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Citation for published version:

Wang, J, Tett, SFB & Yan, Z 2017, 'Correcting Urban Bias in Large-scale Temperature Records in China, 1980-2009', *Geophysical Research Letters*. <https://doi.org/10.1002/2016GL071524>

Digital Object Identifier (DOI):

[10.1002/2016GL071524](https://doi.org/10.1002/2016GL071524)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Geophysical Research Letters

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1 **Correcting Urban Bias in Large-scale Temperature Records in China,**
2 **1980–2009**

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4
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17 **Abstract**

18 Trends in urban fraction around meteorological station are used to quantify the
19 relationship between urban growth and local urban warming rate in records from
20 Chinese temperature stations. Urban warming rates are estimated by comparing
21 observed temperature trends with those derived from ERA-Interim reanalysis data.
22 With urban expansion surrounding observing stations, daily minimum temperatures
23 are enhanced, and daily maximum temperatures slightly reduced. On average, a
24 [change in urban fraction from 0% to 100%](#) induces additional warming in daily
25 minimum temperature of $+1.7\pm 0.3$ °C; daily maximum temperature changes due to
26 urbanization are -0.4 ± 0.2 °C. Based on this, the regional area-weighted average trend
27 of urban-related warming in daily minimum (mean) temperature in eastern China is
28 estimated to be $+0.042\pm 0.007$ ($+0.017\pm 0.003$) °C/decade, representing about 9% (4%)
29 of overall warming trend and reducing the diurnal temperature range by -0.05
30 °C/decade. No significant [\(at a 95% confidence level\)](#) relationship between
31 background temperature anomalies and the strength of urban warming were found.

32

33 **Key words:**

34 Urban bias, Land surface air temperature, China, Atmospheric reanalysis

35

36

37 **Key points:**

38 Relationship between urban growth and local urban warming rate in Chinese
39 temperature records is quantified;

40 [A change in urban fraction from 0% to 100%](#) induces additional warming in daily
41 minimum temperature of $+1.7 \pm 0.3$ °C;

42 No significant relationship was found between background temperature anomalies
43 and the strength of urban warming;

44

45

46 **1. Introduction**

47 Apart from data inhomogeneity, the effect of urbanization is probably the most
48 common source of systematic bias in land station temperature records. While many
49 studies have documented that urbanization processes imposed negligible influence on
50 the global temperature series [*Jones et al.*, 1990; *Hansen et al.*, 1999, 2001; *Peterson*
51 *et al.*, 1999; *Folland et al.*, 2001; *Parker*, 2004, 2006, 2010], the urbanization-induced
52 effect in local [and even](#) regional temperature observations, especially in some
53 developing countries or regions, could be considerable [*Wang et al.*, 1990; *Portman et*
54 *al.*, 1993; *Ren et al.*, 2007; *Jones et al.*, 2008; *Yan et al.*, 2010]. Many authors have
55 estimated the urban-related warming in large-scale temperature series (for example
56 [*Ren et al.*, 2008; *Hua et al.*, 2008; *Yang et al.*, 2011]), mostly based on comparison of
57 urban and rural temperature series. *Wang and Yan* [2016] presents a concise review of
58 urban warming, noting that there is considerable uncertainty in the magnitude of the
59 urban warming bias [*Peterson and Owen*, 2005].

60

61 The most straightforward way to obtain regionally averaged temperature series
62 that are free of urbanization effect is to use nonurban stations [*Hansen et al.*, 1999;
63 *Ren and Zhou*, 2014; *Sun et al.*, 2014, 2016]. This is a useful approach for the regions
64 with numerous uniformly distributed nonurban stations. But, in most cases, long-term
65 temperature series observed at purely rural stations are rare. Faced by this challenge,

66 *Karl et al.* [1988] developed a series of equations that related the effect of increasing
67 population to the annual/seasonal averaged temperatures using the station
68 observations across the United States (US). Based on the equations in *Karl et al.*
69 [1988], *Jones et al.* [1989] assessed the significance of the urban warming effect on
70 hemispheric mean temperature series to be less than 0.1 °C over the first eight decades
71 of 20th century. However, population information is spatially generalized and outdated,
72 and the urban-related changes in the observing environment surrounding the stations
73 could not be reflected objectively and precisely [*Peterson and Owen*, 2005]. Satellite
74 remote-sensing data provide a basis to identify the extent to which the effect of
75 urbanization has been imposed on the temperature records [*Gallo et al.*, 1999; *Hansen*
76 *et al.*, 1999, 2001; *Yang et al.*, 2011]. Since urbanization is a dynamic process,
77 changes in urban land use around observing stations, rather than current urban status,
78 can be used to better understand the urban warming effects [*Jones et al.*, 2008].

