Solid Separation from a Mixed Suspension through Electric-Field-Enhanced Crystallization

Citation for published version:

Link:
Link to publication record in Edinburgh Research Explorer

Document Version:
Peer reviewed version

Published In:
Angewandte Chemie International Edition

General rights
Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy
The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.
Solid Separation from a Mixed Suspension through Electric-Field-Enhanced Crystallization

Wei W. Li, Norbert Radacsi, Herman J. M. Kramer, Antoine E. D. M. van der Heijden, and Joop H. ter Horst*

Abstract: When applied to a pure component suspension in an apolar solvent, a strong inhomogeneous electric field induces particle movement, and the particles are collected at the surface of one of the two electrodes. This new phenomenon was used to separately isolate two organic crystalline compounds, phenazine and caffeine, from their suspension in 1,4-dioxane. First, crystals of both compounds were collected at different electrodes under the influence of an electric field. Subsequent cooling crystallization enabled the immobilization and growth of the particles on the electrodes, which were separately collected after the experiment with purities greater than 91%. This method can be further developed into a technique for crystal separation and recovery in complex multicomponent suspensions of industrial processes.

Crystallization is an effective and efficient separation technology that can, in a single process step, recover desired compounds from solutions as high-purity (> 99%) crystalline solids.[1–4] However, such very pure products are difficult to obtain from a multicomponent solution, such as the product stream from a type-I multicomponent reaction (MCR) or a racemic mixture of chiral pharmaceutical compounds.[5,6] By direct crystallization as a mixed suspension is a likely result. Further purification of the solid phase usually requires additional steps (see Figure 1a), which will inevitably lead to the loss of valuable products. Alternatively, a single crystallization step coupled with simultaneous particle separation could diminish the product loss while enabling the effective recovery of a desired solute from a mixed solution.

Particle manipulation and subsequent separation can be achieved by the application of electromagnetic fields: A magnetic field can separate polymorphs (crystalline solids with different solid-state structures) to prepare seeds for large-scale crystallization provided that a suitable paramagnetic medium can be found.[8] Alternatively, an electric field has been used for the capture and manipulation of nanoparticles[9] and the assembly of colloid particles for the production of optically tunable micropatterns, biosensors, and biofuel cells, for example.[10–12] In all of these cases, the liquid phases did not contain any dissolved particle materials. On the other hand, electric fields have been used to localize protein crystallization and control its nucleation rate from a clear solution in the absence of any seed crystals.[13,14] However, to date, the combination of particle manipulation by an electric field with a crystallization process for in situ product separation and recovery has, to the best of our knowledge, never been attempted. Herein, experimental proof is given for an electric-field-enhanced crystallization (EEC) process by which the two solutes from a multicomponent solution are separately recovered (Figure 1b).

When subjected to an inhomogeneous electric field, particles in a suspension experience dielectrophoretic (DEP) and possibly electrophoretic (EP) forces: DEP forces originate from the difference in the dielectric constants of the particles and the surrounding medium and drag the particles with the larger dielectric constant towards the location of the electric-field maxima,[15,16] while EP forces, which work only on charged particles, are directed towards the electrode with the opposite charge.[17] To prevent electrochemical reactions, which would reduce the product yield, the current passed through a crystallizing suspension should be minimized. Meanwhile, the inhomogeneous field needs to be...
sufficiently strong, and the dielectric constant of the solvent sufficiently small, to ensure the effective manipulation of crystals by the DEP force. Therefore, the apolar and non-conductive solvent 1,4-dioxane was used in this study.

We first applied an inhomogeneous electrostatic field to a 6 mL isonicotinamide (INA) suspension in 1,4-dioxane (ca. 18 mg solid per mL solvent), which was generated by a direct current (DC) potential difference between two parallel rod-shaped electrodes about 6 mm apart, which were immersed into the suspension. In the presence of a DC potential difference above approximately 2 kV, INA crystals started to move around the electrodes. More crystals participated in this circular movement with increasing potential difference. Furthermore, INA crystals gradually accumulated on the anode (Figure 2). Above a DC potential difference of about 5 kV, the amount of crystals collected at the anode was so large that a crystal bridge formed between the electrodes. Upon switching off the electric field, the collected crystals detached from the anode and moved back to the bottom. When a similar potential difference was applied to an INA solution in the absence of crystals, the solution–air interface was only slightly vibrating. No movement of liquid could be observed in pure 1,4-dioxane. This indicates that the motion of INA particles is, for a large part, related to the interaction of the crystals with the electric field. During the course of these experiments, no current larger than the detection limit of 0.01 mA was observed. No gas formation could be observed on either of the electrodes, indicating that the solute does not undergo significant electrochemical reactions.

Equivalent experiments were conducted with suspensions of other organic compounds in 1,4-dioxane. Table 1 reveals an interesting result: Particle motion was induced in all cases, and crystals accumulated on either the anode or the cathode. When the polarity of the two electrodes was reversed by changing the potential difference from +7.5 kV to −7.5 kV, crystals that had accumulated on one electrode migrated to the other one; for example, INA crystals moved to the new anode, for example.

