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Title Page

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Abstract

The purpose of this study was to determine whether the breathing action in front crawl (FC) sprint swimming affects the ipsilateral upper limb kinematics relative to a non-breathing stroke cycle (SC). Ten male competitive swimmers performed two 25m FC sprints: one breathing to their preferred side (Br) and one not breathing (NBr). Both swim trials were performed through a 6.75m³ calibrated space and recorded by six gen-locked JVC KY32 CCD cameras. A paired t-test was used to assess statistical differences between the trials, with a confidence level of $p < 0.05$ accepted as significant. Swimmers were slower (3%) when breathing. Within the entry phase, swimmers had a slower COM horizontal velocity (3.3%), less shoulder flexion (8%), abduction (33%) and roll (4%) when breathing. The pull phase was longer in duration (14%) swimmers had a shallower hand path (11%), less shoulder abduction (11%), a slower hand vertical acceleration (30%) and slower centre of mass (COM) horizontal velocity (3%) when breathing. In the push phase, swimmers had a smaller elbow range of motion (ROM) (38%), faster backwards hand speed (25%) and faster hand vertical acceleration (33%) when breathing. Swimmers rolled their shoulders more (12%) in the recovery phase when breathing. This study confirms that swim performance is compromised by the inclusion of taking a breath in sprint FC swimming. It was proposed that swimmers aim to orient their ipsilateral shoulder into a stronger position by stretching and rolling the shoulders more in the entry phase whilst preparing to take a breath. Swimmers should focus on lengthening the push phase by extending the elbow more and not accelerating the hand too quickly upwards when preparing to inhale.

Key words: Freestyle swimming; three-dimensional; breath-holding; ipsilateral, technique.

1 **Introduction**

2 Researchers have often recommended that swimmers limit the number of breaths taken during a race
3 due to the possible adverse effects that the front crawl breathing action may have on stroke mechanics
4 and hydrodynamic drag (Di Prampero et al., 1974; Pendergast et al., 1977; Town and Vaness, 1990;
5 Cardelli et al., 1999; Formosa et al., 2014). However the literature does not conclusively support the
6 premise that breathing in front crawl swimming has a negative effect on swim performance. Some
7 studies have reported reduced swim velocity and/or stroke frequency as a result of breathing
8 compared to not breathing (Pedersen and Kjendlie, 2006; Psycharakis and McCabe, 2011), whereas
9 other researchers have reported no differences (Castro et al., 2006; Vezos et al., 2007; Seifert et al.,
10 2008). The disparity within the literature may be attributed to methodological issues such as whether
11 the centre of mass (COM) or hip joint was utilised to quantify the above variables, which
12 mathematical approach was implemented, and the range of swim speeds assessed within these studies.
13 Nevertheless, as breathing is a fundamental skill within front crawl swimming, it is imperative to
14 further assess what effect it may have on a swimmer's sprint performance.

15
16 As the arms contribute to propulsion more than the legs in front crawl swimming (Di Prampero et al.,
17 1974; Watkins and Gordon, 1983), this study will focus on examining the effect breathing has on
18 various key upper limb kinematic variables linked to swim performance. Shoulder and hip roll
19 rotations have been strongly related to front crawl swim performance (Payton et al., 1999; Castro et
20 al., 2002; Psycharakis and Sanders, 2010). Swimming at a 200m pace, Payton et al. (1999) reported
21 that swimmers rolled their shoulders 9degs more during a breathing trial compared to a non-breathing
22 trial. More recently Psycharakis and McCabe (2011) found that although the total magnitude of
23 shoulder and hip roll angles did not differ between breathing conditions, male sprinters rolled their
24 shoulders and hips to the breathing side significantly more (9.5° or 18.8%) relative to the non-
25 breathing side. Previous studies have tended to examine shoulder and hip roll angles in terms of the
26 total magnitudes within the SC. The aim of this study will be to investigate shoulder and hip roll
27 angles within the integral phases of the SC in order to provide a more comprehensive insight as to
28 how these parameters may, or may not, be influenced by the breathing action.

29

30 The motion of the shoulders, in terms of flexion/extension, abduction/adduction internal/external
31 rotation and elevation have been associated with determining upper limb propulsion. However,
32 shoulder kinematics are more commonly discussed within aquatic literature in relation to injury and
33 rarely with respect to swim performance within an ecological environment. Consequently, it is
34 unknown whether incorporating a breath within the SC causes alterations of the shoulder movements
35 and thus influences the swimmer's overall performance.

36

37 The shoulder motion has often been linked to the hand-path throughout the underwater stroke cycle
38 (SC) which consists of horizontal, vertical and lateral motions in order to achieve forward propulsion
39 of the body (Schleihauf et al., 1983; Deschodt et al., 1996a; Deschodt et al., 1999). To date, only two
40 studies have investigated the influence the breathing action has on hand-path trajectory. Payton et al.
41 (1999) reported that the front crawl breathing action did not interfere with the underwater hand-path,
42 in terms of maximum depth and width when elite male swimmers swam at a 200m pace. However
43 Vezos et al. (2007) found that the breathing action caused significant modifications in hand-path when
44 investigating a group of female front crawl sprinters at a submaximal pace. Vezos et al. (2007)
45 speculated that the discrepancies with Payton et al. (1999) were due to anthropometric differences
46 associated with opposing genders sampled, yet did not consider the differing swim pace. Because
47 Payton et al. (1999) analysed swimmers at a 200m pace, it is unknown whether male swimmers adjust
48 their hand-path between breathing and non-breathing conditions when swimming at a sprint pace.
49 Such knowledge is beneficial in terms of how the breathing action may, or may not, alter a swimmer's
50 hand-path when maximally swimming, thus ultimately influencing their forward propulsion and
51 performance.

52

53 The elbow angle magnitude during the underwater phase of the SC has been proposed to influence the
54 hand-path trajectory (Hay et al., 1993) whilst also affecting the propulsive actions of the upper limbs
55 (Cappaert, 1998; Haffner and Cappaert, 1998). Payton et al. (1999) is the only study to examine the
56 elbow angle between breathing and non-breathing conditions, reporting that the breathing action did

57 not influence the elbow angle range of motion (ROM) during the pull phase (breathing: $44 \pm 15^\circ$; non-
58 breathing: $45 \pm 14^\circ$). Therefore, with the exception of only the pull phase, no study has examined the
59 elbow angle magnitudes throughout the underwater SC between breathing conditions when front
60 crawl sprinting, which could affect the capability of the upper limbs to generate propulsion.

