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Antimicrobial resistance risk factors and characterisation of faecal E. coli isolated from healthy Labrador retrievers in the United Kingdom

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1	Antimicrobial resistance risk factors and characterisation of faecal <i>E. coli</i> isolated from
2	healthy Labrador retrievers in the United Kingdom
3	
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16

17 Abstract

18

19 Antimicrobial resistant bacteria are increasingly detected from canine samples but few studies 20 have examined commensal isolates in healthy community dogs. We aimed to characterise faecal 21 *Escherichia coli* from 73 healthy non-veterinarian-visiting and non-antimicrobial treated 22 Labrador retrievers, recruited from dog shows in the North West United Kingdom between 23 November 2010 and June 2011. Each enrolled dog provided one faecal sample for our study. E. 24 coli were isolated from 72/73 (99%) faecal samples. Disc diffusion susceptibility tests were 25 determined for a range of antimicrobials, including phenotypic extended-spectrum beta-26 lactamase (ESBL) and AmpC-production. PCR assay detected phylogenetic groups and 27 resistance genes (*bla*_{CTX-M}, *bla*_{SHV}, *bla*_{TEM}, *bla*_{OXA}, *bla*_{CIT}, *qnr*), and conjugation experiments were 28 performed to investigate potential transfer of mobile genetic elements. Multivariable logistic 29 regression examined potential risk factors from owner-questionnaires for the presence of 30 antimicrobial resistant faecal *E. coli*. Antimicrobial resistant, multi-drug resistant (≥ 3 31 antimicrobial classes; MDR) and AmpC-producing E. coli were detected in 63%, 30% and 16% of 32 samples, respectively. ESBL-producing *E. coli* were detected from only one sample and 33 conjugation experiments found that *bla*_{CTX-M} and *bla*_{CIT} were transferred from commensal *E. coli* 34 to a recipient strain. Most isolates were phylogenetic groups B1 and A. Group B2 isolates were 35 associated with lower prevalence of resistance to at least one antimicrobial (P < 0.001) and 36 MDR (P < 0.001). Significant at $P \le 0.003$, was the consumption of raw meat for clavulanate-37 amoxicillin (OR: 9.57; 95% CI: 2.0-45.7) and third generation cephalosporin resistance (3GCR) 38 (OR: 10.9; 95% CI: 2.2-54.0). AMR E. coli were surprisingly prevalent in this group of non-39 antimicrobial treated and non-veterinarian-visiting dogs and consumption of raw meat was a 40 significant risk factor for antimicrobial resistance. These findings are of concern due to the 41 increasing popularity of raw-meat canine diets, and the potential for opportunistic infection, 42 zoonotic transmission and transmission of antimicrobial resistant determinants from 43 commensal isolates to potential pathogenic bacteria.

44	
45	Keywords: E. coli, Dogs, Multi-drug resistance, ESBL, AmpC, Phylogenetic group
46	1. Introduction
47	
48	Escherichia coli is the main aerobe of the gastro-intestinal flora in humans and other animals
49	(Tenaillon et al., 2010), and has been widely studied as an indicator of antimicrobial selection
50	pressure (Gronvold et al., 2010). A stable gastrointestinal flora is important for health and acts
51	as a colonisation barrier against pathogens (Vollaard and Clasener, 1994; Dethlefsen et al.,
52	2007); this may be disturbed by a number of factors including disease, diet and antimicrobial
53	therapy (Stecher and Hardt, 2008; Jernberg et al., 2010; Vincent et al., 2010).
54	
55	Of particular concern is the emergence and dissemination of extended spectrum beta-lactamase
56	(ESBL) and AmpC-producing <i>E. coli</i> that are resistant to a variety of beta-lactam antimicrobials
57	including third generation cephalosporins (3GCR) (Livermore and Hawkey, 2005; Thomson,
58	2010). Genes encoding these enzymes are carried on plasmids, often in conjunction with other
59	antimicrobial resistance determinants, enabling horizontal transmission of multidrug resistance
60	(MDR) (Zhao et al., 2001; Li et al., 2007; Karczmarczyk et al., 2011; Dahmen et al., 2012). ESBL-,
61	AmpC-producing and MDR <i>E. coli</i> have been detected in healthy (De Graef et al., 2004; Carattoli
62	et al., 2005; Costa et al., 2008; Wedley et al., 2011) and sick dogs (Carattoli et al., 2005; Pomba et
63	al., 2009; Gibson et al., 2011a), and increased detection has been associated with exposure to
64	antimicrobials and veterinary healthcare (Moreno et al., 2008; Damborg et al., 2011; Gibson et
65	al., 2011a, b).
66	
67	E. coli populations include commensal and pathogenic strains. Compared to commensal strains,
68	pathogenic strains are more likely to carry a range of virulence genes that can facilitate disease
69	(Johnson and Russo, 2002; Nowrouzian et al., 2006). Gut colonisation by ExPEC (extra-intestinal
70	pathogenic <i>E. coli</i>) strains is a prerequisite for extra-intestinal infections. The gut of healthy

humans and other animals can be a reservoir of ExPEC strains (Johnson et al., 2003; Russo and
Johnson, 2003), which are potentially zoonotic (Johnson et al., 2009), and may be shared
between humans and pets within households (Johnson et al., 2008). Food, particularly chicken
meat, is also a potential source of ExPEC strains for humans and dogs (Johnson et al., 2007;
Johnson et al., 2009; Vincent et al., 2010).

