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1 **Antimicrobial resistance risk factors and characterisation of faecal *E. coli* isolated from**
2 **healthy Labrador retrievers in the United Kingdom**

3

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16

16
17 **Abstract**
18

19 Antimicrobial resistant bacteria are increasingly detected from canine samples but few studies
20 have examined commensal isolates in healthy community dogs. We aimed to characterise faecal
21 *Escherichia coli* from 73 healthy non-veterinarian-visiting and non-antimicrobial treated
22 Labrador retrievers, recruited from dog shows in the North West United Kingdom between
23 November 2010 and June 2011. Each enrolled dog provided one faecal sample for our study. *E.*
24 *coli* were isolated from 72/73 (99%) faecal samples. Disc diffusion susceptibility tests were
25 determined for a range of antimicrobials, including phenotypic extended-spectrum beta-
26 lactamase (ESBL) and AmpC-production. PCR assay detected phylogenetic groups and
27 resistance genes (*bla*_{CTX-M}, *bla*_{SHV}, *bla*_{TEM}, *bla*_{OXA}, *bla*_{CIT}, *qnr*), and conjugation experiments were
28 performed to investigate potential transfer of mobile genetic elements. Multivariable logistic
29 regression examined potential risk factors from owner-questionnaires for the presence of
30 antimicrobial resistant faecal *E. coli*. Antimicrobial resistant, multi-drug resistant (≥ 3
31 antimicrobial classes; MDR) and AmpC-producing *E. coli* were detected in 63%, 30% and 16% of
32 samples, respectively. ESBL-producing *E. coli* were detected from only one sample and
33 conjugation experiments found that *bla*_{CTX-M} and *bla*_{CIT} were transferred from commensal *E. coli*
34 to a recipient strain. Most isolates were phylogenetic groups B1 and A. Group B2 isolates were
35 associated with lower prevalence of resistance to at least one antimicrobial ($P < 0.001$) and
36 MDR ($P < 0.001$). Significant at $P \leq 0.003$, was the consumption of raw meat for clavulanate-
37 amoxicillin (OR: 9.57; 95% CI: 2.0-45.7) and third generation cephalosporin resistance (3GCR)
38 (OR: 10.9; 95% CI: 2.2-54.0). AMR *E. coli* were surprisingly prevalent in this group of non-
39 antimicrobial treated and non-veterinarian-visiting dogs and consumption of raw meat was a
40 significant risk factor for antimicrobial resistance. These findings are of concern due to the
41 increasing popularity of raw-meat canine diets, and the potential for opportunistic infection,
42 zoonotic transmission and transmission of antimicrobial resistant determinants from
43 commensal isolates to potential pathogenic bacteria.

44

45 *Keywords: E. coli, Dogs, Multi-drug resistance, ESBL, AmpC, Phylogenetic group*

46 **1. Introduction**

47

48 *Escherichia coli* is the main aerobe of the gastro-intestinal flora in humans and other animals
49 (Tenailon et al., 2010), and has been widely studied as an indicator of antimicrobial selection
50 pressure (Gronvold et al., 2010). A stable gastrointestinal flora is important for health and acts
51 as a colonisation barrier against pathogens (Volgaard and Clasener, 1994; Dethlefsen et al.,
52 2007); this may be disturbed by a number of factors including disease, diet and antimicrobial
53 therapy (Stecher and Hardt, 2008; Jernberg et al., 2010; Vincent et al., 2010).

54

55 Of particular concern is the emergence and dissemination of extended spectrum beta-lactamase
56 (ESBL) and AmpC-producing *E. coli* that are resistant to a variety of beta-lactam antimicrobials
57 including third generation cephalosporins (3GCR) (Livermore and Hawkey, 2005; Thomson,
58 2010). Genes encoding these enzymes are carried on plasmids, often in conjunction with other
59 antimicrobial resistance determinants, enabling horizontal transmission of multidrug resistance
60 (MDR) (Zhao et al., 2001; Li et al., 2007; Karczmarczyk et al., 2011; Dahmen et al., 2012). ESBL-,
61 AmpC-producing and MDR *E. coli* have been detected in healthy (De Graef et al., 2004; Carattoli
62 et al., 2005; Costa et al., 2008; Wedley et al., 2011) and sick dogs (Carattoli et al., 2005; Pomba et
63 al., 2009; Gibson et al., 2011a), and increased detection has been associated with exposure to
64 antimicrobials and veterinary healthcare (Moreno et al., 2008; Damborg et al., 2011; Gibson et
65 al., 2011a, b).

66

67 *E. coli* populations include commensal and pathogenic strains. Compared to commensal strains,
68 pathogenic strains are more likely to carry a range of virulence genes that can facilitate disease
69 (Johnson and Russo, 2002; Nowrouzian et al., 2006). Gut colonisation by ExPEC (extra-intestinal
70 pathogenic *E. coli*) strains is a prerequisite for extra-intestinal infections. The gut of healthy

71 humans and other animals can be a reservoir of ExPEC strains (Johnson et al., 2003; Russo and
72 Johnson, 2003), which are potentially zoonotic (Johnson et al., 2009), and may be shared
73 between humans and pets within households (Johnson et al., 2008). Food, particularly chicken
74 meat, is also a potential source of ExPEC strains for humans and dogs (Johnson et al., 2007;
75 Johnson et al., 2009; Vincent et al., 2010).