79

80 Climate models simulate large-scale average changes in temperature, which are
81 not directly comparable with site observations in regions of rapid urbanization such as
82 eastern China for the recent decades. From a different point of view, as human
83 populations are concentrated in cities, if we want to quantify the changing risk of
84 extreme temperatures to the human society based on projections of climate modeling,
85 we need to apply a correction for the impact of urbanization to these results. One of
86 the goals of this study is to produce such a correction. In this study, we employed the
87 satellite-derived data of urban fraction surrounding meteorological station to estimate
88 urban warming bias in surface temperature records in China, and compared the results
89 with previous studies. Since most previous studies applied fixed values to adjust
90 urban bias in annual or seasonal temperature averages [*Karl and Jones*, 1989; *Jones et*
91 *al.*, 1989; *Sun et al.*, 2016], we also examine whether there is a significant relationship
92 (at a 95% confidence level) between the intensities of urban warming and background
93 temperature anomalies on monthly timescales.

94

95 In the rest of this paper, we next describe the data and analysis methodology we
96 use, following this with our results before concluding. We find the urbanization has a
97 significant (at a 95% confidence level) warming effect on daily minimum
98 temperatures, but only a negligible cooling impact on daily maximum temperatures.

99 We also find no evidence of significant (at a 95% confidence level) relationship
100 between large-scale temperature variability and urban warming intensity, meaning
101 that a fixed urbanization correction is adequate.

102

103

104 **2. Data and Method**

105 We use a homogenized daily surface air temperature data set observed at 753
106 meteorological stations in China for 1980–2009 [*Li and Yan, 2009; Li and Yan, 2010*],
107 ERA-Interim reanalysis data set [*Dee et al., 2011*], and a long-term land cover data set
108 in China for the years 1980 and 2009 [*Hu et al., 2015*]. We focus our analysis on
109 eastern China (east of 105 °E) as this is where large growth in urbanization has
110 happened.

111

112 The station temperature observations we used have been corrected for most of
113 the non-climatic biases due to the changes in the local observing system, such as
114 station relocation. In most cases, meteorological stations had to be relocated to more
115 rural sites due to the rapid urbanization [*Yan et al., 2010*]. Large cooling biases could
116 be introduced in by such relocations, which have been corrected for in the
117 homogenized series. The Multiple Analysis of Series for Homogenization (MASH)
118 method was used to homogenize station temperature series. MASH is an iterative
119 procedure designed to detect break points by mutual comparison among all available
120 series. MASH chose a candidate series from the available series and treated the
121 remaining series as references. MASH algorithm changed the roles of candidate and
122 reference series step by step. Homogenizations are made to the whole dataset based
123 on statistical tests via Monte-Carlo method. More details about MASH can be found
124 in *Szentimrey [1999; 2008]*. Homogenization was made for the local time series of
125 daily maximum and minimum temperatures, respectively, in order to diminish any
126 discontinuity due to non-climatic factors such as site-moves of a station [*Li and Yan,*
127 *2009; Li et al., 2016*]. Since homogenization process considers only abrupt changes in
128 surface temperature, the slowly varying urban warming trends are still retained in
129 observations.

