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# Citation for published version:

Villoslada, M, Bunce, RGH, Sepp, K, Jongman, RHG, Metzger, MJ, Kull, T, Raet, J, Kuusemets, V, Kull, A & Leito, A 2016, 'A framework for habitat monitoring and climate change modelling: construction and validation of the Environmental Stratification of Estonia', *Regional Environmental Change*. https://doi.org/10.1007/s10113-016-1002-7

# **Digital Object Identifier (DOI):**

10.1007/s10113-016-1002-7

# Link:

Link to publication record in Edinburgh Research Explorer

**Document Version:** Peer reviewed version

Published In: Regional Environmental Change

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# 55 Abstract

Environmental stratifications provide the framework for efficient surveillance and monitoring of biodiversity and ecological resources, as well as modelling exercises. An obstacle for agricultural landscape monitoring in Estonia has been the lack of a framework for the objective selection of monitoring sites. This paper describes the construction and testing of the Environmental Stratification of Estonia (ESE). Principal components analysis (PCA) was used to select the variables that capture the most amount of variation. Seven climate variables and topography were selected and subsequently subjected to the ISODATA clustering routine in order to produce relatively homogeneous environmental strata. The ESE contains eight strata, which have been described in terms of soil, land cover and climatic parameters. In order to assess the reliability of the stratification procedure for the selection of monitoring sites, the ESE was compared with the previous map of Landscape Regions of Estonia and correlated with five environmental datasets. All correlations were significant. The stratification has therefore already been used to extend the current series of samples in agricultural landscapes into a more statistically robust series of monitoring sites. The potential for applying climate change scenarios to assess the shifts in the strata and associated ecological impacts is also examined. 

# 71 Key words: climate, geomorphology, clustering algorithm, monitoring, stratified random sampling

# 73 Length of the manuscript

- 74 Number of words: 5434 words
- Figures and tables: 13

#### 91 Introduction

92 Environmental stratification is the process that applies multivariate statistical analysis to divide the

93 environmental gradients of a given region into relatively homogeneous units, which can then be used as a

94 framework for sampling both socio-economic and ecological features. Tried-and-tested statistical procedures are

used to ensure that the environmental strata are independent of personal bias (Metzger et al. 2005). Commonly,

96 climatic and topographic parameters are used as input variables in the clustering procedure. The resulting

97 environmental strata are relatively homogeneous in terms of the climatic and environmental variables (Klijn and

98 de Haes 1994). These units help in the interpretation of climatic and environmental patterns and thus lead to a

99 better understanding of underlying ecological processes (Jongman et al. 2006).

100 At present, environmental stratifications have been developed at several levels: global (Metzger et al. 2012,

101 Metzger et al. 2013), continental e.g. Europe (Metzger et al. 2005; Jongman et al. 2006), national e.g. Great

102 Britain (Bunce et al. 1996), Northern Ireland (Cooper 2000), Spain (Elena-Roselló 1997; Regato et al. 1999),

103 Norway (Bakkestuen et al. 2008), Sweden (Ståhl et al. 2011) and the Czech Republic (Fňukalová and Romportl

104 2014) and regional e.g. Bunce & Smith (1978). The original methodology was published in 1975 (Bunce et al.

105 1975) and has undergone progressive development since then, as described by Sheail and Bunce (2003).

106 Environmental stratification has primarily been applied in strategic ecological survey projects by using the strata

107 to select statistically representative random samples for surveillance and subsequent monitoring of biodiversity.

108 Environmental stratification has also been used for climate change modelling (Metzger et al. 2008).

109 National level stratifications have usually been carried out regularly in regions characterized by considerable

environmental variability. The main aim of this paper is to demonstrate that it is also feasible to implement

111 environmental stratifications in regions or countries without pronounced topographic and climatic variability.

