calculated from an undisturbed site evaluation.

105

106 From literature, it appears that the influence of 3D input parameters such as bottom friction,
107 turbulence, eddy, and boundary forcing on the numerical models are yet to be thoroughly
108 explored, discussed, and understood, especially for the Telemac3D. Moreover, most of the
109 studies conducted in this region were completed using 2D depth averaged models, where the
110 velocity across the water column cannot be accurately predicted. Although 3D models require
111 more computational power to run, they are able to provide additional insights on the flow
112 characteristic that are not possible with the 2D models, such as the turbulence component in
113 the vertical direction, which is important to account for fluid mixing behind the turbines and
114 dissipation of energy from the flow. Hence, the purpose of this paper is neither to examine
115 the available resources in PFOW region nor to reproduce a resource map, as extensive studies
116 on this subject has been conducted before, but to inspect how the values of selected model
117 input parameters affect the results. Furthermore, since the accuracy of any numerical models
118 are greatly dependent on open boundary conditions, input parameters and the numerical
119 scheme, this paper is focused on applying appropriate methodology in investigating the
120 critical parameters which are known to influence the output of 3D flow models. The novelties
121 of this study can then be summarised as follows; Firstly, the suitability of several input
122 parameters for the Telemac3D model is explored, since to the authors' knowledge, no 3D
123 studies are yet to be conducted in this region using this software. Secondly, the predicted
124 output from two distinct numerical models – Telemac3D [14] which is a finite element based
125 numerical model, and Delft3D [15], which is a finite difference based model employing only
126 the structured grid – are investigated and analysed.

127

128 Emphasis on the technique in constructing the 3-dimensional tidal model for the Pentland
129 Firth is presented and elaborated in the following section. It is also in the authors' interest to
130 explore the influence of the chosen parameters on the flow models, to see which of the two
131 software is more adaptable and able to produce accurate numerical output (i.e. upon
132 comparison with the measurement data). Apart from conducting the parametric study on the
133 sensitivity of the parameters utilised for both models, this paper is also motivated by the need
134 to comprehend the limitations and shortcomings of the two numerical software. What is
135 more, this study presents the preliminary analysis of the efficiency of both models to produce
136 accurate 3D flow characteristics, as the next stage of this research would involve inserting
137 tidal turbines into the numerical models. It is hoped that this work will serve as a guideline
138 for developing a 3D tidal model for this region by utilising the methodology presented.

139

140 **2 Model Description**

141 **2.1 Telemac3D Model**

142 Telemac3D is a finite element model that solves the Navier Stokes equations with a free
143 surface, along with the advection-diffusion equations of salinity, temperature and other
144 parameters. This model was developed by the National Hydraulic and Environment
145 Laboratory (LNHE), a research and development unit under the Electricité de France (EDF)
146 and has been made open source since July 2010. The numerical scheme is also comprised of
147 the wind stress, heat exchange with the atmosphere, density and Coriolis effects. The 3D flow
148 simulation (with hydrostatic assumption) is calculated by solving the following equations:

149

$$\frac{\partial U}{\partial x} + \frac{\partial V}{\partial y} + \frac{\partial W}{\partial z} = 0 \quad (1)$$

$$\frac{\partial U}{\partial t} + U \frac{\partial U}{\partial x} + V \frac{\partial U}{\partial y} + W \frac{\partial U}{\partial z} = -g \frac{\partial Z_s}{\partial x} + v\Delta(U) + F_x \quad (2)$$

$$\frac{\partial V}{\partial t} + U \frac{\partial V}{\partial x} + V \frac{\partial V}{\partial y} + W \frac{\partial V}{\partial z} = -g \frac{\partial Z_s}{\partial y} + v\Delta(V) + F_y \quad (3)$$

150

151 where U , V and W are the three-dimensional components of the velocity, v is velocity and
 152 tracer diffusion coefficient, F_x and F_y are the source terms, Z_s is the bottom depth, and g is
 153 the acceleration of the gravity. The vertical velocity is then derived from the continuity
 154 equation, and the hydrostatic pressure is given as:

155

$$P = P_{atm} + \rho_o g (Z_s - z) + \rho_o g \int_z^{Z_s} \frac{\Delta\rho}{\rho_o} dz \quad (4)$$

156

157 where ρ_o is the reference density, z is the vertical space component, and P_{atm} is the
 158 atmospheric pressure. The second term on the right hand-side takes into account the
 159 buoyancy effects due to temperature and salinity. In addition, Telemac3D also solves the
 160 advection-diffusion equation in non-conservative form for a scalar quantity, T :

161

$$\frac{\partial T}{\partial t} + U \frac{\partial T}{\partial x} + V \frac{\partial T}{\partial y} + W \frac{\partial T}{\partial z} = v\Delta(T) + Q \quad (5)$$

162

163 In this equation, T is passive or active tracer (salinity), and Q is the tracer source of sink.
 164 Telemac3D offers the choice of using either the hydrostatic or the non-hydrostatic pressure
 165 code. The hydrostatic pressure code simplifies the vertical velocity (W) assumption, ignoring
 166 the diffusion, advection and other terms. Thus, the pressure at a point is the sum of weight of
 167 the water column and the atmospheric pressure at the surface. Conversely, the non-
 168 hydrostatic option solves the vertical velocity equation with the additional gravity term, and

169 is more computationally intensive. Elaboration on theoretical aspects of Telemac3D can be
170 found in these articles [16], [17], [18].

171

172 Telemac3D uses the same unstructured mesh as the 2D model in the horizontal direction.
173 Grids composing of triangular facets of diverse sizes and forms enable accurate
174 representation of complex topography within the resolution of the elements. More
175 importantly, the non-structured mesh offers unparalleled flexibility against the structured
176 grid, in which the grid density can be effortlessly controlled to adapt to specific applications
177 and geometries. A more refined mesh geometry is usually applied in areas of special interest
178 (e.g. complex coastlines, river channels and embankments), while the low resolution grid is
179 used in locations where details are not demanded. This is essential in maximising the
180 computational efficiency. In addition, variable thickness can also be applied in the vertical
181 direction of the whole computational domain, depending on the required grid resolution.
182 Several options for vertical layer mesh transformation are available in Telemac3D. In this
183 study, the terrain following sigma (σ) transformation is implemented.

184

185 **2.2 Telemac3D Model Set-up**

186 Figure 2 illustrates the development procedure for generating a Telemac3D model. The pre-
187 processing was performed using the Blue Kenue [19] and Fudaa PrePro (Fudaa) [20]
188 software, which are both open source. Blue Kenue is an advanced pre and pro-processing tool
189 developed by the National Research Council Canada for data preparation, analysis and
190 visualisation for numerical modelling. Fudaa, on the other hand, is a tool for preparing a flow
191 study (i.e. the steering file) developed by the Institute for Maritime and Inland Waterways
192 (CETMEF) France. Telemac3D requires three input files; the geometry file which contains
193 the information of the model mesh, the boundary condition file which describes the boundary

194 condition of the domain, and finally the steering file that describes the simulation
195 configuration. The first two files can be generated by using Blue Kenue, while the latter is
196 created using Fudaa.

197

198 The boundary condition file describes the domain's boundary, in which time varying values
199 (e.g. velocity, water depth and flow rate) can be specified [16], while the bathymetry
200 information is stored in the geometry file. The liquid or solid (default) boundaries of the
201 model must also be defined during the pre-processing. Conversely, the steering file contains a
202 list of keywords that are crucial for executing the simulation. It is imperative to highlight that
203 the Telemac3D uses a library that is distinctive from the Telemac2D in generating the
204 steering file, which contains the selections of computational options (physical, numerical and
205 general parameters). More importantly, the geometry and boundary conditions file generated
206 from the Blue Kenue are requested upon the creation of a new steering file.

207

208 The geometry used in the Telemac3D domain was acquired from the World Vector Shoreline
209 database, available from the shoreline toolbox in the Delft Dashboard [15]. Alternatively, the
210 GEODAS coastline extractor from the National Oceanic and Atmospheric Administration
211 (NOAA) [21] can be utilised in procuring the geometry for the domain. The geometry
212 acquired using the GEODAS tool uses Cartesian coordinate, and thus requires conversion to
213 the Universal Transverse Mercator (UTM) coordinate system as Fudaa can only accept the
214 latter coordinate system. In contrast, the shoreline extracted from the Delft Dashboard is
215 readily available in the UTM system when the appropriate study zone is selected beforehand,
216 along with the WGS 84 ellipsoid datum.

217

218 Upon importing the geometry into the Blue Kenue, it is imperative that the geometry lines are
219 resampled before the mesh is generated. The purpose of resampling the lines is to allow
220 smooth distribution of the geometry points, which is vital in producing a uniform and
221 consistent mesh distribution for the domain. The resampling exercise is also intended in
222 reducing the possibility of having too many points around the nodes. Apart from that, the
223 resampling also helps to produce nearly constant areas in adjacent triangles. In the process of
224 resampling, it is possible that the profile of the coastline will be altered, and attention must be
225 paid in this regard to keep the shape as close to original coastline. Telemac3D system
226 requires that the maximum number of points or elements around a node in the mesh to be less
227 than 10. Three resampling methods are offered in Blue Kenue; the maximum distance method
228 ensures that the distance between points do not exceed the intended value; the equal distance
229 option allow the lines to be redrawn with equal distance between each point; and finally the
230 segment count method will either increase or decrease the number of vertices on the lines
231 based on the value specified by the user.

232
233 The size of the elements at the area of interest (i.e. the Pentland Firth channel) was set to a
234 minimum distance of 200 m. Elsewhere in the domain, the edge length of the mesh was
235 assigned to 3000 m. The edge growth ratio, which is the parameter that defines the maximum
236 ratio between the lengths of edges at a given node, was set to the default value of 1.2.
237 Furthermore, in an attempt to improve the numerical accuracy and to establish a fixed node
238 within the mesh, three hard points (50 m edge length) were used as the monitoring points at
239 the ADCPs location. The 50 m grid size imposed around the fixed nodes was deemed
240 sufficient to properly capture the flow propagation near the monitoring points. Figure 3
241 shows the computational domain that was generated for use with the Telemac3D model. The
242 domain contains 285747 nodes (inclusive of nodes in the vertical layers) and 497230

243 elements, with 10 equidistant sigma layers in the vertical direction, which was sufficient to
244 represent the approximate depth where measurements were available. Additionally, the time
245 step was set to 10 min, which was estimated using the known information (i.e. smallest mesh
246 size, and the highest mean velocity in the study area) to meet the Courant Friedrichs Lewy
247 (CFL) stability criterion.

248

249 For Telemac3D simulation, two sets of bathymetric data with distinctive resolution were
250 inspected. The first was GEBCO 08 [22], a continuous terrain model for ocean and land
251 bathymetry with a spatial resolution of 30 arc seconds. This database can be extracted by
252 using either the GEBCO Grid Display Software [23] or from the Bathymetry's toolbox in the
253 Delft Dashboard, both of which are available for free. The second set of the bathymetric data
254 utilised in this study was provided by the Terawatt consortium, with a higher spatial
255 resolution of 20 meters where the measurements were available. This bathymetric database
256 was then combined and interpolated with the GEBCO data to provide a comprehensive
257 coverage of the PFOW region. Open boundaries with prescribed depth (H) were applied to
258 the liquid segment on the five sides of the domain (Figure 3), where the prescribed depth with
259 free tracer (i.e. input from temperature and salinity are not considered) was used to supply the
260 forcing required to drive the flow through the domain. The tidal harmonic database was
261 derived from the Oregon State University (OSU) TOPEX/Poseidon Global Inverse Solution
262 (TPXO), with a spatial resolution of $0.25^\circ \times 0.25^\circ$. The database acquired is for the sea
263 surface elevation, and consist of the following harmonic database; eight primary (M2, S2,
264 N2, K2, K1, O1, P1, Q1), two long periods (Mf, Mm) and 3 non-linear (M4, MS4, MN4)
265 constituents. The open boundaries were set to be far away from the area of interest in order to
266 reduce their influence on the solution and also to minimise numerical stability that might
267 develop at the boundaries.