130

131 We used the fused land cover dataset of *Hu et al. [2015]* which classifies land by

132 fractions of [seventeen](#) types of land cover (using the IGBP land cover classification
133 scheme; [USGS \[2003\]](#)), for four representative years (1980, 1990, 2000 and 2009). [Hu](#)
134 [et al. \[2015\]](#) made a detailed investigation of the accuracy of the land cover
135 classification for data fusion, with multi-source best-quality datasets derived from
136 satellite platforms including Landsat TM/ETM+, USGS, MODIS land cover and
137 Chinese national land cover datasets. Based on multiple linear regressions, the fused
138 urban land cover dataset used in this study was developed, combining the
139 [multi-source products](#). Based on previous studies [[Yang et al., 2011 \(7km\)](#); [Wang and](#)
140 [Ge, 2012 \(16km\)](#); [Chrysanthou et al., 2014 \(10km\)](#)], we chose the land cover data set
141 with spatial resolution of 10 km to represent the extent of environmental changes
142 surrounding the observing stations due to urbanization. For each station we computed
143 the linear trend in urban land fraction for the nearest 10x10 km pixel.

144

145 We treat the temperature trend observed at each urban station as a sum of
146 large-scale trend, local urban trend, and noise representing unknown processes.
147 Reanalysis data do not assimilate surface observations of daily 2-m maximum (T_{\max})
148 and minimum (T_{\min}) temperatures and so should be insensitive to the changes in urban
149 land use. Thus, temperature trends derived reanalysis data can be used to represent the
150 signal of large-scale climate change [[Dee et al., 2011](#)]. ERA-Interim reanalysis data
151 perform better than other reanalysis datasets regarding the long-term trend and
152 low-frequency variability in surface temperature series in China [[Wang et al., 2013a](#)].
153 We used it to separate the signal of local urban warming from overall warming trends.
154 Specifically, T_{\max} and T_{\min} from ERA-Interim data set were linearly interpolated to
155 stations located below 500m [[Kalnay and Cai, 2003](#)] in eastern China and converted
156 to monthly-average anomalies relative to 1980–2009. Linear trends in both were
157 estimated by ordinary least squares (OLS). Interpolated temperature trends in
158 ERA-Interim reanalysis were subtracted from station observation trends, and the
159 difference was treated as the local urban warming trends *plus* other local noise.

160

161 Local urban warming trends were assumed to be proportional to the changes in
162 urbanization degree or extent. This assumption may be not precise enough for specific
163 sites, but we believe reasonable for a large sample of stations. We estimated the
164 relationship between urban warming and urbanization by linear regression between

165 the urban fraction trend and T_{\min}/T_{\max} temperature trend.

166

167 To determine if using a fixed value to correct urban warming bias was
168 appropriate, we further examined the relationship between urban bias and background
169 temperature anomalies (derived from ERA-Interim) on monthly time-scale in three
170 representative cities in China (Beijing, Shanghai, and Guangzhou) for 1980-2009.

171

172

173 **3. Results**

174 The trends in the fraction of urban land cover are notable over three large urban
175 agglomerations in China (Beijing-Tianjin-Hebei, Yangtze River Delta, and Pearl River
176 Delta) and in the North China Plain (Figure 1a). The trends in station observed T_{\max} in
177 central-eastern China are higher than other regions (Figure 1b). Some places, such as
178 the North China Plain and Northeast China, have experienced slight changes in T_{\max} .
179 Station observed T_{\min} shows a strong warming trend in North China Plain and
180 central-eastern China (Figure 1c). This pattern is quite similar to the changes in the
181 urban fraction, as shown in Figure 1a. Trends of T_{\max} in ERA-Interim reanalysis are
182 consistent with station observations on the whole (Figure 1d). In contrast, trends in
183 T_{\min} show some differences between observations and reanalysis, particularly in three
184 large urban agglomerations and North China Plain (Figure 1e).

185

186 We removed reanalysis temperature trends from station observed ones (Figure S1)
187 and see that the warming trends of T_{\max} in southeastern China were enhanced by
188 urbanization, consistent with *Zhou et al.* [2004]. However, in the North China Plain,
189 the warming trends in T_{\max} are decreased by urbanization process. For T_{\min} , the
190 urban-related trends are significant (at a 95% confidence level) and almost positive,
191 especially for the three large urban agglomerations and North China Plain.

