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1 Breakdown of deterministic lateral displacement
2 efficiency for non-dilute suspensions: a numerical
3 study[☆]

4 R. Vernekar, T. Krüger*

5 *School of Engineering, The University of Edinburgh, Edinburgh EH9 3FB, United Kingdom*

[☆]7139 words

*Corresponding author, email: timm.krueger@ed.ac.uk, phone: +44 131 650 5679, fax: +44 131 650 6554

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11 **Abstract**

We investigate the effect of particle volume fraction on the efficiency of deterministic lateral displacement (DLD) devices. DLD is a popular passive sorting technique for microfluidic applications. Yet, it has been designed for treating dilute suspensions, and its efficiency for denser samples is not well known. We perform 3D simulations based on the immersed-boundary, lattice-Boltzmann and finite-element methods to model the flow of red blood cells (RBCs) in different DLD devices. We quantify the DLD efficiency in terms of appropriate “failure” probabilities and RBC counts in designated device outlets. Our main result is that the displacement mode breaks down upon an increase of RBC volume fraction, while the zigzag mode remains relatively robust. This suggests that the separation of larger particles (such as white blood cells) from a dense RBC background is simpler than separating smaller particles (such as platelets) from the same background. The observed breakdown stems from non-deterministic particle collisions interfering with the designed deterministic nature of DLD devices. Therefore, we postulate that dense suspension effects generally hamper efficient particle separation in devices based on deterministic principles.

12 *Keywords:* Deterministic lateral displacement, Blood cell separation,
13 Simulation, Haematocrit, Microfluidics

14 **1. Introduction**

15 Separation of cellular blood components is an important step in clinical di-
16 agnosis of diseases, such as malaria [1], as well as in medical research focusing
17 on phenotype and/or genotype of the various subtypes of blood cells. The tra-

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*Corresponding author, email: timm.krueger@ed.ac.uk, phone: +44 131 650 5679, fax:
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+44 131 650 6554

18 ditional blood separation methods employed for clinical tests typically involve
19 large sample volumes and often costly specialist equipment [1]. The advent of
20 microfluidic separation techniques for biological cells has opened up the possibil-
21 ity of replacement of traditional blood tests with lab-on-chip diagnostics [2, 3].
22 By scaling the separation process down to the cellular length scale one can re-
23 duce the sample volume and the time required for the tests. Furthermore, these
24 microfluidic separation techniques lend easily toward downstream integration
25 for analysis and diagnosis of cell populations of interest.

26 Deterministic lateral displacement (DLD) is a high-resolution and relatively
27 straightforward size-based microfluidic separation technique first applied to the
28 separation of hard polystyrene beads [4]. It has the advantage of being label-
29 free, relying solely on the device geometry without need for additional external
30 forces to achieve separation (passive separation). For this reason, it has been
31 put to use in diverse separation applications, such as parasites from human
32 blood [5], polystyrene micro-beads [6], purification of fungal spores [7], separa-
33 tion of epithelial cells from fibroblast cells [8], fractionation of human whole
34 blood [9], removal of circulating tumor cells (CTCs) from blood [10] and mi-
35 crofluidic droplet fractionation [11]. For a given geometry, a DLD device has two
36 operation modes: “displacement”, for larger particles, and “zigzag”, for smaller
37 particles as presented in section 3. Since DLD relies on *deterministic* interactions
38 between micro-obstacles and sample particles as they flow through the device,
39 DLD devices are designed to operate under dilute conditions. In this regime, the
40 interaction of particles and obstacles is not much affected by particle-particle
41 collisions so that particles can follow deterministic paths in the device.

42 In recent years, the DLD technique has received much attention in the area
43 of human blood cell separation. In a previous work, the use of DLD has been
44 demonstrated for fractionating all cellular components of human blood [9, 12].
45 With cell size as the sole criterion, DLD devices have been applied for separation
46 of leukocytes from blood [9, 13, 14], red blood cells (RBCs) from blood [9, 13, 15],
47 platelets from blood [9, 16] and even plasma from whole blood [9]. Furthermore,
48 the use of DLD for deformability-dependent blood cell separation [17, 18] and
49 fingerprinting [19, 18] has been demonstrated. In addition to fractionating blood
50 constituents, external pathogens such as Trypanosomes have been separated

51 from human blood using DLD [5].

52 Having achieved passive, label-free separation, those previous works show
53 much promise for integration of the DLD principle in point-of-care blood diag-
54 nostic devices or even *in vivo* use in human vasculature implants. However, to
55 the best of our knowledge, all the experiments use a diluted sample of blood.
56 The dilution is carried out either in the blood sample preparatory stage and/or
57 by use of buffer streams in the device. The blood cells are therefore always at
58 a lower volume fraction than their natural haematocrit value. Higher volume
59 fractions close to the physiological haematocrit value of $\sim 40\text{--}45\%$ are desirable,
60 though. This would mean smaller sampling volumes, potentially faster results
61 and minimum pre-treatment of the blood sample. These factors become espe-
62 cially important when the species to be isolated (*e.g.* pathogens) are sparse and
63 sample dilution would further reduce their already small concentration.

64 The performance of a DLD device at higher haematocrit values remains an
65 open question and is a key requirement to get this technology into medical
66 practice. A few authors have only briefly looked at this matter and report that
67 device clogging and separation breakdown due to cell deformability and stiction
68 to device surfaces are some of the concerns [14, 9]. To address this question,
69 we require consideration from device manufacture as well as design aspects.
70 Going for an experimental evaluation of the DLD design is time-consuming and
71 expensive due to the large number of different devices required. At this point,
72 simulations of cellular flow through DLD devices can reduce the workload since
73 they allow for simple parameter variations. Furthermore they provide unique
74 insight into the deformation of cells, the resulting complex flow fields and the
75 effect that these and other parameters (such as haematocrit) have on the device
76 efficiency.

77 In this paper, we examine the effect of RBC volume fraction on the DLD
78 performance using 3D computer simulations based on the immersed-boundary,
79 lattice-Boltzmann and finite-element methods (section 2). RBCs are the most
80 abundant cellular blood components (about 98%) and therefore dominate the
81 blood rheology. Specifically, we analyse how the zigzag and displacement modes
82 are affected by an increased number density of RBCs in the device. We quantify
83 the performance of these modes by defining appropriate failure probabilities.

84 One of our main results (section 4) is that the zigzag mode is relatively
85 robust. The displacement mode, however, is strongly affected by even moderate
86 volume fractions (around 10–20%). We observe an eventual breakdown of the
87 displacement mode at high volume fractions, rendering nearly all RBCs moving
88 on zigzag-like trajectories. This leads us to believe that it would probably be
89 easier to separate a few larger cells from a dense RBC background than a few
90 smaller particles from the same background. Our results show that the failure
91 probabilities have a different character in both device operation modes. While
92 failure events in the zigzag mode can annihilate each other, displacement failures
93 always accumulate. This explains why the zigzag mode is relatively robust upon
94 haematocrit increase, while the displacement mode finally breaks down.