268

269 **2.2.1 Boundary Conditions for Telemac3D**

270 For the initial boundary conditions, both the TPXO satellite altimetry and constant elevation
271 options have been put to the test and demonstrated that the pair are suitable for this
272 application. The model simulation wrap-up time was set to three days before the intended
273 comparison against the measured data to allow for the computation to achieve numerical
274 stability, and the simulation period was set to 35 days [24]. ‘Tidal flat’ keyword was also
275 activated in this study to take into account the areas that are periodically wet and dry during
276 high and low tide respectively since the monitoring points were located very close to the
277 islands of Swona and Stroma of the Pentland Firth. The choice of the numerical and physical
278 parameters used in the models will be presented and discussed in section 3. Meteorological
279 input (e.g. wave and wind), along with density and temperature variation were not applied as
280 their influence on the model output was expected to be lower than the astronomical forcing.
281 Furthermore, since the computational domain generated for Telemac3D models was not large
282 enough for the Coriolis force to influence the computation, the models were run without the
283 Coriolis effect. In addition to that, the default hydrostatic code was applied for all models as
284 no substantial differences were observed when using the more computationally demanding
285 non-hydrostatic version. The U and V-horizontal velocity components, along with the water
286 elevation were set as the 3D output variables for the Telemac3D model.

287

288 **2.3 Delft3D Model**

289 Delft3D is a finite difference modelling suite developed by the Deltares, and consists of the
290 flow, morphology, water quality and wave modules [25]. It applies the shallow water and
291 Boussinesq assumptions to solve the Navier-Stokes equations, for both two and three
292 dimensions. The numerical scheme then solves the continuity equation, momentum

293 equations, the advection-diffusion transport equations, and turbulence model. The system of
 294 equations for the three-dimensional flow model are as follow:

295

$$\frac{\delta \zeta}{\delta t} + \frac{\delta[(\zeta + d)\bar{U}]}{\delta x} + \frac{\delta[(\zeta + d)\bar{V}]}{\delta y} = S \quad (6)$$

$$\frac{\delta U}{\delta t} + U \frac{\delta U}{\delta x} + V \frac{\delta U}{\delta y} + \frac{\omega}{h} \frac{\delta U}{\delta \sigma} - fV = -\frac{1}{\rho_0} P_x + F_x + M_x + \frac{1}{h^2} \frac{\delta}{\delta \sigma} \left(v_v \frac{\delta U}{\delta \sigma} \right) \quad (7)$$

$$\frac{\delta V}{\delta t} + U \frac{\delta V}{\delta x} + V \frac{\delta V}{\delta y} + \frac{\omega}{h} \frac{\delta V}{\delta \sigma} - fU = -\frac{1}{\rho_0} P_y + F_y + M_y + \frac{1}{h^2} \frac{\delta}{\delta \sigma} \left(v_v \frac{\delta V}{\delta \sigma} \right) \quad (8)$$

296

297 where S is the term due to water discharge or withdrawal, precipitation and evaporation per
 298 unit area, ζ is the water level, d is the water depth in respect to a reference level (and the term
 299 $(\zeta + d)$ refers to the total water depth), f is the Coriolis parameter, U and V are the
 300 horizontal velocity components, ω is the vertical velocity component for sigma coordinate,
 301 F_x and F_y are the horizontal Reynold's stresses, v_v refers to the eddy viscosity in vertical
 302 direction, P_x and P_y are the horizontal pressure term from Boussinesq assumption, M_x and M_y
 303 are the source or sink terms, and ρ_0 is the reference density.

304

305 **2.4 Delft3D Model Set-up**

306 A three-dimensional Delft3D flow model, with the Universal Transverse Mercator (UTM)
 307 coordinate system was constructed using the Delft Dashboard (DDB). It consists of structured
 308 computational grids that covered the whole Orkney Islands in the north of Scotland, as shown
 309 in Figure 4, from $1^\circ 24' \text{ W} - 4^\circ 34' \text{ W}$ and $58^\circ 18' \text{ N} - 59^\circ 37' \text{ N}$. Delft3D offers the choice
 310 of both σ (sigma) and the Z -coordinate for generating the vertical layers in the 3D model. The
 311 σ layer is known for providing accurate representation of the bathymetry, and uses less
 312 computational resources since it is a terrain following model. On the contrary, the Z
 313 coordinate varies in space and generates a staircase layer boundary. The option of using

314 either σ or Z layer grids is problem dependent. The terrain following σ layer was employed in
315 this study since it is more suitable in modelling the physical processes near the bottom
316 boundary layer [26]. Nonetheless, the distribution of the σ layer for the Delft3D model differs
317 to the Telemac3D. Vertical distribution of the Delft3D layers begin at the water surface,
318 while for Telemac3D it starts from the ocean floor. Next, as with the Telemac3D model, the
319 TPXO 7.2 database and GEBCO 08 were applied as the boundary conditions and bathymetry
320 for the computational domain.

321
322 Domain decomposition, which allows for local grid refinement in both horizontal and vertical
323 direction, was applied to increase the resolution at the area of interest and also to enhance the
324 simulation accuracy. Using this method, domains can have independent vertical grid
325 refinement, which is not possible when using nesting [27]. Moreover, nesting technique is a
326 one way coupling, in which there is no interaction between the domains. Nine domains were
327 created for this work, with the largest grid resolution at 3000 m spacing for the outer domain
328 that acts as the open boundary for the model. Although not apparent from Figure 4, two
329 additional domains were assigned at each of the three monitoring points to allow for finer
330 grid resolution at the measurement area. The mesh resolution was then reduced to 1000 m
331 grid for the domain that covered the Orkney Islands. The grid was further decreased to 200 m
332 spacing specifically for the domain that covered the Pentland Firth. As for the monitoring
333 stations, where the Acoustic Doppler Current Profiler (ADCP) is located, a more refined
334 mesh resolution of 22 m was employed so that the flow propagation near the point of interests
335 can be properly captured. It is also strongly recommended to employ a maximum horizontal
336 refinement of 1 to 5 to allow gradual transition between resolutions and avert abrupt velocity
337 change, which may cause instability in the model. Furthermore, since Delft3D uses a
338 structured grid, the monitoring points will be at the centre of the cell. This requires the use of

339 multiple domains to ensure smooth grid transitions and fine mesh density are achieved at the
340 monitoring locations so that the distance between the monitoring and measurement points is
341 not too great. Nevertheless, the use of multiple domain decomposition in Delft3D should be
342 approached with caution. Aside from the suggested odd number grid refinement, users are
343 also recommended not to place the domain boundary in a steep area to avoid potential errors
344 caused by the large differences in depth at the adjacent cells.

345

346 Since the use of domain decomposition requires all the domain to be connected, it proves to
347 be a hindrance especially with the presence of complex geometries and countless islands in
348 the computational domain. Hence, an appropriate mesh density needs to be meticulously
349 selected so that it embraces the small islands that may exist in the domain, and more crucially
350 the grid should also be able to characterize those geometries accurately. For the purpose of
351 this study, 10 sigma vertical layers were applied specifically to the grid that covers the
352 Pentland Firth, while the 3000 m and 1000 m grid spacing that covers the outer domain were
353 set to one layer (i.e. a combination of 2D outer model with a 3D high resolution model). This
354 approach was implemented to optimise the computational resources. The Delft3D model was
355 run with a time step of 0.1 min to satisfy the CFL condition, which should not exceed a value
356 of ten [26]. Similar to the Telemac3D model, only astronomic forcing were included in this
357 simulation, and default physical and numerical parameters were applied. Threshold depth was
358 set to 0.2 m, above which the grid cell is set as wet during calculation, and k-epsilon was
359 chosen to model the 3D turbulence. As with the Telemac3D, the simulation period was set to
360 35 days and the models were run without the Coriolis effect. The outputs extracted from the
361 model were the water elevation and the U and V-horizontal velocity components.

362

363 **2.4.1 Boundary Conditions for Delft3D**

364 As displayed in Figure 4, the Delft3D domain consists of four open boundaries: North, East,
365 South, and West. The influence of the boundary forcing on Delft3D computational domain
366 for this region has been investigated by Rahman and Venugopal [28], and the use of water
367 level forcing at all open boundaries has been proposed for the flow model at the Pentland
368 Firth. In situ measurement data is critical for all numerical modelling in order to give
369 credence to the model output. Acoustic Doppler Current Profiler (ADCP) data supplied by
370 the EPSRC Terawatt project [29] is used to validate the numerical models at three sites.
371 Nonetheless, any errors or uncertainties that may be present in the ADCP data are not known
372 to the authors, and it is assumed that the provided measurement data has undergone quality
373 control procedure. ADCP data is very useful for 3D hydrodynamics modelling as it supplies
374 data on flow velocities throughout the water column. The locations of these devices at the
375 Pentland Firth are given in Figure 1. The acquired data offered a measurement time step of
376 every 10 min at 4 m intervals through the water column, with the deepest measurement
377 approximately 5 m above the ocean floor. Details of the field data are given in Table I.

378

379 Water surface elevation measurement can be obtained via tidal gauges. Delft3D Dashboard
380 software incorporates a worldwide tide stations database, together with the stations'
381 astronomical components within the software's tide station toolbox. It is worth noting that the
382 measurement data available from the tide stations include both astronomical and
383 meteorological input, while the general models utilised in this study only consider the
384 astronomical input.

385

386 The first step in validating the numerical model is to compare the predicted water surface
387 elevation with the available tidal gauge database in the computational domain. This

388 procedure was performed to check the suitability of the chosen boundary conditions in
389 simulating the hydrodynamics of the study. The comparison of the water surface elevation
390 between the Telemac3D model and measured data was conducted at two tidal gauges;
391 Scrabster IHO and Wick IHO. Figure 5 produced from the Telemac3D model shows an
392 excellent comparison of the water elevation between the model output and the two tidal
393 gauges. The comparisons shown here covered a full spring tidal cycle from 16/09/01-
394 26/09/01, and demonstrated that the open boundary with the prescribed water level forcing is
395 highly suitable for the hydrodynamics application in this computational domain. The
396 simulations were also run for 35 days.

397

398 For Delft3D calibration, following the procedure set by Rahman and Venugopal [28], two
399 different models were created as part of the calibration process to inspect the influence of the
400 boundary on the computational domain, as summarised in Table II. The first model (denoted
401 as Mix BC) employed a mixture of current and water level forcing for the boundaries, in
402 which the current boundary condition was set for only the west segment. On the other hand,
403 the second model (denoted as Water Level BC) used water level for all four open boundaries.

404

405 As with Telemac3D, water elevations from the Delft3D models were compared with the tidal
406 gauges at Wick IHO and Sule Skerry IHO and are represented in Figure 6. As evident from
407 this figure, the predicted water surface elevation using the mix boundary (Mix BC) shows
408 poor correlation against the tidal gauges at both locations, indicating that this boundary
409 condition is ill-suited for this application and region. Two possible reasons may contribute to
410 this observation. Firstly, it could be due to the inability of the current data from the tidal
411 global model to be accurately resolved in the area of study due to the huge interval (i.e.
412 3000m) between the nodes along the open boundary. Although refining the grid density of

413 the outer domain may improve the numerical output of the Mix BC model, it is not within the
414 scope of the present study. Secondly, currents may also be affected by waves and other
415 oceanography processes. Since the present study did not consider the influence of waves, it
416 might be plausible that the poor result shown by the Mix BC model was caused by the
417 absence of wave propagation at the open boundaries. On the other hand, the Water Level BC
418 model displayed an excellent match with the measured data at the two sites, since water
419 elevation is highly predictable. The influence of the selected boundaries on the model's
420 hydrodynamics will be presented in the following section.