192

193 We find a weak and insignificant (at a 95% confidence level) relationship
194 between urban fraction and urban warming trends in T_{\max} (Figure 2a). This suggests
195 that urbanization has had only a small effect on T_{\max} . However, for T_{\min} , the
196 relationship between the changes in urban fraction and urban-related warming trends
197 is significant (at a 95% confidence level) and almost linear: the larger the trend in

198 urban fraction, the larger the urban-related warming rates (Figure 2b). The linear
199 regression coefficient between them is $+0.017 \pm 0.003$ °C/% (mean \pm standard error),
200 which implies that, on average, urban warming is about $+1.7 \pm 0.3$ °C for the stations
201 with urban fraction increased from 0% to 100%. However, note that there is a large
202 degree of scatter around the best-fit line suggesting other processes are influential for
203 individual stations.

204

205 To test sensitivity of our results we repeated our analysis using robust regression.
206 This gives less weight to values far from the best fit line than does OLS and we use it
207 to deal with potential data quality problems. Its impact is to increase the magnitude of
208 the urbanization effect on both T_{\min} and T_{\max} with the T_{\max} effect now being
209 significant (at a 95% confidence level; Table S1). We also replaced the interpolated
210 ERA-Interim data with an alternative interpolated station dataset. Here, we applied
211 multiple linear regression to estimate the patterns of large-scale climate change, using
212 the station's latitude, longitude, and their high-order forms (Table S2). We find very
213 similar results to those using ERA-Interim (Table S1 and Figure S1). Our results
214 appear insensitive to those changes to our analysis procedure (Table S1) and so we
215 conclude that urbanization, in low-altitude eastern China, causes significant (at a
216 95% confidence level) warming in T_{\min} with only a small impact on T_{\max} . In
217 consequence, urbanization processes also increase the daily mean temperature (T_{mean}),
218 but decrease the diurnal temperature ranges (DTR).

219

220 Therefore, there is no need to correct urban bias in large-scale T_{\max} records in
221 China. Urban bias in T_{\min} could be corrected through the relationship between trends
222 in urban fraction and urban warming rates. Result shows that the area-weighted ($2^\circ \times 2^\circ$
223 grid box) average trend in the urban fraction around observing stations in eastern
224 China (east of 105°E and with elevation less than 500m) is 2.45%/decade for the
225 period of 1980–2009. Therefore, the urban-related warming trend in area-weighted
226 average time series of T_{\min} (T_{mean}) in eastern China is estimated to be about
227 $+0.042 \pm 0.007$ ($+0.017 \pm 0.003$) °C/decade, representing an average of about 9% (4%)
228 of overall warming in this region, and reducing the DTR by -0.052 °C/decade.

229

230 Most previous studies corrected urban bias in large-scale temperature series

231 using fixed values [*Karl and Jones, 1989; Portman, 1993*]. A compelling question is
232 whether urban warming biases are correlated with rural or background temperature
233 anomalies. We examine for Beijing, Shanghai and Guangzhou the relationship
234 between urban warming and background temperature anomalies (linearly interpolated
235 from ERA-Interim) and find no significant (at a 95% confidence level) correlation
236 between the background T_{\max} or T_{\min} anomalies and urban warming intensity on
237 monthly timescales for most cases (Figure 3). This result holds regardless of for both
238 warm and cold seasons. The detailed coefficients of linear regression between the
239 anomalies of background monthly averaged temperature and monthly averaged urban
240 heat island are listed in Table S3. Our results suggest that the background temperature
241 anomalies have little impact on urban warming biases in monthly averaged
242 temperature records.