76

77 Phylogenetic grouping is a simple and inexpensive method to investigate the genetic
78 background, potential pathogenicity, and antimicrobial resistance traits of *E. coli* isolates (Sato
79 et al., 2014). A PCR assay to assign *E. coli* isolates to four major phylogenetic groups: A, B1, B2
80 and D (Clermont et al., 2000) has been widely used and recently updated (Doumith et al., 2012).
81 Additionally, the original method (Clermont et al., 2000) has been revised (Clermont et al.,
82 2013) to assign isolates to eight different phylogenetic groups: A, B1, B2, C, D, E, F and
83 *Escherichia* Clade I. Phylo-groups B2, D, E and F are more likely to be involved in extra-intestinal
84 infections compared to A, B1 or C (Picard et al., 1999; Moissenet et al., 2010; Tenaillon et al.,
85 2010) and Clade isolates are thought to reside outside of the gut (Walk et al., 2009).

86

87 The distribution of these phylo-groups amongst different hosts is not heterogeneous and may
88 depend on characteristics such as body mass, diet and environment (Gordon and Cowling, 2003;
89 Escobar-Paramo et al., 2004; Tenaillon et al., 2010). In healthy humans, phylo-group A generally
90 predominates followed by B2, B1 and D, whereas in animals group B1 predominates followed
91 by A, B2 and D (Tenaillon et al., 2010). Diversity due to host diet has also been reported with
92 group A predominating in carnivores and omnivores, and group B1 in herbivores (Escobar-
93 Paramo et al., 2006; Baldy-Chudzick et al., 2008; Carlos et al., 2010).

94 Antimicrobial resistance has been linked to the non-B2 phylo-groups in people, cattle, pigs and
95 dogs (Johnson et al., 2003; Moreno et al., 2008; Johnson et al., 2009). In dogs, phylo-group D
96 isolates are more likely to be antimicrobial resistant, including fluoroquinolone, 3GCR and MDR
97 (Platell et al., 2011; Tamang et al., 2012; Sato et al., 2014) and group B2 are more likely to be

98 antimicrobial susceptible (Johnson et al., 2009; Platell et al., 2011; Sato et al., 2014). However
99 ESBL-producing fluoroquinolone resistant and MDR ExPEC strains, that further challenge
100 therapeutic regimes are emerging amongst human clinical isolates, and have been reported in
101 dogs (Russo and Johnson, 2003; Johnson et al., 2009; Platell et al., 2010).

102
103 Previous studies have concentrated on clinical isolates and the effects of potential risk factors,
104 in particular antimicrobial pressure. However, few studies have examined canine
105 gastrointestinal *E. coli* populations under natural conditions. The aim of this study was to
106 determine the prevalence of antimicrobial resistance and determine phylogenetic groups
107 amongst faecal *E. coli* from a group of healthy non-vet visiting and non-antimicrobial treated
108 dogs. In addition, we aimed to examine the association of these findings with potential risk
109 factors.

110

111 2. Methods

112

113 2.1 Study Population

114 The prevalence of antimicrobial resistant faecal *E. coli* in healthy non-antimicrobial treated and
115 non-veterinarian-visiting dogs was hypothesised to be low. Simple sample size estimates to
116 determine prevalence showed that with an expected prevalence of 5%, precision of 5% and
117 95% confidence, 73 dogs would be required. Labrador retriever dogs were recruited from two
118 dog shows in the North West UK between November 2010 and June 2011. One healthy dog of
119 any age was enrolled from each household following a clinical examination. Dogs that had
120 received topical or systemic antimicrobial therapy, had been admitted to a veterinary clinic
121 within the last 12 months, or were determined not to be healthy were excluded. All dog owners
122 gave written informed consent before enrolment in this study and completed a two-page
123 questionnaire regarding potential risk factors for the carriage of antimicrobial resistant bacteria
124 that was administered at recruitment by a veterinarian. Time to complete the questionnaire was

125 1-2 minutes and it was either submitted at recruitment or returned with the sample by first-
126 class post. The questionnaire had been previously used (Wedley et al., 2014) and consisted of
127 simple closed questions with tick box responses and space for additional information. A “Don’t
128 Know” response was included for all questions to enable the respondent to avoid answering
129 incorrectly if they were uncertain.

130 Data were collected regarding patient signalment and diet, the presence, number and type of in-
131 contact pets, previous medical history of the household (including antimicrobial therapy or
132 hospitalisation of people or other pets), and whether household members worked with farm
133 animals or in healthcare. The University of Liverpool, School of Veterinary Science Ethics-
134 Committee approved the study protocol.

135

136 *2.2 Specimen collection and bacterial isolation*

137 The next fresh faecal sample was collected in a sterile pot and submitted at enrolment or
138 returned by first-class post. Faecal samples were mixed with an equal volume of brain heart
139 infusion broth with 5% glycerol (BHI-G) on receipt. Each faecal homogenate was streaked onto
140 one eosin methylene blue agar (EMBA) plate without antimicrobials, one EMBA plate
141 impregnated with 1 µg/ml ceftazidime (CZ) and one EMBA plate impregnated with 1 µg/ml
142 cefotaxime (CX) (Liebana et al., 2006). In addition, one EMBA plate and one MacConkey’s agar
143 (MAC) plate were inoculated with the faecal homogenate for confluent bacterial growth and
144 seven antimicrobial discs [(10 µg ampicillin (Amp), 30 µg clavulanate-amoxicillin (AC), 1 µg
145 ciprofloxacin (Cip), 30 µg chloramphenicol (Chl), 30 µg nalidixic acid (Nal), 30 µg tetracycline
146 (Tet) and 2.5 µg trimethoprim (Tm)] were applied (Bartoloni et al., 2006). A further 500 µL of
147 faecal homogenate was enriched in 4.5 ml of buffered peptone water and plated onto EMBA
148 plates impregnated with CZ and CX, as above. All plates and broths were incubated aerobically
149 for 18 - 20 hours at 37°C. Three colonies, whose morphology resembled *E. coli*, were selected
150 from plain EMBA. One colony growing within the zone of inhibition around each antimicrobial
151 disc on both the EMBA and MAC and/or from the CX and/or CZ plates was selected if present.