421

422 **3 Sensitivity analysis on Telemac3D models**

423 Several sets of performance indices were utilised in comparing the measured data with the
424 numerical models. Pearson's correlation coefficient, r , is a measure of the strength of the
425 linear relationship between two data sets. An r value closer to 1.0 indicates a strong
426 relationship between variables. The difference between predicted and observed values can be
427 evaluated using Root Mean Square Error ($RMSE$), where a smaller value indicates good
428 model performance. In addition to this, scatter index (SI), which is the root mean square
429 difference between the model and the mean of the field data, was used in the analysis.

430

431 The general set up for the Telemac3D model used in this study was as follows: the law of
432 bottom friction was set to the Chezy formulation; the coefficient for both horizontal and
433 vertical diffusion of velocities were set to the default value of 1.0×10^{-6} ; the Coriolis force
434 was not included in the model; the time step for the output file was set at 10 min interval; and
435 the mixing length model using the Prandtl's theory and the constant viscosity (default option)
436 were set as the vertical and horizontal turbulence models respectively. The results were then

437 compared at three different depths; near the water surface (7 m), at the middle of the water
438 column (39 m) and close to the ocean floor (65 m-71 m).

439

440 **3.1 Bottom roughness**

441 Bottom friction has proven to be an important and sensitive parameter in tidal modelling.
442 Several drag coefficients, C_d , have been suggested for the Pentland Firth model by previous
443 studies. Salter [30] has proposed a value of 0.0086 based on the paper by Campbell et al.
444 [31], while Baston et al. [7] used a roughness value of 0.0025 for their 3D models.
445 Chatzirodou and Karunarathna [6] on the other hand utilised a constant Chezy value of 50 in
446 their study. Nevertheless, the optimal roughness value for the study area using Telemac3D
447 models was found to be 0.005 (Rahman and Venugopal [28]), which is consistent with the
448 one proposed by Easton et al. [11] who used the MIKE 21 model. Telemac3D offers
449 numerous friction laws to be used for the flow model, namely Haaland, Chezy, Strickler,
450 Manning and Nikuradse [32]. Nonetheless, three constant Chezy values of 44, 63 and 34
451 which corresponded to bed friction of $C_d = 0.005$, $C_d = 0.0025$, $C_d = 0.086$ were tested for
452 this comparative study.

453

454 Figures 7 and 8 show the comparison of several roughness values as proposed in the literature
455 against the measured ADCP data, for both the U and V-velocity components at the three
456 sites. The figures display the results obtained during the spring tide from 16 - 21/09/2001 for
457 Site 1 and Site 3, and 21 - 26/09/2001 for Site 2 since the available field data for this location
458 starts from 19 September 2001. Although the results for both neap and spring tidal cycle are
459 available, only the spring tide outputs are shown here for clarity. Note that that the neap tide
460 results also produced excellent comparison against the measurement data.

461

462 Figure 9 displays the scatter plots and performance indices (obtained from the same data
463 presented in Figures 7 and 8) for the three bed frictions coefficients inspected in this study. It
464 can be seen from the performance indices in Figure 9 that $C_d = 0.005$ consistently produced
465 the highest r values, the lowest $RMSE$ and SI values amongst the roughness values, indicating
466 great correlation between the predicted and measured values. Nonetheless, although the
467 predicted velocity using Chezy 44 (corresponds to $C_d = 0.005$) yielded excellent comparison
468 against the measurement data for the three monitoring points, random scatters were apparent
469 for Site 1 as displayed in Figures 8 and 9. Further inspection reveals that this was caused by
470 the random fluctuation in the V-velocity component at this site. Also this could be due to the
471 fact that the predominant flow is in U-component direction. Complex turbulence and large
472 eddy circulation, due to the uneven bed structure in this region, could have been attributed as
473 possible causes of this phenomenon, in which the hydrostatic solver utilised in this study may
474 not have been able to sufficiently resolve the turbulence fluctuations. These results are
475 essentially similar to the one produced by Venugopal and Nimalidinne [8] who used the
476 MIKE 3 model, where high and erratic V-velocity fluctuations were also demonstrated by the
477 ADCP data at Site 1.

478

479 **3.2 Bathymetric Input**

480 The accuracy of a tidal model is highly influenced by the quality of the bathymetry input
481 [33]. Bathymetry data provides the depths and topography of the underwater terrain, and the
482 term resolution is used to describe the level of its details. Obtaining detailed bathymetry and
483 topographical information can be potentially very expensive, although a number of free
484 database are available. A comparative study between two different sets of bathymetric data
485 was conducted to examine the impact they may have on the numerical model. The first
486 bathymetry was from the GEBCO 08 with a spatial resolution of 30 arc seconds, and the

487 second was a high 20-meter-resolution dataset supplied by the Terawatt project. Interestingly,
488 no noticeable differences (in terms of the predicted velocity) are observed between the two
489 bathymetric data at the three monitoring stations. However, it is worth highlighting, that
490 detailed bathymetry is crucial when turbines are incorporated in the simulation as it may
491 influence the hydrodynamics in the region where the devices are deployed.

492

493 **3.3 Nikuradse roughness formula**

494 The pioneering work on understanding the effect of roughness on pressure drop was done by
495 Nikuradse [34] who carried out experiments on fluid flow in smooth and rough pipes. His
496 study demonstrated that the characteristics of the friction factor were different for laminar
497 and turbulent flow. In the laminar region, the roughness was shown to have very little
498 influence, however in the turbulent region, roughness played a major role. In numerical
499 modelling, Nikuradse roughness has been used in some of the flow models (e.g. MIKE 3) and
500 the influence of this parameter has also been tested with Telemac3D. Three models with
501 distinct roughness values were tested using the Nikuradse formula, as presented in Figure 10.
502 Friction coefficient of 0.001 (smooth mud), 0.1, and 1.0 (sand) using the Nikuradse formula
503 were simulated, which produced scatter plots that were rather poor. The models severely
504 underestimated the current speed at Site 1 and Site 3, a *RMSE* value as high as 1.49 was
505 observed at Site 1. Reasonable performance indices, however, were observed at Site 2 though
506 the models again under predicted the current speed near the sea bed. Overall, the use of
507 Nikuradse roughness formula for the bed friction resulted in substantial velocity reduction
508 against the ADCP data.

509

510 These results seem to infer that the general numerical, physical or general parameters applied
511 to the models are not compatible with the Nikuradse roughness option. In contrast with the

512 Chezy and Manning bed friction, Nikuradse formula assumes a logarithmic profile near the
513 bottom, and thus some refinement is needed for the vertical layers. Since this work employed
514 the equidistant layer, it then seems plausible that the law of bottom friction using the
515 Nikuradse formula is not compatible with the model. In contrast, Strickler-based equations
516 such as the Manning and Chezy roughness formulae are more suited for models applying the
517 equidistant layer.

518

519 **3.4 Horizontal Turbulence Model**

520 Telemac3D offers four options in defining the horizontal turbulence model, namely constant
521 viscosity, the k-epsilon model, Smagorinsky and also the k-omega model. Two of the
522 turbulence models, the constant viscosity and the Smagorinsky, were applied to the
523 Telemac3D models to assess their influence on the flow. The constant viscosity (default
524 option in Telemac3D system) is the simplest turbulence model, and prescribes constant
525 turbulent viscosities (both in the vertical and horizontal direction) throughout the domain.
526 The Smagorinsky model, on the other hand, is recommended for simulations that involve
527 highly non-linear flow. In both cases, the coefficient for both horizontal and vertical diffusion
528 of velocities were set to their default value of 1×10^{-6} . A comparative study conducted on the
529 two horizontal turbulence models indicated that there were no apparent differences between
530 the two outputs. It may be reasonable to assume that the flow in the Pentland Firth is highly
531 turbulent and non-linear since the use of Smagorinsky model matched the measured data
532 well. Aside from that, the attempt to use k-epsilon model in this study was unsuccessful and
533 will be explored in future work.

534

535 Next, the influence of the viscosity coefficients was investigated, where the selected
536 coefficient values were expected to have some influence on the eddies and recirculation.

537 These parameters are used to control the size and shape of the recirculation of eddies, where
538 small size eddies can be dissipated using a small coefficient, while large sized recirculation
539 can be reduced using a higher coefficient value [16]. Three values ($k = 1 \times 10^{-6}$ (default), $k =$
540 0.01 , $k = 1$), for the coefficient for horizontal diffusion of velocities, were selected and
541 applied for the model using the constant viscosity. Although not shown in this paper, the
542 performance indices from this exercise suggested that the models are unaffected by the value
543 of the horizontal viscosity coefficients, which is somewhat unexpected. This result seems to
544 imply that the three coefficient values utilised in the models may have greatly dissipated the
545 eddies to be smaller than a two mesh cell [16], indicating the presence of a highly turbulent
546 flow in the area.

547

548 **3.5 Vertical Turbulence Model**

549 There are four models to choose from upon selecting the mixing length as the vertical
550 turbulence model; the Prandtl model (default value) is suited for barotropic simulation such
551 as tidal flows; Nezu and Nakagawa; and also Quentin and Tsanis models, which offer a good
552 representation of wind drift. All four mixing length models were investigated in this study,
553 where the models were coupled together with constant viscosity and also Smagorinsky as the
554 horizontal turbulence models. It is interesting to see that the four mixing length models
555 compare well against each other, and the difference between the models are almost
556 negligible. Furthermore, the use of either the constant viscosity or the Smagorinsky option as
557 the horizontal turbulence model shows no noticeable influence on the output, which agrees
558 well with previous finding in section 3.4.

559

560 4 Parametric Study on Delft3D models

561 For the Delft3D model setup, WGS 84 / UTM zone 30N was set as the coordinate system and
562 GEBCO 08 bathymetry was employed. The roughness formula was set to the Chezy
563 formulation unless stated otherwise, and default values were utilised for both the horizontal
564 and vertical viscosity and diffusivity. Next, the history time step of 10 min was applied while
565 the meteorological input was not considered. The results were then compared at three
566 different depths, the same as for the Telemac3D model, e.g. near the water surface (7 m), at
567 the middle of the water column (39 m) and close to the ocean floor (65 m-71 m). The
568 parametric study of the turbulence closure model was not performed since it had been studied
569 by Baston et al. [7].

570

571 4.1 Bottom roughness

572 Under the physical parameters tab in the Delft3D Dashboard, several options for the
573 roughness formula are available, which are the Manning, White-Colebrook, Chezy and Z_0 .
574 Chezy was selected as the default roughness formula and applied to the Delft3D models.
575 Apart from the bed friction values employed previously for the Telemac3D models, an
576 additional roughness coefficient, shown here in Table III, was tested to examine its influence
577 on the output since Delft3D allows for variable roughness coefficient to be applied for the U
578 and V-velocity components. Model-Water Level BC (from Table II) was used in this setup.
579 Figure 11 and 12 illustrate the U and V-velocity components of the applied roughness values
580 when compared against the measured data, while Table IV presents the performance indices
581 of the bed friction values (obtained from the same data) tested on the Delft3D model. At Site
582 1, a high *RMSE* value and very low correlation coefficient can be seen from performance
583 indices, though high V-velocity fluctuation was attributed to the poor results. Site 2,
584 nonetheless, showed a better comparison where high *r* values were observed at all depths.

585 Overall, it can then be concluded that $C_d = 0.0086$ (Chezy 34) is the optimal bed friction
586 value to be applied for the Delft3D flow model for this study area, based on the calculated
587 performance indices. However, it is compelling to see that this result appears to contradict the
588 values proposed by both Baston et al. [7] and Chatzirodou and Karunarathna [6], where lower
589 bed friction coefficients of $C_d = 0.0025$ and constant Chezy value of 50 were applied
590 respectively in each of their studies using the same numerical software. These differences
591 could be due to the size of the domain and also the mesh resolution that were utilised in their
592 models. For instance, Baston et al. employed a shelf-scale domain that was significantly
593 larger than the one used in this study, along with a finer grid density (2km x 2km) for the
594 outer region.