95 Our study provides valuable insight into the DLD behaviour at larger haema-
96 tocrit, as summarised in section 5. The mechanism for the displacement break-
97 down is the increasing importance of *non-deterministic* effects due to particle
98 collisions. Therefore, we expect those findings to apply to essentially all sep-
99 aration mechanisms relying on deterministic sorting of dense suspensions of
100 particles of any kind. The key question to make DLD devices more suitable for
101 denser suspensions is how to reduce the failure probability in the displacement
102 mode. We believe that our study provides impetus to further research in the
103 field.

104 **2. Methods and geometry**

105 The employed numerical methods (section 2.1) and geometry (section 2.2)
106 are the same as in [17]. The major difference is the number of cells simulated
107 simultaneously to vary the volume fraction (section 2.3).

108 *2.1. Numerical methods*

109 Several research groups have simulated RBC suspensions in the past years
110 [20, 21, 22, 23, 24, 25, 26]. Here, we use the lattice-Boltzmann method (LBM) [27,
111 28] for the fluid, the finite-element method (FEM) [29, 30] for the RBC mem-
112 brane and the immersed-boundary method (IBM) [31] for the fluid-membrane
113 coupling.

114 We model the RBCs as biconcave closed membranes, meshed with 2,000
115 triangular surface elements. The RBCs have a radius of $r = 3.9 \mu\text{m}$ along their

116 major axis and a thickness of $2.4\ \mu\text{m}$. The elastic behaviour of the membrane
 117 is specified entirely by its shear, area dilation and bending moduli κ_s , κ_α and
 118 κ_b . We keep the dimensionless value of $\kappa_\alpha = 0.5$ and $\kappa_b/(\kappa_s r^2) = 2.5 \cdot 10^{-3}$
 119 constant, resulting in only one free parameter specifying the elastic behaviour
 120 of the RBCs. The dimensionless interior and exterior fluid viscosities are $\eta = \frac{5}{6}$
 121 and $\frac{1}{6}$, respectively.

122 All rigid boundaries (confining walls and obstacles of the DLD geometry) are
 123 modelled by the no-slip bounce-back method [32]. Membrane nodes experience a
 124 short-range repulsion near those boundaries and between each other to maintain
 125 a thin lubrication layer. We employ periodic boundary conditions along the y -
 126 axis and shifted boundary conditions along the x -axis to realise a finite row
 127 shift.

128 A constant force density mimicking a pressure gradient drives the flow along
 129 the x -axis. Additionally we impose a variable pressure gradient along the y -axis
 130 which counteracts any non-zero average flow along that axis. The reason for this
 131 measure is that in real DLD devices there are also confining walls in y -direction
 132 (we assume they are far away but existing). Due to the continuity equation and
 133 incompressibility condition, fluid cannot accumulate at those walls so that the
 134 average flow along the y -axis must vanish.

135 *2.2. Geometry*

136 We choose a shallow DLD device, with a height of $H = 4.8\ \mu\text{m}$. The large
 137 confinement along the z -axis forces the RBCs to align parallel with their major
 138 axis lying in the plane of the device (x - y -plane). This arrangement ensures that
 139 the RBCs are not susceptible to orientation-dependent separation [19]. The
 140 RBCs, however, remain deformable, which significantly influences their apparent
 141 size [17] and consequently their trajectories.

142 In accordance with most of the experimental work involving blood cells in
 143 DLD devices, we use a cylindrical pillar design for the obstacles as shown in
 144 Fig. 1. The pillar radius is $R = 10\ \mu\text{m}$, and the centre-to-centre distance is
 145 $\lambda = 32\ \mu\text{m}$. This leaves a gap size of $G = 12\ \mu\text{m}$ in between adjacent pil-
 146 lars. These device dimensions are kept invariant in all simulations. The only
 147 free geometrical parameter is the row shift d , which defines the dimensionless
 148 displacement parameter $\epsilon = d/\lambda$ and the angle $\alpha = \arctan \epsilon$.

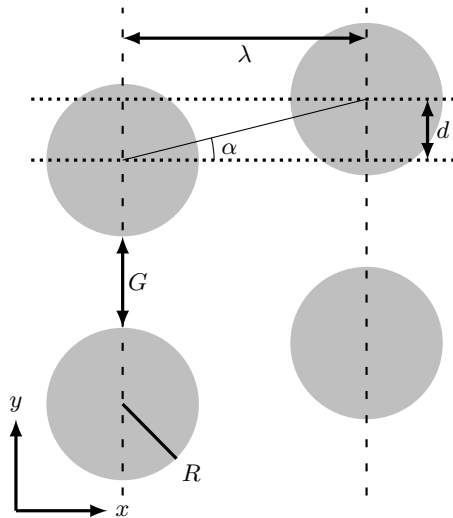


Figure 1: Employed DLD geometry with pillar radius R , centre-to-centre distance λ and row shift d , which define the gap size G and shift angle α . Reprinted with permission from T. Krüger, D. Holmes, P.V. Coveney, *Biomechanics*, Vol. 8, Page 054114, (2014). Copyright 2014, American Institute of Physics.

Table 1: Streamline separation distances s for selected row shift values d .

row shift d [μm]	displacement parameter ϵ	separation distance s [μm]
2.0	5/80	1.2
4.4	11/80	2.1
6.0	15/80	2.7

149 We previously identified the streamline separation distances for the current
 150 DLD layout [17]. The distances obtained for the row shifts d used in the present
 151 work are collected in Table 1.

152 2.3. Simulations

153 We use the capillary number

$$Ca = \frac{p' \ell r}{\kappa_s} \quad (1)$$

154 as dimensionless measure for the RBC deformation in the ambient flow field.
 155 The length $\ell = \sqrt{GH}$ is the geometric average of the width and height of the
 156 gap between two neighbouring pillars, and p' is the pressure gradient along the
 157 x -axis. Inertial effects are negligible [17].

158 The simulation domain encloses a single pillar of the DLD device and consists
 159 of $80 \times 80 \times 12$ lattice units along the x -, y - and z -axes, respectively. This

160 gives a lattice constant of $\Delta x = 0.4 \mu\text{m}$ and an undeformed RBC diameter of
161 $19.6 \Delta x$. By simulating only one pillar, we assume that the entire device is
162 filled with RBCs at the specified volume fraction. This simplification makes the
163 simulations feasible in the first place, while capturing the essential DLD device
164 properties [17].

165 We previously mapped out the parameter space for deformability-based RBC
166 separation [17]. As a result, we obtained a line in the Ca - d parameter space
167 which separates regions with RBCs moving on zigzag or displaced trajectories.
168 However, only a single RBC, *i.e.* the dilute limit, was considered. Here, we use
169 the known parameter map as a guide to investigate the effect of RBC volume
170 fraction on the separation efficiency.