152 Colonies were sub-cultured onto nutrient agar and incubated aerobically for 18 - 20 hours at
153 37°C. Gram stains and biochemical tests (catalase production, lack of oxidase, lactose
154 fermentation, indole production and inability to use citrate as a carbon source) to confirm *E. coli*
155 were performed on fresh overnight cultures. All antimicrobial discs were obtained from MAST
156 Group Ltd., Liverpool, UK, and the media from LabM Ltd, Bury, UK, and the CX and CZ powder
157 from Sigma-Aldrich Company Ltd., Gillingham, UK.

158

159 2.3 Antibiotic susceptibility testing

160 Antimicrobial susceptibility disc diffusion testing was performed according to British Society for
161 Antimicrobial Chemotherapy guidelines (BSAC; Version 11.1 May 2012) (Andrews and Testing,
162 2007) and the same panel of seven antimicrobial discs were applied. Plates were incubated
163 aerobically at 37°C for 18 - 20 hours. *E. coli* ATCC® 25922 (LGC Standards, Teddington, UK)
164 cultured overnight on nutrient agar at 37°C was used as a control.

165

166 2.4 Screening for phenotypic AmpC- and ESBL-producing *E. coli*

167 Isolates with beta-lactam resistance were tested for ESBL production (Extended Spectrum Beta-
168 Lactamase Set, MAST Group Ltd., Liverpool, UK) (M'Zali et al., 2000) and AmpC production
169 (AmpC detection set, MAST Group Ltd., Liverpool, UK) (Halstead et al., 2012). The plates were
170 incubated aerobically at 37°C for 18 - 20 hours. ESBL production was confirmed when the zone
171 around the cephalosporin disc was expanded in the presence of the clavulanic acid by a
172 minimum of 5 mm for ≥ 1 antimicrobial pairs. AmpC production was confirmed when the zone
173 of inhibition around disc C was greater than that for discs A and B by a minimum of 5 mm. *E. coli*
174 ATCC® 25922 (LGC Standards, Teddington, UK) cultured overnight on CAB at 37°C was used as
175 a control. All confirmed *E. coli* isolates were stored at - 80°C in Microbank vials (Pro-Lab,
176 Bromborough, UK) for further analysis.

177

178 2.5 Genotypic identification of *E. coli* and characterisation of resistance genes

179 PCR assays for the *uidA* gene (McDaniels et al., 1996) were used to confirm *E. coli*. Isolates with
180 phenotypic ESBL or AmpC-production were tested for the presence of *bla*_{CTX-M} (Batchelor et al.,
181 2005), *bla*_{SHV}, *bla*_{TEM} and *bla*_{OXA} (Dallenne et al., 2010). Isolates with *bla*_{CTX-M} were tested for CTX-
182 M group 1, 2 and 9 genes (Batchelor et al., 2005; Hopkins et al., 2006). Phenotypic AmpC
183 producers were tested for *bla*_{AmpC} gene (Perez-Perez and Hanson, 2002). All isolates were tested
184 for the presence of *qnrA*, *qnrB* or *qnrS* genes (Robicsek et al., 2006). PCR assays were
185 performed with 5 µL of bacterial DNA, 5 pmol of each primer, 4 µL of 5x FIREPol® Master Mix
186 (12.5 mM MgCl₂), 0.5 µl of FIREPol® DNA Polymerase 5 U/µl (Solis-Biodyne, Tartu, Estonia)
187 and water to make up to a total reaction volume of 25 µL. PCR products were analysed by
188 agarose gel (1.5%) electrophoresis and the DNA fragments were visualised under UV light after
189 peqGREEN (Peqlab, Fareham, UK) staining.

190

191 2.6 Phylogenetic groups

192 *E. coli* isolates were segregated into phylogenetic groups by PCR assay. In short, a multiplex PCR
193 for phylo-groups A, B1, B2 and D (Doumith et al., 2012) and for phylo-groups A, B1, B2, D, C, E, F
194 and Clade I (Clermont et al., 2013) were performed.

195

196 2.7 Conjugation experiments

197 MDR isolates, including two ESBL- and three AmpC-producing isolates, were tested for the
198 ability to transfer antimicrobial resistance determinants as previously described (Karczmarczyk
199 et al., 2011). Briefly, overnight cultures of the donor and recipient strains grown in 5 ml of
200 nutrient broth were mixed and incubated at 37°C for 18 hours. The transconjugants were
201 selected on MacConkey agar supplemented with 100 µg/ml rifampin along with 50 µg/ml
202 ampicillin, 50 µg/ml nalidixic acid, 30 µg/ml tetracycline, 50 µg/ml trimethoprim or 1 µg/ml of
203 cefotaxime (Sigma-Aldrich, UK). If present, up to three lactose-negative colonies were selected
204 from each plate onto nutrient agar and incubated at 37°C for 18 hours. Transconjugates
205 underwent antimicrobial susceptibility tests and PCR assay for *bla*_{AmpC} and *bla*_{CTX-M}.

206

207 *2.8 Statistical analysis*

208 Outcome data for antimicrobial resistance phenotype were collapsed to the sample level such
209 that a sample with at least one isolate that was resistant was classed as resistant for analysis.