595

596 **4.2 Boundary Forcing**

597 Most flow models would apply either the Water Level or Current, or the combination of both
598 as the boundary forcing. In addition to that, the reflection coefficient, alpha, should be chosen
599 so that they are sufficiently large enough to damp the short waves introduced at the start of
600 the simulation. An alpha value of 1000 was applied to the model to reduce reflections at the
601 open boundaries, and the wave from propagating back into the domain as a disturbance. The
602 influence of the boundary forcing on the domain was examined using two models (Mix BC
603 and Water Level BC) with distinctive open boundaries as presented in Section 2.4.1. The
604 validation process demonstrated that Water Level BC model showed excellent comparison
605 for the water surface elevation against the tidal gauges, and thus considered as the suitable
606 boundary forcing for this model. Nonetheless, the performance indices calculated in Table V
607 have generated some interesting observations for the current speed using the two models
608 (with $C_d = 0.0086$). At Site 1 and Site 3, Mix BC model showed slightly better agreement
609 with r , $RMSE$ and SI values compared to Water Level BC model for the three depths. Then

610 for Site 2, the r values for both models are very close to one another, while the $RMSE$ and SI
611 for Water level BC model are slightly better than Mix BC model. The results seem to imply
612 that there is not much difference between the two models when direct velocity comparison
613 are conducted. However, as noticed from the calibration procedure in Section 2.4.1, the all
614 water level boundary forcing showed the best fit against tidal gauges, and thus best suited for
615 hydrodynamics modelling in this region. It is evident from this analysis that proper
616 calibration and validation are essential in producing a flow model that is both robust and also
617 accurate.

618

619 **4.3 Bottom Friction Modelling by Manning and Chezy Formula**

620 As with Telemac3D, two of the most commonly used formulation for the bottom roughness,
621 Chezy and Manning were examined to inspect their influence on the numerical model.
622 Constant Chezy and Manning roughness values of $34 \text{ (m}^{1/2}\text{s}^{-1}\text{)}$ and $0.06 \text{ (m}^{1/3}\text{s}^{-1}\text{)}$ respectively,
623 both of which both corresponded to $C_d = 0.0086$, were utilised for this comparison.
624 Interestingly, Table VI shows contrasting output between the two models, where the
625 computed performance indices for the Manning formula were found to be considerably lower
626 than the Chezy. The Chezy model outperformed the Manning's at all sites and depths, where
627 large scatter was apparent for the Manning output. Moreover, although both Telemac3D and
628 Delft3D use the same equation in calculating the bed friction, only Telemac3D shows good
629 agreement in result for both Chezy and Manning formulation. The reason for this observation
630 is not very clear to the authors. In order to verify the scatter plots, the velocity components of
631 both models at the mid water column (depth = 39 m) are presented in Figure 13. It can clearly
632 be seen from the figure that even though the velocities were in phase, the Manning formula
633 somehow produced a noticeably higher amplitude that contained double peaks. In an effort
634 to prove that the result is not due to the miscalculation or error in modelling, another set of

635 performance indices for the model using the variable roughness values (as shown in Table
636 III) are presented in Table VII. Despite using a different bed coefficient, once again the same
637 occurrence was noticed for the model utilising the Manning formula. It could be speculated
638 that the Manning roughness formula is not suitable to be used in this location under the
639 current setting. Extensive calibration is therefore highly recommended before the Manning
640 roughness formula is applied to the Delft3D flow model for this region.

641

642 **5 Discussion**

643 As noted above, the results from both Telemac3D and Delft3D models illustrate that the
644 physical and numerical parameters used for the simulations worked well. The use of
645 unstructured mesh for the Telemac3D offers an excellent tool for users to accurately model
646 the domain geometries. Delft3D on the other hand offers an easy to use interface to create
647 and run a model. However, there are some inherent limitations with the current release of
648 Delft3D Dashboard (e.g. choice of unstructured mesh). Currently the option of Flexible Mesh
649 is yet to be made open source in Delft3D, and thus users are resigned to using a structured
650 mesh for their model. As discussed in previous sections, a structured mesh posed a problem
651 in representing geometry with complex coastlines along with the presence of islands in the
652 domain. Nonetheless, since the area of interest in this study is significantly deep, and the
653 models are run without the waves input, the shoreline may have little to no influence on the
654 predicted model output. Besides, the option for physical, numerical and general parameters
655 offered in the Dashboard are also not as extensive as the Telemac3D module. Nonetheless,
656 data extraction for Delft3D model is extremely easy and fast, since the use of monitoring
657 points eliminate the need to store data for all the points in the domain.

658

659 Although several hydrodynamics studies have been done at the Pentland Firth using various
660 numerical models, detailed model set up and the parametric analysis were neither shown nor
661 properly discussed. Due to that reason, this work was conducted to explore the influence of
662 key parameters such as the boundary forcing and roughness formula on the model. In
663 essence, although both Telemac3D and Delft3D produced excellent agreement with the
664 measured data, some variations are still to be expected. Both Telemac3D and Delft3D flow
665 models used here only considered astronomic forcing. In contrast, the ADCP data includes
666 both the astronomical and meteorological phenomena. In future work, meteorological input
667 shall be included in the model and spatially varying roughness coefficient may be tested. The
668 domain of the model would also be enlarged to include the continental shelf for conducting
669 the resource assessment analysis. In addition to that, to incorporate tidal energy converters
670 into the model, the high resolution bathymetry data will be utilised in future simulations to
671 accurately represent the topography at the deployment area.

672

673 **6 Conclusion**

674 Since the majority of numerical models employed for hydrodynamics and resource
675 assessment studies at the PFOW were conducted using 2D depth-averaged models, there is a
676 gap that needs to be addressed to further understand the characteristic of 3D models, more so
677 at an area with a highly turbulent flow like the Pentland Firth. Thus appropriate methods in
678 developing a 3D tidal flow model for the PFOW using both Telemac3D and Delft3D
679 numerical models, were thoroughly highlighted since they were not described in detail by
680 previous studies. Great care was taken to ensure the robustness of the models, and the
681 predicted values were validated against the observed data to give confidence to the model.
682 The physical, numerical and general parameters utilised in the models were elaborated in
683 detail, since the input required for a 3D model differs remarkably from the 2D model. The

684 parametric study was conducted to examine the influence of key simulation parameters on the
685 numerical output, and the performance indices were utilised in comparing the predicted and
686 measured data.

687

688 Of the three tested bed friction values for Telemac3D models, $C_d = 0.005$ produced the best
689 results and can be parameterised by using both Chezy and Manning formulation. The use of
690 Nikuradse formulation as the bottom friction was not suitable in this study since it required
691 highly refined vertical layers, especially near the sea bottom. The findings also demonstrated
692 that the model output was unaffected when varying the values of the horizontal diffusion of
693 velocities, indicating the presence of a highly turbulent flow in the area of interest.
694 Additionally, four distinct mixing length models were investigated on Telemac3D, and the
695 difference between the models were found to be negligible.

696

697 Correspondingly, the use of Water Level BC as the boundary forcing in Delft3D produced
698 the best agreement with the observed data. Of all the roughness values tested on Delft3D
699 model, $C_d = 0.0086$ produced the best agreement with the measured data in this study.
700 Moreover, the observed difference in the C_d values from the literature could be attributed to
701 the choice of boundary conditions and the grid size, which may have an influence on the
702 numerical model. Excellent correlation between the predicted and measured data was
703 observed when Chezy formula was applied. Conversely, models utilising Manning
704 formulation displayed a highly scattered plot, suggesting that it was not suitable to be adopted
705 in this study. Interestingly, even though the drag coefficient definition (or mathematical
706 meaning of the coefficient) is same in both the models, the fact that best results were obtained
707 for different C_d values indicates that the drag coefficient is also dependent on other model
708 input parameters (e.g. spatial resolution and bathymetry data). However, this dependency is

709 difficult to isolate, as both models were constructed differently (e.g. unstructured mesh in
710 Telemac3D and structured mesh in Delft3D). In essence, it can be concluded that each of the
711 numerical models is unique and non-identical and that thorough calibration and validation is
712 required to ensure the validity of the numerical output.

713

714 To summarise, the present study highlighted the preliminary analysis of the capability and
715 efficiency of both numerical models (Telemac3D and Delft3D) to produce accurate 3D flow
716 characteristics. This work was carried out since the influence of the input parameters for 3D
717 hydrodynamics models are yet to be thoroughly examined and explored, specifically for the
718 PFWO region. Future work will involve implementing tidal devices into the numerical
719 models and assessing their impacts on the surrounding environment. It is hoped that this work
720 may be used as a guideline for developing a 3D tidal model for this region by utilising the
721 methodology presented.

722

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728

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Table I: Details on the ADCP measurement data

ADCP	Coordinate	Depth	Measurement data
Site 1	58° 43' 34.00" N 3° 14' 11.01" W	82 m	14/9/2001 - 16/10/2001
Site 2	58° 43' 1.02" N 3° 5' 9.02" W	80 m	19/9/2001 - 20/10/2001
Site 3	58° 40' 13.02" N 2° 58' 35.03" W	71 m	15/9/2001 - 14/10/2001

Table II: The boundary conditions applied to the Delft3D models

Mix BC	Water Level BC
West : Current	West : Water Level
North : Water Level	North : Water Level
East : Water Level	East : Water Level
South : Water Level	South : Water Level

Table III: Variable roughness coefficient applied to the Delft3D model

Site	U velocity	Cd	V velocity	Cd
1	Chezy 50	0.0039	Chezy 20	0.0245
2	Chezy 60	0.0027	Chezy 55	0.0032
3	Chezy 60	0.0027	Chezy 50	0.0039

Table IV: Performance indices of the tested bed friction values between the observed and predicted velocity magnitude at three distinct depths for Delft3D models.

Depth	Cd	SITE 1			SITE 2			SITE 3		
		r	RMSE	SI	r	RMSE	SI	r	RMSE	SI
7m	0.0027-0.0032	0.793	0.696	0.348	0.921	0.438	0.260	0.879	0.518	0.254
	0.0050	0.790	0.687	0.347	0.933	0.426	0.257	0.888	0.520	0.264
	0.0025	0.779	0.722	0.361	0.925	0.429	0.254	0.871	0.537	0.264
	0.0086	0.781	0.687	0.347	0.935	0.416	0.249	0.900	0.525	0.274
39m	0.0027-0.0032	0.700	0.852	0.430	0.926	0.380	0.225	0.863	0.514	0.252
	0.0050	0.707	0.822	0.417	0.937	0.360	0.217	0.876	0.480	0.244
	0.0025	0.685	0.872	0.436	0.930	0.371	0.220	0.855	0.536	0.263
	0.0086	0.723	0.720	0.384	0.941	0.368	0.229	0.893	0.463	0.247
71m (65m)	0.0027-0.0032	0.615	0.766	0.485	0.911	0.669	0.416	0.750	0.745	0.407
	0.0050	0.638	0.653	0.442	0.922	0.368	0.281	0.788	0.494	0.316
	0.0025	0.587	1.071	0.572	0.917	0.684	0.420	0.770	0.882	0.441
	0.0086	0.638	0.496	0.394	0.934	0.216	0.199	0.807	0.410	0.322

Table V: Performance indices of the boundary forcing analysis between the observed and predicted current speed at three distinct depths for Delft3D models.

Depth	Boundary forcing	SITE 1			SITE 2			SITE 3		
		r	RMSE	SI	r	RMSE	SI	r	RMSE	SI
7m	Water level	0.781	0.687	0.347	0.935	0.416	0.249	0.900	0.525	0.274
	Water level and current	0.795	0.621	0.334	0.941	0.485	0.313	0.903	0.545	0.294
39m	Water level	0.723	0.720	0.384	0.941	0.368	0.229	0.893	0.463	0.247
	Water level and current	0.742	0.626	0.355	0.946	0.429	0.287	0.891	0.448	0.248
71m (65m)	Water level	0.638	0.496	0.394	0.934	0.216	0.199	0.807	0.410	0.322
	Water level and current	0.648	0.456	0.385	0.932	0.234	0.232	0.795	0.417	0.339

Table VI: Statistical results of the tested bottom roughness formulation between the observed and predicted velocity magnitude at three distinct depths for Delft3D models. The bed friction was fixed to $C_d = 0.0086$.