243

244

245 **4. Discussions and Conclusions**

246 In this study, we examined the relationship between trends in urban fraction
247 close to stations and local urban warming rate. We found that the urbanization impact
248 on T_{\max} in eastern China was small and statistically indistinguishable from zero.
249 However, we found that urbanization has caused a significant (at a 95% confidence
250 level) increase in T_{\min} . Our results show that, on average, a change in urban fraction
251 (around meteorological station within 10 km) from 0% to 100% will probably lead to
252 an increase in urban warming by 1.7 ± 0.3 °C. Following this relationship, we estimated
253 that the urban-related warming contributed about 9% (0.042 °C/decade) to the trend in
254 regional time series of T_{\min} in eastern China during the years 1980–2009. Based on
255 homogenized temperature observations, *Li et al.* [2004] found that the average urban
256 warming trend in T_{mean} series (the mean of T_{\max} and T_{\min}) was 0.012 °C/decade for the
257 period 1954–2001. Our estimation results (urban warming rate in T_{mean} :
258 $+0.017 \pm 0.003$ °C/decade) are consistent with this. In developed regions, urban
259 warming bias in temperature records would be much smaller as urban fractions have
260 changed little in recent decades. By comparing European-averaged temperatures
261 based on all meteorological stations with those based on three subsets of stations:
262 from rural areas, from areas with low urbanization rate, and from areas with low
263 temperature increase, *Chrysanthou et al.* [2014] found that urbanization explains

264 0.0026 °C/decade of the annual-averaged European temperature trend of
265 0.179 °C/decade. Using four different proxy measures of urbanity, *Hausfather et al.*
266 [2013] suggested that urbanization accounts for 6-9% of the rise in unadjusted
267 minimum temperatures in US and even less than 5% for homogenized observations.

268

269 Furthermore, we employed the relationship to estimate the urban warming rate
270 for T_{\min} (T_{mean}) at three representative urban stations, using the trends of urban
271 fraction near them (Beijing: 17.3%/decade; Shanghai: 22.9%/decade; Guangzhou:
272 13.1%/decade). For these stations, the effects of urban warming biases in T_{\min} (T_{mean})
273 for 1980-2009 are estimated to be about 0.29 °C/decade (0.11 °C/decade), 0.39
274 °C/decade (0.15 °C/decade) and 0.22 °C/decade (0.09 °C/decade), respectively. This
275 estimation is consistent with previous studies on the urban warming bias in Beijing
276 [*Wang et al.*, 2013b] and East China [*Jones et al.*, 2008].

277

278 It should be noted that urban fraction is an important factor determining the
279 intensity of local urban warming, but not the only one. Other factors, such as
280 urbanization degree, anthropogenic heat [*Feng et al.*, 2014] and local background
281 climate [*Zhao et al.*, 2014], are also responsible for it. However, we believe it
282 reasonable to assume, on average, that trends in urbanization degree and
283 anthropogenic heat intensity are proportional to the trends in urban fraction. In this
284 study, we focused on the correction of urban bias in large-scale temperature records in
285 eastern China. Therefore, much of the influences due to background climate could
286 cancel each other out. However, for some specific regions (e.g., southeastern China
287 and North China Plain), local background climate should be considered in the urban
288 bias correction.

289

290 Changes associated with urbanization may impose influences on surface-level
291 temperature observation stations both at the mesoscale (0.1-10 km) and the microscale
292 (0.001-0.1 km). For a specific observing station, small local environmental changes
293 may overwhelm any background urban warming signal at the mesoscale. Due to the
294 lack of a high-quality dataset of urban fraction at the macroscale, we can hardly
295 quantify the microscale urban influence on the observed temperatures. Since data
296 homogenization could adjust the abrupt temperature changes due to station relocations

297 (e.g., from city center to a park-like setting or rural area) and local change such as
298 construction developments [Yan *et al.*, 2010], we consider that any microscale
299 influence should have been reduced in the present analysis and should not
300 substantially influence the result about the regional mean effect of urbanization.

301

302 *He et al.* [2013] used historical remote sensing data to examine the impact of
303 urban expansion on the trends in near surface air temperature in Beijing and its
304 surrounding local regions. They found that an increase of about 10% in urban growth
305 around the meteorological stations could contribute to 0.13 °C rise in mean surface air
306 temperature trend. It should be noted that *He et al.* [2013] focused on the impact of
307 urbanization at specific local scale and didn't remove the signal of large-scale climate
308 change. Future studies could identify the contribution of local background climate
309 (e.g., precipitation, solar radiation) to urban warming bias. There were other methods
310 applicable for estimating the urban signal. For example, to analyze the diurnal cycle
311 of urban heat island in the central Europe, *Zakšek and Oštir* [2012] used multiple
312 regression analysis to downscale the low-spatial-resolution satellite-based land
313 surface temperature data in a higher spatial resolution.