61

62 The pull and push phases are regarded as propulsive and the entry and recovery phases are regarded
63 non-propulsive (Chollet et al., 2000). Payton et al. (1999) noted that male swimmers had a longer
64 duration of the underwater phase during the breathing trials ($1.11 \pm 0.15s$) vs. non-breathing trials
65 ($1.05 \pm 0.12s$) but did not comment whether this observed difference was significant. Vezos et al.
66 (2007) found similar results within a female sprint group (breathing: $1.25 \pm 0.17s$ vs. breath-holding:
67 $1.16 \pm 0.15s$; $p < 0.05$), but added that the longer duration within breathing trials was the result of a
68 prolonged entry phase compared to the non-breathing trials. Payton et al. (1999) did not report the
69 durations of the discrete phases between breathing conditions. Thus, it is important to explore whether
70 the breathing action affects the duration of the propulsive and/or non-propulsive stroke phases in front
71 crawl sprint swimming as ultimately any changes are likely to affect swim performance.

72

73 The velocity of the swimmer's COM has become a valuable tool as it indicates when and to what
74 extent phases of the SC are effective in propelling the body forwards (Maglishco et al., 1989; Alves et
75 al., 1994). To date, no study has investigated the COM velocity magnitude within the integral phases
76 of the SC in relation to breathing vs. non-breathing conditions. The literature further indicates that the
77 COM velocity is strongly influenced by swimmers accelerating their hands (Schleihauf, 1984), yet no
78 study has examined these characteristics between breathing conditions within a male sprinting
79 population.

80

81 In summary, it is unclear to what extent breathing affects performance in terms of, shoulder/hip roll,
82 shoulder kinematics, hand-path, elbow angle magnitudes, stroke phase durations, COM velocity
83 profile, hand velocity and acceleration throughout the SC. The purpose of this study was to investigate
84 whether the breathing action in front crawl sprint swimming affects the ipsilateral upper limb

85 kinematics (same side as breathing side) relative to a non-breathing stroke cycle and to assess any
86 changes in swimming performance. The rationale to analyse the ipsilateral hand was to compare
87 datasets in relation to previous studies and its action may be constrained by the breathing rotation
88 whereas there is no a priori reason to expect that the hand motion on the non-breathing side would be
89 affected.

90

91 **Materials and Methods**

92 **Participants**

93 Ten male front crawl swimmers (age: 18.4 ± 2.6 years; mass: 72.9 ± 10.2 kg; height: 182.7 ± 7.9 cm)
94 volunteered to participate in this study. These athletes competed at a national/international level and
95 registered a personal best time of 25.31 ± 0.98 s (long course) for 50m front crawl sprint. The test
96 procedures were approved by the University Ethics Committee and all swimmers provided written
97 informed consent.

98

99 **Testing Procedure**

100 Following an individualised warm-up each participant swam two randomised maximal 25m front
101 crawl sprints: one 25m sprint breathing once to their preferred side (Br) and one 25m sprint with no
102 breathing (NBr) throughout the 25m.

103

104 The testing set-up was similar to Psycharakis et al. (2010) with all swim trials performed through a
105 6.75m^3 pre-calibrated volume (orthogonal axes: 4.5m [X- horizontal], 1.5m [Y- vertical], 1.0m [Z-
106 medio-lateral]). Based on previous accuracy and reliability calculations for this frame (Psycharakis et
107 al., 2005), 20 control points were used for calibration. Reconstruction errors were calculated
108 following 10 repeated digitisations by the same operator (20 control points and 10 different points
109 representing the 'markers'), which were found as low in all three directions (2.4mm-4.5mm absolute
110 errors; 3.3mm-5.2mm root mean square errors; 0.1%-0.5% of the calibrated space). Six gen-locked
111 JVC KY32 CCD cameras (four below and two above water) sampling at a frequency of 50 fields per

112 second and a shutter speed of 1/120s were positioned similar to Psycharakis et al. (2010) so that all
113 cameras captured the swimmer throughout the pre-calibrated space.

114

115 To enable subsequent calculation of the whole body COM using the 'eZone' method (Deffeyes and
116 Sanders, 2005) each swimmer had 19 markers applied to the following anatomical landmarks: vertex
117 of the head, the right and left of the: 3rd distal phalanx tip (hand), wrist axis, elbow axis, shoulder axis,
118 hip axis, knee axis, ankle axis, 5th metatarsophalangeal joint, and the tip of 1st phalanx (big toe).

119

120 **Data Processing**

121 One SC, defined as the period between the instant of hand entry to the instant of entry of the same
122 hand, was selected for analysis. Whilst the full stroke cycle was digitised, only the pulling arm on the
123 same side that the swimmer took the breath was analysed (ipsilateral upper limb to breathing side),
124 representing the first hand entry of the SC. The Ariel Performance Analysis System (APAS-2000
125 Ariel Dynamics, San Diego, CA) software was used to manually digitise the 19 anatomical landmarks
126 from all camera views. Incorporating the direct linear transformation (DLT) algorithms in APAS, 3-
127 dimensional coordinates of the anatomical landmarks were obtained and smoothed using a Fourier
128 series transform (Bloomfield, 1976) retaining six harmonics.

129

130 The average X swimming velocity (V_{av}) was calculated by dividing the swimmer's mean COM X
131 displacement by the time to complete one SC. The COM X velocity ($m \cdot s^{-1}$) was obtained by
132 differentiating the COM displacement using the first central difference formula. Stroke frequency
133 (SF) was the inverse of the time (seconds) to complete one SC which was then multiplied by 60 to
134 yield units of strokes per minute. Stroke length (SL) was the X displacement of the COM during one
135 SC.

136

137 Shoulder and hip roll angles were each determined as the angle between the unit vector of the line
138 joining the shoulders and hips respectively, projected onto the yz plane (i.e. the plane perpendicular to
139 the swimming direction) and the horizontal. Computationally, this is: arc-tangent (S_z/S_y) and arc-

140 tangent (H_z/H_y); where S_z and S_y are the z and y components of the shoulder unit vector and H_z and H_y
141 are the z and y components of the hip unit vector.