Depth	Roughness formula	SITE 1			SITE 2			SITE 3		
		r	RMSE	SI	r	RMSE	SI	r	RMSE	SI
7m	Chezy	0.781	0.687	0.347	0.935	0.416	0.249	0.900	0.525	0.274
	Manning	0.695	1.098	0.453	0.869	0.544	0.282	0.795	0.729	0.332
39m	Chezy	0.723	0.720	0.384	0.941	0.368	0.229	0.893	0.463	0.247
	Manning	0.576	1.130	0.505	0.881	0.492	0.271	0.793	0.701	0.336
71m (65m)	Chezy	0.638	0.496	0.394	0.934	0.216	0.199	0.807	0.410	0.322
	Manning	0.483	0.751	0.498	0.879	0.342	0.281	0.744	0.491	0.349

Table VII: Statistical results of the tested bottom roughness formulation between the observed and predicted velocity magnitude at three distinct depths for Delft3D models. The bed friction was set using variable roughness coefficient.

Depth	Roughness formula	SITE 1			SITE 2			SITE 3		
		r	RMSE	SI	r	RMSE	SI	r	RMSE	SI
7m	Chezy	0.793	0.696	0.348	0.921	0.438	0.260	0.879	0.518	0.254
	Manning	0.715	1.086	0.454	0.812	0.658	0.336	0.756	0.819	0.354
39m	Chezy	0.700	0.852	0.430	0.926	0.380	0.225	0.863	0.514	0.252
	Manning	0.598	1.291	0.548	0.815	0.669	0.341	0.737	0.892	0.386
71m (65m)	Chezy	0.615	0.766	0.485	0.911	0.669	0.416	0.750	0.745	0.407
	Manning	0.524	1.098	0.603	0.816	0.983	0.535	0.685	0.999	0.490

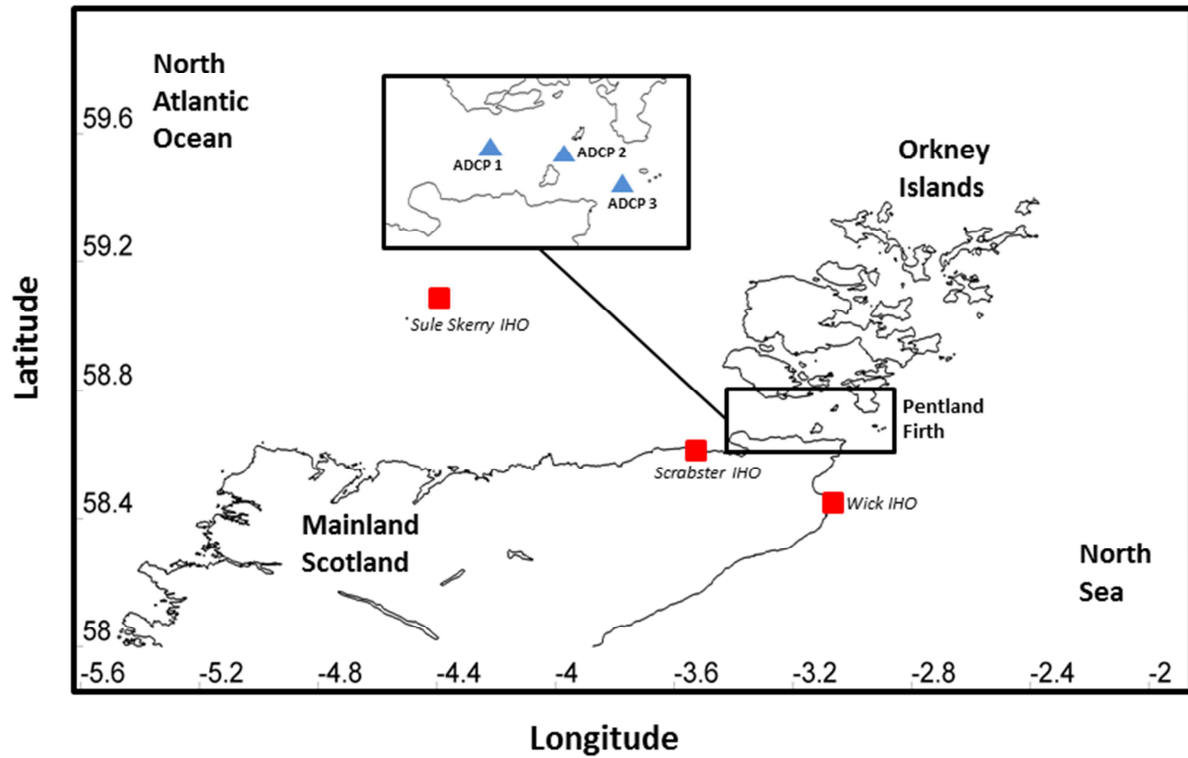


Figure 1: Map of the North of Scotland and Orkney Waters showing the location of the study area (Pentland Firth), including the position of the ADCPs (▲) and IHO tidal stations (■).

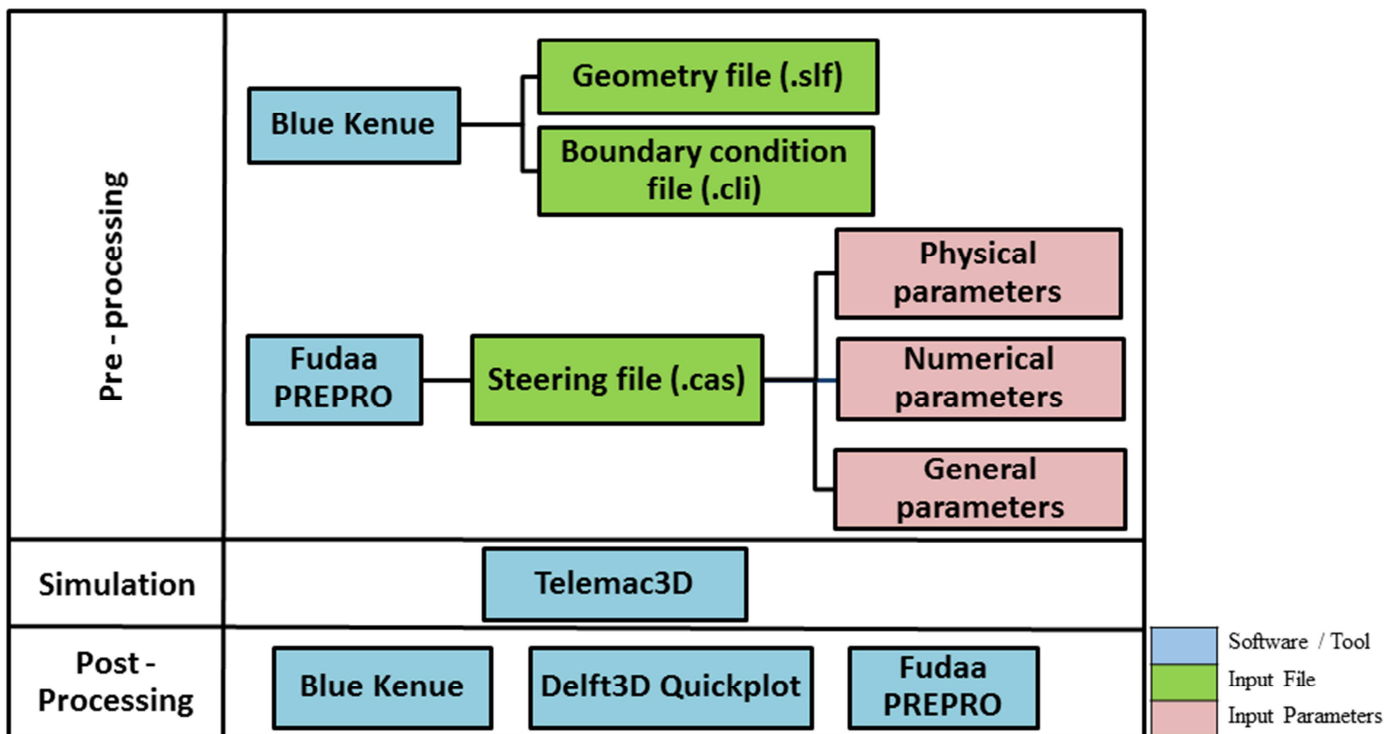


Figure 2: Process map for the development of a Telemac3D model.

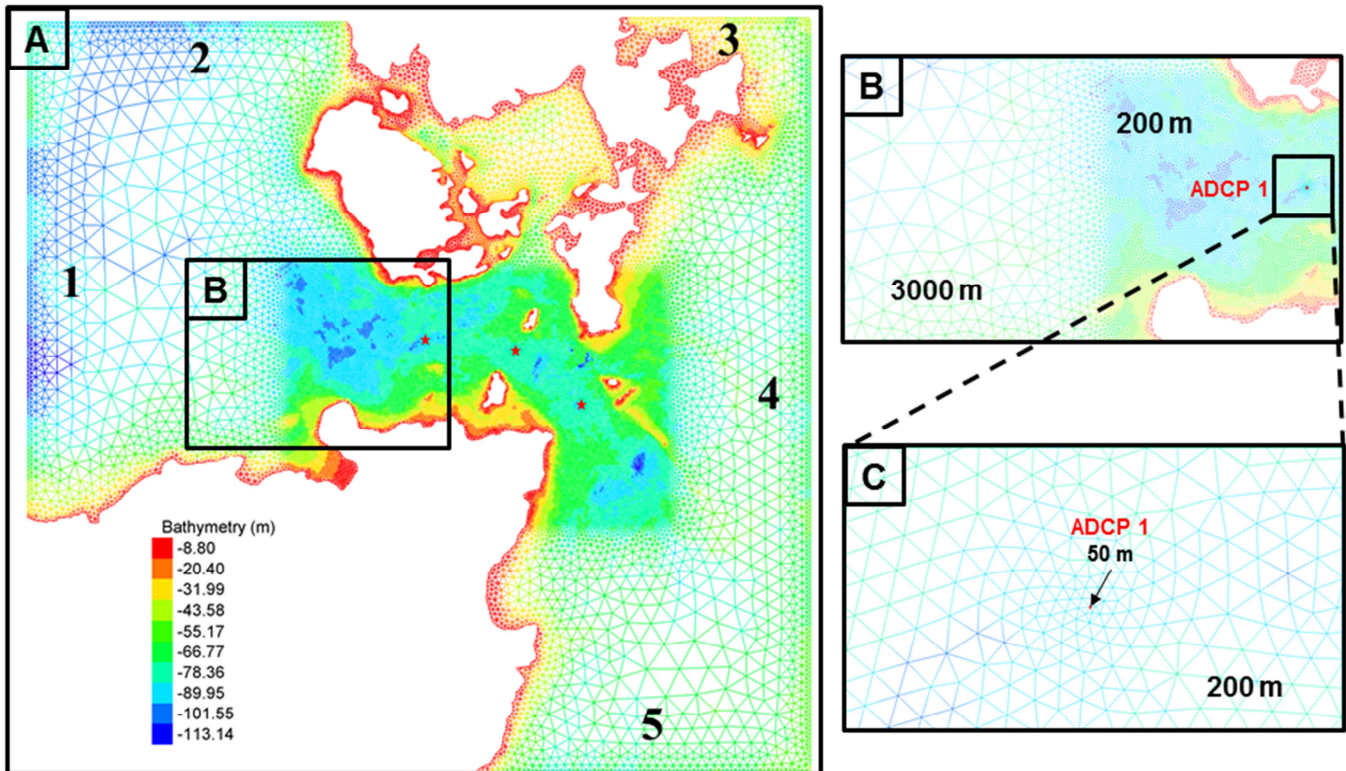


Figure 3: Computational domain used for the Telemac3D models, containing 285747 nodes and 497230 elements. (A) Mesh with the interpolated bathymetry. Number 1 till 5 corresponds to the liquid boundaries in the domain. (B) View of the coarser mesh resolution outside of the Pentland Firth, and the 200 m mesh density in the study area. (C) Hard point with 50 meter resolution at the monitoring station (ADCP location).

