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1 **Inhibition effect of different interstitial materials on thermal runaway**  
2 **propagation in the cylindrical lithium-ion battery module**

3

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13

14

Nomenclature

$A$	surface area [m <sup>2</sup> ]
$C_{can}$	average mass specific heat of the can and cell material residual [J kg <sup>-1</sup> K <sup>-1</sup> ]
$C_{cell}$	average mass specific heat of the vented cell materials [J kg <sup>-1</sup> K <sup>-1</sup> ]
$C_{total}$	average mass specific heat of single cell [J kg <sup>-1</sup> K <sup>-1</sup> ]
$h_{conv}$	convective heat transfer coefficient [W m <sup>-2</sup> K <sup>-1</sup> ]
$k$	effective thermal conductivity [W m <sup>-1</sup> K <sup>-1</sup> ]
$m_{cell}$	mass of an 18650 cell's components [kg]
$m_{can}$	mass of an 18650 cell's stainless-steel casing [kg]
$m_{total}$	mass of an 18650 cell [kg]
$\dot{Q}_{conv}$	convective heat transfer at the cell boundaries [W m <sup>-2</sup> ]
$\dot{Q}_{rad}$	radiative heat transfer at the cell boundaries [W m <sup>-2</sup> ]
$\dot{Q}_r$	chemical reaction heat generation rate [W]
$\dot{Q}_f$	solid electrolyte interface (SEI) decomposition heat generation rate [W]

$\dot{Q}_n$	Negative-Solvent reaction heat generation rate [W]
$\dot{Q}_p$	Positive-Solvent reaction heat generation rate [W]
$\dot{Q}_e$	electrolyte decomposition heat generation rate [W]
$t$	time [s]
$T$	temperature [K]
$T_0$	initial exothermic temperature [K]
$dT/dt$	the derivative of the temperature [K s <sup>-1</sup> ]
<i>Greek symbols</i>	
$\varepsilon$	Emissivity of the battery surface
$\sigma$	Stefan-Boltzmann constant, 5.67e-8 [W m <sup>-2</sup> K <sup>-4</sup> ]
$TR_T$	TR triggering temperature

15 **HIGHLIGHTS**

- 16 Inhibition effect of interstitial materials on TR in battery modules is studied.  
 17 CFD model is used to analyze battery temperature under different conditions.  
 18 The simulation is experimentally compared and verified by basic safety units.  
 19 Composite graphite sheet and Al extrusion can effectively control the thermal path.

20

21 **ABSTRACT**

22 With the growing demand for high specific energy density of lithium-ion battery  
 23 pack in electric vehicle to relieve range anxiety, thermal stability in abused conditions  
 24 is becoming increasingly important in battery pack safety design. Most of the fire  
 25 accidents are resulted from the thermal runaway (TR) of a single cell and then propagate  
 26 to the battery modules and entire pack. This study focuses on the safety enhancement  
 27 methods for battery module, which is filled with different interstitial materials. The  
 28 basic safety unit is composed of 11 commercial 18650 cylindrical cells, which is  
 29 isolated from the electric vehicle pack as the test module. The test modules were  
 30 intentionally triggered into TR by heating wire to evaluate the TR propagation

31 resistance. A model based on finite volume method was established to simulate the TR  
32 propagation. The results of both simulation and experiments show that the protection  
33 of neighboring cells from different interstitial materials varies significantly. Graphite  
34 composite sheet and Al extrusion as interstitial materials could effectively suppress TR  
35 propagation. The results also indicate that for safety design of battery pack, thermal  
36 path should be effectively controlled, and particularly the combustion of expelled  
37 electrolyte must be directed away from adjacent cells.

38

39 **Key words:** Electric vehicle; Lithium-ion battery safety; Thermal runaway; Interstitial  
40 material; Thermal runaway propagation

41

## 42 **1. Introduction**

43 Lithium-ion (Li-ion) batteries, as the state-of-the-art energy storage units, have  
44 been mainly applied in the fields of Energy Storage System (ESS) [1], such as Electric  
45 Vehicle (EV) [2], auxiliary power unit (APU), smart grids, etc. The industry of EV has  
46 boomed worldwide since 2009 due to the concerns of dependence on oil-based fuels  
47 consumption and the pressure of carbon emissions. Battery electric vehicles access the  
48 mass market rapidly with their advantages of zero emission and also the generous  
49 subsidies from governments. Rechargeable li-ion batteries have been widely used in  
50 consumer electronic devices, such as cell phones and computers [3-6]. Due to its high  
51 gravimetric and volumetric energy densities [7], Li-ion battery is currently the best  
52 power source candidate for BEV compared to NiMH or lead-acid battery. However,  
53 higher energy density may cause greater thermal hazard if this energy is released  
54 abruptly because of contamination, manufacturing defect, mechanical insult,  
55 overcharging or internal short circuit caused by overheating [8-10], etc. The rapid  
56 discharge of electrical energy inside the cell will raise its temperature and causes series  
57 reactions, including 1) reaction between cathode and electrolyte; 2) thermal  
58 decomposition of electrolyte; 3) reaction between electrolyte and anode; 4) thermal

