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1 Inhibition effect of different interstitial materials on thermal runaway

2 propagation in the cylindrical lithium-ion battery module

3

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- 13
- 14

Nomencla	ture
Α	surface area [m ²]
Ccan	average mass specific heat of the can and cell material residual [J kg ⁻¹ K ⁻¹]
C_{cell}	average mass specific heat of the vented cell materials [J kg ⁻¹ K ⁻¹]
C _{total}	average mass specific heat of single cell [J kg ⁻¹ K ⁻¹]
h _{conv}	convective heat transfer coefficient [W m ⁻² K ⁻¹]
k	effective thermal conductivity [W m ⁻¹ K ⁻¹]
m _{cell}	mass of an 18650 cell's components [kg]
m _{can}	mass of an 18650 cell's stainless-steel casing [kg]
<i>m</i> total	mass of an 18650 cell [kg]
\dot{Q}_{conv}	convective heat transfer at the cell boundaries [W m ⁻²]
 \dot{Q}_{rad}	radiative heat transfer at the cell boundaries [W m ⁻²]
<i>Q</i> _r	chemical reaction heat generation rate [W]
\dot{Q}_{f}	solid electrolyte interface (SEI) decomposition heat generation rate [W]

<i>Q</i> _n	Negative-Solvent reaction heat generation rate [W]
$\dot{Q}_{ ho}$	Positive-Solvent reaction heat generation rate [W]
<i></i> <i>Qe</i>	electrolyte decomposition heat generation rate [W]
t	time [s]
Т	temperature [K]
T_0	initial exothermic temperature [K]
dT/dt	the derivative of the temperature [K s ⁻¹]
Greek syn	nbols
Э	Emissivity of the battery surface
σ	Stefan-Boltzmann constant, 5.67e-8 [W m ⁻² K ⁻⁴]
TR _T	TR triggering temperature

15 HIGHLIGHTS

16 Inhibition effect of interstitial materials on TR in battery modules is studied.

17 CFD model is used to analyze battery temperature under different conditions.

18 The simulation is experimentally compared and verified by basic safety units.

19 Composite graphite sheet and Al extrusion can effectively control the thermal path.

20

21 ABSTRACT

With the growing demand for high specific energy density of lithium-ion battery 22 pack in electric vehicle to relieve range anxiety, thermal stability in abused conditions 23 is becoming increasingly important in battery pack safety design. Most of the fire 24 accidents are resulted from the thermal runaway (TR) of a single cell and then propagate 25 to the battery modules and entire pack. This study focuses on the safety enhancement 26 methods for battery module, which is filled with different interstitial materials. The 27 basic safety unit is composed of 11 commercial 18650 cylindrical cells, which is 28 isolated from the electric vehicle pack as the test module. The test modules were 29 intentionally triggered into TR by heating wire to evaluate the TR propagation 30

resistance. A model based on finite volume method was established to simulate the TR propagation. The results of both simulation and experiments show that the protection of neighboring cells from different interstitial materials varies significantly. Graphite composite sheet and Al extrusion as interstitial materials could effectively suppress TR propagation. The results also indicate that for safety design of battery pack, thermal path should be effectively controlled, and particularly the combustion of expelled electrolyte must be directed away from adjacent cells.

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Key words: Electric vehicle; Lithium-ion battery safety; Thermal runaway; Interstitial
material; Thermal runaway propagation

41

42 1. Introduction

Lithium-ion (Li-ion) batteries, as the state-of-the-art energy storage units, have 43 been mainly applied in the fields of Energy Storage System (ESS) [1], such as Electric 44 45 Vehicle (EV) [2], auxiliary power unit (APU), smart grids, etc. The industry of EV has boomed worldwide since 2009 due to the concerns of dependence on oil-based fuels 46 consumption and the pressure of carbon emissions. Battery electric vehicles access the 47 mass market rapidly with their advantages of zero emission and also the generous 48 subsidies from governments. Rechargeable li-ion batteries have been widely used in 49 consumer electronic devices, such as cell phones and computers [3-6]. Due to its high 50 gravimetric and volumetric energy densities [7], Li-ion battery is currently the best 51 power source candidate for BEV compared to NiMH or lead-acid battery. However, 52 53 higher energy density may cause greater thermal hazard if this energy is released abruptly because of contamination, manufacturing defect, mechanical insult, 54 overcharging or internal short circuit caused by overheating [8-10], etc. The rapid 55 discharge of electrical energy inside the cell will raise its temperature and causes series 56 reactions, including 1) reaction between cathode and electrolyte; 2) thermal 57 decomposition of electrolyte; 3) reaction between electrolyte and anode; 4) thermal 58

decomposition of anode; 5) thermal decomposition of cathode [11-13]. This auto-59 acceleratory exothermic process is called thermal runaway (TR), which generates 60 combustible gases, and results in expulsions of the cell components [14-16]. Generally, 61 there are three ways to improve the safety performance of lithium-ion battery to prevent 62 TR: 1) enhance thermal stability of the electrode materials; 2) improve the electrolyte 63 of lithium-ion battery to avoid burning; 3) propose new design and management of 64 lithium-ion battery through some external methods, such as safety design and insulation 65 66 of cells, safety valves and the process improvement [17-19].