112 The data required to construct regional level environmental stratifications are usually in more detail than those

used at the continental level. This paper describes the construction of the Environmental Stratification of Estonia

114 (ESE) and therefore provides an example of regional level classification. An important step in this process was

to explore the data required to cluster the environmental variability of the country into interpretable strata. The

suitability of the ESE for modelling possible future ecological changes according to climate change scenarios is

also discussed.

Estonia covers 45227 km<sup>2</sup> in the Baltic region of north-eastern Europe between Finland, Russia and Latvia, as
shown in Fig. 1. According to the European Environmental Stratification (EnS) (Metzger et al. 2005), Western

120 Estonia belongs to two classes of the Nemoral Zone, whereas Eastern Estonia is situated in the least cold of the 121 eight classes of the Boreal Zone. Therefore, although a small country, Estonia is located on the boundary between two of the largest EnS classes. According to the Intergovernmental Panel on Climate Change (IPCC) 122 123 climate change scenarios (Nakicenovic et al. 2000), the border between these zones is likely to shift by 2050. In 124 addition, the Atlantic North Zone may extend into Western Estonia by 2080, as modelled by Metzger et al 125 (2008). The EnS partitions climate variation in Europe, but is not suitable for modelling changes in smaller 126 regions due to the insufficient regional detail of the climate datasets used and because the number of strata 127 produced is not adequate for capturing local environmental gradients. The availability of detailed physiographic 128 and climatic datasets in Estonia facilitates the construction of finer divisions at the national level, as compared to 129 the coarser resolution of the European Zones previously described by Metzger et al. (2005). It has already been 130 recognised that subdivisions of the EnS are needed for local studies. For example, Jongman et al. (2006) 131 subdivided one of the European Environmental Strata in Portugal on the basis of soil types. The existing 132 classification of Estonian landscapes (Arold 2005) was based on the interpretation of geomorphological and soil 133 patterns. The boundaries between the landscape units were descriptive and defined according to expert 134 knowledge, whereas an objective regional classification is required as a framework for landscape and 135 biodiversity monitoring strategies based on a stratified random sampling design. Statistical clustering of the 136 environmental variability into homogeneous units allows deriving reliable estimates on biodiversity, habitats and 137 land cover (Jongman et al. 2006). In this regard, Estonia lacks a robust statistical framework for the selection of 138 biodiversity and vegetation sampling and monitoring plots. The Environmental Stratification of Estonia (ESE) 139 provides the structure needed for such assessment and monitoring strategy, thus the statistical validity of these 140 strata is also examined in this paper.

141 The present study was initiated in the frame of a multidisciplinary project within the Estonian University of Life 142 Sciences concerning national ecotones and boundaries. A key module in the project is the assessment of the 143 impact of climate change on vegetation and habitats. The aim of the present study is therefore to describe the 144 construction and validation of the Environmental Stratification of Estonia (ESE), which will be used as a basis 145 for the selection of representative sampling sites for recording data on habitats and vegetation. Moreover, the 146 ESE will provide the statistical framework required to upgrade the current Agricultural Landscape Monitoring 147 programme in Estonia. The collected data will then be used in modelling the potential impacts of climate change 148 on the stock and change of biodiversity (Berry et al. 2003; Thuiller et al. 2008). Modelling exercises will also

- 149 include determining the shifts in the distribution of the strata under different climate change scenarios. The ESE
- 150 will also be used as a framework to determine the provision of ecosystem services throughout Estonia.

#### 151 Materials and Methods

152 Based on previous experience, it was initially decided to examine the potential use of climatic, geomorphological 153 and soil data as input variables to generate the ESE. The data flow was organized in successive steps, as shown 154 in Fig.2. The input variables used in the stratification (Table 1) were selected based on the conceptual model 155 described by Klijn and de Haes (1994), Bunce et al. (1996) and Metzger et al. (2005). The concept is based on a 156 regression model between the environmental strata and the observed ecological parameters. In the functional 157 hierarchy described by Klijn and de Haes (1994), lower components (e.g. vegetation) are dependent on 158 parameters at a higher level (e.g. climate and geomorphology). This hierarchical framework has been recognized 159 by other authors (Godron 1994; Breckle and Walter 2002; Ferrier 2002). At the landscape scale, the variability of 160 environmental conditions is relatively high and the interrelationships between factors that determine this 161 heterogeneity are complex. However, ecosystem patterns and habitat distributions can be analysed using this 162 model even at the national scale.