59 decomposition of anode; 5) thermal decomposition of cathode [11-13]. This auto-  
 60 acceleratory exothermic process is called thermal runaway (TR), which generates  
 61 combustible gases, and results in expulsions of the cell components [14-16]. Generally,  
 62 there are three ways to improve the safety performance of lithium-ion battery to prevent  
 63 TR: 1) enhance thermal stability of the electrode materials; 2) improve the electrolyte  
 64 of lithium-ion battery to avoid burning; 3) propose new design and management of  
 65 lithium-ion battery through some external methods, such as safety design and insulation  
 66 of cells, safety valves and the process improvement [17-19].

67 The safety issues of Li-ion batteries have drawn tremendous attention and become  
 68 an urgent problem to be solved in the development of Li-ion batteries. Some typical  
 69 battery pack safety accidents are shown in Table 1. However, the risk of thermal  
 70 runaway becomes even more severe in large scale battery pack since failure of a single  
 71 cell could trigger a TR propagation in the whole pack, which may cause catastrophic  
 72 damages.

73

74 **Table 1**

75 Typical accidents related to Li-ion batteries

Date/Place	Brand	Power type	Cause
May 2011/USA	Chevrolet Volt	BEV	Caught fire after crash test
2012/Texas	Fisker Karma	HEV	Unknown
Jan 7 2013/Boston's Logan International Airport	Boeing 787 Dreamliner	APU	Internal short circuit
Jan 1 2016/ Norway Gjerstad	Tesla MODEL S	BEV	Distribution box short circuit
Apr 9 2016/Shanghai	BYD	HEV	Foreign body in exhaust pipe
May 14 2016/Zhuhai	Yinlong	BEV	Battery short circuit
June 23 2016/Beijing	JAC iEV5	BEV	Unknown

76

77 For a battery pack that is in working status, there are several factors that may lead  
 78 to thermal runaway, such as mechanical abuse (puncture, crush), electrical abuse  
 79 (overcharge, over-discharge, short circuit), thermal abuse, etc [20, 21]. Generally,

80 Battery Management System (BMS) and Battery Thermal Management System (BTMS)  
81 [22, 23] can monitor and control the real-time safety related parameters (temperature,  
82 voltage, current, pressure, etc.) to prevent the batteries from being abused. However,  
83 the manufacturing defects (loose connection, separator damage, foreign debris) inside  
84 the batteries cannot be monitored or controlled by BMS and BTMS, which may still  
85 cause thermal runaway of batteries. Passive inhibition methods are required to limit the  
86 TR propagation, and thus avoid catastrophic break down of the whole system.

87 Currently, some experimental and simulation works about safety are based on cell  
88 level. Saw et al. have improved the safety performance of single cell by studying the  
89 surface roughness and coating thickness of boron nitride added on battery casing [24].  
90 Coman et al. [25] have studied different processes of cylindrical cell during TR in a  
91 model with venting and quantified the mass fraction of electrolyte leaving the cell can.  
92 In addition, there are a few researches aiming at enhancing the safety of battery module.  
93 Guo et al. [26] have developed three-dimensional thermal abuse model on the high  
94 capacity lithium-ion batteries, which contributes to the design of cooling system in the  
95 battery packs. In the normal working status, a 3D thermal model of lithium-ion battery  
96 pack is developed to simulate the thermal behaviors of the EV power battery [27].  
97 What's more, there are some novel thermal studies about battery module: aluminum  
98 foam with porosity control used as cooling system [28], influence of discharging  
99 treatment and module shape on the thermal failure propagation [29], and the impact of  
100 electrical connections on 18650 cell TR propagation and failure behaviors of pouch  
101 cells [30], etc. Abada et al. [31] summarized the phenomenon, mechanism and safety  
102 approach of thermal runaway in both cell level and module level.

103 For different kinds of ESS, a price and weight competitive safety grouping scheme  
104 is needed to improve the TR resistance of the lithium battery module. Therefore, we  
105 proposed four interstitial materials (air, Al plate, graphite composite sheet and Al  
106 extrusion) with different potential application values, and studied their inhibition  
107 effects on TR propagation. The system studied in this paper is a simplified form of a  
108 certain module in the battery pack, which contains 11 parallel cells with various TR  
109 propagation paths once TR occurs, and we call it Basic Safety Unit (BSU). Different

110 interstitial materials are inserted between cells to investigate their effects on thermal  
111 inhibition, and the middle cell of the module was heated into TR in the mode of top  
112 venting or side rupture. The TR propagation results were studied in both simulation and  
113 experimental methods.