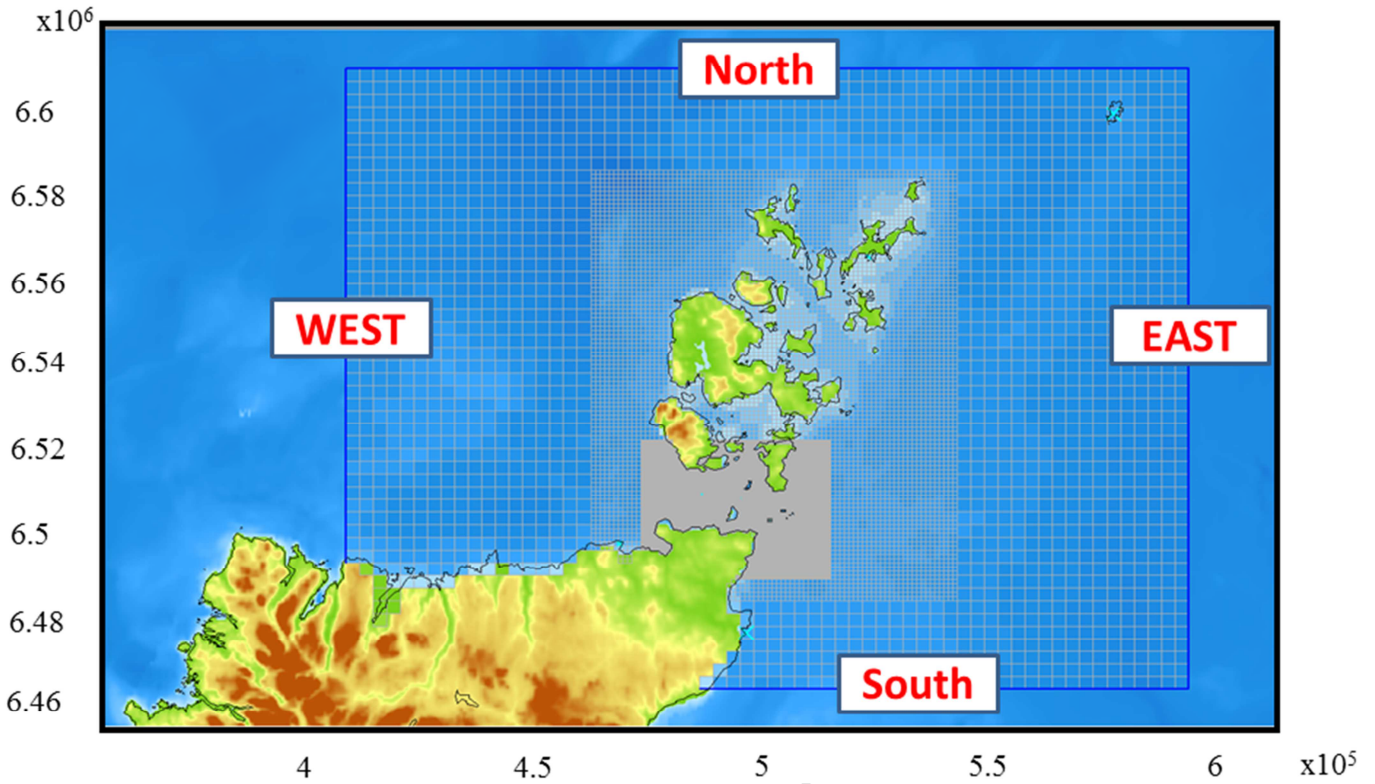


Figure 4: The computational domain and open boundary segments for the Delft3D model.

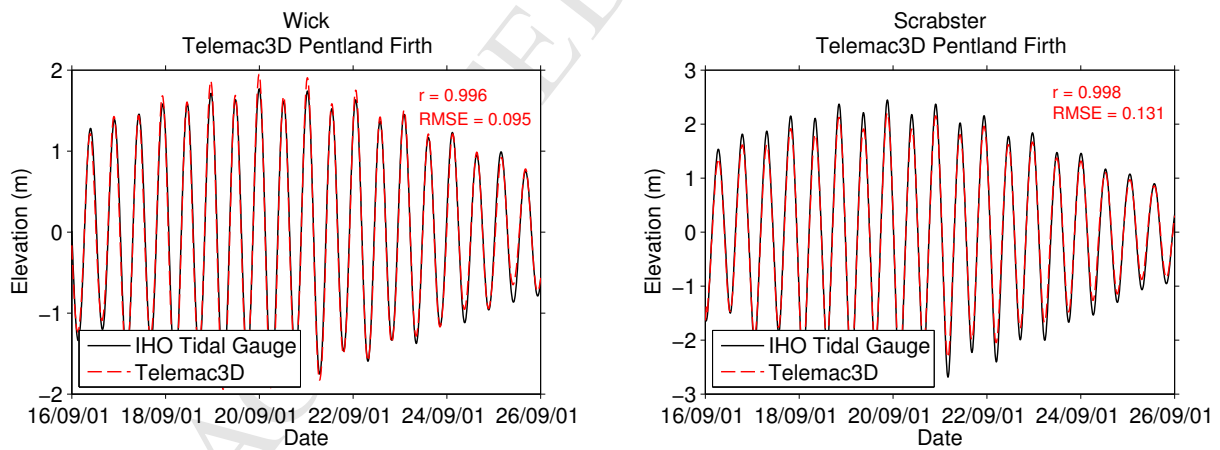


Figure 5: Comparison of the water surface elevation between the predicted and the measured data for the Telemac3D model. Left panel – Wick and right panel – Scrabster.

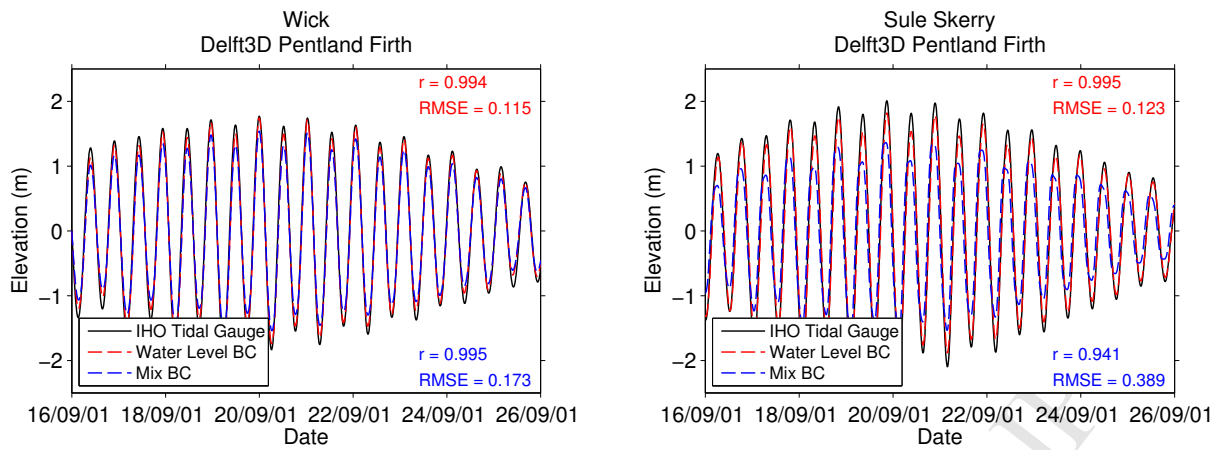


Figure 6: Comparison of the water surface elevation between the predicted and the measured data for the Delft3D model. Left panel – Wick and right panel – Sule Skerry.

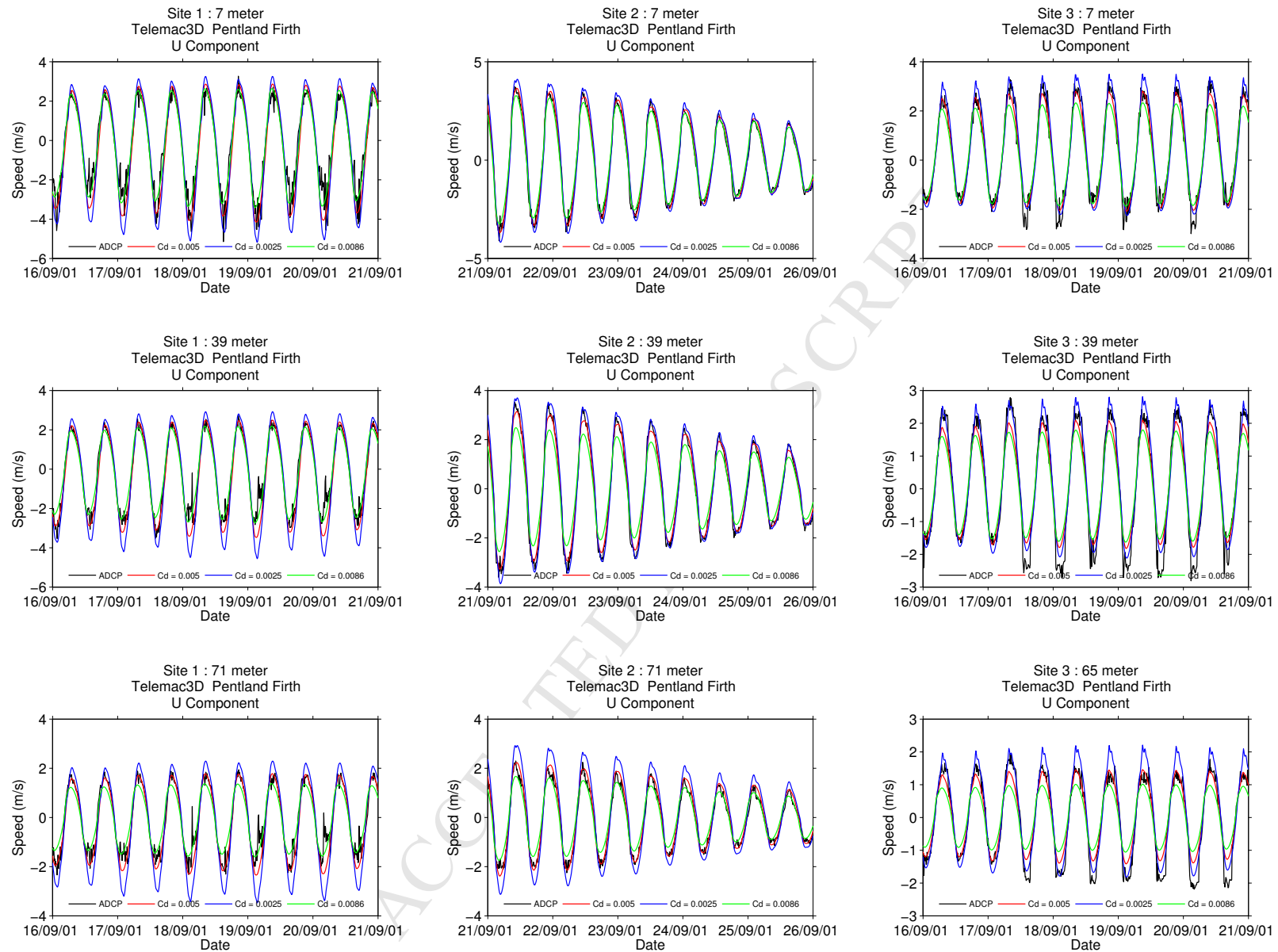


Figure 7: The influence of the roughness values [$Cd = 0.005$ (red line), 0.0025 (blue line), 0.0086 (green line)] on the U-velocity component for Telemac3D models.