# 163 Climate data

164 The climate data were interpolated from 26 Estonian meteorological stations, covering a period of 30 years. The 165 data were obtained over the period 1971-2000, which is used nationally as the official period for climate 166 reporting and analysis. In addition, the recording methodology at weather stations in Estonia has been 167 standardized only from 1971 onward. The daily observations at meteorological stations were provided by the 168 Estonian Weather Service. Latvian, Russian and Finnish weather stations were also included in the climate 169 dataset to expand the coverage of the environmental model and provide a more accurate interpretation of the 170 climate in border regions. The climate variables corresponding to the Latvian, Russian and Finnish weather 171 stations were obtained from the E-OBS dataset (Haylock et al. 2008). In order to avoid high correlations and 172 give equal weight to the climate variables, Principal Components Analysis (PCA) was used to generate a subset 173 from a climate dataset composed of 16 parameters (King and Jackson 1999). PCA is a variable reduction 174 procedure that extracts independent components from a large set of variables. PCA identifies the variables that 175 capture the most amount of variation, as well as those that are redundant (Jolliffe 1972; Krzanowski 1987; 176 McCabe 1984). A threshold of 90% of variance explained was used to select the first four components. 177 Subsequently, the two variables with the highest positive and negative loadings were selected from each

- 178 component. A total of seven climate variables were selected from the initial dataset, as shown in Table 1. This
- variable selection method has been previously used by Saxon et al. (2005) to generate homogenous climate
- 180 domains of the continental sector of the United States of America.
- 181 The environmental stratification clustering process requires gridded raster layers as input variables. Therefore,
- 182 the climate data obtained from the weather stations were interpolated into 1x1km raster climate surfaces using
- 183 the Spline function in ArcGIS 10.1. As a result, seven climate raster grids were produced (Fig. 3). An analogous
- 184 interpolation procedure has been used by Hijmans et al. (2005) and New et al. (2002).

# 185 Geomorphology data

186 The influence of geographical factors in the distribution and coverage of plant species, even in lowland regions

187 such as Estonia has already been described (Kull et al. 2002; Palo et al. 2008). In order to provide sufficiently

- 188 detailed information at the local scale in the stratification, geomorphological data were also included by
- 189 incorporating a digital elevation model, derived from the Estonian LIDAR database. Mean elevation data were
- 190 calculated within each 1x 1km climate grid cell.

#### 191 Soil data

192 At the initial stage of the modelling process, two soil databases were considered for analysis: the Soil Map of 193 Estonia (1:10.000) and the European Soil Database (1:1.000.000) (European Commission 2004). The Soil Map 194 of Estonia proved impractical because of inconsistencies in the definitions of the classes. Before any data could 195 be used, extensive pre-processing would have been required in order to ensure that the classes were consistent 196 throughout the country. In contrast, the coarse resolution of the European Soil Database (ESDB) does not 197 capture the necessary detail required at the regional scale. Moreover, soil information is expressed as categorical 198 classes, which are not compatible with the climate and geomorphology variables expressed as continuous 199 gridded raster layers. Although a transformation of categorical soil data into a continuous grid is possible, the 200 large amount of soil classes in combination with the coarse resolution of the ESDB unbalanced the clustering 201 process, and lead to certain strata being defined exclusively by a unique soil class. The soil data were therefore 202 not included.

The input variables are measured in different units, and some also have large variances which can in turn, have
 an undesired effect on the resulting clusters. The variables were therefore standardized to zero mean and unit
 standard deviation.