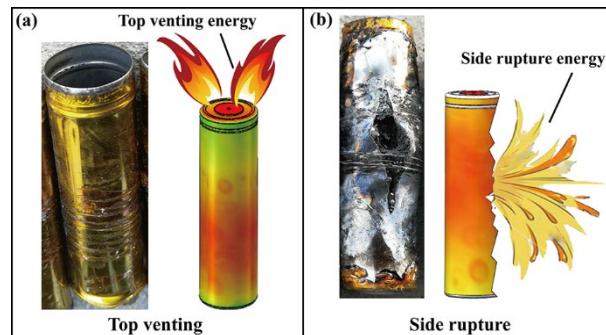
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## 115 **2. Development of Thermal Model**

### 116 *2.1 Thermal runaway mechanism*

117 The understanding of the mechanism of TR in a lithium-ion cell is critical when  
118 designing the thermal management systems, which should mitigate the effects of TR  
119 and impede cell-to-cell propagation. TR means uncontrolled temperature rise of a single  
120 cell caused by the exothermic chain reactions and is characterized by a distinct rapid  
121 increase of temperature, rather than a steady temperature rise. Energy released during  
122 TR in a cell includes cell body energy, top venting energy and side rupture energy, as  
123 shown in Fig. 1. The heat released from an abused cell can activate chain reactions in  
124 the neighboring cells, causing catastrophic failure of the whole battery module or pack.

125



126

127 **Fig. 1.** Schematic of energy constitution (Physical and model drawing).

128 A TR propagation model was built to analyze the heat transfer through different  
129 paths. To simplify the analysis and to focus on the propagation process, some  
130 assumptions are proposed as follows [32-34]: the heat transfer condition of TR is set to  
131 be adiabatic; residual burning is not considered; the cell is considered as a thermally  
132 lumped system; vented gases are not considered as reactive and no combustion is taking  
133 place in between the cells. Structural integrity and material properties are assumed to

134 be constant at high temperatures. Every cell conforms to the energy balance equation,  
 135 as illustrated in Fig. 2 [35]. The increase of the internal energy of each cell is determined  
 136 by the heat generation inside the cell and the heat dissipation rate. The heat generation  
 137 is induced by chemical reaction and Joule heating due to electrical short circuit. The  
 138 heat dissipation includes conduction, convection and radiation.

$$\begin{array}{c}
 \text{Internal} \\
 \text{Energy change} \\
 \Delta E \\
 \downarrow \\
 mC_p \frac{dT}{dt} \\
 \text{Temp} \\
 \text{rise}
 \end{array}
 =
 \begin{array}{c}
 \text{Heat} \\
 \text{generation} \\
 \dot{Q}_{gen} \\
 \downarrow \\
 \dot{Q}_r + \dot{Q}_s \\
 \text{Chemical} \quad \text{Electrical} \\
 \text{reaction} \quad \text{short}
 \end{array}
 +
 \begin{array}{c}
 \text{Heat} \\
 \text{dissipation} \\
 \dot{Q}_{ht} \\
 \downarrow \\
 \nabla(\lambda \nabla T) - hA(T - T_f) - \varepsilon \sigma A(T^4 - T_w^4) \\
 \text{Heat} \quad \text{Heat} \quad \text{Heat} \\
 \text{conduction} \quad \text{convection} \quad \text{radiation}
 \end{array}$$

139

140

**Fig. 2.** Energy balance equation of a single cell.

141 In the normal working conditions,  $\dot{Q}_s$  is the main source of the heat generation.  
 142 When TR occurs in the system, the energy release rate due to chemical reaction  $\dot{Q}_r$   
 143 is much larger than  $\dot{Q}_s$  from Joule heating rate [32], thus only the effect of  $\dot{Q}_r$  is taken  
 144 into consideration. Generally,  $\dot{Q}_r$  contains the following four parts:

$$145 \quad \dot{Q}_r = \dot{Q}_f + \dot{Q}_n + \dot{Q}_p + \dot{Q}_e \quad (1)$$

146 Where  $\dot{Q}_f$  is the heat generation rate due to the decomposition of SEI,  $\dot{Q}_n$  due to  
 147 Negative-Solvent reaction,  $\dot{Q}_p$  due to Positive-Solvent reaction, and  $\dot{Q}_e$  is the heat  
 148 generation rate due to the decomposition of electrolyte.