The safety issues of Li-ion batteries have drawn tremendous attention and become an urgent problem to be solved in the development of Li-ion batteries. Some typical battery pack safety accidents are shown in Table 1. However, the risk of thermal runaway becomes even more severe in large scale battery pack since failure of a single cell could trigger a TR propagation in the whole pack, which may cause catastrophic damages.

- 73
- 74 Table 1

75 Typical accidents related to Li-ion batteries

Date/Place	Brand	Power type	Cause
May 2011/USA	Chevrolet Volt	BEV	Caught fire after crash test
2012/Texas	Fisker Karma	HEV	Unknown
Jan 7 2013/Boston's Logan International Airport	Boeing 787 Dreamliner	APU	Internal short circuit
Jan 1 2016/ Norway Gjerstad	Tesla MODEL S	BEV	Distribution box short circuit
Apr 9 2016/Shanghai	BYD	HEV	Foreign body in exhaust pipe
May 14 2016/Zhuhai	Yinlong	BEV	Battery short circuit
June 23 2016/Beijing	JAC iEV5	BEV	Unknown

76

For a battery pack that is in working status, there are several factors that may lead to thermal runaway, such as mechanical abuse (puncture, crush), electrical abuse (overcharge, over-discharge, short circuit), thermal abuse, etc [20, 21]. Generally, Battery Management System (BMS) and Battery Thermal Management System (BTMS)
[22, 23] can monitor and control the real-time safety related parameters (temperature,
voltage, current, pressure, etc.) to prevent the batteries from being abused. However,
the manufacturing defects (loose connection, separator damage, foreign debris) inside
the batteries cannot be monitored or controlled by BMS and BTMS, which may still
cause thermal runaway of batteries. Passive inhibition methods are required to limit the
TR propagation, and thus avoid catastrophic break down of the whole system.

87 Currently, some experimental and simulation works about safety are based on cell level. Saw et al. have improved the safety performance of single cell by studying the 88 surface roughness and coating thickness of boron nitride added on battery casing [24]. 89 Coman et al. [25] have studied different processes of cylindrical cell during TR in a 90 model with venting and quantified the mass fraction of electrolyte leaving the cell can. 91 In addition, there are a few researches aiming at enhancing the safety of battery module. 92 Guo et al. [26] have developed three-dimensional thermal abuse model on the high 93 capacity lithium-ion batteries, which contributes to the design of cooling system in the 94 95 battery packs. In the normal working status, a 3D thermal model of lithium-ion battery pack is developed to simulate the thermal behaviors of the EV power battery [27]. 96 What's more, there are some novel thermal studies about battery module: aluminum 97 foam with porosity control used as cooling system [28], influence of discharging 98 99 treatment and module shape on the thermal failure propagation [29], and the impact of electrical connections on 18650 cell TR propagation and failure behaviors of pouch 100 cells [30], etc. Abada et al. [31] summarized the phenomenon, mechanism and safety 101 approach of thermal runaway in both cell level and module level. 102

For different kinds of ESS, a price and weight competitive safety grouping scheme is needed to improve the TR resistance of the lithium battery module. Therefore, we proposed four interstitial materials (air, Al plate, graphite composite sheet and Al extrusion) with different potential application values, and studied their inhibition effects on TR propagation. The system studied in this paper is a simplified form of a certain module in the battery pack, which contains 11 parallel cells with various TR propagation paths once TR occurs, and we call it Basic Safety Unit (BSU). Different interstitial materials are inserted between cells to investigate their effects on thermal
inhibition, and the middle cell of the module was heated into TR in the mode of top
venting or side rupture. The TR propagation results were studied in both simulation and
experimental methods.