206 The variables were subsequently subjected to the ISODATA clustering algorithm to generate the environmental 207 strata. This procedure has been used in comparable studies by Metzger et al. (2005) and Tou and Gonzalez 208 (1974). ISODATA is an iterative algorithm that uses minimum Euclidean distances between each pixel and the 209 closest cluster in the multi-dimensional feature space of the selected variables. The process starts with arbitrary 210 means being assigned to a pre-defined number of clusters. Each raster cell is then assigned to the cluster of 211 which the mean is the closest. The process repeats itself, each raster cell being progressively assigned to the 212 closest cluster in the multidimensional space until no more grid cells are reassigned. The Runtime software 213 program ArcGIS 10.1 was used to perform the analysis. As stated by Memarsadeghi et al. (2007), the main 214 advantage of ISODATA over other clustering procedures is the ability of the algorithm to split large diffuse 215 clusters and to merge small clusters whose centres are closer than a certain threshold. The clustering operation 216 reduces the overall environmental variation into groups with comparable variation around a mean. The number 217 of strata is arbitrary, but each stratum is distinctive and interpretable in terms of its environmental characteristics. 218 The number of strata at which the clustering procedure was stopped was eight. This was considered an 219 interpretable division of Estonia: while reflecting the well-known division between East and West (Lippmaa 220 1935), the main geomorphological features and contrast between Upland and Lowland regions is appropriately 221 captured by eight strata. In addition, it was observed that the ISODATA algorithm failed to produce clusters 222 when the number was set at ten and above. This could be explained by the fact that the algorithm was not able to 223 create distinguishable clusters above a certain limit. Given the size of Estonia and the main aim of the present 224 study, eight strata is thus considered a practical number for scientific and policy objectives, as well as an 225 adequate reflection of the variation in the environment of the country.

At the last stage of the process, isolated pixels and scattered regions smaller than 15 km<sup>2</sup> were assigned to the closest stratum. In relatively flat regions such as Estonia, these scattered pixels are statistical artefacts of the clustering algorithm, rather than real local features, and the spatial integrity of classes was therefore considered to be the overriding factor (Metzger et al. 2005).

In order to determine the reliability of the stratification, it was necessary to compare this method with
independent classifications and to assess the correlations between the clustered input variables and the
underlying environmental gradients using the datasets shown in Table 2. The Estonian Landscape Regions
classification (Arold 2005) is derived from soil and geomorphological characteristics using expert judgement.
Although the classes are not based on statistical reproducible criteria, it is useful to compare their distribution
patterns with the ESE to examine the extent of agreement. The Fuzzy Kappa statistic (Hagen 2003) was

therefore calculated using Map Comparison Kit v 3.0 (Visser 2004). The objective of the Fuzzy Kappa statistic is
to assess the degree of agreement between maps of different classes and the comparisons should thus be treated
as similarity coefficients rather than as measures of correlation (Bunce et al. 2002; Klotz et al. 2016).

239 In order to test the relationships between the ESE and the underlying environmental gradients, regressions were 240 calculated between a number of datasets and the ESE. According to the hierarchical framework previously 241 described, correlations should be present between higher, independent components and the dependent variables. 242 As shown in Table 2, the components derived from the variables used for clustering were correlated with five 243 environmental datasets. Bunce et al. (1996) described classical regression as the most appropriate model to 244 assess the abovementioned correlation. The complex of underlying environmental factors used to create the classes and the selected environmental datasets (Table 2) are the independent and dependent variables 245 246 respectively. This procedure, referred to as orthogonal regression, has previously been used (Metzger et al. 2005) 247 to assess the validity of the European classification.

Regressions cannot be directly calculated between nominal variables such as Corine land cover and the ESE clustering variables. In order to calculate a multivariate proxy of the land cover classes, the percentages of each class within each stratum were calculated. The first principal component of the land cover percentages was then extracted and correlated with the mean first principal component of the clustering variables within each stratum. Although being directly influenced by human activity, the broad land cover distribution was expected to show a strong relationship with the environmental gradients captured by the ESE. The same procedure was applied to the European Soil Database soil types.