149 The heat dissipates from the system to the surroundings through convection and  
 150 radiation, and can be written as follows:

$$151 \quad \dot{Q}_{conv} = h_{conv}A(T - T_f) \quad (2)$$

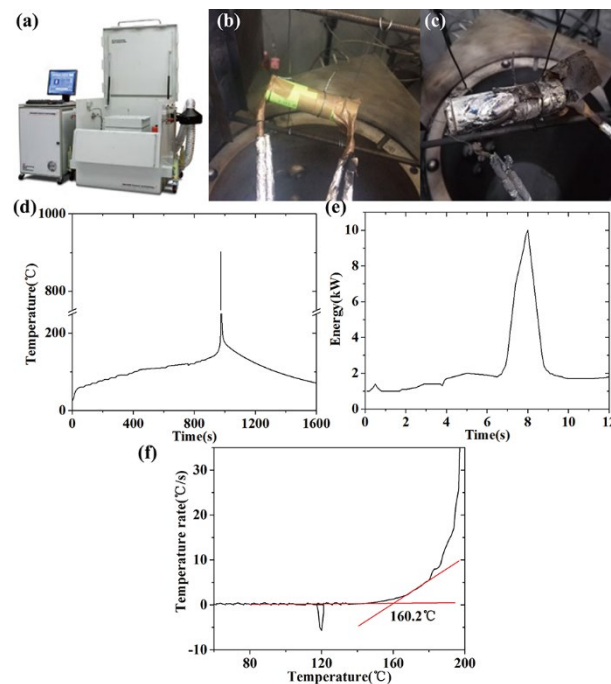
$$152 \quad \dot{Q}_{rad} = \varepsilon \sigma A(T^4 - T_w^4) \quad (3)$$

153 Based on energy balance equations as shown in Eq. (1) - (3), the temperature  
 154 distribution inside the system under a certain TR condition can be solved using  
 155 numerical simulation tool - FLUENT. The results from the simulation can be used to  
 156 predict the most possible location where TR occur and make it possible to prevent it  
 157 from happening in advance.

158 2.2 Calibration of thermal properties of single cells

159 Accelerating rate calorimeter (EV-ARC, Thermal hazard technology, UK) was  
160 used to measure the thermal hazard and runaway characteristics of commercial 18650  
161 lithium-ion batteries, as shown in Fig. 3(a). The thermal runaway energy distribution  
162 can be calibrated during the experiment [32, 35]. The change of the temperature during  
163 TR is recorded in an adiabatic environment, as shown in Fig. 3(b) & (c).

164 A standard heat-wait-search (HWS) procedure is the most characteristic and  
165 prevalent way to determine the onset temperature of self-heating. The tests evaluated  
166 the thermal hazard characteristics, such as initial exothermic temperature ( $T_0$ ) and self-  
167 heating rate ( $dT/dt$ ), as shown in Fig. 3 (d) & (e). The maximum self-heating power of  
168 commercial 18650 cylindrical lithium-ion battery cells (Samsung 18650-33G) of 100%  
169 state of charge (SOC) was measured to be 9.95 kW, and the maximum temperature  
170 reached 889 °C.



171

172 **Fig. 3.** Accelerating rate calorimeter (ARC) test process. (a)ARC test device; (b) & (c) Cell status  
173 before & after ARC test; (d) Thermal runaway temperature of a cell; (e) energy release variation  
174 curve of a cell; (f) Temperature rate as a function of temperature,  $T_0$  was defined as the point at  
175 which the heating-rate curve rises from constant to quasi-exponential.

176 After the experiment proceeded for 960 seconds, it was recorded that the rate of

177 the temperature rise of the cell increased rapidly.  $T_0$  was defined as the point at which  
 178 the heating-rate curve rises from constant to quasi-exponential [36], and was used as  
 179 the TR triggering temperature ( $TR_T$ ) to determine if the cell was forced into TR in  
 180 simulation.  $T_0$  was  $160.6 \pm 1.2$  °C on average recorded from 8 repeated tests. Fig. 3 (d),  
 181 (e) & (f) is the ARC test result from one cell. Cell temperature went up to 889 °C within  
 182 a few seconds. The heat generation of the cell during TR was estimated with following  
 183 equations:

$$184 \quad C_{total} = \frac{(C_{cell}m_{cell} + C_{can}m_{can})}{(m_{cell} + m_{can})} = 1100 \text{ Jg}^{-1}\text{K}^{-1} \quad (4)$$