142

143 To assess the orientation of the shoulder to elbow joint, the longitudinal axis of the segment was
144 expressed with respect to an internal frame of reference. The internal frame of reference consisted of
145 the X_{int} being the unit vector in the direction of the vector joining the midpoint of the shoulder axes to
146 the midpoint of the hip axes; the Y_{int} axis being the unit vector formed by the cross product of X_{int} and
147 the unit vector in the direction of the vector joining the shoulder joints and Z_{int} the unit vector formed
148 as the cross product of X_{int} and Y_{int} . A Cardan angle to each of the reference axes was then quantified
149 as the arc-cosine of the dot product of the arm unit vector and the reference axis unit vector. Flexion
150 and extension of the shoulder was indicated by the Cardan angle between the arm segment and the X_{int}
151 axis. The angle was adjusted by subtracting 90 degrees so that 0 degrees corresponded to the
152 transition between the pull and the push, the angle at entry was close to -90 degrees and approached
153 90 degrees of flexion at exit. Abduction refers to the angle of the arm axis to the Z axis with
154 correction so that alignment with the Z_{int} axis is 90 degrees of abduction and -90 degrees represents 90
155 degrees of adduction.

156

157 The Y and X motion of the hand was represented by the displacement (m) of the 3rd distal phalanx
158 (with respect to a pool-fixed Cartesian reference system). Similar to Vezos et al. (2007) the
159 underwater pull length (X) was defined as the backward displacement of the hand from its most
160 forward position to its most backward position. The lateral motion of the hand, with respect to the
161 swimmer's COM, was calculated as the absolute Z displacement (m) of the 3rd distal phalanx.

162

163 The elbow angle was determined as the arc-cosine of the dot product of the upper and lower arm unit
164 vectors and was quantified at four instants throughout the underwater SC in accordance with McCabe
165 et al. (2011). The first instant ('first back') was defined as the moment the finger began to move
166 horizontally backward. The second instant ('shoulder x') as the moment the finger was vertically
167 aligned with the shoulder. The third instant ('end back') was defined as the moment the finger stopped

168 moving horizontally backwards and the fourth instant ('re-entry') when the hand entered the water.
169 On identification of these four instants the corresponding time was noted and the elbow angles
170 calculated accordingly. This process also allowed the identification of the stroke phases: entry phase -
171 period between hand entry to 'first back' position; pull phase - period between 'first back' to
172 'shoulder x'; push phase - time between 'shoulder x' and 'end back'; recovery phase - period from
173 'end back' to 're-entry'. The stroke phase durations were expressed as a percentage of the SC (%SC).

174

175 Hand velocity was calculated in the X and Y axis (with respect to an external reference point)
176 throughout the underwater SC. Hand speed was quantified as the resultant of the X, Y and Z hand
177 velocity components. Since acceleration is the second derivative of position, the X and Y acceleration
178 of the hand was obtained by double differentiating positional data of the hand throughout the
179 underwater SC.

180

181 Errors due to manual digitisation were assessed through 10 repeated digitisations of a SC by the same
182 operator. The standard deviation (SD) and coefficient of variation (CV) showed small and acceptable
183 errors for all variables (CV: 0.22 to 4.92%).

184

185 **Statistical Analysis**

186 The assumption of normality was verified through the Shapiro–Wilk test. A paired t-test was used to
187 assess statistical differences between breathing conditions, with a confidence level of $p < 0.05$ accepted
188 as significant, using the Statistical Package for Social Sciences (SPSS) version 22.0. Taking into
189 account the increased possibility of type 1 or type 2 errors (large number of variables tested), effect
190 size (d) calculations (mean difference between the conditions relative to the pooled standard
191 deviation) were performed across all variables using Cohen's (1992) criteria for interpreting the
192 results.

193

194 **Results**

195 **Race Parameters**

196 Swim velocity was significantly greater during the NBr ($1.82 \pm 0.08\text{m}\cdot\text{s}^{-1}$) compared to Br ($1.77 \pm$
197 $0.07\text{m}\cdot\text{s}^{-1}$) trials ($t(9)=2.78$; $p=0.02$; $d=0.67$). There were no significant differences between
198 conditions for SL (NBr: $1.98 \pm 0.14\text{m}$; Br: $1.96 \pm 0.18\text{m}$; $t(9)=0.52$; $d=0.12$) and SF (NBr: 55.2 ± 4.1
199 $\text{cycles}\cdot\text{min}^{-1}$; Br: $54.6 \pm 4.5 \text{cycles}\cdot\text{min}^{-1}$; $t(9)=0.63$; $d=0.14$).

200

201 **Shoulder and Hip Roll**

202 Figure 1 illustrates the finding that average shoulder roll angle was 4 degs (11%) greater within the
203 entry phase of the NBr trial compared to Br trial ($p=0.03$; $d=1.04$). The magnitude of maximum
204 shoulder roll was found to be 12% (7.6degs) greater during the recovery phase of the Br vs. NBr trial
205 ($p=0.02$; $d=0.97$) (Table 1). Shoulder and hip roll magnitudes at all other instances did not differ
206 between breathing conditions (Table 1).

207

208 **Shoulder Angle**

209 Within the entry phase, it was found that the shoulder was flexed 6degs (8%) more during the NBr vs.
210 Br trial ($p=0.01$; $d=1.14$) (Table 1). Figure 2 illustrates that the shoulder abducts further within both
211 the entry (7degs; 33%) and pull (7degs; 11%) phases during the NBr compared to the Br trial (Table
212 1). There were no other significant differences between breathing conditions in relation to shoulder
213 flexion/extension and abduction/adduction (Table 1).

214

215 **Hand Path**

216 Maximum depth (Y) of the ipsilateral hand was found to be significantly greater by 0.04m (6%)
217 during NBr vs. Br trial. Figure 3 illustrates the ipsilateral hand travelled deeper during the NBr vs. Br
218 trial mostly during the pull phase (0.07m, 11%). Neither the X or Z average hand displacements
219 throughout the SC differed significantly between breathing conditions (Table 1).

220

221 **Stroke Phase Durations**

222 The durations of the entry and recovery phases were not significantly different between Br and NBr
223 (Figure 4). The difference in duration of the pull and push phases between the two breathing
224 conditions was significant with a large effect size ($t(9)=2.85$; $p=0.02$; $d>0.80$). Figure 4 illustrates that
225 during the breathing trial, swimmers spent 14% longer in the pull phase and 16% less time in the push
226 phase compared to when they did not breathe.

227

228 **Elbow angle**

229 The elbow extension angle at the 'end back' position was 11.4° (8%) greater during the NBr than Br
230 trial ($p=0.02$; $d=0.76$) (Table 1). It was also found that the elbow ROM within the push phase had a
231 17.3° (38%) greater extension during the NBr trial compared to the Br trial ($p=0.02$; $d=0.99$). The
232 magnitudes of elbow angle at all other specified instants throughout the SC were not significantly
233 different between breathing conditions (Table 1).