210 Independent variables were created from the owner questionnaires. Except for the age of the
211 dog, all variables were dichotomous (Supplementary table 1). The six antimicrobial resistant

212 outcomes considered were: AMR (any resistance), clavulanate-amoxicillin (ACR), ciprofloxacin
213 (CipR), third generation cephalosporin (3GCR), beta-lactam resistance (BLR) and MDR

214 (resistance to ≥ 3 antimicrobial classes). Each resistance outcome was a yes/no variable.

215 Logistic regression examined the association between independent variables and all outcomes.

216 All variables were analysed in a univariable models and tested in multivariable models if P -

217 value < 0.25 . Final models were constructed by manual backwards stepwise procedures where

218 variables with a likelihood ratio P -value < 0.05 were retained. Prior to inclusion in the models,

219 age was categorised and each category was confirmed for linearity of the odds ratio. Collinearity

220 between explanatory variables was assessed using two-by-two tables and Pearson's Chi-square

221 test for independence (if $N > 5$), otherwise Fisher's exact tests were used. For variables with a

222 significant association ($P < 0.05$) only variables with the lowest P -value were considered for

223 inclusion in the multivariable models. *Sidak-Bonferroni* (Keppel, 2004) correction was used to

224 calculate a corrected P -value based on testing six antimicrobial resistance outcomes; hence

225 significance was set at $P < 0.0085$.

226

227 To examine the agreement of the published between two published methods for determining *E.*

228 *coli* phylogenetic groups at the isolate level (Doumith et al., 2012; Clermont et al., 2013), a $kappa$

229 statistic was calculated (Landis and Koch, 1977). Confidence intervals were calculated and

230 Fisher's exact tests were used to examine the association between antimicrobial resistance

231 outcome and phylogenetic group at the isolate level detected by the Doumith et al. (2012)

232 method. The corrected P -value (calculated as above) for 24 tests was 0.002.

233

234 Tests for *kappa* statistic, Pearson's Chi-square and binary logistic regression were performed
235 using SPSS software package (SPSS 20.0 for Mac, SPSS Inc, Chicago, Illinois). Tests for Fisher's
236 exact were performed using GraphPad Software 2014
237 (<http://graphpad.com/quickcalcs/contingency1.cfm>).

238

239 Results

240

241 3.1 Study population

242 In total, 73 Labrador retriever dogs were recruited providing one sample each: 3 to 12-months-
243 old (n = 21 dogs), >12 months to 24-months-old (n = 25), and > 2-years-old (n = 25). There were
244 35 female and 38 male dogs. Dogs were from 23 counties of England, Northern Ireland, Scotland
245 and The Isle of Man. The largest proportion (40%) was from the northwest of England.

246

247 3.2 Antimicrobial resistance

248 Faecal *E. coli* were isolated from 72 samples (99%; 95% CI: 92.6-99.8). Of these 46 samples had
249 at least one AMR *E. coli* and 22 samples had at least one MDR isolate (Figure 1). A high
250 prevalence of resistance to ampicillin and/or tetracycline and/or trimethoprim, and MDR was
251 observed. Only one sample carried MDR (AmpR, 3GCR, TetR, TMR) ESBL-producing faecal *E. coli*
252 (CTX-M group 1 and *bla*_{TEM}). Phenotypic AmpC-producing *E. coli* (n = 16 isolates) were detected
253 in 12 samples (16%; 95% CI: 9.7-26.6), but only three samples carried *bla*_{AmpC} gene (all CIT). *E.*
254 *coli* with *bla*_{SHV}, *bla*_{OXA} or *qnr* genes were not detected in any sample.

255

256 3.3 Phylogenetic groups

257 Table 1 shows the assignment of isolates to groups B1, B2, A and D for both methods (Doumith
258 et al., 2012; Clermont et al., 2013). Most isolates were of B1, followed by A, B2 and D

259 phylogenetic groups. Approximately three quarters of the isolates originally assigned to group A
260 by the Doumith method were reassigned to either group C (n = 39) or *Escherichia* Clades III-IV
261 (n = 4 isolates) by the Clermont method; nine isolates that were initially assigned to either
262 group B1 (n = 1), B2 (n = 3) or D (n = 5) were reassigned to group E. Three isolates, assigned to
263 group D by the Doumith method, were reassigned to group F, and two isolates originally
264 grouped as B1 were classed as unknown by the Clermont method. The agreement between the
265 two methods was very good for the assignment of phylo-groups B1 and B2, but only fair or
266 moderate for phylo-groups A and D, respectively (Landis and Koch, 1977).

267

268 3.1 Conjugation studies

269 In total, 23 isolates transferred resistance to the recipient strain including ESBL- and AmpC-
270 producing *E. coli* (Table 2).

271

272 3.2 Association between isolate phylo-group and antimicrobial resistance

273 Table 3 shows that the majority of the isolates in phylo-groups A, B1 and D were resistant to at
274 least one antimicrobial (AMR), particularly a beta-lactam. Group B2 did not contain isolates with
275 clavulanate-amoxicillin resistance, 3GCR or MDR and group B2 was associated with significantly
276 lower levels of AMR and MDR ($P < 0.001$) compared to the other groups. Ciprofloxacin
277 resistance was spread between the different phylo-groups.