314

315 The reason for a more obvious urban warming trend in T_{\min} than in T_{\max} in this
316 region could be that the radiative effect of increasing urban aerosol might cause
317 decreasing solar radiation reaching the ground during the daytime. Meanwhile, any
318 urban warming in T_{\max} could be compensated by the effect of increasing hazes. A
319 recent study attributed a part of the urban warming in the nighttime to haze pollution
320 in China [*Cao et al.*, 2016]. Enhanced longwave radiative forcing of coarser aerosols
321 contributed to additional nighttime urban warming.

322

323 This study demonstrates an approach to estimate urban bias in large-scale surface
324 temperature, particularly where there are few rural stations. This approach could be
325 used in other regions. Compared with the equations that related urban bias to
326 population growth in *Karl et al.* [1988], the regression functions developed in this
327 study are more robust and objective with easily accessible and updated data since
328 population data tend to be out-of-date for the cities in developing regions.

329

330 **Acknowledgements**

331 Data used for this study, our regression results and uncertainties are available from the
332 corresponding authors (wangjun@tea.ac.cn). We sincerely appreciate the Earth
333 Observation of Climate Change research group (<http://green.tea.ac.cn>) for supplying
334 the high-quality land-use data for China; readers can contact corresponding author Jun
335 Wang for these data. We thank ECMWF for providing the ERA-Interim reanalysis
336 data (<http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/>). This study
337 was carried out during Jun Wang's scientific visit to the University of Edinburgh
338 funded by the UK-China Research & Innovation Partnership Fund through the Met
339 Office Climate Science for Service Partnership (CSSP) China as part of the Newton
340 Fund. Simon F B Tett was supported by CSSP China and NCAS-Climate
341 (R8/H12/83/029). Zhongwei Yan acknowledges support from National Natural
342 Science Foundation of China (41475078).