171 The relevant simulation parameters to explore are d (device geometry), Ca
172 (RBC deformability) and Ht (haematocrit, RBC volume fraction). We consider
173 five different Ht -values (8.0, 16.1, 32.2, 40.2 and 45.6%; the latter being close to
174 the physiological value in humans). Due to the periodic boundary conditions, we
175 achieve these volume fractions with particle counts between 3 and 17. All RBCs
176 in a simulation have the same elastic properties. The initial RBC positions and
177 orientations are chosen arbitrarily, only taking care to avoid overlap with walls
178 and other cells. All simulations with identical Ht share the same initial state.
179 For each considered volume fraction we have simulated three different row shifts
180 ($d = 2.0, 4.4$ and $6.0 \mu\text{m}$) and three different capillary numbers ($Ca = 0.2, 0.5$
181 and 1.0) by varying the shear modulus κ_s . Therefore, we have run and analysed
182 $5 \times 3 \times 3 = 45$ simulations in total. The shear modulus for healthy RBCs is
183 $\kappa_s = 5.3 \mu\text{N/m}$. Thus, in order to achieve Ca between 0.2 and 1.0 , we would
184 require real-world pressure gradients between 35 and 175 kPa/m .

185 **3. DLD operation modes**

186 A DLD device designed to separate cells (*e.g.* white blood cells or platelets)
187 from an RBC background can be classified into two cases (operation modes):
188 one where the RBCs move in the displacement mode and the other where they
189 are expected to move in the zigzag mode. This is illustrated in Fig. 2. As we will
190 demonstrate in the subsequent discussion, the behaviour of the cells in either
191 mode is different from the other. In view of this fact, we briefly discuss the

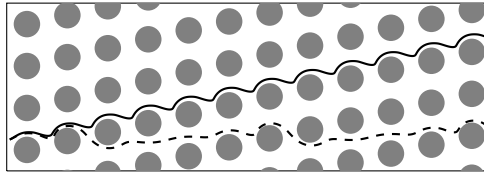


Figure 2: Operation modes of a DLD device for particle separation from a background species (*e.g.* RBCs). In the displacement mode, the particles of the background species are forced on displaced trajectories (solid line) while the desired *smaller* particles are expected to move horizontally on average. In the zigzag mode, the device is designed such that particles of the background species move on zigzag trajectories (dashed line) while the desired *larger* particles move diagonally. The former mode may be used for separation of platelets from RBCs while the latter is usually employed for the separation of white blood cells from RBCs.

192 displacement and zigzag modes separately. In section 4, we will show how the
 193 RBC volume fraction affects both operation modes.

194 • **Zigzag mode:** As the name suggests, in the zigzag mode a cell follows
 195 a zigzag path through the device as it moves downstream (dashed line
 196 in Fig. 2). In this setup a single cell with a diameter smaller than the
 197 critical diameter travels along the flow streamlines, only moving around
 198 the pillars in order to compensate for the row shift. Thus, we expect the
 199 mean lateral displacement of the cell from its starting position to be zero
 200 at the outlet of a well designed DLD device. In order to achieve zero net
 201 displacement, a particle has to fall down to a lower lane (*i.e.* a diagonal
 202 along the pillars) every $n = \lambda/d$ pillar encounters. This is nicely borne
 203 out in Fig. 2 (dashed line). For example, for a row shift $d = 6.0 \mu\text{m}$ and a
 204 centre-to-centre distance $\lambda = 32 \mu\text{m}$, we find $n = 32/6.0 \approx 5.3$.

205 • **Displacement mode:** In the displacement mode the cell diameter is
 206 larger than the critical diameter. Therefore, the cell is laterally shifted
 207 at each pillar, along the array inclination, as it flows downstream in the
 208 device (solid line in Fig. 2). Ideally, a particle in the displacement mode
 209 always remains in one single lane. In contrast to the zigzag mode, in this
 210 setup a cell is forced to interact with a pillar at every subsequent column.
 211 The expected lateral displacement is the product of the row shift d and
 212 the number N of pillars crossed.

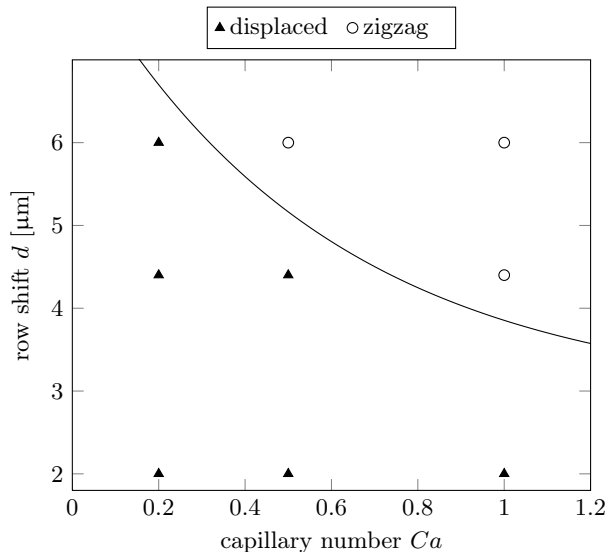


Figure 3: Considered parameter space. We have simulated three different row shifts ($d = 2.0, 4.4, 6.0 \mu\text{m}$), each at three capillary numbers ($Ca = 0.2, 0.5, 1.0$). Based on the results from [17], we know that three of those data points lead to zigzag trajectories (circles) and the other six to displaced trajectories (triangles) in the dilute limit. The solid line indicates the separation of zigzag (above) and displaced trajectories (below), as identified in [17].

213 Zigzag is the natural state in a DLD device, displacement is not. On the
 214 one hand, fluid particles (*e.g.* water molecules) and other small particles always
 215 follow zigzag trajectories on average. Their mean lateral motion is not affected
 216 by the presence of the obstacles, as long as the pressure gradient is along the
 217 device horizontal. On the other hand, the displacement mode results from non-
 218 hydrodynamic volume exclusion effects forcing a sufficiently large particle to
 219 jump to another streamline at each obstacle.

220 Based on our previous analysis [17], we know that the following parameters
 221 lead to zigzag trajectories of a single RBC: $(d, Ca) = (4.4 \mu\text{m}, 1.0)$, $(6.0 \mu\text{m}, 0.5)$
 222 and $(6.0 \mu\text{m}, 1.0)$. All other simulated parameter combinations lead to displaced
 223 trajectories. These results are summarised in Fig. 3.

224 In order to analyse the performance of the DLD devices upon an increase in
 225 volume fraction, we define four imaginary outlets of equal width for collecting
 226 the RBCs after the 100th encountered pillar ($N = 100$). This arbitrary number
 227 is a compromise between the desired statistics and simulation runtime consider-
 228 ations. The outlet layout in relation to the device geometry is shown in Fig. 4.

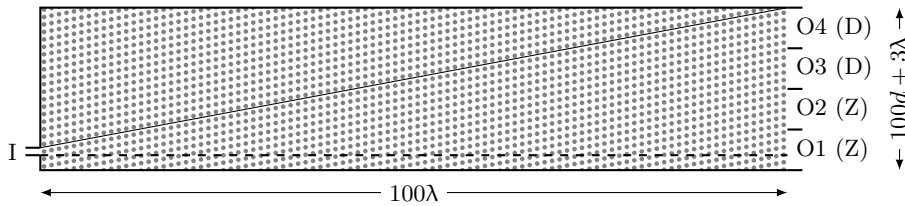


Figure 4: Definition of the DLD outlets. We define four imaginary equisized outlets at $x = 100\lambda$ (O1–O4). O1 and O2 are supposed to collect zigzag (Z) cells, O3 and O4 displaced (D) cells. The total outlet width is $100d + 3\lambda$ ($d/\lambda = 0.1875$ for this figure). Note that we have extended the outlet width below the horizontal (dashed line) by 2λ since a few cells tend to be negatively displaced (Fig. 6). The solid diagonal line indicates the trajectory of an ideally displaced particle entering at the inlet (I) and staying in a single lane.