278

279 3.3 Logistic regression: antimicrobial resistance with questionnaire data

280 All of the dogs in the study were fed dry dog food; however some were supplemented with
281 tinned dog food, home-cooked meats, proprietary dog treats, table scraps or raw meat. In
282 addition, 42 dogs regularly scavenged from the environment. Clavulanate-amoxicillin resistance
283 ($P = 0.003$) and 3GCR ($P = 0.002$) were associated with dogs eating raw meat (chicken, red-meat

284 and/or tripe), whilst consumption of proprietary dog treats appeared to be protective against
285 ciprofloxacin ($P = 0.003$) and MDR ($P = 0.001$) *E. coli* (Table 4).

286

287 3. Discussion

288

289 This study found an unexpected high prevalence of AMR (including MDR and phenotypic AmpC-
290 producers), amongst canine faecal *E. coli* from a group of healthy Labrador retrievers in the UK,
291 in the absence of direct antimicrobial selective pressure and veterinary premises contact.

292

293 The prevalence of MDR in this study was 30% of dogs (95% CI: 19.6 – 40.6). A previous healthy
294 dog study In North West UK reported a MDR prevalence of 15.3% of dogs (95% CI: 10.4-20.5)
295 (Wedley et al., 2011). This variation may be due to the five-year difference in sample collection
296 between the studies; prevalence of AMR may have increased in such populations over time, or it
297 may be due to differences in the sampled populations. The dogs in this study were regularly in
298 close contact, in confined spaces, with many other dogs and humans at dog shows all over the
299 UK. Sharing of faecal *E. coli* isolates may occur between dogs and between dogs and humans in
300 regular close proximity, including MDR resistant strains (Skurnik et al., 2006; Johnson et al.,
301 2008; Damborg et al., 2009; Stenske et al., 2009; Harada et al., 2011), and dogs housed together
302 in groups may have a higher prevalence of AMR faecal *E. coli* than individual dogs (De Graef et
303 al., 2004).

304

305 Only 5% of the dogs in this study had 3GCR faecal *E. coli* with either *bla*_{CTX-M} (1 dog) or *bla*_{AmpC}
306 (three of the 12 dogs with phenotypic AmpC production), in agreement a low prevalence (4%)
307 of healthy dogs with faecal *E. coli* harbouring these genes has previously been reported in the
308 UK (Wedley et al., 2011). Antimicrobial therapy with enrofloxacin or cefalexin has been
309 reported to select for faecal *E. coli* carrying *bla*_{CTX-M} or *bla*_{AmpC} (Moreno et al., 2008; Damborg et
310 al., 2011) and the absence of antimicrobial therapy of dogs in the current study may explain the

311 low prevalence. Ciprofloxacin resistance was uncommon, but when present corresponded with
312 MDR. This finding has been previously reported amongst canine clinical isolates, where it was
313 proposed that this was due to 'last-line' clinical use of fluoroquinolones in dogs (Platell et al.,
314 2011).

315
316 Group B1 was the most common phylo-group detected, followed by A, B2 and D, which concurs
317 with Tenaillon et al. (2010) who reviewed a number publications examining faecal *E. coli* from
318 various animal species. Damborg et al. (2009) also reported a predominance of phylo-group B1
319 in dogs (n = 13). However, in other studies a predominance of group B1 followed by group A has
320 been reported in farmed and wild herbivorous animals (Escobar-Paramo et al., 2006; Carlos et
321 al., 2010), whereas omnivorous and carnivorous animals had a predominance of A followed by
322 B1 (Escobar-Paramo et al., 2006). The base diet of the dogs in this study was proprietary dry
323 dog food, consisting of a combination of crude fibre and protein, equivalent to an omnivorous
324 diet. Hence, we expected the phylogenic diversity to be similar to that reported for other
325 domesticated omnivorous animals. High levels of crude dietary fibre found in some dog foods
326 could impact the abundance of certain phylo-groups, for example decrease cell densities of B2
327 strains compared to B1 strains (O'Brien and Gordon, 2011), although this variable was not
328 examined in the current study. Phylo-group B1 predominate in soil, sediment and water, the
329 secondary habitat of *E. coli* (Savageau, 1983; Walk et al., 2007), representing a potential source
330 for dogs that frequently drink, scavenge and/or orally explore their environments.

331
332 Previous studies have reported that antimicrobial resistant *E. coli* from humans and dogs are
333 less likely to be of phylo-group B2 (Johnson et al., 2003; Johnson et al., 2009; Platell et al., 2010;
334 Platell et al., 2011; Sato et al., 2014). Similarly in this study, group B2 isolates were less likely to
335 be antimicrobial resistant and there were no MDR, 3GCR or clavulanate-amoxicillin resistant
336 isolates in this group. The majority of the phylo-group D isolates were resistant to at least one
337 tested antimicrobial however this was not found to be statistically significant. Other studies

338 have reported a relationship between group D and fluoroquinolone resistance, 3GCR and MDR
339 (Deschamps et al., 2009; Platell et al., 2011; Tamang et al., 2012; Sato et al., 2014). These
340 associations were not made in this study, although this may have been affected by the low
341 prevalence of these resistance outcomes in phylo-group D, in particular fluoroquinolone
342 resistance.

343

344 There was very good agreement between the two methods for assigning isolates to groups B1
345 and B2 but only fair to moderate for groups A and D respectively. This was due to reassignment
346 of group A strains to group C, and group D strains to groups B1, E and F. Other studies have
347 compared multiplex PCR and MLST for phylogenetic grouping and reported 80-90% accuracy
348 for the multiplex method; mostly due to agreement for groups B1 and B2 rather than A and D
349 (Gordon et al 2008; Doumith et al 2012). Further comparison of the new Clermont multiplex
350 PCR method with MLST for phylo-grouping may find improved accuracy for assignment of
351 groups A and D. The new Clermont method for assigning phylogenetic groups classed 39
352 isolates as group C. Compared to commensal *E. coli* from other species (human, bird, non-human
353 mammals) (Clermont et al., 2013) this phylo-group appears to be prevalent in this group of
354 dogs, but further studies are required to confirm this finding. Phylo-groups E and F are potential
355 ExPEC strains (Tenailon et al., 2010) and were re-assigned from the other potential ExPEC
356 groups, D and B2.