Upon changing the polarity, the crystals accumulated on the electrode that is electrochemically the same; that is, INA crystals moved to the new anode, for example.

Table 1: The electrodes at which the crystals accumulated in experiments in which inhomogeneous electric fields of +7.5 and −7.5 kV were applied to suspensions of various organic compounds in 1,4-dioxane. Upon changing the polarity, the crystals accumulated on the electrode that is electrochemically the same; that is, INA crystals moved to the new anode, for example.

<table>
<thead>
<tr>
<th>Electrode</th>
<th>Crystalline compound[a]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anode</td>
<td>INA</td>
</tr>
<tr>
<td>Cathode</td>
<td>NIF</td>
</tr>
<tr>
<td></td>
<td>CAF</td>
</tr>
<tr>
<td></td>
<td>PHE</td>
</tr>
<tr>
<td></td>
<td>HBA</td>
</tr>
</tbody>
</table>

[a] CAF = caffeine, HBA = 4-hydroxybenzoic acid, INA = isonicotinamide, NIF = niflumic acid, PHE = phenazine.

In experiment 1A, a suspension of PHE (ca. 26 mg solid per mL solvent) was prepared at 30°C (see the Supporting Information for details). A DC potential difference of −7.5 kV was then applied between the two electrodes, and DEP on the crystals in the suspension, which triggers their motion towards the two electrodes. Even in apolar solvents, particle surfaces can be slightly charged[18–20] but the EP forces on the crystals become significant only in the vicinity of the electrodes. Crystals approaching the anode are further attracted until they settle on its surface while crystals close to the cathode are repelled. The repelled crystals continue to circulate until they are eventually captured by the anode. Subsequently, the entire crystalline mass accumulates on the surface of the anode. As particulate materials differ in their electronic properties, such as the dielectric constant and surface charge, the combined effect of DEP and EP forces can lead to the accumulation of the corresponding crystals on different electrodes, which enables the development of particle separation methods.[21–24]

However, in order to be collected from the solution, the accumulated crystals need to be immobilized on the electrode. This immobilization of crystals was realized by slowly cooling the suspension in the presence of the electric field. Upon cooling, the solubility decreased, and the accumulated particles could further grow on and adhered to the electrode surface. Two separate experiments (see Figure 3, Figures renumbered. ok?) experiments 1A and 1B) were performed on pure component suspensions of the model compounds phenazine (PHE) and caffeine (CAF). ok?

In experiment 1A, a suspension of PHE (ca. 26 mg solid per mL solvent) was prepared at 30°C (see the Supporting Information for details). A DC potential difference of −7.5 kV was then applied between the two electrodes, and

![Figure 2](image.png)

Figure 2. An inhomogeneous static electric field induced fluid and particle dynamics above a DC potential difference of 2 kV in a suspension of INA in 1,4-dioxane. The INA crystals were collected at the anode, and above a DC potential difference of about 5 kV, a crystal bridge was formed between the electrodes. Although a magnetic stirrer bar was present in the vial, it was not used to stir the solution.
the PHE crystals accumulated at the cathode surface within 1 min. In the presence of the electric field, the suspension was slowly cooled down in an oil bath to 15°C in 3 h and then kept at this temperature overnight to allow for crystal growth. After approximately 15 h at 15°C, the electric field was turned off; most of the PHE crystals were attached to the cathode surface (Figure 4, left) and could be directly removed from the solution by withdrawing the electrode. The same procedure was applied to a CAF suspension in experiment 1B, and the corresponding crystals were collected from the anode (not shown).

Furthermore, the presence of another solute in the solution phase (see Figure 3, experiments 2A and 2B and the Supporting Information) did not influence the behavior of the suspensions in the electric field. Similar to experiment 1B, in experiment 2A, CAF crystals were collected from the anode after cooling crystallization to 15°C under the electric field from a solution with additional PHE present below its saturation concentration (Figure 4, right). XRPD analysis of the crystalline product revealed the presence of CAF crystals only (see the Supporting Information). These experiments confirmed that the electric-field-induced particle capture combined with cooling crystallization can be used as an in situ recovery technique for a target system where only one compound is present in the solid phase.

At this point, the EEC process could be used to collect crystals of PHE and CAF from their suspensions, with or without the presence of another solute in the liquid phase. The final step then was to confirm that PHE and CAF crystals can also be separated during crystallization from their mixture by EEC. The 6 mL 1,4-dioxane suspension containing both CAF and PHE (ca. 13 mg solid per ml solvent) and PHE (ca. 29 mg solid per ml solvent) crystals was prepared at 30°C (experiment 3 in Figure 5; see the Supporting Information for details). In the presence of a –7.5 kV DC potential difference, crystals accumulated on the surfaces of both electrodes at 30°C (Figure 5, left). The suspension was then linearly cooled down to 15°C in 3 h and kept at this temperature overnight while the electric field was kept constant. Crystal layers of different shapes and colors were formed on both electrodes, reflecting the appearance of PHE (cathode) and CAF (anode) crystalline phases (Figure 5, right).