234

235 **Hand Speed & Acceleration**

236 Table 1 indicates that X hand velocity during the push phase was $0.5\text{m}\cdot\text{s}^{-1}$ (25%) faster during the Br
237 vs. NBr trial ($p=0.01$; $d=1.51$). Y acceleration of the hand was found as $4.8\text{m}\cdot\text{s}^{-2}$ (30%) faster within
238 the pull phase when NBr compared to Br ($p=0.04$; $d=1.21$). In contrast, within the push phase, the Y
239 hand acceleration was approx $7.8\text{m}\cdot\text{s}^{-2}$ (33%) faster during the breathing trial ($p=0.03$; $d=0.96$).
240 Differences between breathing conditions in relation to the X hand acceleration during the pull and
241 push phases approached significance ($p=0.06$) with large effect sizes ($d>0.80$).

242

243 **COM Horizontal Velocity**

244 During both the entry and pull phases, swimmers' COM X velocity was $0.6\text{m}\cdot\text{s}^{-1}$ (3.3-3.4%) faster
245 during the NBr vs. Br trial ($p<0.02$; $d\geq 0.70$; Table 1). There was no difference between conditions in
246 relation to the COM X velocity within the push or recovery phases.

247

248 **Discussion**

249 When incorporating a breath into the SC, swimmers were overall slower. For the entry phase,
250 swimmers had a slower COM horizontal velocity, less shoulder flexion, abduction and roll during the
251 breathing trial. The pull phase was longer in duration, swimmers had a shallower hand path, less
252 shoulder abduction, a slower hand vertical acceleration and slower COM horizontal velocity when
253 breathing. The push phase was shorter in duration, swimmers had a smaller range of elbow extension,
254 faster hand horizontal velocity and greater hand vertical acceleration when breathing. Finally, in the
255 recovery phase, swimmers displayed greater maximum shoulder roll in the breathing trial.

256

257 The finding that incorporating a breath into the front crawl SC resulted in a $0.05\text{m}\cdot\text{s}^{-1}$ decrement in
258 swim velocity compared to not taking a breath is in agreement with Pedersen and Kjendlie, 2006 but in
259 contrast to Vezos et al. (2007), who reported no difference in swim velocity between breathing
260 conditions within a female sprint population. The discrepancy between studies is perhaps due to
261 findings that elite male swimmers experience significantly higher net drag forces than their female
262 counterparts (26% vs. 16%) during breathing compared to non-breathing trials at a maximal pace
263 (Formosa et al., 2014). The authors suggested that female participants demonstrated similar
264 swimming technique regardless of the breathing condition compared to male swimmers (which
265 contributed to the increased drag force), recommending further investigation in relation to kinematic
266 changes between breathing conditions which will be explored within this discussion. While the
267 changes in both SL and SF did not reach statistical significance, their combined effect meant that
268 swim velocity between conditions was significantly different. Moreover, since SL did not differ
269 between breathing conditions, it is estimated that the breathing action in front crawl sprinting results
270 in a loss of 0.02s per SC. Interestingly, Pedersen and Kjendlie (2006) reported a loss of 0.03s within
271 the SC when breathing. These studies affirm that incorporating a breath into the front crawl SC costs
272 time. Therefore with such fine margins defining success, it is recommended that 50m/100m sprinters
273 limit the number of breaths taken.

274

275 **Entry Phase**

276 Weldon & Richardson (2001) suggested that shoulder abduction and increased body roll enhances
277 shoulder joint stability and net humeral joint reactive force. Consequently, the finding that swimmers
278 rolled (4%) and abducted (33%) the ipsilateral shoulder more within the entry phase of the non-
279 breathing trial, suggests that the shoulder is in a more stable and perhaps stronger position whilst
280 breath-holding. Increased shoulder roll and abduction have also been linked to greater muscle
281 activation from the supraspinatus and anterior/middle deltoids (Pink et al., 1991). As the ipsilateral
282 shoulder within the NBr trial abducts further from the swimmer's COM, it creates a longer moment
283 arm; combined with a greater muscle recruitment may provide an increased potential to produce
284 greater torque and thus propulsion. It is recommended that kinetic and EMG analyses are combined in
285 future studies to confirm the above assumption. As shoulder strength and swim speed are directly
286 related (Weldon & Richardson, 2001), it is therefore possible that the actions of the shoulder between
287 breathing conditions may have contributed to the finding that the COM X velocity was 3.3% faster
288 during the entry phase of the NBr trial compared to the Br trial. To test this assumption, the
289 researchers conducted a post-hoc analysis investigating the changes in COM X velocity within the
290 entry phase. It was found that COM X velocity increased during the entry phase, but equally for both
291 the breathing ($0.09 \pm 0.16\text{m}\cdot\text{s}^{-1}$) and non-breathing cycles ($0.09 \pm 0.26\text{m}\cdot\text{s}^{-1}$), with the difference
292 between breathing conditions not significant ($p=0.99$). Therefore, it is unlikely that differences of
293 shoulder kinematics within this phase during the non-breathing SC were beneficial in terms
294 generating propulsion.

295

296 **Pull Phase**

297 The finding that the COM X velocity was greater during the pull phase of the NBr trial compared to
298 the Br trial was further explored to minimise any possible 'knock-on' effect from the preceding entry
299 phase, since COM X velocity was higher at the beginning of the pull phase within the NBr vs. Br trial.
300 It was found that COM X velocity decreased during the pull phase within both breathing ($-0.16 \pm$
301 $0.16\text{m}\cdot\text{s}^{-1}$) and non-breathing cycles ($-0.10 \pm 0.10\text{m}\cdot\text{s}^{-1}$), with the difference between breathing
302 conditions not significant ($p=0.49$). Therefore, it is unlikely that the kinematic differences (described
303 below) during the non-breathing SC were more beneficial in terms of generating higher propulsion.

304

305 Within the pull phase, the ipsilateral shoulder was further abducted from the COM (11%) and the
306 hand trajectory was deeper (11%) when not breathing, yet the duration of this phase was shorter by
307 14% compared to the breathing trial. Lerda and Cardelli (2003) reported that stroke phase durations
308 are associated with the accelerative actions of the upper limbs, which was confirmed in this study by
309 the ipsilateral hand accelerating faster in the vertical direction (30%) and horizontal direction (35%)
310 within this phase when not incorporating a breath into the SC. It seems that the actions of the
311 ipsilateral hand travelling deeper, yet accelerating faster can account for the shorter duration of the
312 pull phase within the NBr vs. Br trial. It is assumed that any reduction in its duration would not be
313 beneficial in terms of production of impulse. Therefore it is questionable whether this action
314 contributed to the faster non-breathing vs. breathing trial.