357

358 Multivariable analysis found a relationship between consumption of raw meat diets (chicken,
359 red meat and/or tripe) and resistance to clavulanate amoxicillin or 3GCR. In contrast,
360 consumption of proprietary dog treats appeared to be protective against ciprofloxacin
361 resistance and MDR. Food, particularly chicken meat, has been reported as a possible source of
362 antimicrobial resistant bacteria, including ExPEC, for humans and dogs (Johnson et al., 2007;
363 Lefebvre et al., 2008; Johnson et al., 2009; Vincent et al., 2010). Both ESBL- and AmpC-
364 producing, and ciprofloxacin resistant *E. coli* have been isolated from chickens and pigs in Spain,

365 and the predominant phylo-groups reported from chickens, pigs and cattle in the US, Spain and
366 South Korea are B1, A and D (Johnson et al., 2003; Johnson et al., 2007; Unno et al., 2009; Cortes
367 et al., 2010). Recommendations to feed raw meat diets are of some concern, as raw meats may
368 be a source of AMR and/or pathogenic organisms that are potential animal and a public health
369 risks and this needs further investigation (Johnson et al., 2008).

370

371 It is likely that the canine faecal flora consists of a variety of organisms in addition to canine
372 resident bacteria, derived from in contact humans and animals, diet or the environment. Newly
373 introduced bacteria may act as a reservoir of antimicrobial resistant determinants for resident
374 bacteria even if only transient in the gut and selective pressure through antimicrobial therapy
375 may facilitate establishment as permanent residents of the gut flora.

376

377 Limitations of this study include the low number of observations for some outcomes, which
378 reduced the power of the study. Selection and testing of more isolates may have increased the
379 detection of antimicrobial resistance, in particular ESBL-producing *E. coli*. However, despite the
380 small subgroups, we were able to identify strong associations between outcomes and risk
381 factors. It is possible that some isolates were not detected due to postal delivery and delayed
382 processing. However (Maule, 2000) reported *E. coli* survival in aerated manure of at least a
383 month. Another limitation was that the study only included one dog breed and was in a limited
384 demographic area. It is possible that samples from other breeds and other geographical
385 populations would differ.

386

387 **4. Conclusion**

388

389 In conclusion, the overall prevalence of AMR and MDR amongst canine faecal *E. coli* was higher
390 than expected for a group of healthy non-veterinarian-visiting and non- antimicrobial-treated
391 dogs. The predominant faecal *E. coli* phylogenetic group in these dogs was group B1, and group

392 B2 isolates were less likely than the other groups to harbour antimicrobial resistance, in
393 agreement with previous work. In particular, consumption of raw meat diets were associated
394 with increased detection of 3GCR and clavulanate-amoxicillin resistant canine faecal *E. coli* in
395 this group of dogs, representing a potential animal welfare and zoonotic risk.

396

397 **Conflict of interest**

398 Vanessa Schmidt, Neil McEwan and Tim Nuttall have received other unrelated funding from
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400 non-financial competing interests.

401

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408

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- 616

616

617 **Table 1.** Cross tabulation of the results of *E. coli* isolates, from 74 dogs recruited from UK dog
618 shows between November 2010 and June 2011, classified as phylogenetic groups A, B1, B2 or D
619 by both Doumith et al 2012 and Clermont et al 2013 Multiplex PCR methods.

620

621 **Table 2. Conjugation experiments: resistance phenotype and genes detected in 25 donor**
622 ***E. coli* isolates, from 73 dogs recruited from UK dog shows between November 2010 and**
623 **June 2011, and transconjugates.**

624 The recipient strain was rifampin-resistant, lactose-negative *E. coli* 26R793. AMP = ampicillin;
625 AC = clavulanate-amoxicillin; CIP = ciprofloxacin; CHL = chloramphenicol; NAL = nalidixic acid;
626 TET = tetracycline; TM = trimethoprim; ¹present in donor and transconjugate.

627

628 **Table 3. Cross tabulation of the 186 *E. coli* isolates, from 74 dogs recruited from UK dog**
629 **shows between November 2010 and June 2011, in each of four phylogenetic group and**
630 **each of six antimicrobial resistance outcomes.**

631 ACR = clavulanate-amoxicillin resistance, CipR = ciprofloxacin resistance; BLR = beta-lactam
632 resistance; 3GCR = third generation cephalosporin resistance, AMR = any antimicrobial
633 resistance, MDR = resistance to ≥ 3 antimicrobial classes; n = total number of isolates in each
634 phylogenetic group with each antimicrobial resistance outcome; % = percentage of isolates in
635 each phylogenetic group with each antimicrobial resistance outcome; CI = 95% confidence
636 interval; $P = P$ -value is from Fisher's exact test (two-tailed); Significant set at $P < 0.002$ (*Sidak-*
637 *Bonferroni* correction).