77 Phylogenetic grouping is a simple and inexpensive method to investigate the genetic

background, potential pathogenicity, and antimicrobial resistance traits of *E. coli* isolates (Sato

et al., 2014). A PCR assay to assign *E. coli* isolates to four major phylogenetic groups: A, B1, B2

and D (Clermont et al., 2000) has been widely used and recently updated (Doumith et al., 2012).

Additionally, the original method (Clermont et al., 2000) has been revised (Clermont et al.,

82 2013) to assign isolates to eight different phylogenetic groups: A, B1, B2, C, D, E, F and

83 *Escherichia* Clade I. Phylo-groups B2, D, E and F are more likely to be involved in extra-intestinal

84 infections compared to A, B1 or C (Picard et al., 1999; Moissenet et al., 2010; Tenaillon et al.,

85 2010) and Clade isolates are thought to reside outside of the gut (Walk et al., 2009).

86

87 The distribution of these phylo-groups amongst different hosts is not heterogeneous and may 88 depend on characteristics such as body mass, diet and environment (Gordon and Cowling, 2003; 89 Escobar-Paramo et al., 2004; Tenaillon et al., 2010). In healthy humans, phylo-group A generally 90 predominates followed by B2, B1 and D, whereas in animals group B1 predominates followed 91 by A, B2 and D (Tenaillon et al., 2010). Diversity due to host diet has also been reported with 92 group A predominating in carnivores and omnivores, and group B1 in herbivores (Escobar-93 Paramo et al., 2006; Baldy-Chudzik et al., 2008; Carlos et al., 2010). 94 Antimicrobial resistance has been linked to the non-B2 phylo-groups in people, cattle, pigs and 95 dogs (Johnson et al., 2003; Moreno et al., 2008; Johnson et al., 2009). In dogs, phylo-group D 96 isolates are more likely to be antimicrobial resistant, including fluoroquinolone, 3GCR and MDR 97 (Platell et al., 2011; Tamang et al., 2012; Sato et al., 2014) and group B2 are more likely to be

- 98 antimicrobial susceptible (Johnson et al., 2009; Platell et al., 2011; Sato et al., 2014). However
- 99 ESBL-producing fluoroquinolone resistant and MDR ExPEC strains, that further challenge
- 100 therapeutic regimes are emerging amongst human clinical isolates, and have been reported in
- 101 dogs (Russo and Johnson, 2003; Johnson et al., 2009; Platell et al., 2010).
- 102
- 103 Previous studies have concentrated on clinical isolates and the effects of potential risk factors,
- 104 in particular antimicrobial pressure. However, few studies have examined canine
- 105 gastrointestinal *E. coli* populations under natural conditions. The aim of this study was to
- 106 determine the prevalence of antimicrobial resistance and determine phylogenetic groups
- 107 amongst faecal *E. coli* from a group of healthy non-vet visiting and non-antimicrobial treated
- 108 dogs. In addition, we aimed to examine the association of these findings with potential risk
- 109 factors.
- 110
- 111 **2.** Methods
- 112
- 113 2.1 Study Population

114 The prevalence of antimicrobial resistant faecal *E. coli* in healthy non-antimicrobial treated and 115 non-veterinarian-visiting dogs was hypothesised to be low. Simple sample size estimates to 116 determine prevalence showed that with an expected prevalence of 5%, precision of 5% and 117 95% confidence, 73 dogs would be required. Labrador retriever dogs were recruited from two 118 dog shows in the North West UK between November 2010 and June 2011. One healthy dog of 119 any age was enrolled from each household following a clinical examination. Dogs that had 120 received topical or systemic antimicrobial therapy, had been admitted to a veterinary clinic 121 within the last 12 months, or were determined not to be healthy were excluded. All dog owners 122 gave written informed consent before enrolment in this study and completed a two-page 123 questionnaire regarding potential risk factors for the carriage of antimicrobial resistant bacteria 124 that was administered at recruitment by a veterinarian. Time to complete the questionnaire was

1-2 minutes and it was either submitted at recruitment or returned with the sample by firstclass post. The questionnaire had been previously used (Wedley et al., 2014) and consisted of
simple closed questions with tick box responses and space for additional information. A "Don't
Know" response was included for all questions to enable the respondent to avoid answering
incorrectly if they were uncertain.

130 Data were collected regarding patient signalment and diet, the presence, number and type of in-

131 contact pets, previous medical history of the household (including antimicrobial therapy or

132 hospitalisation of people or other pets), and whether household members worked with farm

animals or in healthcare. The University of Liverpool, School of Veterinary Science Ethics-