315

316 **Push Phase**

317 This study reported that all swimmers reduced elbow extension by 8% at the end back position and
318 the elbow extension ROM during the push phase by 38% when Br compared to the NBr trial.
319 Deschodt et al. (1996b) reported that a greater elbow displacement throughout the underwater SC was
320 strongly linked to swim performance, thus it is suggested that the greater elbow extension within the
321 push phase during the NBr trial may have contributed to this trial being faster than the Br trial. The
322 reduced elbow extension range during the push phase may have also contributed to the 16% shorter
323 duration when Br vs. NBr. As discussed previously, findings that the ipsilateral hand vertically
324 accelerated 33% faster and 25% faster horizontal hand velocity within the push phase of the Br vs.
325 NBr trial is also a contributing factor to the reduced phase duration. Whereas these factors may all
326 account/contribute towards a shorter push phase duration within the breathing trial, they do not appear
327 to influence the propulsive output as observed via the post hoc analysis that the change in COM X
328 velocity within the push phase between breathing conditions (NBr: $0.10 \pm 0.06 \text{ m} \cdot \text{s}^{-1}$; Br: $0.14 \pm 0.10 \text{ m} \cdot \text{s}^{-1}$;
329 $p=0.57$) was not significantly different.

330

331 **Recovery Phase**

332 Finally within the recovery phase the finding that maximum shoulder roll was 12% (7.6degs) greater
333 during the Br vs NBr trial is unsurprising and most likely as a consequence to facilitate the breathing
334 action. Payton et al. (1999) reported a similar increase in body roll (9degs) during breathing trials
335 when male swimmers swam at a 200m pace. Since the difference in COM X velocity during the
336 recovery phase did not differ between conditions (NBr: $-0.02 \pm 0.12 \text{ m} \cdot \text{s}^{-1}$ vs. Br: $-0.20 \pm 0.35 \text{ m} \cdot \text{s}^{-1}$;
337 $p=0.21$) it is suggested that the greater turning motion of the shoulders did not cause considerable
338 resistance to affect swim performance.

339

340 **Conclusion**

341 Taking a breath in sprint front crawl swimming resulted in a decrement in performance compared to
342 not taking a breath. Overall, as swimmers prepare to incorporate a breath into the stroke cycle, the
343 ipsilateral shoulder remains closer to the COM during both the entry and pull phases thus potentially
344 reducing the magnitude of torque applied compared to the faster non-breathing trial. Swimmers
345 should 'stretch' and roll the shoulders more within the entry phase of a breathing trial as this should
346 bring the arm into a position to apply more force. Most kinematic differences between breathing
347 conditions occurred within the push phase. Swimmers are advised to focus on lengthening the push
348 phase by extending the elbow more and not accelerating the hand too quickly upwards when
349 preparing to inhale. Not all the kinematic changes found between breathing conditions could account
350 for the differences in swim performance, therefore it is suggested that the combined effect of other
351 contributing factors such as the activities of the opposite upper limb and/or leg kick should be
352 considered to assess the overall impact and constraint of breathing.

353

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357

358 **Conflict of Interest Statement**

359 None

360

361

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472 Weldon, E.J., Richardson, A.B., 2001. Upper extremity overuse injuries in swimming. Clinics in
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475 Table 1. Data and statistical comparisons of the differences between non-breathing (NBr) and
 476 breathing (Br) conditions for the following variables: upper limb displacement, elbow angle
 477 magnitudes, hand speed, and hand acceleration on the ipsilateral (breathing) side and COM velocity.

<i>Variables</i>	<i>NBr</i>	<i>Br</i>	<i>t- Value</i>	<i>P-Value</i>	<i>Effect Size</i>
Maximum Hand Y Depth (m)	-0.67 ± 0.06	-0.63 ± 0.06	2.41	0.04*	0.78†
Av. Hand Y Depth (m)	-0.40 ± 0.06	-0.36 ± 0.05	2.87	0.02*	0.89†
Av. Hand Y Depth- Entry (m)	-0.21 ± 0.06	-0.17 ± 0.07	1.85	0.10	0.61
Av. Hand Y Depth- Pull (m)	-0.62 ± 0.06	-0.55 ± 0.08	2.55	0.03*	0.99†
Av. Hand Y Depth- Push (m)	-0.37 ± 0.07	-0.34 ± 0.11	0.78	0.45	0.33
Av. Hand Z Width- Entry (m)	0.23 ± 0.06	0.20 ± 0.07	1.33	0.22	0.46
Av. Hand Z Width- Pull (m)	0.36 ± 0.13	0.36 ± 0.13	0.10	0.93	0.00
Av. Hand Z Width- Push (m)	0.15 ± 0.06	0.17 ± 0.07	0.58	0.58	0.31
Av. Hand X- Entry (m)	-0.51 ± 0.17	-0.53 ± 0.24	0.42	0.68i	0.10
Av. Hand X – Pull (m)	0.25 ± 0.05	0.28 ± 0.11	0.73	0.49	0.35
Av. Hand X – Push (m)	0.29 ± 0.05	0.25 ± 0.08	1.25	0.24	0.60
Av. Total Hand X Pull Length (m)	0.55 ± 0.06	0.53 ± 0.08	0.64	0.54	0.28
Elbow Angle: 1 st Back (degs)	147.6 ± 8.4	151.7 ± 11.4	0.99	0.35	0.41
Elbow Angle: Shoulder X (degs)	105.4 ± 7.3	111.2 ± 8.3	1.60	0.14	0.74
Elbow Angle: End Back (degs)	151.2 ± 11.7	139.8 ± 17.7	2.96	0.02*	0.76†
Elbow Angle: Range of Pull (degs)	42.2 ± 9.8	40.5 ± 13.8	0.27	0.79	0.14
Elbow Angle: Range of Push (degs)	45.8 ± 15.4	28.5 ± 19.2	2.88	0.02*	0.99†
Av. Shoulder flex/ext – Entry (degs)	-65.79 ± 4.62	-71.76 ± 5.22	4.46	0.01*	1.14†
Av. Shoulder flex/ext – Pull (degs)	-2.90 ± 10.00	-2.91 ± 13.64	0.01	0.99	0.01
Av. Shoulder flex/ext – Push (degs)	65.22 ± 3.70	63.25 ± 9.82	0.59	0.57	0.27
Max Shoulder Flexion (degs)	-80.37 ± 7.20	-82.06 ± 4.41	1.08	0.31	0.28
Max Shoulder Extension (degs)	78.23 ± 2.47	78.23 ± 7.28	0.01	0.99	0.01
Av. Shoulder Abd –Entry (degs)	21.14 ± 6.46	14.14 ± 6.07	3.89	0.01*	1.12†