185 Where  $C_{total}$  is the average mass specific heat of single cell,  $C_{cell}$  the average mass  
 186 specific heat of the vented cell materials,  $C_{can}$  the average mass specific heat of the can  
 187 and cell material residual,  $m_{cell}$  the mass of the cell materials,  $m_{can}$  the mass of the  
 188 stainless steel can and  $m_{total}$  is the total mass of the single cell. According to the  
 189 measurements:

$$190 \quad m_{total} = m_{cell} + m_{can} = 0.047 \text{ kg} \quad (5)$$

$$191 \quad Q_{total} = m_{total}C_{total}\Delta T = 0.047 \times 1100 \times (889 - 160.6) = 37658.28 \text{ J} \quad (6)$$

$$192 \quad Q_{can} = m_{can}C_{can}\Delta T = 4374 \text{ J} \quad (7)$$

$$193 \quad Q_{cell} = Q_{total} - Q_{can} = 33284.28 \text{ J} \quad (8)$$

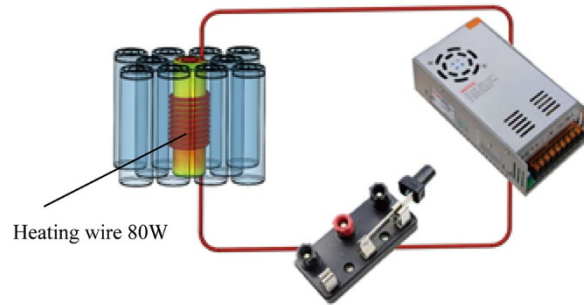
$$194 \quad Q_{cell}/Q_{total} = 33284.28/37658.28 = 0.88 \quad (9)$$

195 Where  $Q_{total}$  is the total energy released by the TR cell,  $Q_{can}$  is the energy of the can and  
 196 cell material residual, and  $Q_{cell}$  is the energy carried by the vented cell materials.

197

### 198 2.3 Experimental study of TR propagation within a test unit

199 There are several methods to initiate the TR such as nail penetration, heating and  
 200 overcharge. This study used electrical resistance (Joule) heating to drive the cell into  
 201 TR (Fig. 4).



202

203

**Fig. 4.** Schematic of triggering process of thermal runaway by heating.

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During the experiment, the triggering cell was heated to TR and forced to release energy in the form of top venting or side rupture. A gas vent is located at the top of the 18650 cell to allow for an internal pressure release when gas builds up inside the cell. Large amount of gas generated inside the cell at TR state would sharply increase internal pressure which could mostly activate the gas vent and release gas from the top of the cell. The practical application of the technique to avoid side rupture is to weaken the strength of the top or the base of cell [37]. Therefore, based on the contrary concept, the top of the cell was enhanced to increase the chance of side rupture. In this experiment, the top of the cell was glued and attached with a metal plate for reinforcement to achieve side rupture intentionally.

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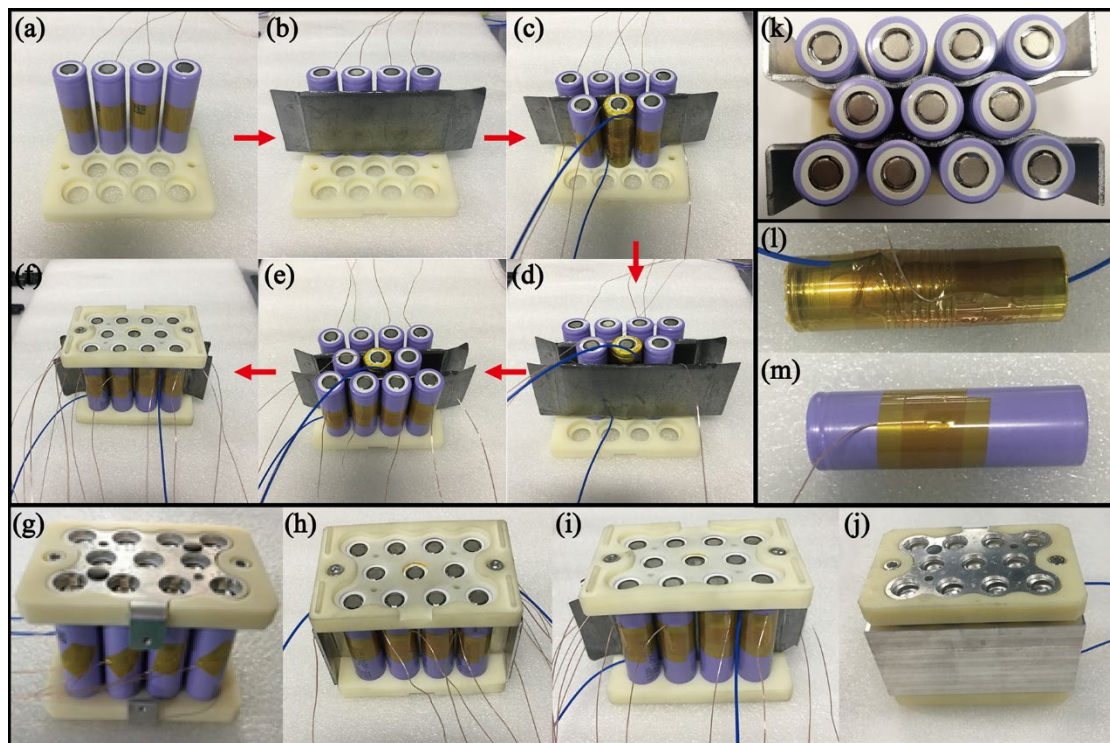
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Commercial cells (Samsung 18650-33G) were used, which are brand new and on-purpose purchased for the experiment. The anode material of the cell is NCA (NiCoAl), the cathode material is graphite, and the electrolyte is mainly ethylene carbonate (EC) with  $\text{LiPF}_6$ . Cells were assembled into a BSU and the assembly procedure is illustrated in Fig. 5 (a)-(d). Cells were set between two symmetrical ABS plastic sheet, and the battery spacing were kept 2 mm. A resistance heating wire ( $\text{Cr}_{20}\text{Ni}_{80}$ ) was wound around cell #7 in the central section of the cell (Fig. 5 (l)) for 8 rounds. The winding area accounts for half of the surface area of the cell. Cell #7 was heated during the experiment as triggering cell. The thermal couples were erected against the central surface of each cell, located on the side away from cell #7 (Fig. 5 (e), (m), Fig. 6 (c)). The signals from the thermal couples were collected by a data logger (LR8400-21, HIOKI Japan) (Fig. 7(b)), and the temperatures of the cells were recorded in real time.