114

115 2. Development of Thermal Model

116 2.1 Thermal runaway mechanism

The understanding of the mechanism of TR in a lithium-ion cell is critical when 117 designing the thermal management systems, which should mitigate the effects of TR 118 and impede cell-to-cell propagation. TR means uncontrolled temperature rise of a single 119 cell caused by the exothermic chain reactions and is characterized by a distinct rapid 120 increase of temperature, rather than a steady temperature rise. Energy released during 121 TR in a cell includes cell body energy, top venting energy and side rupture energy, as 122 shown in Fig. 1. The heat released from an abused cell can activate chain reactions in 123 124 the neighboring cells, causing catastrophic failure of the whole battery module or pack. 125



126 127

Fig. 1. Schematic of energy constitution (Physical and model drawing).

A TR propagation model was built to analyze the heat transfer through different paths. To simplify the analysis and to focus on the propagation process, some assumptions are proposed as follows [32-34]: the heat transfer condition of TR is set to be adiabatic; residual burning is not considered; the cell is considered as a thermally lumped system; vented gases are not considered as reactive and no combustion is taking place in between the cells. Structural integrity and material properties are assumed to be constant at high temperatures. Every cell conforms to the energy balance equation,
as illustrated in Fig. 2 [35]. The increase of the internal energy of each cell is determined
by the heat generation inside the cell and the heat dissipation rate. The heat generation
is induced by chemical reaction and Joule heating due to electrical short circuit. The
heat dissipation includes conduction, convection and radiation.





Fig. 2. Energy balance equation of a single cell.

In the normal working conditions, \dot{Q}_s is the main source of the heat generation. When TR occurs in the system, the energy release rate due to chemical reaction \dot{Q}_r is much larger than \dot{Q}_s from Joule heating rate [32], thus only the effect of \dot{Q}_r is taken into consideration. Generally, \dot{Q}_r contains the following four parts:

145
$$\dot{Q}_r = \dot{Q}_f + \dot{Q}_n + \dot{Q}_p + \dot{Q}_e$$
 (1)

146 Where \dot{Q}_f is the heat generation rate due to the decomposition of SEI, \dot{Q}_n due to 147 Negative-Solvent reaction, \dot{Q}_p due to Positive-Solvent reaction, and \dot{Q}_e is the heat 148 generation rate due to the decomposition of electrolyte.

The heat dissipates from the system to the surroundings through convection andradiation, and can be written as follows:

151
$$\dot{Q}_{conv} = h_{conv} A \left(T - T_f \right)$$
(2)

152
$$\dot{Q}_{rad} = \varepsilon \sigma A (T^4 - T_w^4) \tag{3}$$

Based on energy balance equations as shown in Eq. (1) - (3), the temperature distribution inside the system under a certain TR condition can be solved using numerical simulation tool - FLUENT. The results from the simulation can be used to predict the most possible location where TR occur and make it possible to prevent it from happening in advance.

158 2.2 Calibration of thermal properties of single cells

Accelerating rate calorimeter (EV-ARC, Thermal hazard technology, UK) was used to measure the thermal hazard and runaway characteristics of commercial 18650 lithium-ion batteries, as shown in Fig. 3(a). The thermal runaway energy distribution can be calibrated during the experiment [32, 35]. The change of the temperature during TR is recorded in an adiabatic environment, as shown in Fig. 3(b) & (c).

A standard heat-wait-search (HWS) procedure is the most characteristic and prevalent way to determine the onset temperature of self-heating. The tests evaluated the thermal hazard characteristics, such as initial exothermic temperature (T_0) and selfheating rate (dT/dt), as shown in Fig. 3 (d) & (e). The maximum self-heating power of commercial 18650 cylindrical lithium-ion battery cells (Samsung 18650-33G) of 100% state of charge (SOC) was measured to be 9.95 kW, and the maximum temperature reached 889 °C.



171

Fig. 3. Accelerating rate calorimeter (ARC) test process. (a)ARC test device; (b) & (c) Cell status before & after ARC test; (d) Thermal runaway temperature of a cell; (e) energy release variation curve of a cell; (f) Temperature rate as a function of temperature, T_{θ} was defined as the point at

175 which the heating-rate curve rises from constant to quasi-exponential.

176 After the experiment proceeded for 960 seconds, it was recorded that the rate of

the temperature rise of the cell increased rapidly. T_0 was defined as the point at which the heating-rate curve rises from constant to quasi-exponential [36], and was used as the TR triggering temperature (TR_T) to determine if the cell was forced into TR in simulation. T_0 was 160.6±1.2 °C on average recorded from 8 repeated tests. Fig. 3 (d), (e) & (f) is the ARC test result from one cell. Cell temperature went up to 889 °C within a few seconds. The heat generation of the cell during TR was estimated with following equations:

184
$$C_{total} = \frac{(C_{cell}m_{cell} + C_{can}m_{can})}{(m_{cell} + m_{can})} = 1100 \text{ Jg}^{-1}\text{K}^{-1}$$
 (4)