134 Committee approved the study protocol.

135

136 2.2 Specimen collection and bacterial isolation

137 The next fresh faecal sample was collected in a sterile pot and submitted at enrolment or 138 returned by first-class post. Faecal samples were mixed with an equal volume of brain heart 139 infusion broth with 5% glycerol (BHI-G) on receipt. Each faecal homogenate was streaked onto 140 one eosin methylene blue agar (EMBA) plate without antimicrobials, one EMBA plate 141 impregnated with 1 μ g/ml ceftazidime (CZ) and one EMBA plate impregnated with 1 μ g/ml 142 cefotaxime (CX) (Liebana et al., 2006). In addition, one EMBA plate and one MacConkey's agar 143 (MAC) plate were inoculated with the faecal homogenate for confluent bacterial growth and 144 seven antimicrobial discs [(10 µg ampicillin (Amp), 30 µg clavulanate-amoxicillin (AC), 1 µg 145 ciprofloxacin (Cip), 30 µg chloramphenicol (Chl), 30 µg nalidixic acid (Nal), 30 µg tetracycline 146 (Tet) and 2.5 µg trimethoprim (Tm)] were applied (Bartoloni et al., 2006). A further 500 µL of 147 faecal homogenate was enriched in 4.5 ml of buffered peptone water and plated onto EMBA 148 plates impregnated with CZ and CX, as above. All plates and broths were incubated aerobically 149 for 18 - 20 hours at 37°C. Three colonies, whose morphology resembled *E. coli*, were selected 150 from plain EMBA. One colony growing within the zone of inhibition around each antimicrobial 151 disc on both the EMBA and MAC and/or from the CX and/or CZ plates was selected if present.

152	Colonies were sub-cultured onto nutrient agar and incubated aerobically for 18 - 20 hours at
153	37°C. Gram stains and biochemical tests (catalase production, lack of oxidase, lactose
154	fermentation, indole production and inability to use citrate as a carbon source) to confirm <i>E. coli</i>
155	were performed on fresh overnight cultures. All antimicrobial discs were obtained from MAST
156	Group Ltd., Liverpool, UK, and the media from LabM Ltd, Bury, UK, and the CX and CZ powder
157	from Sigma-Aldrich Company Ltd., Gillingham, UK.
158	
159	2.3 Antibiotic susceptibility testing
160	Antimicrobial susceptibility disc diffusion testing was performed according to British Society for
161	Antimicrobial Chemotherapy guidelines (BSAC; Version 11.1 May 2012) (Andrews and Testing,
162	2007) and the same panel of seven antimicrobial discs were applied. Plates were incubated
163	aerobically at 37°C for 18 - 20 hours. <i>E. coli</i> ATCC® 25922 (LGC Standards, Teddington, UK)
164	cultured overnight on nutrient agar at 37°C was used as a control.
165	
166	2.4 Screening for phenoptypic AmpC- and ESBL-producing E. coli
167	Isolates with beta-lactam resistance were tested for ESBL production (Extended Spectrum Beta-
168	Lactamase Set, MAST Group Ltd., Liverpool, UK) (M'Zali et al., 2000) and AmpC production
169	(AmpC detection set, MAST Group Ltd., Liverpool, UK) (Halstead et al., 2012). The plates were
170	incubated aerobically at 37°C for 18 - 20 hours. ESBL production was confirmed when the zone
171	around the cephalosporin disc was expanded in the presence of the clavulanic acid by a
172	minimum of 5 mm for \geq 1 antimicrobial pairs. AmpC production was confirmed when the zone
173	of inhibition around disc C was greater than that for discs A and B by a minimum of 5 mm. <i>E. coli</i>
174	ATCC® 25922 (LGC Standards, Teddington, UK) cultured overnight on CAB at 37°C was used as
175	a control. All confirmed <i>E. coli</i> isolates were stored at – 80°C in Microbank vials (Pro-Lab,
176	Bromborough, UK) for further analysis.

178 2.5 Genotypic identification of E. coli and characterisation of resistance genes

179 PCR assays for the *uid*A gene (McDaniels et al., 1996) were used to confirm *E. coli*. Isolates with

- 180 phenotypic ESBL or AmpC-production were tested for the presence of *bla*_{CTX-M} (Batchelor et al.,
- 181 2005), *bla*_{SHV}, *bla*_{TEM} *and bla*_{OXA} (Dallenne et al., 2010). Isolates with *bla*_{CTX-M} were tested for CTX-
- 182 M group 1, 2 and 9 genes (Batchelor et al., 2005; Hopkins et al., 2006). Phenotypic AmpC
- 183 producers were tested for *bla*_{AmpC} gene (Perez-Perez and Hanson, 2002). All isolates were tested
- 184 for the presence of *qnrA*, *qnrB* or *qnr* S genes (Robicsek et al., 2006). PCR assays were
- 185 performed with 5 μL of bacterial DNA, 5 pmol of each primer, 4 μL of 5x FIREPol® Master Mix
- 186 (12.5 mM MgCl2), 0.5 μl of FIREPol® DNA Polymerase 5 U/μl (Solis-Biodyne, Tartu, Estonia)
- and water to made up to a total reaction volume of 25 µL. PCR products were analysed by
- agarose gel (1.5%) electrophoresis and the DNA fragments were visualised under UV light after
- 189 peqGREEN (Peqlab, Fareham, UK) staining.
- 190

191 2.6 Phylogenetic groups

E. coli isolates were segregated into phylogenetic groups by PCR assay. In short, a multiplex PCR
for phylo-groups A, B1, B2 and D (Doumith et al., 2012) and for phylo-groups A, B1, B2, D, C, E, F
and Clade I (Clermont et al., 2013) were performed.