255 The distribution of plant species was also expected to show significant correlations with the ESE. Species that 256 show well defined distribution patterns in Estonia were chosen for the analysis. The whole flora could not be 257 used because the majority of species that are present throughout the country would provide much background 258 noise in the analysis. Consequently, 26 species were selected as representatives of the Estonian flora, recorded 259 from the 6'x10' grid used for the Atlas of the Estonian Flora (Kukk and Kull 2005). Binary distribution data 260 were then analyzed by Canonical Correspondence Analysis (CCA) (Ter Braak 1986). The distribution data were 261 fed into the statistical analysis software Canoco 5 to obtain CCA first axis scores for each grid square (Ter Braak 262 and Šmilauer 2012). The mean CCA scores within each stratum were subsequently calculated and then 263 correlated with the mean PCA first axis scores of the stratification for each stratum.

For continuous variables such as topsoil organic carbon, the regression was calculated between the mean score of the first principal component of the classification variables and the mean value of the response variable within each stratum. Cover Management factor is also a continuous variable, therefore the same procedure was applied. Cover Management factor is one of the five factors included in the Revised Universal Soil Loss Equation (RUSLE) and it accounts for the effects of land cover, crops and crop management practices in soil loss (Panagos et al. 2015).

270 The next stage in the approach is to select a set of randomly located survey sites for sampling biodiversity and 271 landscape monitoring from within each stratum. The procedure used has been described by Metzger et al. (2013) 272 and Carvalho et al. (2015). The design of the sampling framework as well as the number of sampling sites 273 required depends upon the population or the area of habitat or land cover type being sampled. In the present 274 study, the use of the ESE as a framework for monitoring is exemplified by its application in the Agricultural 275 Landscape Monitoring (ALM) programme in Estonia. The number of agricultural landscape monitoring sites in 276 Estonia currently being surveyed as a basis for modelling is only 22, which is statistically unreliable. However, 277 the aim is to increase the number of sites for a long term ALM programme in order to obtain estimates on 278 agricultural land use change and landscape metrics. Stratified random sampling was chosen as the most suitable 279 strategy for the objects of the ALM (Peterseil et al. 2004; Ståhl et al. 2011). The first step of the sampling design 280 was the definition of the target population, which was restricted to all 1 km squares containing agricultural land. 281 Subsequently an agricultural raster mask layer was extracted from the Estonian Basic Map and intersected with a 282 grid of 1 km square resolution specifically created for this process. In order to set the minimum required 283 sampling size, the coefficient of variation of agricultural area within the 1 km squares was defined as the quality 284 constraint (Brus et al. 2011). For any required coefficient of variation, the minimum amount of total sampling 285 units in a stratified random sampling design is defined by Eq. 1 below (de Gruijter et al. 2006):

286 
$$n_{req} = \frac{1}{V_{max}} \left( \sum_{h=1}^{L} N_h S_h(y) \right)^2$$

where  $n_{req}$  is the required total sample size,  $V_{max}$  is the maximum sampling variance of the total area,  $N_h$  is the number of 1km squares in stratum h,  $S_h$  is the spatial standard deviation of y within stratum h and y is the land cover class or habitat being sampled.  $V_{max}$  is obtained by multiplying the required coefficient of variation by the total area of the population being sampled.

#### 291 **Results**

292 The distribution map of the strata of the ESE is shown in Fig. 4. The boundary between the Nemoral and Boreal

293 Zones (Metzger et al. 2005) is almost precisely reproduced at the border between classes 1-3 and 4-8. This result

confirms the significance and stability of this boundary, since the ESE and the European Environmental

295 Stratification were generated from different climate and topography datasets.

296 Names have been ascribed to the classes, which together with summary information are shown in Table 3. In

297 order to better understand the characteristics of the environmental strata, a brief description of each stratum

based on geomorphology, soils and land cover is presented in Table 4.