229 It is obvious that the total outlet width is different for different row shifts d
 230 at the 100th pillar. We track individual RBC trajectories and make sure that
 231 the simulations run until all cells have encountered at least 100 pillars (3.2 mm
 232 downstream distance).

233 We emphasise that the above outlet definition is convenient for our analysis,
 234 but it does not necessarily reflect the requirements of a typical experiment.
 235 Real devices may have fewer or more outlets or outlets with different sizes or
 236 outlets after a different number of pillars. This, however, does not make our
 237 observations and conclusions any less relevant.

238 4. Results and discussion

239 We present our numerical results with a particular focus on the effect of
 240 the volume fraction Ht . After discussing the RBC trajectories in section 4.1,
 241 we introduce and analyse the failure event probabilities of RBCs (section 4.2).
 242 Finally, we investigate the spatial distribution of RBCs in the outlets of the
 243 DLD device (section 4.3).

244 4.1. Cell trajectories

245 Fig. 5 and Fig. 6 depict the RBC trajectories in the DLD device. We show
 246 representative data for two different volume fractions: $Ht = 8.0\%$ (3 simulated
 247 cells) in Fig. 5 and $Ht = 45.6\%$ (17 simulated cells), which is close to the
 248 physiological value, in Fig. 6. The x - and y -axes are normalised by the pillar-to-
 249 pillar distance λ so that the label on the x -axis indicates the number of pillars

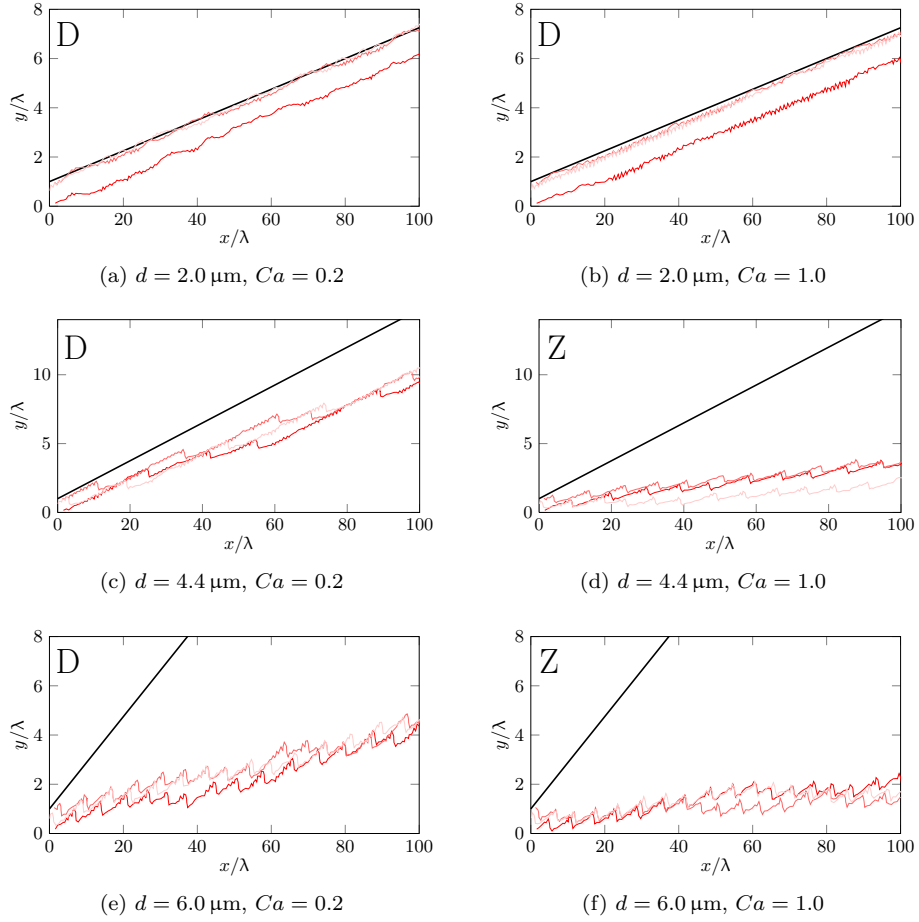


Figure 5: Cell trajectories (different red shades for individual cells) for $Ht = 8.0\%$. The black solid line indicates the inclination of the DLD lanes. Expected operation modes are designated by D for displacement or Z for zigzag according to Fig. 3. Both axes are normalised by the pillar-to-pillar distance λ . Note that the x - and y -axes are not shown to scale.

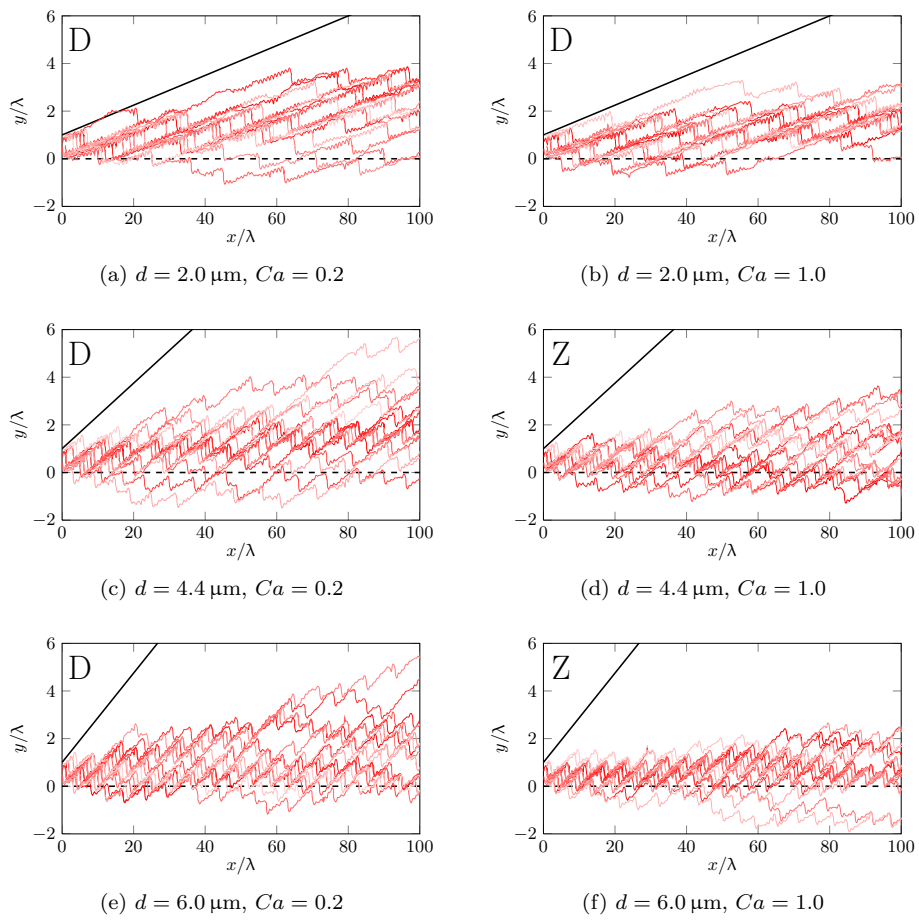


Figure 6: Cell trajectories (different red shades for individual cells) for $Ht = 45.6\%$. The dashed line indicates the device horizontal. See Fig. 5 for further explanations. Note that the x - and y -axes are not shown to scale.