195

196 2.7 Conjugation experiments

197 MDR isolates, including two ESBL- and three AmpC-producing isolates, were tested for the 198 ability to transfer antimicrobial resistance determinants as previously described (Karczmarczyk 199 et al., 2011). Briefly, overnight cultures of the donor and recipient strains grown in 5 ml of 200 nutrient broth were mixed and incubated at 37°C for 18 hours. The transconjugants were 201 selected on MacConkey agar supplemented with 100 µg/ml rifampin along with 50 µg/ml 202 ampicillin, 50 µg/ml nalidixic acid, 30 µg/ml tetracycline, 50 µg/ml trimethoprim or 1 µg/ml of 203 cefotaxime (Sigma-Aldrich, UK). If present, up to three lactose-negative colonies were selected 204 from each plate onto nutrient agar and incubated at 37°C for 18 hours. Transconjugates 205 underwent antimicrobial susceptibility tests and PCR assay for bla_{AmpC} and bla_{CTX-M} .

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207 2.8 Statistical analysis

208 Outcome data for antimicrobial resistance phenotype were collapsed to the sample level such 209 that a sample with at least one isolate that was resistant was classed as resistant for analysis. 210 Independent variables were created from the owner questionnaires. Except for the age of the 211 dog, all variables were dichotomous (Supplementary table 1). The six antimicrobial resistant 212 outcomes considered were: AMR (any resistance), clavulanate-amoxicillin (ACR), ciprofloxacin 213 (CipR), third generation cephalosporin (3GCR), beta-lactam resistance (BLR) and MDR 214 (resistance to \geq 3 antimicrobial classes). Each resistance outcome was a yes/no variable. 215 Logistic regression examined the association between independent variables and all outcomes. 216 All variables were analysed in a univariable models and tested in multivariable models if P-217 value < 0.25. Final models were constructed by manual backwards stepwise procedures where 218 variables with a likelihood ratio *P*-value < 0.05 were retained. Prior to inclusion in the models, 219 age was categorised and each category was confirmed for linearity of the odds ratio. Collinearity 220 between explanatory variables was assessed using two-by-two tables and Pearson's Chi-square 221 test for independence (if N > 5), otherwise Fisher's exact tests were used. For variables with a 222 significant association (P < 0.05) only variables with the lowest *P*-value were considered for 223 inclusion in the multivariable models. Sidak-Bonferroni (Keppel, 2004) correction was used to 224 calculate a corrected *P*-value based on testing six antimicrobial resistance outcomes; hence 225 significance was set at P < 0.0085.

226

To examine the agreement of the published between two published methods for determining *E. coli* phylogenetic groups at the isolate level (Doumith et al., 2012; Clermont et al., 2013), a *kappa*statistic was calculated (Landis and Koch, 1977). Confidence intervals were calculated and
Fisher's exact tests were used to examine the association between antimicrobial resistance
outcome and phylogenetic group at the isolate level detected by the Doumith et al. (2012)
method. The corrected *P*-value (calculated as above) for 24 tests was 0.002.

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234 Tests for *kappa* statistic, Pearson's Chi-square and binary logistic regression were performed

using SPSS software package (SPSS 20.0 for Mac, SPSS Inc, Chicago, Illinois). Tests for Fisher's

exact were performed using GraphPad Software 2014

237 (http://graphpad.com/quickcalcs/contingency1.cfm).

- 238
- 239 Results
- 240
- 241 *3.1 Study population*

242 In total, 73 Labrador retriever dogs were recruited providing one sample each: 3 to 12-months-

old (n = 21 dogs), >12 months to 24-months-old (n = 25), and > 2-years-old (n = 25). There were

244 35 female and 38 male dogs. Dogs were from 23 counties of England, Northern Ireland, Scotland

and The Isle of Man. The largest proportion (40%) was from the northwest of England.

246

247 3.2 Antimicrobial resistance

Faecal *E. coli* were isolated from 72 samples (99%; 95% CI: 92.6-99.8). Of these 46 samples had

249 at least one AMR *E. coli* and 22 samples had at least one MDR isolate (Figure 1). A high

250 prevalence of resistance to ampicillin and/or tetracycline and/or trimethoprim, and MDR was

251 observed. Only one sample carried MDR (AmpR, 3GCR, TetR, TMR) ESBL-producing faecal *E. coli*

252 (CTX-M group 1 and *bla*_{TEM}). Phenotypic AmpC-producing *E. coli* (n = 16 isolates) were detected

in 12 samples (16%; 95% CI: 9.7-26.6), but only three samples carried *bla*_{AmpC} gene (all CIT). *E*.

254 *coli* with *bla*_{SHV}, *bla*_{OXA} or *qnr* genes were not detected in any sample.

255

256 3.3 Phylogenetic groups

Table 1 shows the assignment of isolates to groups B1, B2, A and D for both methods (Doumith

et al., 2012; Clermont et al., 2013). Most isolates were of B1, followed by A, B2 and D