226 Different materials were inserted in the interval between the cells, and their materials  
 227 are listed in Table 2. The arrangements of interstitial layers are illustrated in Fig. 5(g)-  
 228 (j). The thickness of both Al plates and graphite sheets are 2.1 mm. When BSU is  
 229 assembled, the cell and the interstitial material are closely contacted (Fig. 5 (k)). BSU  
 230 is locked by bolts. Tiny gaps are inevitable but can be ignored in the actual assembly.  
 231 It should be noted that the graphite sheets are sandwich structures. And the outer layers  
 232 are graphite (0.2 mm thickness) with the thermal conductivity of  $800 \text{ W m}^{-1} \text{ K}^{-1}$  in plane  
 233 direction and  $25 \text{ W m}^{-1} \text{ K}^{-1}$  in axial direction, while the middle layer (1.7 mm thickness)  
 234 is a thermal barrier with the thermal conductivity of  $0.02 \text{ W m}^{-1} \text{ K}^{-1}$ .

235



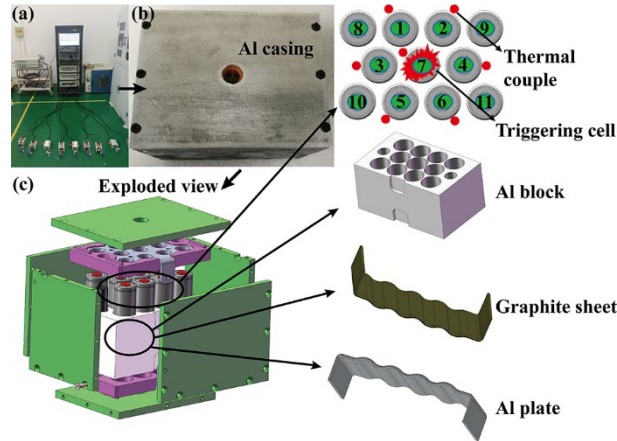
236

237 **Fig. 5.** Photographs of BSU modules with different interstitial material. (a)-(d) Assembling  
 238 procedure for BSU (with graphite sheet); (g)-(j) BSU with different interstitial materials: (g) Air  
 239 (natural state), (h) Al plate, (i) Graphite composite sheet, (j) Al extrusion; (k) top view of uncovered  
 240 BSU; (l) triggering cell binding with heating wire and thermal couple in the central section of the  
 241 cell; (m) neighboring cell binding with a thermal couple.

242 Eight BSUs were assembled with 4 interstitial materials and 2 venting options. All  
 243 the BSUs were charged to 100% SOC (Fig. 6(a)) and sealed in a casing made of  
 244 aluminum (Fig. 6(b)). The Al casing has a gas vent on the top. Fig. 6 shows an exploded

245 view, which exhibits the configurational structure. The Al casing structure was settled  
 246 into a test chamber (1000 mm x 500 mm x 500 mm, 3 mm thickness, made of steel) as  
 247 shown in Fig. 7(a) for experiment, which simulated the TR in a battery pack and ensured  
 248 safety.