250 passed by the cells. Both figures consist of a set of subfigures with different row
 251 shifts ($d = 2.0, 4.4, 6.0 \mu\text{m}$, varied row-wise) and different capillary numbers
 252 ($Ca = 0.2, 1.0$, varied column-wise). The expected operational mode (Z for
 253 zigzag or D for displacement, *cf.* Fig. 3) for a single RBC is marked in each
 254 subfigure. Small jumps along the y -axis indicate cells passing over and above a
 255 pillar. Sharp intermittent dips in negative y -direction show how cells pass below
 256 a pillar and fall down to another lane.

257 From Fig. 5 and Fig. 6 we see that, except for $d = 2.0 \mu\text{m}$ at $Ht = 8.0\%$,
 258 all RBCs fail to follow their designated trajectories: cells are neither in a pure
 259 displacement nor a pure zigzag mode. At $Ht = 45.6\%$, the cells display a large
 260 scatter about their mean lateral position. Since cell scatter, which is caused
 261 by cell collisions, is not deterministic, it is an indicator for a reduced DLD
 262 predictability and efficiency. At the outlet ($x/\lambda = 100$), the maximum cell
 263 scatter is as large as $\approx 7\lambda$ for $Ht = 45.6\%$ (Fig. 6(c,e)), whereas for $Ht = 8.0\%$
 264 the cell scatter is $\approx \lambda$ and therefore relatively small (Fig. 5).

265 An increase in volume fraction results in cells deviating from their expected
 266 ideal mean trajectory that is followed by a single cell in the device under the
 267 same conditions. A DLD operation mode can be termed “robust” if most of the
 268 cells end up in their designated outlets and cellular separation can therefore be
 269 achieved. We observe that, in the zigzag mode, the mean outlet cell position
 270 does not deviate more than $\approx 3\lambda$ from the initial position. Therefore, the zigzag
 271 mode is relatively robust upon an increase of volume fraction. However, the
 272 lateral mean position in displacement mode is far from the expected one and
 273 varies with d and Ca . This means that the displacement mode is not robust.

274 Based on the phase space in Fig. 3, we expect the zigzag mode for $Ht =$
 275 45.6% with $d = 4.4, 6.0 \mu\text{m}$ and $Ca = 1.0$ (Fig. 6(d,f)). Indeed we observe only
 276 relatively small undesired lateral cell displacements. On average, these cells
 277 travel along near horizontal trajectories and could be collected within the first
 278 device outlet. This leaves device outlets farther away from the horizontal free
 279 for collection of larger sized particles (*e.g.* white blood cells).

280 The situation is different for the expected displacement mode. In Fig. 6(a–
 281 c,e) we observe that the displacement mode deteriorates significantly with in-
 282 creased volume fraction. In fact, at $Ht = 45.6\%$, the mean cell trajectory

283 direction is close to the horizontal. This would make it difficult to separate
284 smaller particles (*e.g.* platelets) from a background of dense RBCs.

285 4.2. Failure rates

286 We define a *failure* as an event when a cell takes a “wrong turn”. For example,
287 a failure in the displacement mode (displacement failure) means that a cell is
288 not bumped up and changes the streamline but drops to a lower lane. Each
289 failure is associated with a lateral displacement penalty. A displacement failure
290 leads to a particle being located by λ lower than its expected lateral position,
291 so $-\lambda$ is the lateral penalty for a single displacement failure.

292 The zigzag mode behaves differently because a particle on a zigzag trajec-
293 tory experiences a number of displacement events followed by one zigzag event.
294 Therefore, there are two different failure types in the zigzag mode: one where a
295 cell is bumped up once too often, the other when it falls to a lower lane once too
296 often. In any case, each failure leads to an unexpected lateral cell displacement
297 by about $\pm\lambda$, so the penalty is the same, up to its sign, for all failure modes.

298 The crucial point is that both zigzag failure modes tend to cancel each other
299 because they lead to an unexpected displacement in *different directions*. One
300 occurrence of the first failure mode compensates for one occurrence of the other
301 failure mode. This is completely different for the displacement mode where
302 cells can only drop to a lower lane, but we have never observed a cell jumping
303 an entire lane up. Therefore, displacement failures always accumulate while
304 zigzag failures can annihilate each other. This makes the displacement mode
305 susceptible to a breakdown when the failure rate increases. Obviously, as shown
306 in section 4.1, this happens for increasing volume fractions where cell collisions
307 lead to less deterministic behaviour.

308 Our observation suggests that the zigzag mode survives at higher volume
309 fractions because both failure modes approximately cancel each other on aver-
310 age. However, individual cells still behave differently. Some experience more
311 failures with a $+\lambda$ penalty, others more with a $-\lambda$ penalty. This leads to the
312 lateral cell scatter in the trajectory plots (Fig. 6(d,f)).

313 4.2.1. Zigzag failure

314 In order to quantify the effect of the device parameters on the cell trajec-
315 tories, we define failure probabilities. Let Δ_y be the lateral displacement error

316 for a cell in the zigzag mode at the N^{th} column. This means that the cell is
 317 laterally displaced by Δ_y compared to its expected position. A cell travelling
 318 on its expected trajectory obviously yields $\Delta_y = 0$. For cells moving too far up
 319 (positive y -direction) we get $\Delta_y > 0$, for those moving too far down we have
 320 $\Delta_y < 0$.

321 Introducing failure probabilities $p_{f,Z}^+$ and $p_{f,Z}^-$ for both zigzag failure modes
 322 ($p_{f,Z}^+$ corresponds to an event where the cell is bumped above a pillar once too
 323 often, which leads to a positive lateral shift, while $p_{f,Z}^-$ indicates that the cell
 324 falls down to a lower lane once too often), we can write

$$\Delta_y = N\lambda \left(p_{f,Z}^+ - p_{f,Z}^- \right). \quad (2)$$

325 This equation means that a cell may experience a failure at each of the N
 326 columns with probability $p_{f,Z}^\pm$. Each failure contributes with $\pm\lambda$ to the displace-
 327 ment Δ_y as discussed in section 4.1. Note that both zigzag failure modes tend
 328 to cancel each other, so only the net failure probability $p_{f,Z} = p_{f,Z}^+ - p_{f,Z}^-$ will
 329 affect the final lateral cell position. The probability $p_{f,Z}$ is a measure of the
 330 intrinsic failure mechanism for a given device under the given flow conditions
 331 (Ca , Ht).