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344

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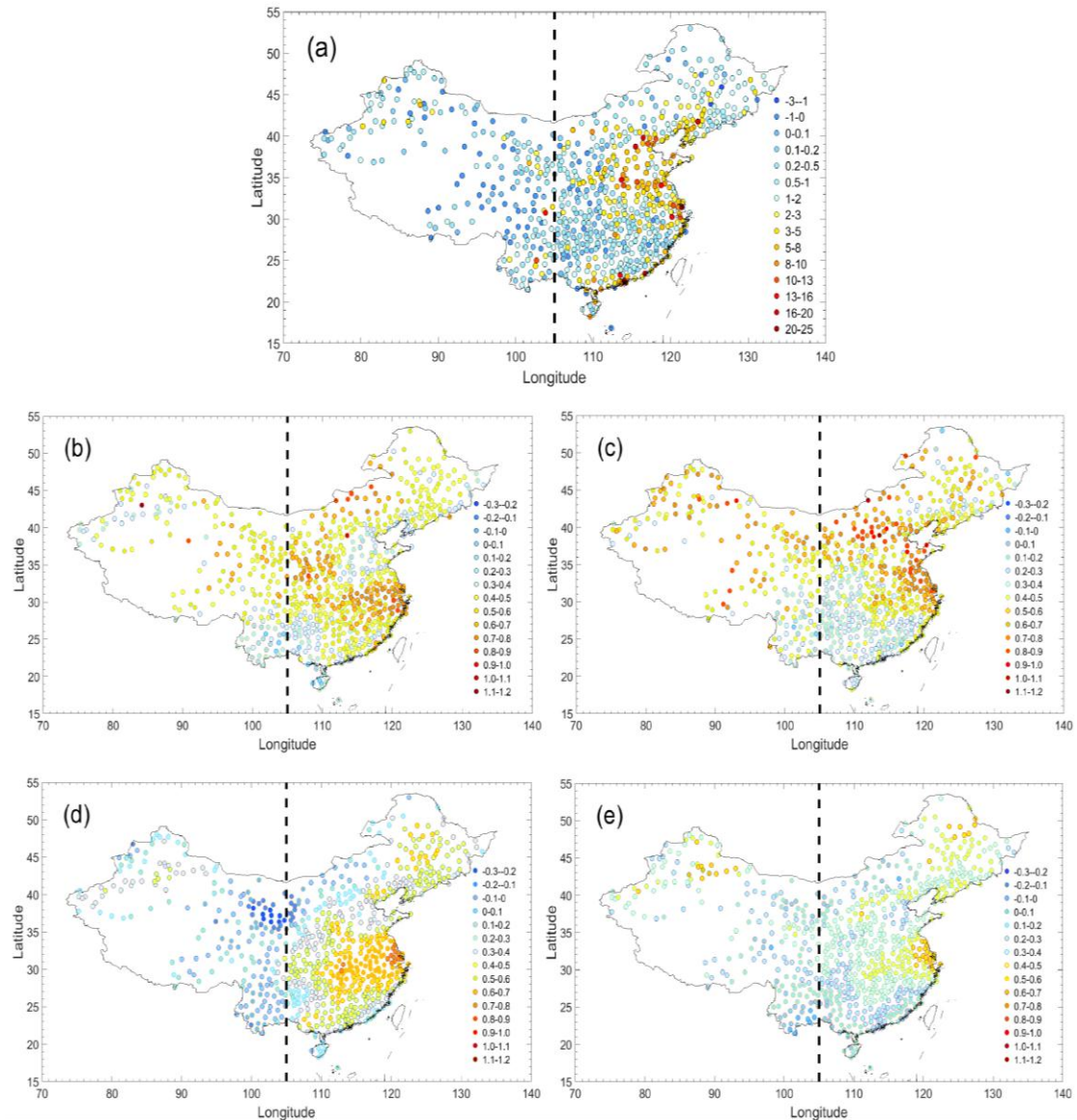
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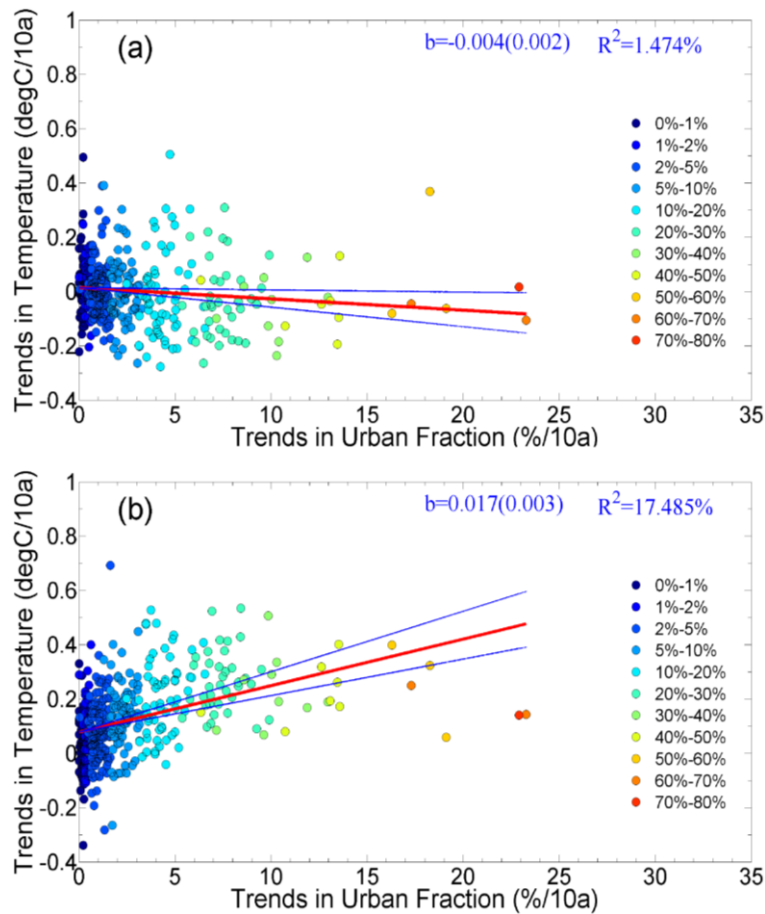
466 Figure 1 (a) Geographic locations of meteorological stations in China (circles) and the
 467 trends in the fraction of urban area at 10 km ×10 km resolution (%/decade: shaded
 468 colors) nearest the stations for 1980-2009; (b) Trends in annually-averaged daily
 469 maximum temperature recorded in station observations for 1980-2009 (c) Same as (b),
 470 but for daily minimum temperature; (d) Trends in annually-averaged daily maximum
 471 temperature linearly interpolated from ERA-Interim reanalysis data for 1980-2009; (e)
 472 Same as (d), but for daily minimum temperature. For b-d units are °C/decade.