Where C_{total} is the average mass specific heat of single cell, C_{cell} the average mass specific heat of the vented cell materials, C_{can} the average mass specific heat of the can and cell material residual, m_{cell} the mass of the cell materials, m_{can} the mass of the stainless steel can and m_{total} is the total mass of the single cell. According to the measurements:

190
$$m_{total} = m_{cell} + m_{can} = 0.047 \text{ kg}$$
 (5)

191
$$Q_{total} = m_{total} C_{total} \Delta T = 0.047 \times 1100 \times (889 - 160.6) = 37658.28 \text{ J}$$
 (6)

$$192 \qquad Q_{can} = m_{can}C_{can}\Delta T = 4374$$
 $\tag{7}$

193
$$Q_{cell} = Q_{total} - Q_{can} = 33284.28$$
 J (8)

194
$$Q_{cell}/Q_{total} = 33284.28/37658.28 = 0.88$$
 (9)

Where Q_{total} is the total energy released by the TR cell, Q_{can} is the energy of the can and cell material residual, and Q_{cell} is the energy carried by the vented cell materials.

198 *2.3 Experimental study of TR propagation within a test unit*

There are several methods to initiate the TR such as nail penetration, heating and
overcharge. This study used electrical resistance (Joule) heating to drive the cell into
TR (Fig. 4).



202

203

Fig. 4. Schematic of triggering process of thermal runaway by heating.

During the experiment, the triggering cell was heated to TR and forced to release 204 energy in the form of top venting or side rupture. A gas vent is located at the top of the 205 18650 cell to allow for an internal pressure release when gas builds up inside the cell. 206 Large amount of gas generated inside the cell at TR state would sharply increase 207 internal pressure which could mostly activate the gas vent and release gas from the top 208 of the cell. The practical application of the technique to avoid side rupture is to weaken 209 the strength of the top or the base of cell [37]. Therefore, based on the contrary concept, 210 211 the top of the cell was enhanced to increase the chance of side rupture. In this experiment, the top of the cell was glued and attached with a metal plate for 212 reinforcement to achieve side rupture intentionally. 213

Commercial cells (Samsung 18650-33G) were used, which are brand new and on-214 purpose purchased for the experiment. The anode material of the cell is NCA (NiCoAl), 215 the cathode material is graphite, and the electrolyte is mainly ethylene carbonate (EC) 216 with LiPF₆. Cells were assembled into a BSU and the assembly procedure is illustrated 217 in Fig. 5 (a)-(d). Cells were set between two symmetrical ABS plastic sheet, and the 218 219 battery spacing were kept 2 mm. A resistance heating wire (Cr₂₀Ni₈₀) was wound around cell #7 in the central section of the cell (Fig. 5 (1)) for 8 rounds. The winding 220 area accounts for half of the surface area of the cell. Cell #7 was heated during the 221 experiment as triggering cell. The thermal couples were erected against the central 222 surface of each cell, located on the side away from cell #7 (Fig. 5 (e), (m), Fig. 6 (c)). 223 The signals from the thermal couples were collected by a data logger (LR8400-21, 224 HIOKI Japan) (Fig. 7(b)), and the temperatures of the cells were recorded in real time. 225

Different materials were inserted in the interval between the cells, and their materials 226 are listed in Table 2. The arrangements of interstitial layers are illustrated in Fig. 5(g)-227 (i). The thickness of both Al plates and graphite sheets are 2.1 mm. When BSU is 228 assembled, the cell and the interstitial material are closely contacted (Fig. 5 (k)). BSU 229 is locked by bolts. Tiny gaps are inevitable but can be ignored in the actual assembly. 230 It should be noted that the graphite sheets are sandwich structures. And the outer layers 231 are graphite (0.2 mm thickness) with the thermal conductivity of 800 W m⁻¹ K⁻¹ in plane 232 direction and 25 W m⁻¹K⁻¹ in axial direction, while the middle layer (1.7 mm thickness) 233 is a thermal barrier with the thermal conductivity of $0.02 \text{ W m}^{-1} \text{ K}^{-1}$. 234

235



236

Fig. 5. Photographs of BSU modules with different interstitial material. (a)-(d) Assembling procedure for BSU (with graphite sheet); (g)-(j) BSU with different interstitial materials: (g)Air (natural state), (h) Al plate, (i) Graphite composite sheet, (j) Al extrusion; (k) top view of uncovered BSU; (l) triggering cell binding with heating wire and thermal couple in the central section of the cell; (m) neighboring cell binding with a thermal couple.