332 In the zigzag mode, let N_{up} and N_{dn} be the number of times a cell chooses
 333 to move above or below a pillar obstacle encountered in the flow. Furthermore,
 334 let N_{up}^0 and N_{dn}^0 be the number of times a single cell would flow above or below
 335 a pillar obstacle in an ideal zigzag mode. It is clear that the total number of
 336 events equals the number N of pillars encountered in any case:

$$N = N_{\text{dn}}^0 + N_{\text{up}}^0 = N_{\text{dn}} + N_{\text{up}}. \quad (3)$$

337 Furthermore, we know from the zigzag design requirements that

$$\frac{N_{\text{dn}}^0}{N} = \frac{d}{\lambda} = \frac{1}{n}, \quad (4)$$

338 where n is the period for the row shift of the device. The last equation reflects
 339 the fact that on average a cell on a perfect zigzag trajectory does not have a
 340 lateral net displacement. In other words, the ratio of N_{dn}^0 to N_{up}^0 is uniquely
 341 determined by the device geometry (d and λ).

342 In practice, we calculate the net zigzag failure probability $p_{f,Z}$ for each indi-
 343 vidual cell *via* eq. (2) and the observed values for Δ_y at $x = 100\lambda$ ($N = 100$).

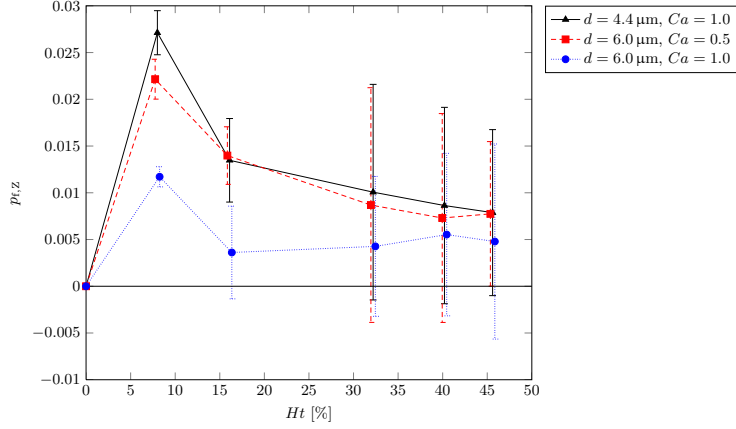


Figure 7: Zigzag failure probability $p_{f,Z}$ as function of the volume fraction Ht for different parameters d and Ca . Error bars indicate the standard deviation obtained from the cell ensemble in each simulation. Data points are slightly shifted along the Ht -axis to avoid overlap of error bars. Lines connecting the data points are guides for the eyes.

344 This allows us to compute the average and variance over all the cells in a par-
 345 ticular simulation. In terms of number of events, we can also write

$$p_{f,Z} = \frac{N_{\text{dn}}^0 - N_{\text{dn}}}{N} = \frac{N_{\text{up}} - N_{\text{up}}^0}{N}. \quad (5)$$

346 Fig. 7 depicts the average zigzag failure probability $p_{f,Z}$ as function of Ht
 347 for various parameters (d , Ca). The error bars in Fig. 7 show the standard
 348 deviation as obtained from the cell ensemble and therefore represent the cell
 349 scatter at the outlet. We see that the net failure probability $p_{f,Z}$ is small and
 350 remains below 3% for all investigated data points. We also observe that the
 351 failure probability increases sharply with a small increase in the volume fraction
 352 and then, with further increase in Ht , drops to a near constant value at high
 353 volume fractions. This underlines the relative stability of the zigzag mode at
 354 high volume fractions.

355 The reason for this stability is that at higher volume fractions the RBCs
 356 tend to occupy most of the operational volume in the device. Their behaviour
 357 is therefore akin to the fluid molecules flowing through the device, which is the
 358 natural state for a DLD device. The relatively densely packed RBCs therefore
 359 tend to follow the natural division of streamlines.

360 The standard deviation of $p_{f,Z}$ is seen to increase with Ht . This is probably
 361 due to the related increase of the collision rate between cells. For a practical

362 device this suggests the requirement for an outlet with greater width for RBC
 363 collection.

364 Cell collisions tend to make the system less deterministic. We expect that
 365 systems with parameters (d, Ca) close to the separation line in Fig. 3 are less
 366 robust upon an increase of Ht than those systems which are farther away from
 367 the separation line. Indeed, from Fig. 7 we see that the data point ($d = 6.0 \mu\text{m}$,
 368 $Ca = 1.0$) leads to a lower failure rate than the other two data points which
 369 are closer to the separation line in Fig. 3. This interpretation will be again
 370 corroborated in section 4.2.2. We conclude that, at larger volume fractions
 371 and therefore lower determinacy, there is no longer a sharp separation between
 372 displacement and zigzag modes. Therefore, the critical separation line loses its
 373 original meaning and can only be used as a reference.

374 4.2.2. Displacement failure

375 For a single cell in an ideal displacement mode, all pillar encounters lead to
 376 a bumping event and we have $N_{\text{dn}}^0 = 0$ and $N_{\text{up}}^0 = N$. Therefore, there cannot
 377 be more bumping events as ideally expected, so $p_{\text{f,D}}^+ = 0$. This means that
 378 there is only one failure mode and only one corresponding failure probability
 379 $p_{\text{f,D}} = p_{\text{f,D}}^-$. We can write the displacement error with respect to the expected
 380 lateral position as

$$\Delta_y = -N\lambda p_{\text{f,D}}. \quad (6)$$

381 Note the minus sign indicating that a displacement failure always leads to a
 382 downward motion, toward the horizontal. This can also be written in terms of
 383 events as

$$p_{\text{f,D}} = \frac{N_{\text{up}}^0 - N_{\text{up}}}{N} = \frac{N_{\text{dn}} - N_{\text{dn}}^0}{N} = \frac{N_{\text{dn}}}{N}. \quad (7)$$

384 As for the zigzag mode, we compute the displacement failure probability for
 385 individual cells, which gives us access to the mean and standard deviation of
 386 the cell ensemble.