299 The ESE was compared with the landscape classification of Arold (2005) and the Fuzzy Kappa comparison

300 yielded a kappa statistic of 0.415, interpreted as "moderate strength of agreement" (Landis and Koch 1977),

301 which is indicative of similarities between the classifications.

The correlations between the selected environmental datasets and the ESE were significant at the 0.05 level. The scatter diagram for the relationship of the Cover Management factor mean value within each stratum with the first PCA axis of the environmental variables is shown in Fig. 5a and Table 2, with an r-value of .77. A summary

305 of the percentage of each soil type within each stratum is provided in Fig. 6

306 The results of the analysis of the 26 species are shown in Fig. 5b and Table 2, with an r-value of .76. This

analysis confirms the role of the principal environmental gradients as determinant factors in the distribution

patterns of the flora of Estonia, therefore validating statistically the reliability of the environmental stratificationprocedure.

310 Fig. 5c and Table 2 show a correlation of the overall land cover pattern and the underlying environmental

311 gradients with an r-value of .67. A summary of the percentage of each Corine Land Cover class within each

**312** stratum is provided in Fig. 7.

Based on the proposed sampling design and an initial coefficient of variation set at 0.1, a total minimum number

of 40 1 km monitoring squares was obtained as a basis for monitoring. The minimum required amount of

315 monitoring sites per stratum was therefore set at five, which were assigned to the smallest stratum (Northern

316 Lowlands). The allocation of monitoring sites was subsequently weighted according to stratum size, as described

317 by Haines-Young et al. (2000). This represents the most effective method for reducing the final standard errors

of any parameters for which estimates are required. The result was a total sample size of 100 monitoring sites
and a final coefficient of variation of 0.06. Further samples can be added later according to the objectives of a
given project (Haines-Young et al 2000). Increasing the number of samples does not usually change the total
figures but reduces the standard errors (Mateus 2004; Jongman et al 2006).

Table 5 shows the current number of agricultural landscape monitoring sites in Estonia and the number of additional monitoring sites needed per stratum. Further steps in the construction of the stratified random sampling design involve subdividing the strata into equal-area polygons according to the number of sampling sites required per stratum as described by Metzger at al. (2013). Subsequently, a sampling 1 km square will be placed at random within each equal-area polygon. A similar sampling methodology has been successfully implemented in Portugal (Carvalho et al. 2015).

#### 328 Discussion

The methodology presented in this paper produced eight unbiased environmental classes for Estonia that are based on explicit criteria and explain its environmental variability. The division between eastern and western environmental regions in Estonia (Lippmaa 1935; Laasimer 1965) has been confirmed in the ESE and many of the observed distribution limits of plant species occur along this border between Nemoral and Boreal strata, which is likely to shift under climate change scenarios (Metzger et al. 2008). Consequently Estonia is an optimal location for modelling the impacts of climate change.

335 The ESE differs from the previous environmental classification (Arold 2005) in having explicit statistical criteria 336 for defining the classes and is therefore independent of personal judgement. The comparison with the 337 classification of Estonian Landscapes confirms the fact that, although based on contrasting conceptual 338 frameworks and datasets, the ESE and the Estonian Landscape Regions reflect the same general environmental 339 patterns. Jones and Bunce (1985) and Metzger et al. (2005) reached the same conclusion with respect to the 340 validity of statistical classification versus intuitive and expert knowledge based procedures, proving the benefits 341 of statistically robust stratification methods. More recently Carvalho et al. (2015) confirmed the value of the 342 approach described in the present paper.

Several regressions were calculated between the ESE and environmental datasets. The distribution patterns of the
environmental strata are related to the known distribution of individual plant species, two of which are shown in
Fig. 8. *Myrica gale* is a north-western Atlantic species and a major contributor to vegetation cover in the bogs of

Western Britain and Norway. In contrast, *Chamaedaphne calyculata* is a species with affinities with continental
conditions, which replaces *Myrica gale*, to some extent, occupying a similar role within bog habitats. Regarding
land cover, many factors, such as socio-economic changes, major political decisions and cultural background,
have affected its distribution patterns (Mander and Palang 1994; Fuchs et al. 2013). However, the results shown

in Fig. 5c demonstrate that the overall pattern is still correlated with the underlying environmental gradients.