Av. Shoulder Abd - Pull (degs)	59.72 ± 5.94	52.90 ± 5.41	3.30	0.01*	1.20†
Av. Shoulder Abd – Push (degs)	14.03 ± 3.54	16.75 ± 9.57	0.91	0.39	0.38
Hand X Speed – Entry (m·s ⁻¹)	1.47 ± 0.26	1.50 ± 0.36	0.31	0.76	0.10
Hand X Speed – Pull (m·s ⁻¹)	1.27 ± 0.17	1.34 ± 0.30	0.80	0.44	0.29
Hand X Speed – Push (m·s ⁻¹)	1.54 ± 0.26	2.04 ± 0.39	3.50	0.01*	1.51†
Hand Y Speed – Entry (m·s ⁻¹)	1.48 ± 0.41	1.35 ± 0.42	1.69	0.12	0.31
Hand Y Speed – Pull (m·s ⁻¹)	1.22 ± 0.14	1.17 ± 0.21	0.46	0.65	0.28
Hand Y Speed – Push (m·s ⁻¹)	2.56 ± 0.38	2.89 ± 0.91	1.15	0.28	0.47
Res. Hand Speed – Entry (m·s ⁻¹)	2.33 ± 0.14	2.34 ± 0.17	0.23	0.82	0.06
Res. Hand Speed – Pull (m·s ⁻¹)	2.52 ± 1.03	2.19 ± 0.18	1.01	0.34	0.45
Res. Hand Speed – Push (m·s ⁻¹)	3.57 ± 0.80	3.32 ± 0.46	0.88	0.40	0.38
Res. Hand Speed – Recovery (m·s ⁻¹)	6.25 ± 1.34	6.76 ± 0.60	1.25	0.24	0.49
Hand X accel. – Entry (m·s ⁻²)	-7.62 ± 1.95	-7.25 ± 2.22	0.37	0.72	0.18
Hand X accel. - Pull (m·s ⁻²)	-4.73 ± 2.60	-7.29 ± 3.5	2.12	0.06	0.83†
Hand X accel. - Push (m·s ⁻²)	13.87 ± 5.59	20.09 ± 8.67	2.11	0.06	0.85†
Hand X accel. - Recovery (m·s ⁻²)	-7.95 ± 9.27	-6.64 ± 12.35	0.44	0.67	0.12
Hand Y accel. - Entry (m·s ⁻²)	-3.54 ± 1.87	-3.61 ± 2.64	0.13	0.89	0.03
Hand Y accel. - Pull (m·s ⁻²)	16.37 ± 4.54	11.54 ± 4.06	2.40	0.04*	1.12†
Hand Y accel. - Push (m·s ⁻²)	15.86 ± 5.56	23.66 ± 10.11	2.52	0.03*	0.96†
Hand Y accel.- Recovery (m·s ⁻²)	-14.43 ± 4.15	14.38 ± 6.18	0.03	0.97	0.01
COM X velocity – Entry (m·s ⁻¹)	1.84 ± 0.09	1.78 ± 0.08	2.94	0.02*	0.70
COM X Velocity – Pull (m·s ⁻¹)	1.79 ± 0.08	1.73 ± 0.08	3.21	0.01*	0.75†
COM X Velocity – Push (m·s ⁻¹)	1.82 ± 0.10	1.85 ± 0.11	0.68	0.51	0.27
COM X Velocity – Recovery (m·s ⁻¹)	1.81 ± 0.08	1.76 ± 0.09	2.19	0.06	0.59
Av. Shoulder roll – Entry (degs)	36.67 ± 3.74	32.81 ± 3.69	2.68	0.03*	1.04†
Av. Shoulder roll – Pull (degs)	35.81 ± 8.73	35.62 ± 5.52	0.09	0.93	0.03
Av. Shoulder roll – Push (degs)	32.38 ± 6.93	35.67 ± 9.13	0.80	0.44	0.41

Av. Shoulder roll – Recovery (degs)	41.19 ± 4.70	45.95 ± 9.97	1.85	0.10	0.61
Max Shoulder roll – Entry (degs)	52.02 ± 7.15	52.07 ± 7.91	0.03	0.98	0.01
Max Shoulder roll – Pull (degs)	50.87 ± 6.48	48.92 ± 6.85	0.69	0.51	0.29
Max Shoulder roll – Push (degs)	50.19 ± 7.39	53.96 ± 8.87	0.90	0.39	0.46
Max Shoulder roll – Recovery (degs)	54.49 ± 5.10	62.06 ± 9.73	2.92	0.02*	0.97†
Av. Hip Roll – Entry (degs)	12.77 ± 4.57	12.70 ± 5.46	0.03	0.98	0.01
Av. Hip Roll – Pull (degs)	8.15 ± 3.85	10.83 ± 3.47	2.14	0.06	0.73
Av. Hip Roll – Push (degs)	11.20 ± 4.75	14.46 ± 6.97	1.46	0.18	0.55
Av. Hip Roll – Recovery (degs)	14.34 ± 3.32	18.39 ± 9.33	1.90	0.09	0.58
Max Hip Roll – Entry (degs)	20.49 ± 6.67	20.49 ± 5.09	0.01	0.99	0.01
Max Hip Roll – Pull (degs)	17.71 ± 7.51	19.40 ± 6.31	0.84	0.42	0.24
Max Hip Roll – Push (degs)	17.84 ± 5.05	21.18 ± 7.58	1.94	0.08	0.52
Max Hip Roll – Recovery (degs)	22.73 ± 3.99	28.74 ± 11.90	1.68	0.13	0.68

478 Data are expressed as mean (\pm SD), p value and effect size. * indicates a significant difference
479 between conditions, † indicates a large effect size. X – Horizontal; Y – Vertical; Z – Mediolateral; Av.
480 – Average; accel. – acceleration; Res. – resultant; Max – maximum.

481

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484

485 **Figure Legends**

486 Figure 1: Average shoulder roll throughout the stroke cycle for both breathing conditions. As the hand
487 entry was not always the same for each swimmer, the absolute shoulder roll angles are plotted. Peaks
488 represent maximum shoulder rotation and troughs that the swimmer is neutral in the water (i.e. no
489 shoulder rotation). Breathing (Br) trial: A - beginning of finger moving horizontally backward; B -
490 finger vertically aligned with the shoulder; C - end of finger backwards movement; D – finger water.
491 A→B = pull phase; B→C = push phase; C→D = recovery phase. Non-breathing (NBr) trial was
492 defined similarly as Br with an added annotation (e.g. A') to distinguish between conditions.