387 In Fig. 8 the average displacement failure probabilities are plotted as func-
 388 tion of Ht for different combinations of d and Ca . In addition, the *limiting*
 389 *displacement failure probability* $p_{\text{f,D}}^\infty$ for each row shift d is shown as a hori-
 390 zontal line. $p_{\text{f,D}}^\infty$ corresponds to the case where the cells effectively move along
 391 the horizontal and is given by $p_{\text{f,D}}^\infty = d/\lambda$. This is equivalent to the complete

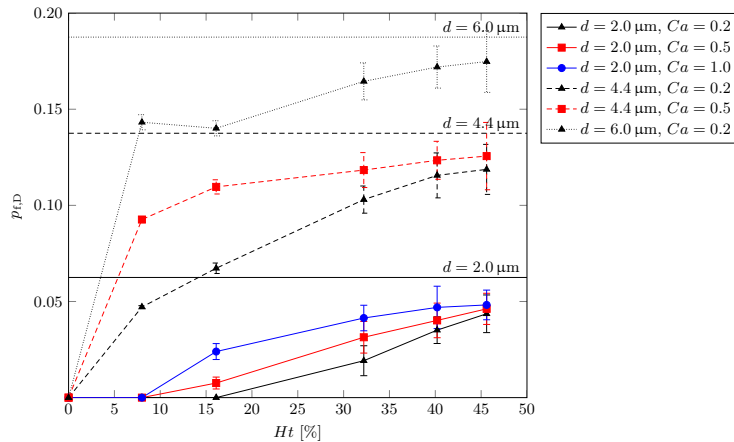


Figure 8: Displacement failure probability $p_{f,D}$ as function of the volume fraction Ht for different parameters d and Ca . Error bars indicate the standard deviation obtained from the cell ensemble in each simulation. Lines connecting the data points are guides for the eyes. The horizontal lines indicate the limiting displacement failure probability $p_{f,D}^{\infty} = d/\lambda$ for each value of d (solid for $d = 2.0 \mu\text{m}$, dashed for $d = 4.4 \mu\text{m}$ and dotted for $d = 6.0 \mu\text{m}$).

392 breakdown of the displacement mode and obviously the worst-case scenario for
 393 a DLD device intended to be run in displacement mode.

394 From Fig. 8 we see that $p_{f,D}$ increases monotonically with Ht for all com-
 395 binations of d and Ca and asymptotically approaches $p_{f,D}^{\infty}$. The highest failure
 396 rate is observed at the maximum haematocrit value studied ($Ht = 45.6\%$). In
 397 this scenario, we find RBCs nearly everywhere in the DLD device. As explained
 398 in the previous section, under these circumstances, the cellular flow closely re-
 399 sembles the behaviour of a continuum fluid in the device. When the cells become
 400 densely packed, not all of them can undergo displacement at every pillar crossed.
 401 Every cell missing a displacement event increases the failure probability. There-
 402 fore, at high volume fractions, the cells tend to approach the horizontal and
 403 move on near-zigzag trajectories, which in turn leads to the breakdown of the
 404 displacement mode.

405 At lower volume fractions (Fig. 8), Ca has a significant effect on $p_{f,D}$ for
 406 fixed d . In contrast, at higher volume fractions, the probability $p_{f,D}$ converges
 407 for various Ca -values at given row shifts d . Therefore, at high volume fractions,
 408 the failure probability is no longer a function of Ca . This shows that effects due
 409 to dense cell packing become more important than cell deformability.

410 Generally, the variation of $p_{f,D}$ with d and Ca can be correlated with the
 411 distance of the (d, Ca) point from the critical separation line in Fig. 3. For the
 412 zigzag case in section 4.2.1, we found that points closer to the critical separation
 413 line have higher values of $p_{f,Z}$. Here, for the displacement mode, the same
 414 argument holds, as long as $p_{f,D}$ is normalised by its asymptotical value $p_{f,D}^\infty$. This
 415 indicates again that those systems which are closer to the critical separation line
 416 are less robust upon an increase of Ht .

417 We also see from Fig. 8 that the failure probability $p_{f,D}$ increases with d at all
 418 volume fractions. Therefore, we postulate that failure events in the displacement
 419 mode generally become rarer with decrease in row shift d . Why is this the
 420 case? For larger values of d , the critical particle separation radius becomes
 421 larger (*cf.* Tab. 1). Therefore, there are more particles in the device that are
 422 potentially close to the streamlines passing below the next pillar. This means
 423 that more particles can accidentally be pushed by neighbouring particles onto
 424 such a streamline and subsequently fall down to a lower lane.

425 It is misleading to claim that a smaller value of d will make DLD devices
 426 more robust, though. DLD devices with small d are typically longer, *i.e.* N is
 427 larger. This is so because at small d a particle has to be displaced many times in
 428 order to achieve a significant lateral displacement at the outlet. We can assume
 429 that the required N for separation typically scales with $1/d$. It is important to
 430 note that the relevant failure indicator is the product $p_{f,D}N$. If N grows faster
 431 than $p_{f,D}$ decreases upon decreasing d , the device will not be more robust in
 432 the end. Therefore, a design criterion for an improved DLD device operating at
 433 large Ht is to reduce the product $p_{f,D}N$.

434 4.3. Outlet distributions

435 The failure probabilities by themselves do not present a complete picture of
 436 the effectiveness of the device. To reveal the robustness of the device we count
 437 the number of cells in each of the four outlets (*cf.* section 2.3) and show the
 438 cell count histograms in Fig. 9. We present the histograms for $Ht = 16.1\%$ and
 439 45.6% at $d = 0.2, 4.4, 6.0 \mu\text{m}$ and $Ca = 0.2, 1.0$. For the sake of a simpler
 440 comparison, the histograms are normalised by the maximum outlet width and
 441 the total number of cells collected at the outlets.

442 We consider the DLD device as robust if all cells supposed to travel on

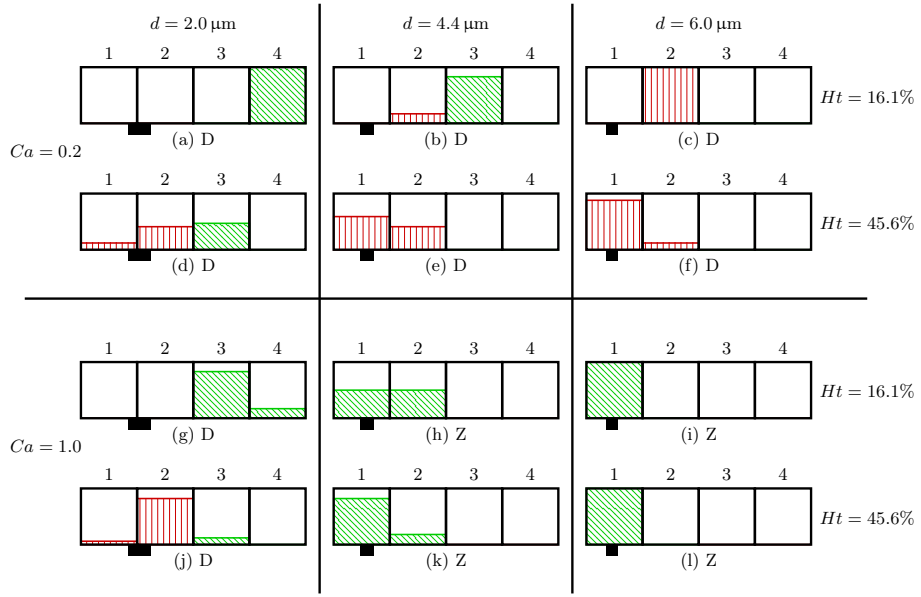


Figure 9: Histograms of RBC outlet distributions for various control parameters. The row shift assumes the values $d = 2.0 \mu\text{m}$ (left column), $d = 4.4 \mu\text{m}$ (middle column) and $d = 6.0 \mu\text{m}$ (right column). The first and second rows show the results for $Ca = 0.2$, the third and fourth rows the results for $Ca = 1.0$. The volume fraction is $Ht = 16.1\%$ (first and third rows) and $Ht = 45.6\%$ (second and fourth rows). The expected operation mode is indicated (D for displacement or Z for zigzag, *cf.* Fig. 3). The inlet position relative to the total outlet width is marked as a black box at the bottom of each histogram (*cf.* Fig. 4). Outlets 1 and 2 are supposed to collect zigzag cells, outlets 3 and 4 displaced cells. Slanted hatching with green colour indicates successful and red-coloured vertical hatching erroneous collection of cells into their expected outlets.