The crystals from the two electrodes were removed from the bulk solution, separately weighed for yield estimation, and then analyzed by XRPD and 1H NMR spectroscopy to determine their compositions. From the anode, 27 mg of crystalline material was recovered, which consisted of 91.4 ± 2.2 wt% CAF. From the cathode, 177 mg of crystalline material was recovered, which consisted of 99.6 ± 2.4 wt% PHE (see the Supporting Information). The recovery yields for CAF and PHE were 20.9% and 53.5%, respectively (Figure 6). The same experiment was duplicated, and lower yields but similar solid-phase purities to those reported above were obtained. This result confirmed that the combination of selective particle collection, induced by an inhomogeneous electric field, with cooling crystallization can indeed be used to separate and simultaneously remove two compounds from their mixture.  

In conclusion, a separation technique that is based on coupling particle manipulation by an inhomogeneous electrostatic field and cooling crystallization, here referred to as electric-field-enhanced crystallization (EEC), has been developed...
opened. We have shown that EEC can be employed to separate two solutes in crystalline form from their multicomponent mixture. Although EEC is a promising technique, it still requires further development and optimization. An improved design of the cell should improve the relatively low yield of the collected particles caused by the setting of some of the crystals at the bottom of the vial despite the presence of the electric field (see Figure 5, right) or the loss of some crystalline material at the cathode that was scrapped off into the solution owing to the narrow vial opening. Optimizing the electric field geometry and electrode design, based on an improved understanding of the combined effect of DEP and EP in an EEC process, is also bound to improve the yield. Impurities present in the crystalline phases may have been the result of adhering mother liquor between crystals during sampling, which subsequently crystallized out upon removing the solvent by drying the crystalline product. Our results raise several questions concerning the effect of the electric field on crystallization kinetics, the variety of suitable solvents and crystallizing compounds, and electrochemical process engineering design and scale-up.

**Experimental Section**

Phenazine (98%, Sigma), caffeine (99%, Sigma), isonicotinamide (99%, Sigma), niflumic acid (99%, Sigma), and 4-hydroxybenzoic acid (99%, Sigma) were used as received. All chemicals were used without further purification.

The yield ($y$) of recovery was calculated according to Equation (1):

$$y = \frac{m}{V^{*}(c_e - c^*)}$$

where $m$ (mg) is the mass of crystals A (either CAF or PHE) collected from either of the electrodes, $c_e$ (mg mL$^{-1}$ solvent) is the overall concentration of A in the mixed suspension, $c^*$ (mg mL$^{-1}$ solvent) is the solubility of A in the mixture at the temperature at which the crystals were collected, and $V$ (mL) is the solvent volume.

**Acknowledgements**

We thank Dr. Stephen Eustace and ir. Debby den Besten (Delft University of Technology) for help with the $^{1}H$ NMR measurements and Ben Schelen (Delft University of Technology) for help in developing the electric field setup. J.H.I.H. thanks the EPSRC Centre for Innovative Manufacturing in Continuous Manufacturing and Crystallization (http://www.cmac.ac.uk) for supporting this work (EPSRC funding through EP/I033459/1).

**Keywords:** crystal engineering - dielectrophoresis - electrophoresis - multicomponent mixtures - separation technology


Received: October 7, 2016
Published online: 

**References**

www.angewandte.org © 2016 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim


These are not the final page numbers!
Communications

Solid Separation from a Mixed Suspension through Electric-Field-Enhanced Crystallization

Communications

Separation Technology

W. W. Li, N. Radacsi, H. J. M. Kramer, A. E. D. M. van der Heijden, J. H. ter Horst

Mixed suspension PHE + CAF

Electric Field

T

Crystallization from a multicomponent mixture requires additional steps for product purification. The application of an inhomogeneous electric field on a mixed suspension enables simultaneous particle separation and crystal growth. Crystals of the two solutes (CAF = caffeine, PHE = phenazine) are then separately collected from the two electrodes.

Trenntechnik

W. W. Li, N. Radacsi, H. J. M. Kramer, A. E. D. M. van der Heijden, J. H. ter Horst

Mixed suspension PHE + CAF

elektrisches Feld

gemischte Suspension PHE + CAF

Bei Kristallisationen aus Mehrkomponentenmischungen sind weitere Schritte für die Produktreinigung nötig. Wird an einer gemischten Suspension jedoch ein inhomogenes elektrisches Feld angelegt, können Partikelabtrennung und Kristallwachstum in einem Schritt durchgeführt werden. Kristalle der zwei gelösten Substanzen (CAF = Kaffein, PHE = Phenazin) sammeln sich getrennt an den zwei Elektroden an.

Please check that the ORCID identifiers listed below are correct. We encourage all authors to provide an ORCID identifier for each coauthor. ORCID is a registry that provides researchers with a unique digital identifier. Some funding agencies recommend or even require the inclusion of ORCID IDs in all published articles, and authors should consult their funding agency guidelines for details. Registration is easy and free; for further information, see http://orcid.org/.

Wei W. Li
Dr. Norbert Radacsi
Dr. Herman J. M. Kramer
Prof. Dr. Antoine E. D. M. van der Heijden
Prof. Dr. Joop H. ter Horst