259	phylogenetic groups. Approximately three quarters of the isolates originally assigned to group A
260	by the Doumith method were reassigned to either group C ($n = 39$) or <i>Escherichia</i> Clades III-IV
261	(n = 4 isolates) by the Clermont method; nine isolates that were initially assigned to either
262	group B1 (n = 1), B2 (n = 3) or D (n = 5) were reassigned to group E. Three isolates, assigned to
263	group D by the Doumith method, were reassigned to group F, and two isolates originally
264	grouped as B1 were classed as unknown by the Clermont method. The agreement between the
265	two methods was very good for the assignment of phylo-groups B1 and B2, but only fair or
266	moderate for phylo-groups A and D, respectively (Landis and Koch, 1977).
267	
268	3.1 Conjugation studies
269	In total, 23 isolates transferred resistance to the recipient strain including ESBL- and AmpC-
270	producing <i>E. coli</i> (Table 2).
271	
272	3.2 Association between isolate phylo-group and antimicrobial resistance
273	Table 3 shows that the majority of the isolates in phylo-groups A, B1 and D were resistant to at
274	least one antimicrobial (AMR), particularly a beta-lactam. Group B2 did not contain isolates with
275	clavulanate-amoxicillin resistance, 3GCR or MDR and group B2 was associated with significantly
276	lower levels of AMR and MDR ($P < 0.001$) compared to the other groups. Ciprofloxacin
277	resistance was spread between the different phylo-groups.
278	
279	3.3 Logistic regression: antimicrobial resistance with questionnaire data
280	All of the dogs in the study were fed dry dog food: however some were supplemented with
281	tinned dog food, home-cooked meats, proprietary dog treats, table scraps or raw meat. In
282	addition. 42 dogs regularly scavenged from the environment. Clavulanate-amoxicillin resistance
283	(P = 0.003) and 3GCR $(P = 0.002)$ were associated with dogs eating raw meat (chicken. red-meat

- and/or tripe), whilst consumption of proprietary dog treats appeared to be protective against ciprofloxacin (P = 0.003) and MDR (P = 0.001) *E. coli* (Table 4).
- 286

287 **3.** Discussion

288

This study found an unexpected high prevalence of AMR (including MDR and phenotypic AmpCproducers), amongst canine faecal *E. coli* from a group of healthy Labrador retrievers in the UK,
in the absence of direct antimicrobial selective pressure and veterinary premises contact.

292

293 The prevalence of MDR in this study was 30% of dogs (95% CI: 19.6 – 40.6). A previous healthy 294 dog study In North West UK reported a MDR prevalence of 15.3% of dogs (95% CI: 10.4-20.5) 295 (Wedley et al., 2011). This variation may be due to the five-year difference in sample collection 296 between the studies; prevalence of AMR may have increased in such populations over time, or it 297 may be due to differences in the sampled populations. The dogs in this study were regularly in 298 close contact, in confined spaces, with many other dogs and humans at dog shows all over the 299 UK. Sharing of faecal *E. coli* isolates may occur between dogs and between dogs and humans in 300 regular close proximity, including MDR resistant strains (Skurnik et al., 2006; Johnson et al., 301 2008; Damborg et al., 2009; Stenske et al., 2009; Harada et al., 2011), and dogs housed together 302 in groups may have a higher prevalence of AMR faecal E. coli than individual dogs (De Graef et 303 al., 2004).

304

Only 5% of the dogs in this study had 3GCR faecal *E. coli* with either bla_{CTX-M} (1 dog) or bla_{AmpC}
(three of the 12 dogs with phenotypic AmpC production), in agreement a low prevalence (4%)
of healthy dogs with faecal *E. coli* harbouring these genes has previously been reported in the
UK (Wedley et al., 2011). Antimicrobial therapy with enrofloxacin or cefalexin has been
reported to select for faecal *E. coli* carrying bla_{CTX-M} or bla_{AmpC} (Moreno et al., 2008; Damborg et
al., 2011) and the absence of antimicrobial therapy of dogs in the current study may explain the

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311 low prevalence. Ciprofloxacin resistance was uncommon, but when present corresponded with 312 MDR. This finding has been previously reported amongst canine clinical isolates, where it was 313 proposed that this was due to 'last-line' clinical use of fluoroquinolones in dogs (Platell et al., 314

315

2011).

316 Group B1 was the most common phylo-group detected, followed by A, B2 and D, which concurs 317 with Tenaillon et al. (2010) who reviewed a number publications examining faecal E. coli from 318 various animal species. Damborg et al. (2009) also reported a predominance of phylo-group B1 319 in dogs (n = 13). However, in other studies a predominance of group B1 followed by group A has 320 been reported in farmed and wild herbivorous animals (Escobar-Paramo et al., 2006; Carlos et 321 al., 2010), whereas omnivorous and carnivorous animals had a predominance of A followed by 322 B1 (Escobar-Paramo et al., 2006). The base diet of the dogs in this study was proprietary dry 323 dog food, consisting of a combination of crude fibre and protein, equivalent to an omnivorous 324 diet. Hence, we expected the phylogenic diversity to be similar to that reported for other 325 domesticated omnivorous animals. High levels of crude dietary fibre found in some dog foods 326 could impact the abundance of certain phylo-groups, for example decrease cell densities of B2 327 strains compared to B1 strains (O'Brien and Gordon, 2011), although this variable was not 328 examined in the current study. Phylo-group B1 predominate in soil, sediment and water, the 329 secondary habitat of E. coli (Savageau, 1983; Walk et al., 2007), representing a potential source 330 for dogs that frequently drink, scavenge and/or orally explore their environments.