Eight BSUs were assembled with 4 interstitial materials and 2 venting options. All the BSUs were charged to 100% SOC (Fig. 6(a)) and sealed in a casing made of aluminum (Fig. 6(b)). The Al casing has a gas vent on the top. Fig. 6 shows an exploded view, which exhibits the configurational structure. The Al casing structure was settled
into a test chamber (1000 mm x 500 mm x 500 mm, 3 mm thickness, made of steel) as
shown in Fig. 7(a) for experiment, which simulated the TR in a battery pack and ensured
safety.

249





Fig. 6. Photograph and schematic of a BSU. (a) Charging setup for BSU. (b) BSU sealed in Al
casing. (c) BSU configuration showing cell numbers and locations of triggered cell (cell 7) and
adjacent cells (cell1-10). Thermal couples were placed on cell 1-7, while their positions are
represented by red dots.

255

256

257

Fig. 7. Devices for thermal runaway experiment

During the experiment, the heating wire heated up cell #7 at power of 80 W by the resistance heating wire until cell #7 run into TR. The temperatures of all cells were recorded in real time.

261 **Table 2**

262 Characteristics of Samsung 18650-33G and different interstitial materials

Test Char

Item	Density	Cp(J kg ⁻¹	Thermal Conductivity(W m ⁻¹ K ⁻¹)
	(kg m ⁻³)	K ⁻¹)	

Samsung 18650-33G	2800	1143	Radial	Axial
			5	1
Al plate	2700	880	230	230
Graphite composite	2200	700	plane/axial	barrier
sheet (lightweight)			800/25	0.02
Al extrusion	2700	880	230	230

263

264 *2.4 Simulation process*

The simulation is based on the BSU containing 11 cells in parallel with various 265 266 interstitial materials. The center cell was triggered into energy releasing in the form of top venting or side rupture. The released energy was transferred to the neighboring cells 267 through conduction, convection (natural and forced convection) and radiation. 268 Interstitial materials are directly in contact with the enclosure of BSU, which exchanges 269 heat with surroundings by convection, as shown in Fig. 6. Mesh of the model is 270 established by the meshing tool Hypermesh. Nodes between the cell and the interstitial 271 material and those between the interstitial material and the enclosure are 272 273 continuous/uninterrupted, as shown in Fig. 8(a).

Finite element method software - Fluent [38] is employed to simulate and analyze 274 the ability of various interstitial materials to restrain the temperature rise of the 275 neighboring cells, and furthermore supply theoretical guidance for the TR propagation 276 in battery module level. The maximum temperature of each single cell will be achieved 277 278 during the simulation process. Boundary conditions of the simulation are as follows: ambient temperature is 27 °C; the Al casing is set to have a gas vent in the top to 279 simulate the real situation of the cell in a module; the gas ejected during the top venting 280 is exhausted outside the casing, while the gas ejected during the side rupture is 281 contained in the casing; the heat exchange between Al casing and external environment 282 is natural convection with gravity; the battery casing is in intimate contact with the 283 interstitial material. The middle cell of the module is set to release energy in the form 284 of top venting and side rupture as shown in Fig. 8(b). Graphite composite sheet and Al 285

plate are in direct contact with the surface of cell body, and the interface contact thermal
resistance is ignored. In side rupture condition, hot gas energy is contained within the
enclosure.



289

Fig. 8. Simulation model diagram. (a) Schematic of model mesh for numerical simulation; (b) Two
modes of energy releasing: top venting and side rupture.

The simulation model is composed of enclosure, ABS plastic plates, thermal pad, 292 293 four kinds of interstitial materials, cells with 2 mm gap, which is the same as the experiments. For comparation purpose, two mesh sizes (0.5 mm and 1 mm) are 294 produced with 2.33 M and 0.6 M structured meshes, respectively. And nodes are 295 continuous between meshes. No difference is observed between two results. For faster 296 calculation convergence, 1 mm mesh size and continuous nodes between meshes is set 297 for simulation. The ambient temperature is set at 27 °C and material properties are 298 shown in Table 2. The quantified energy released by the triggering cell is illustrated 299 in Fig. 3(d) & (e) and Eqs. (4)-(8). And the heat transfer coefficient of convection 300 between the shell and the ambient surrounding is 5 W m⁻² K⁻¹. 301

302

303 3. Results and discussion

The presented work details the TR propagation behavior of the BSUs with both simulation and experiment methods. The center cell was heated into TR. Meanwhile, the temperatures of the center cell and 6 abutting cells were monitored in real-time during the experiments. Experimental and simulation results with different interstitial materials are elaborated in Fig. 9 and Fig. 10, separately.