638

639 **Table 4. Final multivariable logistic regression models for clavulanate-amoxicillin**
640 **resistance, ciprofloxacin resistance, 3GCR and MDR outcomes for faecal *E. coli* in 73**
641 **samples from 73 dogs recruited from dog shows in the UK between November 2010 and**
642 **June 2011.**

643 ¹ Reference category is the absence of the risk factor; ² Within 12 months of enrolment; 3GCR =
644 3rd generation cephalosporin resistance; MDR = antimicrobial resistance to three or more
645 antimicrobial classes; ³ Hosmer and Lemeshow Goodness-of-fit; *P*-value; Covariate *P* values are
646 from the * Likelihood-ratio test statistic. Significant set at $P < 0.0085$ (*Sidak-Bonferroni*
647 correction).

648

649 **Figure 1. The percentage of 73 samples with at least one faecal *E. coli* with resistance to**
650 **the tested antimicrobials for 73 dogs (95% CI) recruited from UK dogs shows between**
651 **November 2010 and June 2011.**

652 AmpR = ampicillin resistance; ACR = clavulanate-amoxicillin resistance; CipR = ciprofloxacin
653 resistance; NalR = nalidixic acid resistance; ChlR = chloramphenicol resistance; TetR =
654 tetracycline resistance; TmR = trimethoprim resistance; 3GCR = third generation cephalosporin
655 resistance; BLR = beta-lactam resistance; AMR = resistance to at least one tested antimicrobial;
656 MDR = multidrug resistance (resistance to three or more antimicrobial classes)

657

658

659

659 Table 1. Cross tabulation of the results of *E. coli* isolates, from 73 dogs recruited from UK dog
 660 shows between November 2010 and June 2011, classified as phylogenetic groups A, B1, B2 or D
 661 by both Doumith et al 2012 and Clermont et al 2013 Multiplex PCR methods.

			Clermont A		
			No	Yes	Total
Doumith A	No	Number of isolates	128	2	130
	Yes	Number of isolates	43	14	57
Total		Number of isolates	171	16	187

Kappa = 0.29; SE: 0.07, P < 0.001

662

			Clermont B1		
			No	Yes	Total
Doumith B1	No	Number of isolates	105	4	109
	Yes	Number of isolates	5	73	78
Total		Number of isolates	110	77	187

Kappa = 0.9; SE: 0.03, P < 0.001

663

			Clermont B2		
			No	Yes	Total
Doumith B2	No	Number of isolates	154	2	156
	Yes	Number of isolates	3	28	31
		Total number of isolates	157	31	187

Kappa = 0.9; SE: 0.043, P < 0.001

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			Clermont D		
			No	Yes	Total
Doumith D	No	Number of isolates	167	0	167
	Yes	Number of isolates	13	7	20
Total		Number of isolates	180	7	187

Kappa = 0.49; SE: 0.12, P < 0.001

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669 **Table 2. Conjugation experiments: resistance phenotype and genes detected in 25 donor**
 670 ***E. coli* isolates, from 73 dogs recruited from UK dog shows between November 2010 and**
 671 **June 2011, and transconjugates.**

Donor resistance phenotype	Transconjugate resistance phenotype	<i>bla_{CTT}</i> or <i>bla_{CTX-M}</i> gene ¹
AMP, TET, TM	AMP, TET, TM	
AMP, CHL, TET, TM	AMP, TET, TM	
AMP, CHL, TET, TM	AMP, CHL, TET, TM	
AMP, TET, TM	TET	
AMP, CHL, TET, TM	AMP, TET	
AMP, TET, TM	AMP, TET, TM	
AMP, TET, TM	AMP, TET, TM	
AMP, CIP, TET, TM	AMP, TET, TM	
AMP, AC, CIP, CHL, NAL, TET, TM	AMP	<i>bla_{CTT}</i>
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, NAL, TET, TM	AMP, TET, TM	
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM	
AMP, CHL, TET	AMP, CHL, TET	
AMP, TET, TM	AMP, TET, TM	
AMP, AUG, CHL, TM	AMP, TM	
AMP, CHL, TET, TM	AMP	
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CHL, TET	AMP, CHL, TET	
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM	
AMP, TET, TM	AMP, TET, TM	<i>bla_{CTX-M}</i>

672 The recipient strain was rifampin-resistant, lactose-negative *E. coli* 26R793. AMP = ampicillin;
 673 AC = clavulanate-amoxicillin; CIP = ciprofloxacin; CHL = chloramphenicol; NAL = nalidixic acid;
 674 TET = tetracycline; TM = trimethoprim; ¹present in donor and transconjugate.

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Resistance Outcome	Phylogenetic group															
	A (n = 57)				B1 (n = 78)				B2 (n = 31)				D (n = 20)			
	n	%	CI	P	n	%	CI	P	n	%	CI	P	n	%	CI	P
ACR (n = 24)	6	11	2.6 - 18.5	0.6	11	14	6.4 - 21.8	0.7	0	0	0	0.016	7	35	14 - 55.9	0.006
CipR (n = 19)	8	14	5.0 - 23.0	0.3	8	10	3.5 - 17.0	0.9	2	6	0 - 15	0.75	1	5	0 - 14.6	0.7
BLR (n = 109)	35	61	48.8 - 74.0	0.6	48	62	50.7 - 72.3	0.5	12	39	21.6 - 55.9	0.027	15	75	56 - 94.0	0.15
3GCR (n = 19)	5	9	1.4 - 16.1	0.8	8	10	3.5 - 17.0	0.9	0	0	0	0.4	6	30	9.9 - 50.1	0.008
AMR (n = 148)	49	86	76.9 - 95.0	0.2	65	83	75.1 - 91.6	0.3	17	55	37.3 - 72.4	< 0.001	19	95	85.5 - 100	0.08
MDR (n = 61)	24	42	29.3 - 54.9	0.09	31	40	8.9 - 50.6	0.08	0	0	0	< 0.001	6	30	9.9 - 50.1	0.99

677 ACR = clavulanate-amoxicillin resistance, CipR = ciprofloxacin resistance; BLR = beta-
678 lactam resistance; 3GCR = third generation cephalosporin resistance, AMR = any
679 antimicrobial resistance, MDR = resistance to ≥ 3 antimicrobial classes; n = total number
680 of isolates in each phylogenetic group with each antimicrobial resistance outcome; % =
681 percentage of isolates in each phylogenetic group with each antimicrobial resistance
682 outcome; CI = 95% confidence interval; $P = P$ -value is from Fisher's exact test (two-
683 tailed); Significant set at $P < 0.002$ (Sidak-Bonferroni correction).