331

332 Previous studies have reported that antimicrobial resistant *E. coli* from humans and dogs are 333 less likely to be of phylo-group B2 (Johnson et al., 2003; Johnson et al., 2009; Platell et al., 2010; 334 Platell et al., 2011; Sato et al., 2014). Similarly in this study, group B2 isolates were less likely to 335 be antimicrobial resistant and there were no MDR, 3GCR or clavulanate-amoxicillin resistant 336 isolates in this group. The majority of the phylo-group D isolates were resistant to at least one 337 tested antimicrobial however this was not found to be statistically significant. Other studies

have reported a relationship between group D and fluoroquinolone resistance, 3GCR and MDR
(Deschamps et al., 2009; Platell et al., 2011; Tamang et al., 2012; Sato et al., 2014). These
associations were not made in this study, although this may have been affected by the low
prevalence of these resistance outcomes in phylo-group D, in particular fluoroquinolone
resistance.

343

344 There was very good agreement between the two methods for assigning isolates to groups B1 345 and B2 but only fair to moderate for groups A and D respectively. This was due to reassignment 346 of group A strains to group C, and group D strains to groups B1, E and F. Other studies have 347 compared multiplex PCR and MLST for phylogenetic grouping and reported 80-90% accuracy 348 for the multiplex method; mostly due to agreement for groups B1 and B2 rather than A and D 349 (Gordon et al 2008; Doumith et al 2012). Further comparison of the new Clermont multiplex 350 PCR method with MLST for phylo-grouping may find improved accuracy for assignment of 351 groups A and D. The new Clermont method for assigning phylogenetic groups classed 39 352 isolates as group C. Compared to commensal E. coli from other species (human, bird, non-human 353 mammals) (Clermont et al., 2013) this phylo-group appears to be prevalent in this group of 354 dogs, but further studies are required to confirm this finding. Phylo-groups E and F are potential 355 ExPEC strains (Tenaillon et al., 2010) and were re-assigned from the other potential ExPEC 356 groups, D and B2.

357

358 Multivariable analysis found a relationship between consumption of raw meat diets (chicken,

red meat and/or tripe) and resistance to clavulanate amoxicillin or 3GCR. In contrast,

360 consumption of proprietary dog treats appeared to be protective against ciprofloxacin

361 resistance and MDR. Food, particularly chicken meat, has been reported as a possible source of

antimicrobial resistant bacteria, including ExPEC, for humans and dogs (Johnson et al., 2007;

363 Lefebvre et al., 2008; Johnson et al., 2009; Vincent et al., 2010). Both ESBL- and AmpC-

364 producing, and ciprofloxacin resistant *E. coli* have been isolated from chickens and pigs in Spain,

and the predominant phylo-groups reported from chickens, pigs and cattle in the US, Spain and
South Korea are B1, A and D (Johnson et al., 2003; Johnson et al., 2007; Unno et al., 2009; Cortes
et al., 2010). Recommendations to feed raw meat diets are of some concern, as raw meats may
be a source of AMR and/or pathogenic organisms that are potential animal and a public health
risks and this needs further investigation (Johnson et al., 2008).

370

371 It is likely that the canine faecal flora consists of a variety of organisms in addition to canine 372 resident bacteria, derived from in contact humans and animals, diet or the environment. Newly 373 introduced bacteria may act as a reservoir of antimicrobial resistant determinants for resident 374 bacteria even if only transient in the gut and selective pressure through antimicrobial therapy 375 may facilitate establishment as permanent residents of the gut flora.

376

377 Limitations of this study include the low number of observations for some outcomes, which 378 reduced the power of the study. Selection and testing of more isolates may have increased the 379 detection of antimicrobial resistance, in particular ESBL-producing *E. coli*. However, despite the 380 small subgroups, we were able to identify strong associations between outcomes and risk 381 factors. It is possible that some isolates were not detected due to postal delivery and delayed 382 processing. However (Maule, 2000) reported E. coli survival in aerated manure of at least a 383 month. Another limitation was that the study only included one dog breed and was in a limited 384 demographic area. It is possible that samples from other breeds and other geographical 385 populations would differ.

386

387 4. Conclusion

388

389 In conclusion, the overall prevalence of AMR and MDR amongst canine faecal *E. coli* was higher 390 than expected for a group of healthy non-veterinarian-visiting and non- antimicrobial-treated 391 dogs. The predominant faecal *E. coli* phylogenetic group in these dogs was group B1, and group

- 392 B2 isolates were less likely than the other groups to harbour antimicrobial resistance, in
- 393 agreement with previous work. In particular, consumption of raw meat diets were associated
- with increased detection of 3GCR and clavulanate-amoxicillin resistant canine faecal *E. coli* in
- this group of dogs, representing a potential animal welfare and zoonotic risk.
- 396

397 Conflict of interest

- 398 Vanessa Schmidt, Neil McEwan and Tim Nuttall have received other unrelated funding from
- 399 Zoetis (previously Pfizer Animal Health UK). The authors declare that there are no financial or
- 400 non-financial competing interests.
- 401