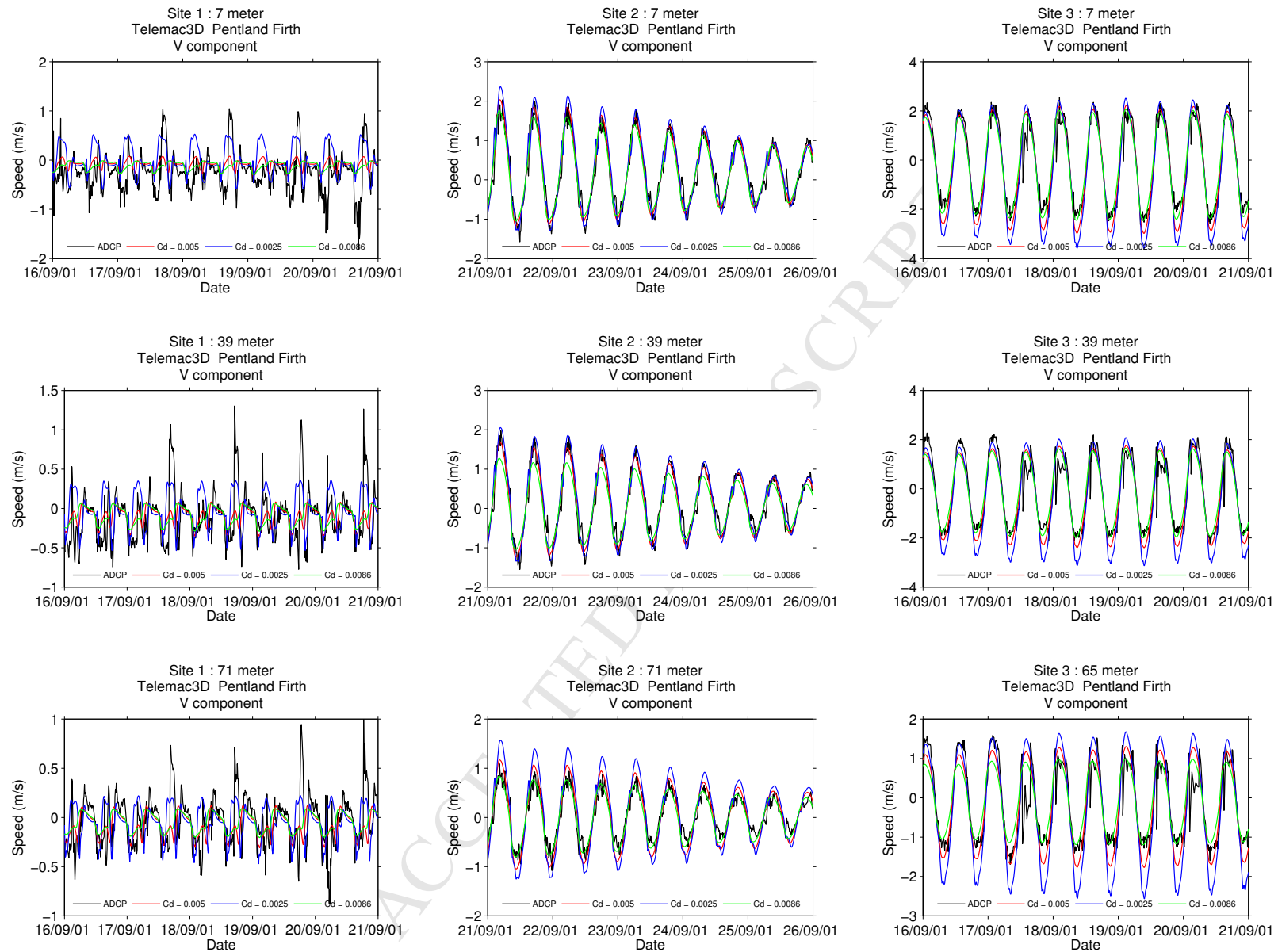


Figure 8: The influence of the roughness values [$Cd = 0.005$ (red line), 0.0025 (blue line), 0.0086 (green line)] on the V-velocity component for Telemac3D models.

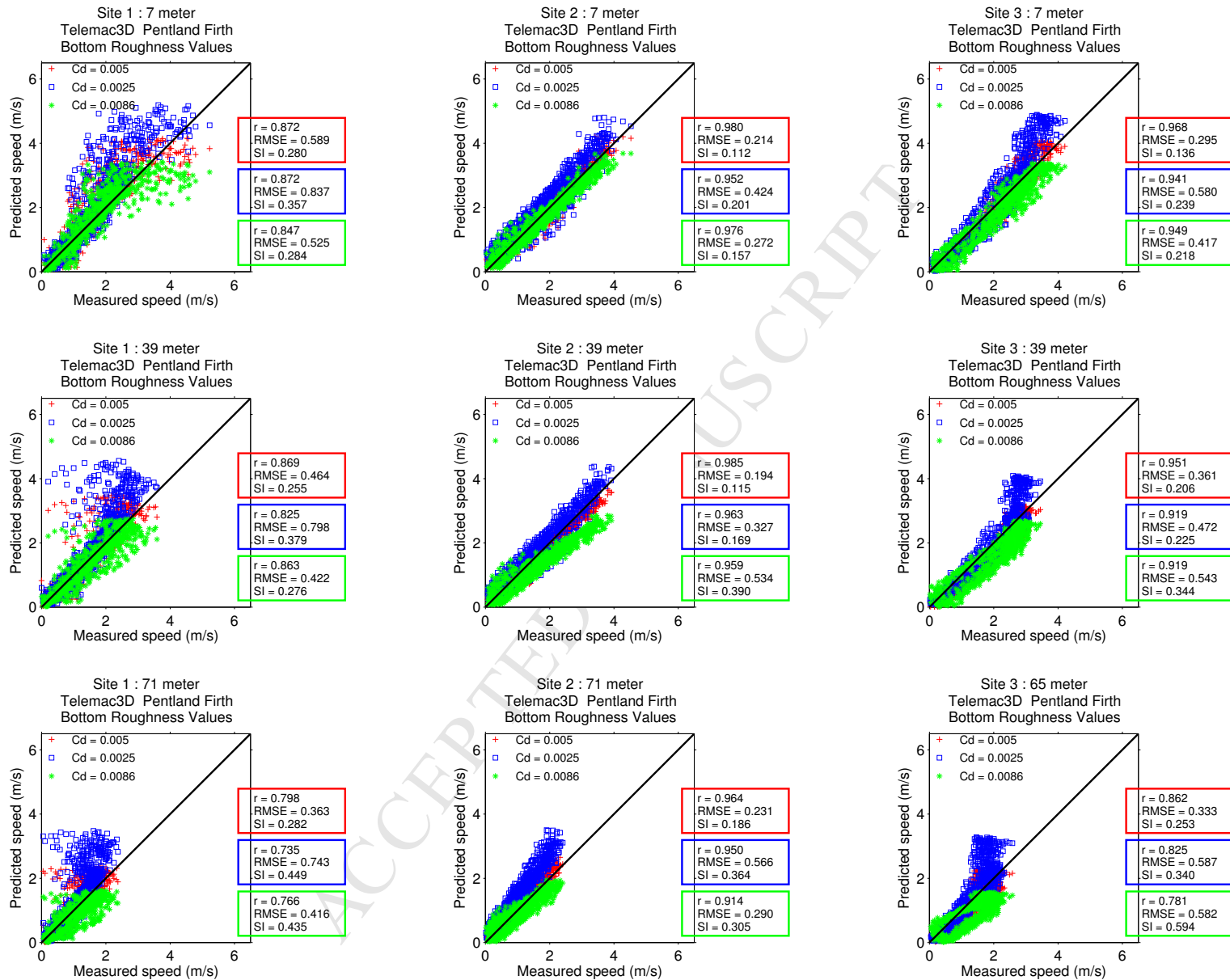


Figure 9: Scatter plots and the performance indices of three roughness values utilised in Telemac3D models at the three monitoring sites.

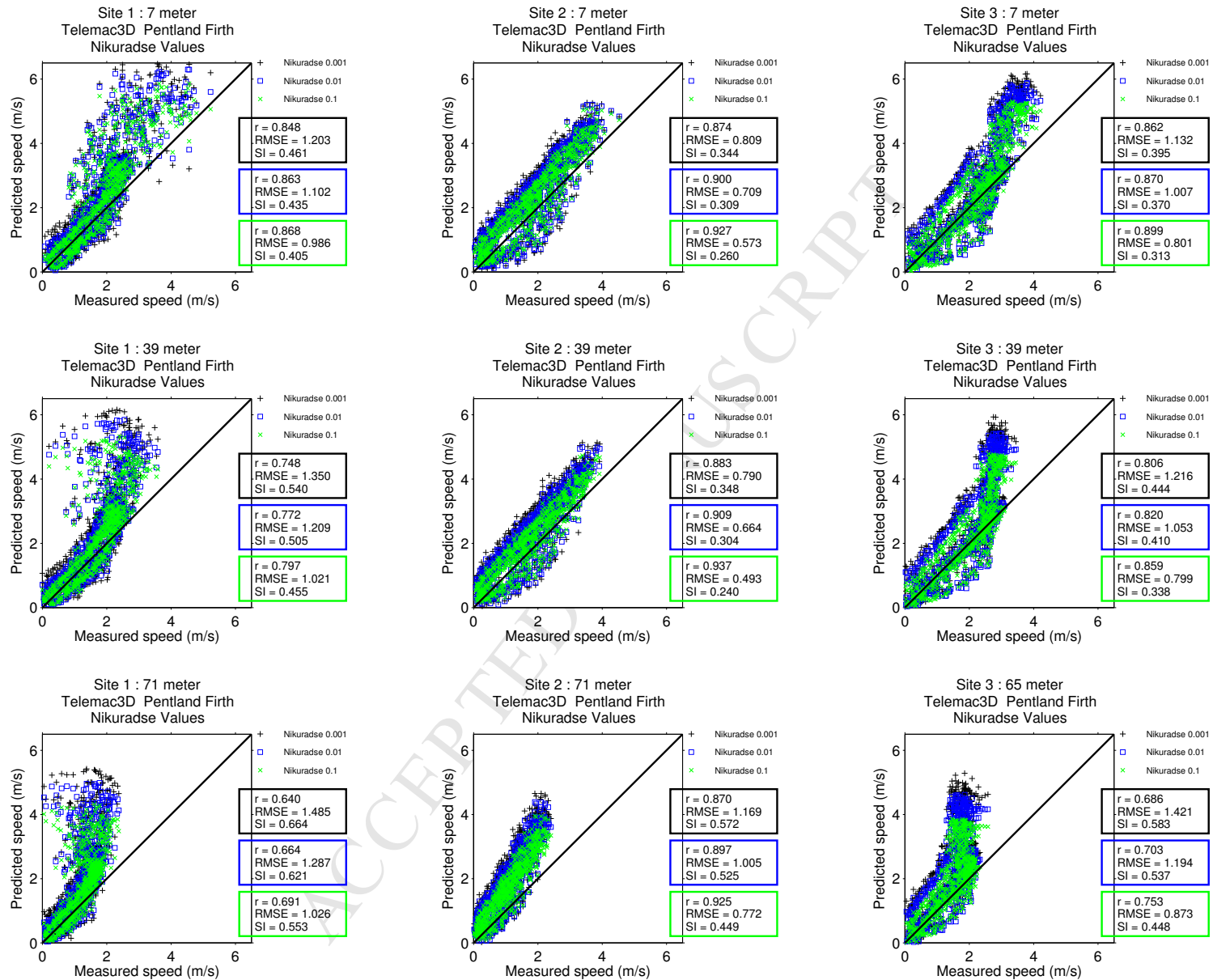


Figure 10: Comparison of several bottom roughness values using the Nikuradse formula on the Telemac3D models.