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685 **Table 4. Final multivariable logistic regression models for clavulanate-amoxicillin**
 686 **resistance, ciprofloxacin resistance, 3GCR and MDR outcomes for faecal *E. coli* in 73**
 687 **samples from 73 dogs recruited from dog shows in the UK between November 2010 and**
 688 **June 2011.**

Resistance outcome	Covariates	B	SE (b)	Adjusted OR	95% CI	P-value*
Clavulanate-amoxicillin						
<i>P</i> = 0.8 ³	Dog eats raw meat ¹	2.3	0.8	9.57	2.0 - 45.7	0.003
	In-contact had antimicrobials ²	-1.6	0.8	0.19	0.04 - 0.9	0.028
	Constant	-1.5	0.5			0.005
Ciprofloxacin						
<i>P</i> = 0.99 ³	Dog fed treats ¹	-1.9	0.8	0.1	0.03 - 0.6	0.007
	Dog eats raw meat ¹	0.9	0.8	2.6	0.5 - 12.2	0.25
	Constant	-0.6	0.5			0.19
3GCR						
<i>P</i> = 0.9 ³	Dog eats raw meat ¹	2.4	0.8	10.9	2.2 - 54.0	0.002
	In contact had antimicrobials ¹	-1.6	0.8	0.2	0.04 - 0.9	0.05
	Multi-animal household ¹	1.6	0.9	5.1	1.0 - 26.5	0.04
	Constant	-2.4	0.7			0.001
MDR						
<i>P</i> = 0.5 ³	Dogs fed treats ¹	-1.9	0.6	0.16	0.04 - 0.5	0.001
	Owner works with farm animals ¹	-1.5	0.9	0.2	0.04 - 1.3	0.07
	Constant	0.7	0.5			0.16

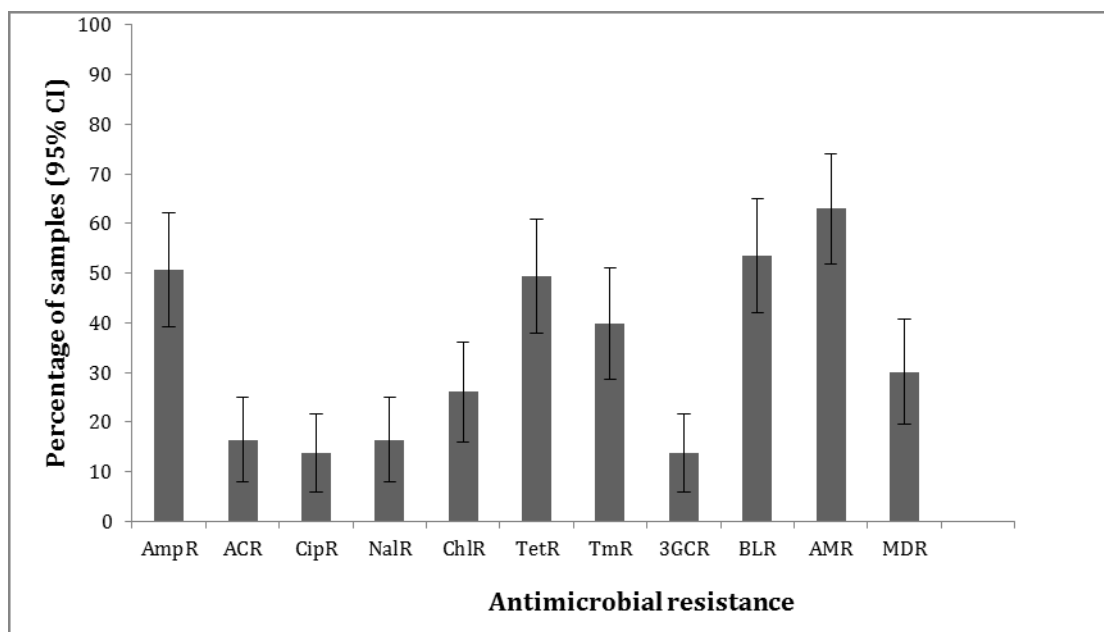
689 ¹ Reference category is the absence of the risk factor; ² Within 12 months of enrolment; 3GCR =
 690 3rd generation cephalosporin resistance; MDR = antimicrobial resistance to three or more
 691 antimicrobial classes; ³ Hosmer and Lemeshow Goodness-of-fit; *P*-value; Covariate *P* values are
 692 from the * Likelihood-ratio test statistic. Significant set at *P* < 0.0085 (*Sidak-Bonferroni*
 693 correction).

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698 Highlights

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- Antimicrobial and multi-drug resistance was common

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- ESBL and plasmid-mediated AmpC-producing *E. coli* were uncommon.

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- Most isolates were phylo-group B1; often antimicrobial resistant

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- Phylo-group B2 isolates were more likely to be susceptible.

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- The main risk for antimicrobial resistance was eating raw meat.

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