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- 408

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616

616	
617	Table 1. Cross tabulation of the results of <i>E. coli</i> isolates, from 74 dogs recruited from UK dog
618	shows between November 2010 and June 2011, classified as phylogenetic groups A, B1, B2 or D
619	by both Doumith et al 2012 and Clermont et al 2013 Multiplex PCR methods.
620	
621	Table 2. Conjugation experiments: resistance phenotype and genes detected in 25 donor
622	E. coli isolates, from 73 dogs recruited from UK dog shows between November 2010 and
623	June 2011, and transconjugates.
624	The recipient strain was rifampin-resistant, lactose-negative <i>E. coli</i> 26R793. AMP = ampicillin;
625	AC = clavulanate-amoxicillin; CIP = ciprofloxacin; CHL = chloramphenicol; NAL = nalidixic acid;
626	TET = tetracycline; TM = trimethoprim; ¹ present in donor and transconjugate.
627	
628	Table 3. Cross tabulation of the 186 <i>E. coli</i> isolates, from 74 dogs recruited from UK dog
629	shows between November 2010 and June 2011, in each of four phylogenetic group and
630	each of six antimicrobial resistance outcomes.
631	ACR = clavulanate-amoxicillin resistance, CipR = ciprofloxacin resistance; BLR = beta-lactam
632	resistance; 3GCR = third generation cephalosporin resistance, AMR = any antimicrobial
633	resistance, MDR = resistance to \ge 3 antimicrobial classes; n = total number of isolates in each
634	phylogenetic group with each antimicrobial resistance outcome; % = percentage of isolates in
635	each phylogenetic group with each antimicrobial resistance outcome; $CI = 95\%$ confidence
636	interval; <i>P</i> = <i>P</i> -value is from Fisher's exact test (two-tailed); Significant set at <i>P</i> < 0.002 (<i>Sidak</i> -
637	Bonferroni correction).
638	
639	Table 4. Final multivariable logistic regression models for clavulanate-amoxicillin
640	resistance, ciprofloxacin resistance, 3GCR and MDR outcomes for faecal <i>E. coli</i> in 73
641	samples from 73 dogs recruited from dog shows in the UK between November 2010 and
642	June 2011.

- ¹Reference category is the absence of the risk factor; ²Within 12 months of enrolment; 3GCR =
- 644 3rd generation cephalosporin resistance; MDR = antimicrobial resistance to three or more
- 645 antimicrobial classes; ³Hosmer and Lemeshow Goodness-of-fit; *P*-value; Covariate *P* values are
- 646 from the * Likelihood-ratio test statistic. Significant set at *P* < 0.0085 (*Sidak-Bonferroni*
- 647 correction).
- 648
- 649 Figure 1. The percentage of 73 samples with at least one faecal *E. coli* with resistance to
- 650 the tested antimicrobials for 73 dogs (95% CI) recruited from UK dogs shows between
- 651 **November 2010 and June 2011.**
- 652 AmpR = ampicillin resistance; ACR = clavulanate-amoxicillin resistance; CipR = ciprofloxacin
- 653 resistance; NalR = nalidixic acid resistance; ChIR = chloramphenicol resistance; TetR =
- 654 tetracycline resistance; TmR = trimethoprim resistance; 3GCR = third generation cephalosporin
- resistance; BLR = beta-lactam resistance; AMR = resistance to at least one tested antimicrobial;
- 656 MDR = multidrug resistance (resistance to three or more antimicrobial classes)
- 657
- 658
- 659

- Table 1. Cross tabulation of the results of *E. coli* isolates, from 73 dogs recruited from UK dog
- 660 shows between November 2010 and June 2011, classified as phylogenetic groups A, B1, B2 or D
- by both Doumith et al 2012 and Clermont et al 2013 Multiplex PCR methods.

	: A	Clermont			
Total	Yes	No			
130	2	128	Number of isolates	No	Doumith A
57	14	43	Number of isolates	Yes	
187	16	171	Number of isolates		Total
7, P < 0.001	a = 0.29; SE: 0.0	Карр			
	t B1	Clermon			
Total	Yes	No			
109	4	105	Number of isolates	No	Doumith B1
78	73	5	Number of isolates	Yes	
187	77	110	Number of isolates		otal
3, P < 0.001	pa = 0.9; SE: 0.0	Кар			
	t B2	Clermon			
Total	Yes	No			
156	2	154	Number of isolates	No	Doumith B2
31	28	3	Number of isolates	Yes	
187	31	157	Total number of isolates		
3, P < 0.001	a = 0.9; SE: 0.04	Карр			
	t D	Clermont			
Total	Yes	No			
167	0	167	Number of isolates	No	Doumith D
	7	13	Number of isolates	Yes	
20		100	Number of isolates		Total
20 187	7	100			

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- 669 **Table 2. Conjugation experiments: resistance phenotype and genes detected in 25 donor**
- 670 *E. coli* isolates, from 73 dogs recruited from UK dog shows between November 2010 and

671 **June 2011, and transconjugates.**

Donor resistance phenotype	Transconjugate resistance phenotype	bla _{CIT} or bla _{CTX-M} gene ¹			
AMP, TET, TM	AMP, TET, TM				
AMP, CHL, TET, TM	AMP, TET, TM	×			
AMP, CHL, TET, TM	AMP, CHL, TET, TM				
AMP, TET, TM	TET				
AMP, CHL, TET, TM	AMP, TET				
AMP, TET, TM	AMP, TET, TM				
AMP, TET, TM	AMP, TET, TM				
AMP, CIP, TET, TM	AMP, TET, TM				
AMP, AC, CIP, CHL, NAL, TET, TM	AMP	bla _{CIT}			
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, NAL, TET, TM	AMP, TET, TM				
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM				
AMP, CHL, TET	AMP, CHL, TET				
AMP, TET, TM	AMP, TET, TM				
AMP, AUG, CHL, TM	AMP, TM				
AMP, CHL, TET, TM	AMP				
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CHL, TET	AMP, CHL, TET				
AMP, CIP, CHL, NAL, TET, TM	AMP, CHL, TET, TM				
AMP, TET, TM	AMP, TET, TM	bla _{стх-м}			