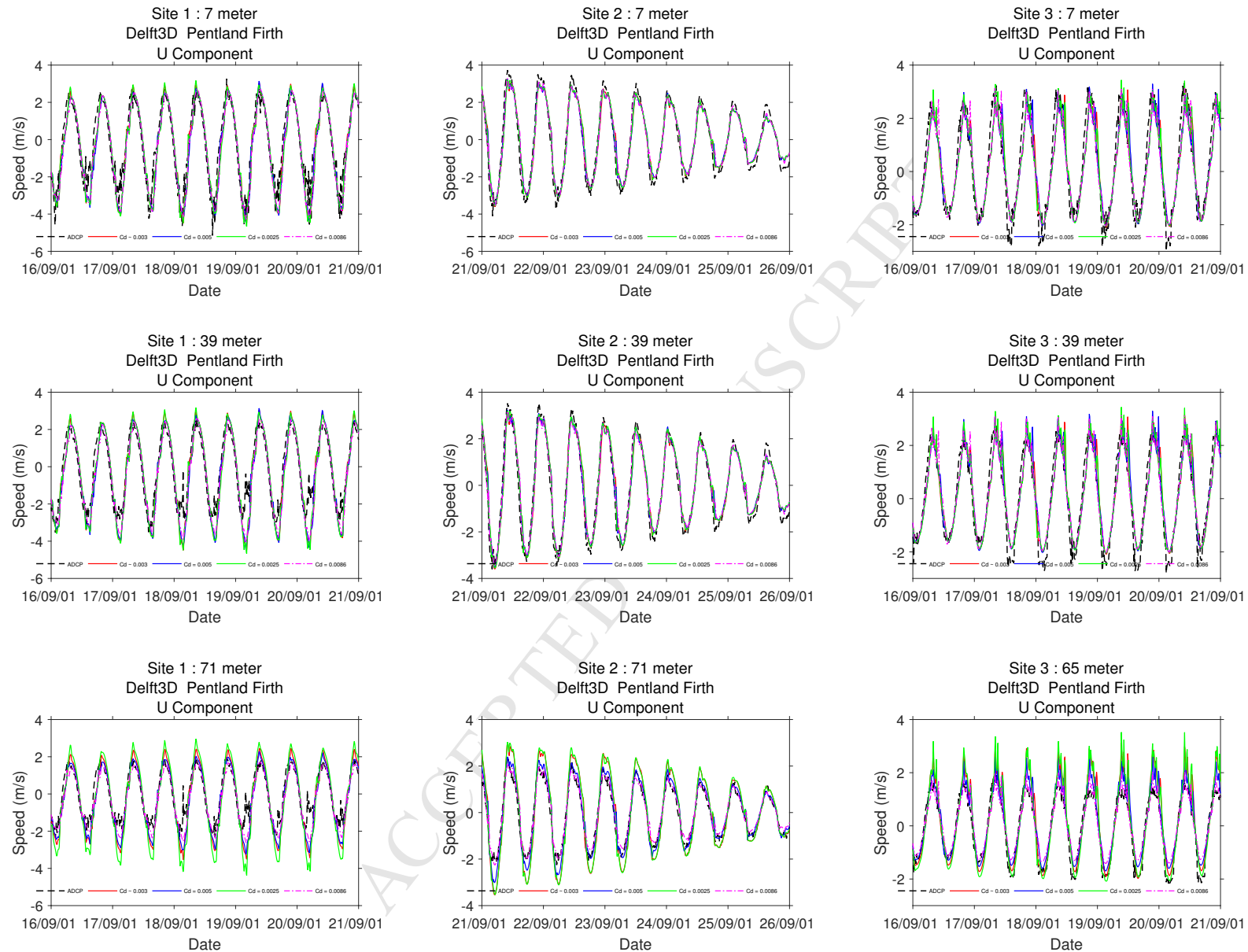


Figure 11: The influence of the roughness values on the U-velocity component for Delft3D models.

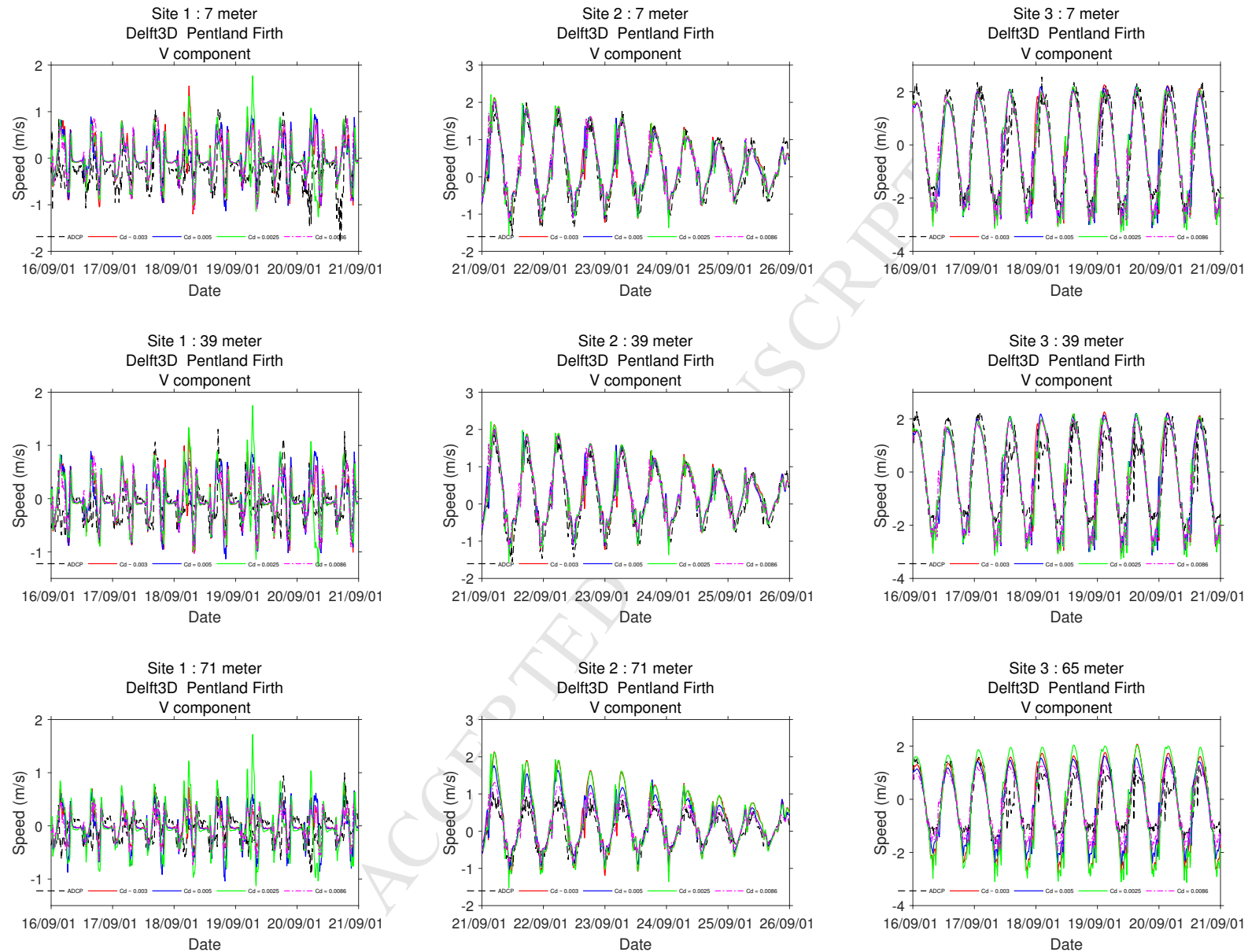


Figure 12: The influence of the roughness values on the V-velocity component for Delft3D models.

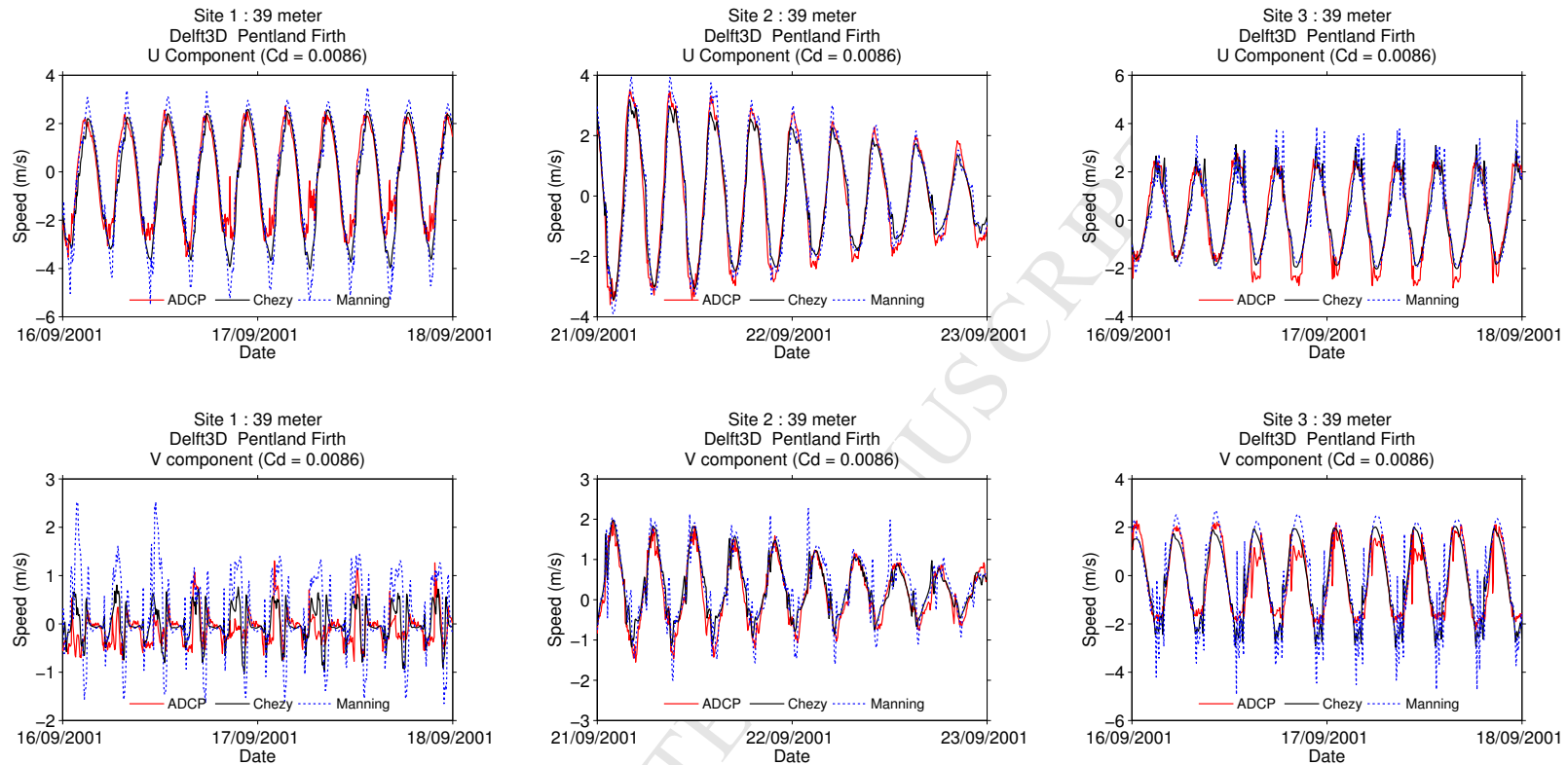


Figure 13: The velocity components at the mid water column using the Manning and Chezy bottom roughness formula for Delft3D models.

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