493

494 Figure 2: Average ipsilateral shoulder abduction throughout the stroke cycle for both conditions.
495 Increased magnitudes represent the shoulder abducting further away from the swimmer, whereas
496 decreased magnitudes represent the shoulder moving towards the swimmer. Breathing (Br) trial: A -
497 beginning of finger moving horizontally backward; B - finger vertically aligned with the shoulder; C -
498 end of finger backwards movement; D – finger water. A→B = pull phase; B→C = push phase; C→D
499 = recovery phase. Non-breathing (NBr) trial was defined similarly as Br with an added annotation
500 (e.g. A') to distinguish between conditions.

501

502 Figure 3: Ipsilateral hand vertical displacement throughout the stroke cycle for both breathing
503 conditions. Breathing (Br) trial: A - beginning of finger moving horizontally backward; B - finger
504 vertically aligned with the shoulder; C - end of finger backwards movement; D – finger water. A→B
505 = pull phase; B→C = push phase; C→D = recovery phase. Non-breathing (NBr) trial was defined
506 similarly as Br with an added annotation (e.g. A') to distinguish between conditions.

507

508 Figure 4: Stroke phase durations for non-breathing (NBr) and breathing (Br) trials. Mean stroke phase
509 duration data are indicated. Bars represent standard deviation. * Significant at $p < 0.05$.

510

Figure 1
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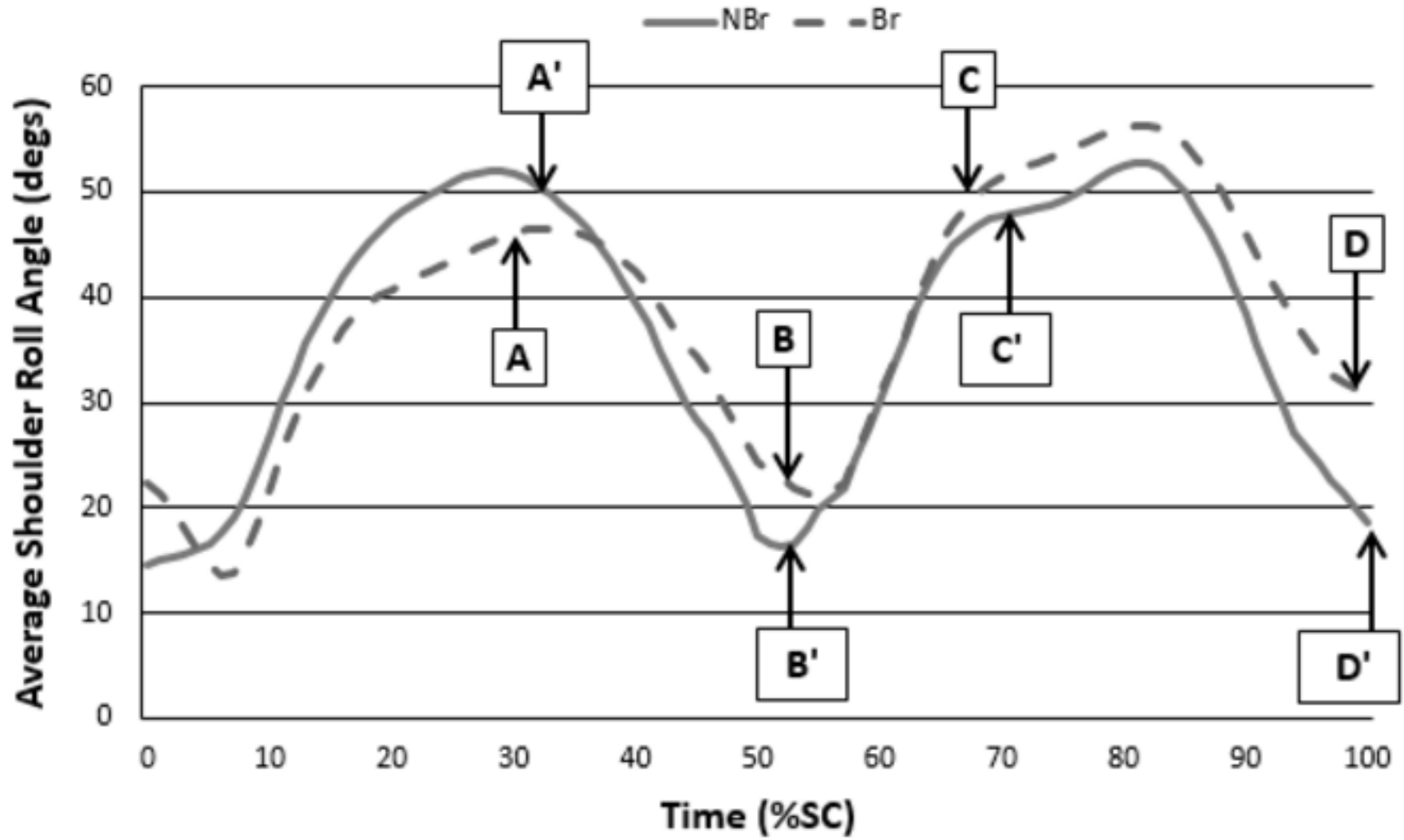