249



250

251 **Fig. 6.** Photograph and schematic of a BSU. (a) Charging setup for BSU. (b) BSU sealed in Al  
 252 casing. (c) BSU configuration showing cell numbers and locations of triggered cell (cell 7) and  
 253 adjacent cells (cell 1-10). Thermal couples were placed on cell 1-7, while their positions are  
 254 represented by red dots.

255



256

257 **Fig. 7.** Devices for thermal runaway experiment

258 During the experiment, the heating wire heated up cell #7 at power of 80 W by the  
 259 resistance heating wire until cell #7 run into TR. The temperatures of all cells were  
 260 recorded in real time.

261 **Table 2**

262 Characteristics of Samsung 18650-33G and different interstitial materials

Item	Density (kg m <sup>-3</sup> )	Cp(J kg <sup>-1</sup> K <sup>-1</sup> )	Thermal Conductivity(W m <sup>-1</sup> K <sup>-1</sup> )
------	----------------------------------	--	--

Samsung 18650-33G	2800	1143	Radial	Axial
			5	1
Al plate	2700	880	230	230
Graphite composite sheet (lightweight)	2200	700	plane/axial	barrier
			800/25	0.02
Al extrusion	2700	880	230	230

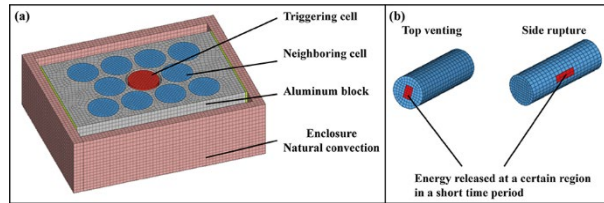
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#### 264 2.4 Simulation process

265 The simulation is based on the BSU containing 11 cells in parallel with various  
 266 interstitial materials. The center cell was triggered into energy releasing in the form of  
 267 top venting or side rupture. The released energy was transferred to the neighboring cells  
 268 through conduction, convection (natural and forced convection) and radiation.  
 269 Interstitial materials are directly in contact with the enclosure of BSU, which exchanges  
 270 heat with surroundings by convection, as shown in Fig. 6. Mesh of the model is  
 271 established by the meshing tool Hypermesh. Nodes between the cell and the interstitial  
 272 material and those between the interstitial material and the enclosure are  
 273 continuous/uninterrupted, as shown in Fig. 8(a).

274 Finite element method software - Fluent [38] is employed to simulate and analyze  
 275 the ability of various interstitial materials to restrain the temperature rise of the  
 276 neighboring cells, and furthermore supply theoretical guidance for the TR propagation  
 277 in battery module level. The maximum temperature of each single cell will be achieved  
 278 during the simulation process. Boundary conditions of the simulation are as follows:  
 279 ambient temperature is 27 °C; the Al casing is set to have a gas vent in the top to  
 280 simulate the real situation of the cell in a module; the gas ejected during the top venting  
 281 is exhausted outside the casing, while the gas ejected during the side rupture is  
 282 contained in the casing; the heat exchange between Al casing and external environment  
 283 is natural convection with gravity; the battery casing is in intimate contact with the  
 284 interstitial material. The middle cell of the module is set to release energy in the form  
 285 of top venting and side rupture as shown in Fig. 8(b). Graphite composite sheet and Al

286 plate are in direct contact with the surface of cell body, and the interface contact thermal  
287 resistance is ignored. In side rupture condition, hot gas energy is contained within the  
288 enclosure.



289  
290 **Fig. 8.** Simulation model diagram. (a) Schematic of model mesh for numerical simulation; (b) Two  
291 modes of energy releasing: top venting and side rupture.

292 The simulation model is composed of enclosure, ABS plastic plates, thermal pad,  
293 four kinds of interstitial materials, cells with 2 mm gap, which is the same as the  
294 experiments. For comparison purpose, two mesh sizes (0.5 mm and 1 mm) are  
295 produced with 2.33 M and 0.6 M structured meshes, respectively. And nodes are  
296 continuous between meshes. No difference is observed between two results. For faster  
297 calculation convergence, 1 mm mesh size and continuous nodes between meshes is set  
298 for simulation. The ambient temperature is set at 27 °C and material properties are  
299 shown in Table 2. The quantified energy released by the triggering cell is illustrated  
300 in Fig. 3(d) & (e) and Eqs. (4)-(8). And the heat transfer coefficient of convection  
301 between the shell and the ambient surrounding is 5 W m<sup>-2</sup> K<sup>-1</sup>.

302

### 303 **3. Results and discussion**

304 The presented work details the TR propagation behavior of the BSUs with both  
305 simulation and experiment methods. The center cell was heated into TR. Meanwhile,  
306 the temperatures of the center cell and 6 abutting cells were monitored in real-time  
307 during the experiments. Experimental and simulation results with different interstitial  
308 materials are elaborated in Fig. 9 and Fig. 10, separately.