443 zigzag trajectories are collected in outlets 1 and 2, whereas all cells expected to
444 be displaced are collected in outlets 3 and 4. This definition would still allow for
445 successful separation of particles in a real-world device. Note that the values of
446 d and Ca already decide whether the cells are supposed to be displaced or not
447 (*cf.* Fig. 3).

448 We observe that, for the zigzag mode at $Ht = 16.1\%$ (Fig. 9(h,i)), all RBCs
449 are collected in their designated outlets 1 and 2. When we increase the volume
450 fraction to $Ht = 45.6\%$ (Fig. 9(k,l)), still all cells end up in the expected outlets
451 1 and 2. This may allow for larger particles to be displaced into outlets 3 and
452 4 from a background of RBCs even at high volume fractions.

453 However, the RBCs in the displacement mode reveal a different picture.
454 In Fig. 9(a,b,g), at $Ht = 16.1\%$, (nearly) all RBCs are displaced into their
455 designated outlets. But, when the row shift d is increased to $6.0\mu\text{m}$, there is
456 total failure to obtain RBCs in outlets 3 and 4 (Fig. 9(c)) even at rather dilute
457 16.1% volume fraction. With an increase in the volume fraction to 45.6% , we
458 get about 50% correctly collected RBCs at a low row shift, $d = 2.0\mu\text{m}$. This
459 deteriorates quickly when d is increased, with all cells collected in the zigzag
460 outlets (1 and 2) at $d = 4.4$ and $6.0\mu\text{m}$ (Fig. 9(e,f)).

461 This evidence corroborates our postulate that a DLD device lends relatively
462 easily to separation of larger particles (such as white blood cells) from a back-
463 ground of RBCs designated to be in the zigzag mode. However, it will be more
464 difficult to achieve efficient separation at high volume fractions when the RBCs
465 are expected to be the displaced particle species in order to separate smaller
466 particles (such as platelets).

467 Fig. 8 and Fig. 9 suggest that already small volume fractions, around 10% ,
468 can significantly spoil the displacement mode efficiency, at least for larger val-
469 ues of d . The large displacement failure probability $p_{f,D}$ is the reason for this
470 behaviour. Since $p_{f,D}$ is governed by cell collisions which reduce the determi-
471 nacy of the device, we believe that this problem is not specific to the considered
472 device geometry and particle type (RBCs). It is rather a general problem for
473 all devices which rely on some kind of deterministic displacement of any type of
474 particles (not only RBCs) interacting with obstacles. We therefore expect that
475 most DLD devices will not work reliably at large particle volume fractions.

476 Inglis et al. [33] experimentally observed a decreasing white blood cell en-
477 richment efficiency with increasing haematocrit in a DLD device (see Fig. 6 in
478 [33]). Apart from this result, we did not find discussions of the haematocrit
479 effect on the separation efficiency of blood components in the literature.

480 It is an open question how to keep the advantages of a DLD device (*determin-*
481 *istic* displacement of large particles) and at the same time avoid displacement
482 failures caused by *non-deterministic* particle collisions. Therefore, a key re-
483 quirement for more robust DLD devices is to reduce the displacement failure
484 probability $p_{f,D}$ at larger volume fractions. This may be achieved by optimising
485 the pillar shape or the size of the gaps between the pillars. We believe that DLD
486 devices will not be suitable for suspensions with high volume fractions (above
487 about 10–20%) before this problem is solved.

488 5. Summary and conclusions

489 Deterministic lateral displacement (DLD) devices are commonly used in mi-
490 crofluidics to separate particles based on their size or deformability, *e.g.* cells in
491 whole blood. Depending on its size, a particle experiences one of two possible
492 trajectory modes in a DLD device: “zigzag”, where a small particle follows the
493 fluid streamlines on average, and “displacement”, where larger particles bump
494 into obstacles in the flow and are forced on displaced trajectories. However,
495 DLD devices are designed for the treatment of relatively dilute suspensions.

496 In the present work, we examine the effect of red blood cell (RBC) volume
497 fraction (haematocrit Ht) on the performance of a DLD device *via* 3D simu-
498 lations based on the immersed-boundary, lattice-Boltzmann and finite-element
499 methods. In order to quantify failure in each mode, we analyse displacement
500 and zigzag failure probabilities. A failure event denotes a cell encounter with a
501 DLD obstacle which leads to a “wrong” outcome, *i.e.* the cell moves in a different
502 lateral direction than expected for a dilute suspension in the same device geome-
503 try. We find that the mean and standard deviations of these failure probabilities
504 are significant performance indicators for a DLD device.

505 Our main observation is that the displacement mode breaks down upon an
506 increase of the RBC volume fraction, caused by large failure probabilities. At
507 the same time, the zigzag mode shows relatively few failure events and is more

508 robust at higher volume fraction. We find that, in contrast to the displacement
509 failure, the mean zigzag failure probability seems largely independent of the
510 haematocrit. This difference stems from the fact that, in the zigzag mode, a
511 cell suffers from two failure modes which tend to cancel each other. In the
512 displacement mode, however, there exists only one failure mode whose effect
513 accumulates over time.

514 Furthermore, we investigate at which lateral position the simulated RBCs
515 are found when they reach the end of the device. We define four outlet bins
516 and analyse the cell count in each outlet. We observe that RBCs expected in
517 the zigzag mode are essentially collected in the designated zigzag outlets, while
518 RBCs meant to travel on displacement trajectories fail to be collected in dis-
519 placement outlets at volume fractions above about 10–20%. As a consequence,
520 it seems to be easier to separate larger particles (*e.g.* white blood cells) from a
521 dense RBC background than smaller particles (*e.g.* platelets) from RBCs.

522 The essential reason for the breakdown of the displacement mode at larger
523 Ht is that DLD devices rely on *deterministic* processes while particle collisions
524 in dense suspensions are intrinsically *non-deterministic*. We therefore believe
525 that our results are not a peculiarity of the specific DLD geometry and choice
526 of red blood cells studied here, but that basically all separation devices relying
527 on deterministic displacement would suffer from any dense suspension effects.
528 This would make it difficult, if not impossible, to separate dense suspensions in
529 DLD devices without prior diluting.

530 The key for future applications of the DLD technique for dense suspensions,
531 blood in particular, is to understand how the failure probabilities are affected
532 by particle collisions and how this effect may be reduced, *e.g.* by novel obstacle
533 shapes.

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