672 The recipient strain was rifampin-resistant, lactose-negative *E. coli* 26R793. AMP = ampicillin;

- AC = clavulanate-amoxicillin; CIP = ciprofloxacin; CHL = chloramphenicol; NAL = nalidixic acid;
- TET = tetracycline; TM = trimethoprim; ¹present in donor and transconjugate.
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Resistance	Phylogenetic group															
Outcome	A (n = 57)			B1 (n = 78)			B2 (n = 31)				D (n = 20)					
	n	%	CI	Р	n	%	CI	Р	n	%	CI	Р	n	%	CI	Р
ACR $(n = 24)$	6	11	2.6 -	0.6	11	14	6.4 -	0.7	0	0	0	0.016	7	35	14 -	0.006
			18.5				21.8								55.9	
CipR (n = 19)	8	14	5.0 -	0.3	8	10	3.5 -	0.9	2	6	0 - 15	0.75	1	5	0 -	0.7
			23.0				17.0								14.6	
BLR $(n = 109)$	35	61	48.8 -	0.6	48	62	50.7 -	0.5	12	39	21.6 -	0.027	15	75	56 -	0.15
			74.0				72.3				55.9				94.0	
3GCR (n = 19)	5	9	1.4 -	0.8	8	10	3.5 -	0.9	0	0	0	0.4	6	30	9.9	0.008
			16.1				17.0									
															50.1	
AMR (n = 148)	49	86	76.9 -	0.2	65	83	75.1 -	0.3	17	55	37.3 -	<	19	95	85.5	0.08
			95.0				91.6				72.4	0.001			_	
															100	
MDR (n = 61)	24	42	29.3 -	0.09	31	40	8.9 -	0.08	0	0	0	<	6	30	9.9	0.99
			54.9				50.6					0.001				
															50.1	

ACR = clavulanate-amoxicillin resistance, CipR = ciprofloxacin resistance; BLR = beta-677

678 lactam resistance; 3GCR = third generation cephalosporin resistance, AMR = any

679 antimicrobial resistance, MDR = resistance to \geq 3 antimicrobial classes; n = total number

680 of isolates in each phylogenetic group with each antimicrobial resistance outcome; % =

681 percentage of isolates in each phylogenetic group with each antimicrobial resistance

682 outcome; CI = 95% confidence interval; P = P-value is from Fisher's exact test (two-

683 tailed); Significant set at *P* < 0.002 (*Sidak-Bonferroni* correction).

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- 685 Table 4. Final multivariable logistic regression models for clavulanate-amoxicillin
- 686 resistance, ciprofloxacin resistance, 3GCR and MDR outcomes for faecal *E. coli* in 73
- 687 samples from 73 dogs recruited from dog shows in the UK between November 2010 and

688 June 2011.

Resistance outcome	Covariates	В	SE (b)	Adjusted OR	95% CI	P-value*
Clavulanate-amoxicillin						
$P = 0.8^{3}$	Dog eats raw meat ¹	2.3	0.8	9.57	2.0 - 45.7	0.003
	In-contact had antimicrobials ²	-1.6	0.8	0.19	0.04 - 0.9	0.028
	Constant	-1.5	0.5			0.005
Ciprofloxacin						
$P = 0.99^{3}$	Dog fed treats ¹	-1.9	0.8	0.1	0.03 - 0.6	0.007
	Dog eats raw meat ¹	0.9	0.8	2.6	0.5-12.2	0.25
	Constant	-0.6	0.5			0.19
3GCR						
$P = 0.9^{3}$	Dog eats raw meat ¹	2.4	0.8	10.9	2.2 - 54.0	0.002
	In contact had antimicrobials ¹	-1.6	0.8	0.2	0.04 - 0.9	0.05
	Multi-animal household ¹	1.6	0.9	5.1	1.0 - 26.5	0.04
	Constant	-2.4	0.7			0.001
MDR						
$P = 0.5^{3}$	Dogs fed treats ¹	-1.9	0.6	0.16	0.04 - 0.5	0.001
	Owner works with farm animals ¹	-1.5	0.9	0.2	0.04 - 1.3	0.07
	Constant	0.7	0.5			0.16

689 ¹Reference category is the absence of the risk factor; ²Within 12 months of enrolment; 3GCR =

690 3rd generation cephalosporin resistance; MDR = antimicrobial resistance to three or more

691 antimicrobial classes; ³Hosmer and Lemeshow Goodness-of-fit; *P*-value; Covariate *P* values are

692 from the * Likelihood-ratio test statistic. Significant set at *P* < 0.0085 (*Sidak-Bonferroni*

- 693 correction).
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- 696
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698 699 700 701 702 703 704 705	 Highlights Antimicrobial and multi-drug resistance was common ESBL and plasmid-mediated AmpC-producing <i>E. coli</i> were uncommon. Most isolates were phylo-group B1; often antimicrobial resistant Phylo-group B2 isolates were more likely to be susceptible. The main risk for antimicrobial resistance was eating raw meat.