309 *3.1 Experimental results*

Eight BSUs with four different interstitial materials were tested. Cell #7 at the center was heated to TR in the mode of either top venting or side rupture, and the temperatures of cells were recorded. Experimental results are shown in Fig. 10. The relationship between the serial number and the interstitial materials is the same as that in Table 3. Table 3 summarizes the results of each BSU, including TR_T and the time to TR of cell #7, maximum temperature and TR values of neighboring cells.

316

(a) BSU with none interstitial material and top venting mode:

TR was triggered in cell #7 after it was heated for 160 s and TR_T was recorded as 178 °C approximately. Then the temperature of the adjacent cells rose from 33 °C. The temperatures recorded exceeded the TR temperature (160.6 °C), which means the occurrence of TR propagation. It should be noted that the temperature of adjacent cells around cell #7 fluctuated drastically, which might due to the unstable sporadic, intermittent hot vapor released by the surrounding TR cells.

The temperature recorded to reach TR of the experimental module is greater than 323 TR_T of the ARC test on single cell. The reason is that ARC test is a slow heat-wait-324 search process under the assumption of uniform temperature within the cell. While in 325 the BSU tests, the heating wire heats up the cell from outside at a much higher rate, and 326 327 the nonuniformity of the temperature within the cell is significant. Therefore, the temperature at the outer shell of the cell is several degrees higher than that at inner part 328 of the cell, which is the criterial to trigger TR. In the following cases, there are also 329 varying degrees of temperature differences. 330

(b) BSU with none interstitial material and side rupture mode:

The temperature of cell #7 reached TR_T of 182 °C after it was heated for 115 s. Almost immediately the temperature of the adjacent cells rose sharply and TR propagation occurred. The temperature dropped slowly not until after 350s.The temperature of cell #1 rose much slower than other cells. This may due to the reason that the side rupture direction of cell #7 is facing off the direction of cell #1. Therefore, cell #1 is not directly affected by the explosion, but is subjected to relatively slow heat radiation.

(c) BSU with Al plate as interstitial material and top venting mode:

The temperature of cell #7 reached TR_T of 184 °C after it was heated for 240 s. There were small fluctuations of the adjacent cells' temperatures. The Al plate acts as a heat sink and absorbs the heat energy of cell #7. The maximum temperature of the
adjacent cells reached is about 120 °C, and no TR propagation occurs.

344

(d) BSU with Al plate as interstitial material and side rupture mode:

Cell #7 was forced to TR after heated for 240 s. The temperatures of adjacent cells 345 rose sharply along with the TR of cell #7, and TR propagation occurred in the BSU. 346 The energy released by side rupture of cell #7 is all wrapped in the module. The Al 347 plate is saturated as heat sink. Therefore, the heat energy is transferred to the 348 349 surrounding cells. In the case of top venting (c), part of the energy was released outside the module along with the material explosion, so no TR propagation was observed in 350 Fig. 17(c). The direction of side rupture might face to cell #2, #4, and #6, so the 351 temperature of these cells rose faster than cell #1, #3, and #5 as shown in the inset of 352 Fig. 17(d). Moreover, due to the heat sink and space isolation effect of the aluminum 353 plate, the energy dissipation is relatively stable during the cooling process of the entire 354 module, so the temperature drop is relatively stable compared to (a) and (b). 355

(e) BSU with graphite composite sheets as interstitial material and top ventingmode:

Cell #7 was heated to trigger TR. Moderate temperature rise (slightly above 100 °C) of the adjacent cells was recorded and no TR propagation was observed, as in the case of (c). Furthermore, the maximum temperature of the triggered cell reached about 800 °C, and is much smaller than those in modules with TR propagations, which is above 1000 °C. This is due to the large amount of energy released during TR propagations in the modules causing excessive temperature rise in the center of the modules.

365 (f) BSU with graphite composite sheets as interstitial material and side rupture366 mode:

The temperature of the center cell reached TR_T of 178 °C after it was heated for 150 s. Moderate temperature rise of the adjacent cell that is directly in the rupture direction of the TR cell was recorded. And no TR propagation was observed after temperature rise fluctuations. Hence, graphite composite sheet can prevent TR propagation in BSU even in the side rupture mode. The graphite sheet has a high thermal conductivity in plane direction (800 W m⁻¹K⁻¹), which can spread out the heat released from the TR cell rapidly to the Al casing. On the other hand, the graphite sheet has a low thermal conductivity in the axial direction (25 W m⁻¹K⁻¹) due to the sandwich structure, which can effectively shelter the cells adjacent to the TR cell. Therefore, the temperature distribution among the whole module is quite uniform even in this side rupture mode.