351 The number of strata that is required should be determined according to the objectives of individual projects. 352 Bunce et al. (1996) discussed the use of complex stopping rules, such as testing the variance between the classes 353 and concluded that, in order to obtain statistically reliable results, the most appropriate procedure is to define a 354 minimum size of group that is appropriate for the objectives of the particular project. The divisions made for 355 large regions such as Europe will inevitably be much coarser than for a small country such as Estonia but this 356 does not detract from their value in selection of representative sites, which will be based on the variation within 357 the given domain. The statistical procedure inside the clustering algorithm ensures that the environmental gradients within a given region and the corresponding variation in the data are appropriately clustered in the 358 359 resulting strata. Additional divisions within a large region can be made for specific objectives. An example of 360 subdividing classes is given by Jongman et al. (2006), who partitioned three EnS strata in the Alpine South zone 361 into six substrata according to altitude in order to capture the full complexity of the Alpine zone from valley 362 floors to summits. Because climate data are continuously variable, there is rarely any natural cut-off point, as is 363 often the case in the analysis of plant taxonomic data.

The climate data used in the construction of the ESE were the best available at the time of analysis. However, when more detailed data becomes available, it could be used to update the stratification in order to improve the definition of boundaries between classes. When the boundaries are shifted, a reassessment of the existing sample and an assessment of the need of additional 1 km monitoring squares are needed. Barr (2011) provides a complete overview on how to proceed when monitoring sites are reallocated. However, Bunce et al. (1996) showed that, in practice, only minor variations are observed through re-classification. In addition, any inefficacies in the strata will be incorporated in the standard errors of the field estimates (Metzger et al. 2005).

371 In order to make informed decisions, reliable monitoring data derived from statistically robust sampling designs

is required (Ortega et al. 2011). In this regard, a main shortcoming of the Agricultural Landscape Monitoring

373 methodology in Estonia has been the lack of a framework for the objective selection of monitoring sites for stock

and change of vegetation and habitats. The sampling efficiency is maximized when the population is stratified

according to the environmental gradients that define the site characteristics (Jongman et al. 2006). As described
in this study, the ESE has been used as a framework to optimize the ALM programme in Estonia in order to
obtain more reliable estimates of spatiotemporal trends of land use. The number of monitoring sites was
determined based on the coefficient of variation of agricultural land within the 1 km squares in Estonia. As stated
by Jongman et al. (2006), improvements in the sampling effort can be made in later stages, once exploratory data
has been collected. The results obtained from the representative set of sampling sites can subsequently be
extrapolated into national or regional estimates (Bunce et al. 1996; Haines-Young et al. 2000).

Another key objective of the ESE is providing the framework for modelling exercises. Several modelling exercises have been previously performed using environmental stratifications as a framework. For example, Petit et al. (2001) assessed the consequences of environmental change for biodiversity in each of the EnS. On the other hand, Bugter et al. (2011) examined the likelihood of exotic species to survive according to temperature zones defined by the Global Environmental Stratification (Metzger et al. 2012) and climate change models. Leito et al. (2015) used the European stratification as a framework to assess the effects of climate change in wintering and stopover sites of the Eurasian crane (*Grus grus*).

389 A current on-going project in ecotones and boundaries in Estonia has recently implemented the ESE to examine 390 the potential effects of climate change on habitats and groups of species. In this regard, Liivamägi et al. (2013) 391 showed the changes in the distribution of the Clouded Apollo butterfly (Parnassius mnemosyne). The 392 distribution of this species is strongly limited to classes four, five, seven and eight of the ESE, and further work 393 is needed to model the changes in the distribution limits based on climate change models. Moreover, the ESE is 394 currently being used for vegetation and habitat recording from dispersed random squares based on the procedure 395 described in this paper and previously defined by Metzger et al. (2013) and Carvalho et al. (2015). Further 396 applications include the assessment of the provision of certain ecosystem services, utilizing the environmental 397 strata as units for stratified random sampling.