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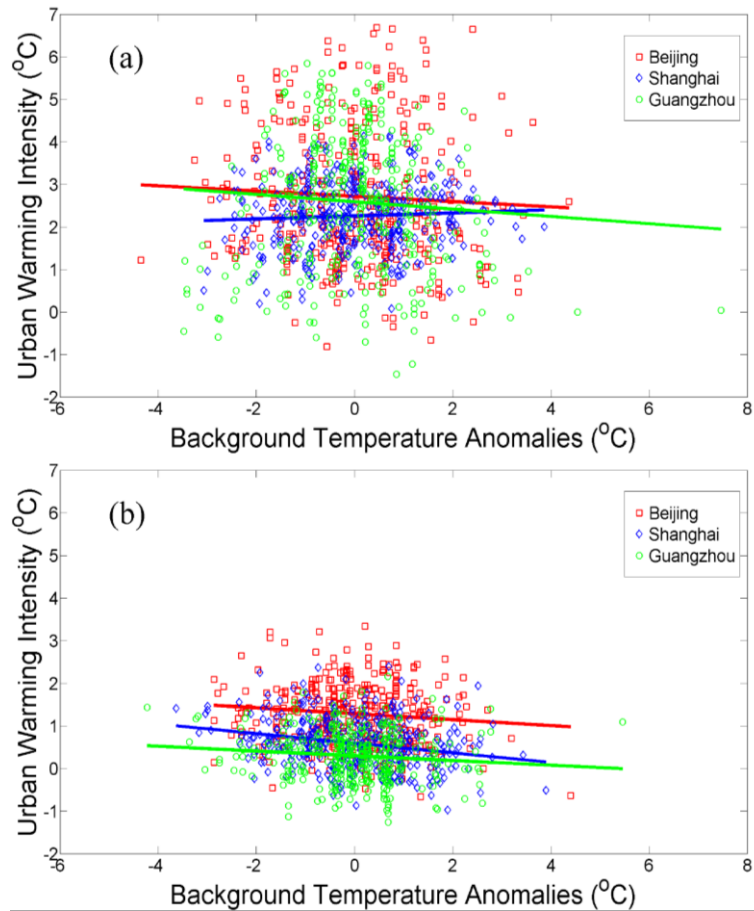
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478 Figure 2 (a) Correlation between the trends in urban fraction and the trends in
 479 annually-averaged daily maximum temperature, but with the large-scale climate
 480 change pattern removed using ERA-Interim reanalysis data; (b) Same as (a), but for
 481 daily minimum temperature. ‘b’ indicates the linear regression slope between the
 482 changes in urban fraction and urban warming rate. ‘R²’ represents the proportion of
 483 the variance of urban warming rates explained by the trends in urban fraction. The
 484 number in bracket is the bootstrap estimate of the standard error of the linear
 485 regression slope. Red line shows the linear regression line, and two blue lines show
 486 the 90% confidence interval of linear regression slope based on bootstrap estimates,
 487 with 5% below the bottom line and 5% above the top line. The color of each point
 488 represents the latest urban fraction in 2009 for each station.



489

490 Figure 3 (a) Correlation between the anomalies of monthly averaged daily maximum
 491 temperature (reference period: 1980-2009) linearly interpolated from ERA-Interim
 492 reanalysis and the differences of monthly averaged daily maximum temperature
 493 between observation and reanalysis (urban warming intensity) in the cities of Beijing
 494 (red squares), Shanghai (blue diamonds), and Guangzhou (green circles) for the years
 495 1980-2009; (b) Same as (a), but for daily minimum temperature. The detail regression
 496 coefficients are listed in Table S3.