(g) BSU with Al extrusion as interstitial material and top venting mode:

Cell #7 was forced to TR. TR lasted for around 10 s and the maximum temperature reached 850 °C. The temperature of the neighboring cell 3 reached highest value of 102 °C, which did not trigger TR and the rest cells remained stable.

- 382 (h) BSU with Al extrusion as interstitial material and side rupture mode:
- Cell #7 was forced to TR. The temperature of adjacent cell #2 reached a maximum
- temperature around 115 °C, and did not trigger TR.



Fig. 9. Experiment results of 7 cells (a) with air as interstitial material and top venting; (b) with air as interstitial material and side rupture; (c) with Al plate as interstitial material and top venting; (d) with Al plate as interstitial material and side rupture; (e) with graphite sheet as interstitial material and top venting; (f) with graphite sheet as interstitial material and side rupture; (g) with Al extrusion as interstitial material and top venting; (h) with Al extrusion as interstitial material and side rupture.

391

392 Table 3

Summary of experiment results of all BSUs. The results include venting mode, triggering
temperature and time to TR of cell #7, maximum temperatures and TR values of neighboring cells.
'T' means 'Top venting', 'S' means 'Side rupture'. 'Fail' means that TR propagation occurs in the
module, while 'Pass' means that no TR propagation occurs in the module

	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Interstitial material	Air(a	air)	Alp	olate	graphite com	posite sheet	Al extr	rusion
Venting mode	Т	S	Т	S	Т	S	Т	S
Time to TR (s)	160	115	240	240	248	150	210	159
TR_{T} (°C)	178	182	184	180	157	178	184	181
Max Temp (°C)			120		100	120	102	115
TR value	Fail	Fail	Pass	Fail	Pass	Pass	Pass	Pass

397

398 *3.2 Simulation results*



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Fig. 10. Simulation results of the temperature responses of BSU (a) with air as interstitial material and top venting; (b) with air as interstitial material and side rupture; (c) with Al plate as interstitial material and top venting; (d) with Al plate as interstitial material and side rupture; (e) with graphite sheet as interstitial material and top venting; (f) with graphite sheet as interstitial material and side rupture; (g) with Al extrusion as interstitial material and top venting; (h) with Al extrusion as interstitial material and side rupture.

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Fig. 10 illustrates the numerical simulation results of the temperature distribution

inside the modules with various interstitial materials. The center cell was hidden to 407 strengthen the color difference generated from the temperature gradient since the 408 temperature difference between neighboring cells and the center cell are drastic during 409 the energy release process. The energy generated by chain reactions is ignored in order 410 to reduce the complexity of simulation. It is a low cost method to evaluate the safety 411 and reliability of module design to obtain a simple and clear criterion of thermal 412 runaway onset. During the simulation, the energy is loaded on the center cell, whose 413 value is determined from the ARC test. The temperature of the center cell increased to 414 about 800 °C within 5 s after it was triggered. The neighboring cells reach their peak 415 temperature after the center cell does. In the experiment process, the thermal couple of 416 cell #1-#6 was erected against the central surface of each cell, located on the side facing 417 off from cell #7 (Fig. 6 (c)). In the simulation, the temperatures of neighboring cells are 418 monitored at locations that are facing away from the center cell, which are the same as 419 those in the experiment. These recorded temperatures are taken as the criteria of thermal 420 runaway onset. Sample illustration of simulated temperature results for neighboring 421 422 cells is shown in Fig. 11. Temperatures rise rapidly for cells with none interstitial material and top venting mode (Fig. 11(a)), and no high temperature is found for cells 423 with Al extrusion and side rupture mode (Fig. 11(b)). The temperatures and outcomes 424 are shown in Table 4. The triggering temperature is 160.6 ± 1.2 °C acquired from ARC 425 test. 426

427

428 Table 4

Max temperature of neighboring cells in simulation. 'T' means 'Top venting', 'S' means 'Side
rupture'. 'Fail' means that TR propagation occurs in the module, while 'Pass' means that no TR
propagation occurs in the module

No.	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Interstitial material	None(ai	r)	Al pla	ate	graphite com	posite sheet	Al ext	rusion
Venting mode	Т	S	Т	S	Т	S	Т	S
Cell Temp.(℃)	869	828	80	812	153	120	83	80

TR value	Fail	Fail	Pass	Fail	Pass	Pass	Pass	Pass
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- 432
- 433 *3.3 Summary*
- 434 Table 5
- 435 Results of TR experiment. 'Pass' means no TR propagation occurring in the BSU, while 'Fail' means
- 436 TR propagation occurring in the BSU.