The European environmental stratification has previously been used to evaluate the potential impact of climate
change in the provision of ecosystem services (Metzger et al. 2006). The climate change scenarios created for
Estonia show a range of results, depending on the General Circulation Models and IPCC storylines adopted.
However, mean increases of 10-20% in annual precipitation and a mean warming by 2.3–4.5°C are projected by
the end of the 21<sup>st</sup> Century (Jaagus and Mändla 2014). The implications for biodiversity and ecosystem services
provision in Estonia have yet to be determined but the ESE will make such analyses possible. Consequently,

- 404 further research will involve coupling climate change models (climate change simulations have already been
- 405 calculated in Estonia by Luhamaa et al. (2014) with the Environmental Stratification of Estonia. This approach
- 406 has already been tested by Metzger et al. (2008). By incorporating climate change predictions in the stratification
- 407 as input data, the future distribution of the strata can be quantified in terms of the direction and extent of change.
- 408 The results of such analyses will in due course enable the estimation of the potential changes in ecological
- 409 resources and the provision of ecosystem services in Estonia.

# 410 Conclusions

- 411 The Environmental Stratification of Estonia (ESE) was constructed using climate and geomorphological data and
- 412 applying standard statistical procedures. The classification has been tested and correlated with environmental
- 413 data sets, demonstrating that the strata are representative of the principal underlying environmental gradients.
- 414 Because the strata are determined statistically and independently of personal judgement, the ESE provides the
- 415 framework for optimizing the existing Agricultural Landscape Monitoring programme in Estonia, in order to
- 416 obtain statistically robust figures. Furthermore, the ESE will provide the background for modelling the effects of
- 417 climate change on habitats, species distribution and the provision of ecosystem services.

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648 List of figures

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**Fig. 1** Map of Estonia in relation to surrounding countries with the primary cities and lakes

**Fig. 2** Flow chart describing the main stages in the construction of the environmental stratification of a region.

652 PCA = Principal Components Analysis. ISODATA = multivariate method for classification of component values
 653 for sites

- Fig. 3 Selected climate variables and elevation used in the ISODATA procedure to generate the environmental
- strata. The gridded climate raster layers were interpolated from weather stations using the Spline function in

ArcGIS 10.1. The climate maps represent monthly averages for the period 1971-2000

657 Fig. 4. Distribution and short descriptive names for the eight Environmental Strata in Estonia

**Fig. 5** Orthogonal regression plots between the mean values for each stratum of the first principal component of

659 Principal Components Analysis (PCA) of the variables used for constructing the Environmental Stratification of

Estonia (ESE) and comparable values from three independent data sets. (a) Mean values of the Cover

661 Management factor within each stratum compared with the ESE. (b) Mean values for the Canonical

662 Correspondence Analysis (CCA) scores for 26 vascular plants for each stratum compared with the ESE. (c)

663 Mean values of the PCA values for Corine Land Cover classes for each stratum compared with the ESE.

Fig. 6 Distribution of the European Soil Database soil types (European Commission 2004) within each stratum
of the ESE. Strata names are given in Fig. 4 and table 3

Fig. 7 Distribution of Corine land cover 2006 classes (aggregated at the second level) within each stratum of the
 ESE. Strata names are given in Fig. 4 and table 3

- **Fig. 8** Distribution of two species of vascular plants in Estonia as representatives of other species in the country.
- 669 *Chamaedaphne calyculata* (a) and *Myrica gale* (b) overlaid on the strata shown in Fig. 3. The distribution of 670 these species has been previously published (Kukk and Kull 2005)
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