Figure 2
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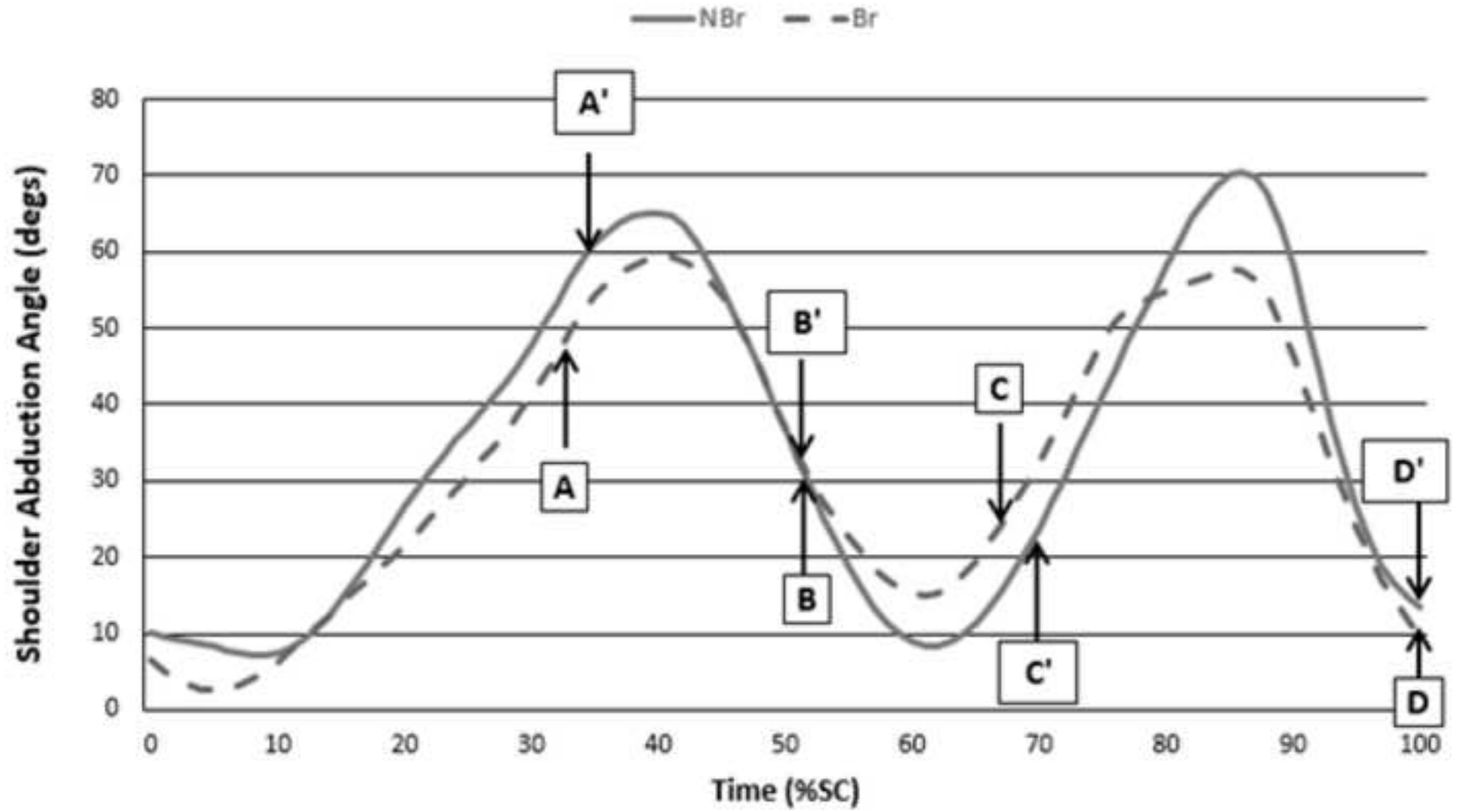


Figure 3
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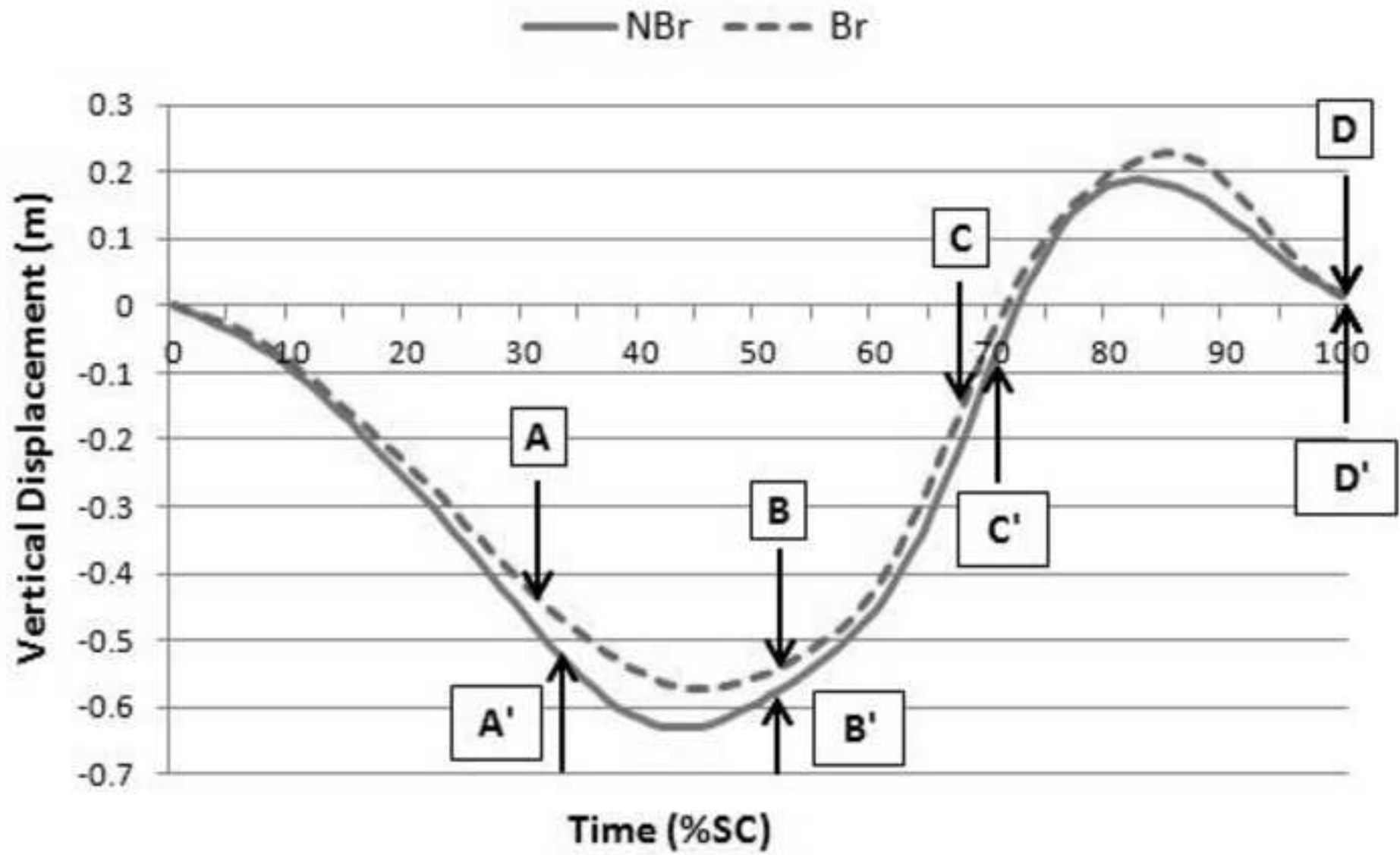


Figure 4
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