Interstitial material	Mode	Simulation	Experiment
		results	results
Air	Top venting	Fail	Fail
	Side rupture	Fail	Fail
Al plate	Top venting	Pass	Pass
	Side rupture	Fail	Fail
Graphite composite sheet	Top venting	Pass	Pass
	Side rupture	Pass	Pass
Al extrusion	Top venting	Pass	Pass
	Side rupture	Pass	Pass

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Fig. 11. Temperature results of BSU with Al extrusion as interstitial material and side rupture mode
for both simulation and experiment. Curves "E1-E6" are temperature records of cell #1-#6 in
experiment; Curves "S1-S6" are simulation calculated temperature results for cell#1- #6.

442 Temperature results of BSU with Al extrusion as interstitial material and side

rupture mode for both simulation and experiment are shown in Fig. 11. The
temperatures of neighboring cells #1-#6 agreed well, which provides a basis for
consistency of simulation and experimental results.

The simulation and experiment results are summarized in Table 5. The outcomes 446 of the experiments are shown in Fig. 12. Generally, the results of experiment and 447 simulation agreed well, except certain temperature differences. From the simulation and 448 experimental results, it can be seen that under the current module energy density and 449 450 battery spacing conditions, only air in the gap cannot prevent the TR propagation in any form. In the case of top venting, the aluminum plate has the function of heat sink and 451 heat conductor, which successfully prevents the TR propagation, but is overwhelmed 452 in the case of side rupture. The graphite composite plate and Al extrusion can 453 successfully prevent the TR propagation under both modes (top venting and side 454 rupture). The high in-plane thermal conductivity of the graphite in the graphite 455 composite plate and the thermal insulation of the intermediate interlayer effectively 456 prevent the heat from being transferred to adjacent cells. The Al extrusion can absorb 457 458 the heat generated from the TR cell as a robust heat sink, which can effectively prevent the TR propagation. 459

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461 462

Fig. 12. BSUs with (a) and without (b) the propagation of thermal runaway.

Graphite composite plates are light in weight but expensive, and are not suitable for common consumer product applications. It is suitable for applications where energy density and safety requirements are relatively high, such as space and military battery unit. The Al extrusion is relatively heavy but has a lower cost than graphite composite sheet, and it can prevent the TR propagation mostly. It can be used in the case of weightinsensitive products such as heavy duty electric machines, energy storage power 469 stations.

470

471 **4.** Conclusion

The objective of this study is the inhibition effect on TR propagation utilizing 472 different interstitial materials when TR of a cell occurs in a module. Four interstitial 473 474 materials were evaluated in both simulation and experimental methods. And the 475 commercial application prospects of the battery module design were also discussed. In this study, modules composed of 11 cells with four interstitial materials (air, Al plate, 476 graphite composite sheet and Al extrusion) were built as the basic test units. The TR 477 conditions and properties of a single cell were calibrated by ARC test. In simulation 478 these properties were loaded on the center cell as initial condition to calculate the 479 temperature distribution of the neighboring cells, while the chain reactions were not 480 considered. The center cell was triggered into TR by an 80W heating wire and the 481 temperature of all the cells were monitored in the experiment process. 482

483 TR is a drastic energy releasing process, especially in side rupture condition. In battery module design, air alone cannot prevent the TR propagation. Aluminum plate 484 has a certain safety protection against TR, but failed in the case of side rupture. The 485 graphite composite sheet can significantly prevent the TR propagation, due to the high 486 in-plane thermal conductivity of the graphite sheet layers and relatively low thermal 487 conductivity in cross-plane direction of the graphite sheet layers, particularly the high 488 thermal insulation and fire resistance of intermediate layer. Even though Al extrusion 489 is slightly heavy, but it shows the best performance in restraining TR propagation, 490 491 which can stabilize the temperature of the entire module in a moderate range. This approach is most likely to be applicable for lithium-ion batteries which have an energy 492 493 density not higher than that used. At present, the results of this study are more inclined to be applied to cylindrical batteries. It may also be useful for prismatic and pouch cells 494 with higher energy, while the structure design and material parameters need to be 495 further adjusted according to different application states. 496

497

It can be seen that side rupture of the triggering cell can significantly increase the

498 possibility of TR propagation and managing the top venting path is critical for thermal 499 management. It is a feasible way to improve the safety of the high specific energy 500 lithium ion battery by utilizing different interstitial materials to change the thermal path 501 in the battery module. A proper safety valve should be designed in the bottom of cell to 502 release the pressure and heat, which is a critical means to help avoiding side rupture. 503 Furthermore, for safety concern, the combustion of expelled electrolyte must be 504 directed away from adjacent cells in the battery packs.

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