#### 309 *3.1 Experimental results*

310 Eight BSUs with four different interstitial materials were tested. Cell #7 at the  
311 center was heated to TR in the mode of either top venting or side rupture, and the

312 temperatures of cells were recorded. Experimental results are shown in Fig. 10. The  
313 relationship between the serial number and the interstitial materials is the same as that  
314 in Table 3. Table 3 summarizes the results of each BSU, including  $TR_T$  and the time to  
315 TR of cell #7, maximum temperature and TR values of neighboring cells.

316 (a) BSU with none interstitial material and top venting mode:

317 TR was triggered in cell #7 after it was heated for 160 s and  $TR_T$  was recorded as  
318 178 °C approximately. Then the temperature of the adjacent cells rose from 33 °C. The  
319 temperatures recorded exceeded the TR temperature (160.6 °C), which means the  
320 occurrence of TR propagation. It should be noted that the temperature of adjacent cells  
321 around cell #7 fluctuated drastically, which might due to the unstable sporadic,  
322 intermittent hot vapor released by the surrounding TR cells.

323 The temperature recorded to reach TR of the experimental module is greater than  
324  $TR_T$  of the ARC test on single cell. The reason is that ARC test is a slow heat-wait-  
325 search process under the assumption of uniform temperature within the cell. While in  
326 the BSU tests, the heating wire heats up the cell from outside at a much higher rate, and  
327 the nonuniformity of the temperature within the cell is significant. Therefore, the  
328 temperature at the outer shell of the cell is several degrees higher than that at inner part  
329 of the cell, which is the criterial to trigger TR. In the following cases, there are also  
330 varying degrees of temperature differences.

331 (b) BSU with none interstitial material and side rupture mode:

332 The temperature of cell #7 reached  $TR_T$  of 182 °C after it was heated for 115 s.  
333 Almost immediately the temperature of the adjacent cells rose sharply and TR  
334 propagation occurred. The temperature dropped slowly not until after 350s. The  
335 temperature of cell #1 rose much slower than other cells. This may due to the reason  
336 that the side rupture direction of cell #7 is facing off the direction of cell #1. Therefore,  
337 cell #1 is not directly affected by the explosion, but is subjected to relatively slow heat  
338 radiation.

339 (c) BSU with Al plate as interstitial material and top venting mode:

340 The temperature of cell #7 reached  $TR_T$  of 184 °C after it was heated for 240 s.  
341 There were small fluctuations of the adjacent cells' temperatures. The Al plate acts as

342 a heat sink and absorbs the heat energy of cell #7. The maximum temperature of the  
343 adjacent cells reached is about 120 °C, and no TR propagation occurs.

344 (d) BSU with Al plate as interstitial material and side rupture mode:

345 Cell #7 was forced to TR after heated for 240 s. The temperatures of adjacent cells  
346 rose sharply along with the TR of cell #7, and TR propagation occurred in the BSU.  
347 The energy released by side rupture of cell #7 is all wrapped in the module. The Al  
348 plate is saturated as heat sink. Therefore, the heat energy is transferred to the  
349 surrounding cells. In the case of top venting (c), part of the energy was released outside  
350 the module along with the material explosion, so no TR propagation was observed in  
351 Fig. 17(c). The direction of side rupture might face to cell #2, #4, and #6, so the  
352 temperature of these cells rose faster than cell #1, #3, and #5 as shown in the inset of  
353 Fig. 17(d). Moreover, due to the heat sink and space isolation effect of the aluminum  
354 plate, the energy dissipation is relatively stable during the cooling process of the entire  
355 module, so the temperature drop is relatively stable compared to (a) and (b).

356 (e) BSU with graphite composite sheets as interstitial material and top venting  
357 mode:

358 Cell #7 was heated to trigger TR. Moderate temperature rise (slightly above 100  
359 °C) of the adjacent cells was recorded and no TR propagation was observed, as in the  
360 case of (c). Furthermore, the maximum temperature of the triggered cell reached about  
361 800 °C, and is much smaller than those in modules with TR propagations, which is  
362 above 1000 °C. This is due to the large amount of energy released during TR  
363 propagations in the modules causing excessive temperature rise in the center of the  
364 modules.

365 (f) BSU with graphite composite sheets as interstitial material and side rupture  
366 mode:

367 The temperature of the center cell reached  $TR_T$  of 178 °C after it was heated for  
368 150 s. Moderate temperature rise of the adjacent cell that is directly in the rupture  
369 direction of the TR cell was recorded. And no TR propagation was observed after  
370 temperature rise fluctuations. Hence, graphite composite sheet can prevent TR  
371 propagation in BSU even in the side rupture mode. The graphite sheet has a high

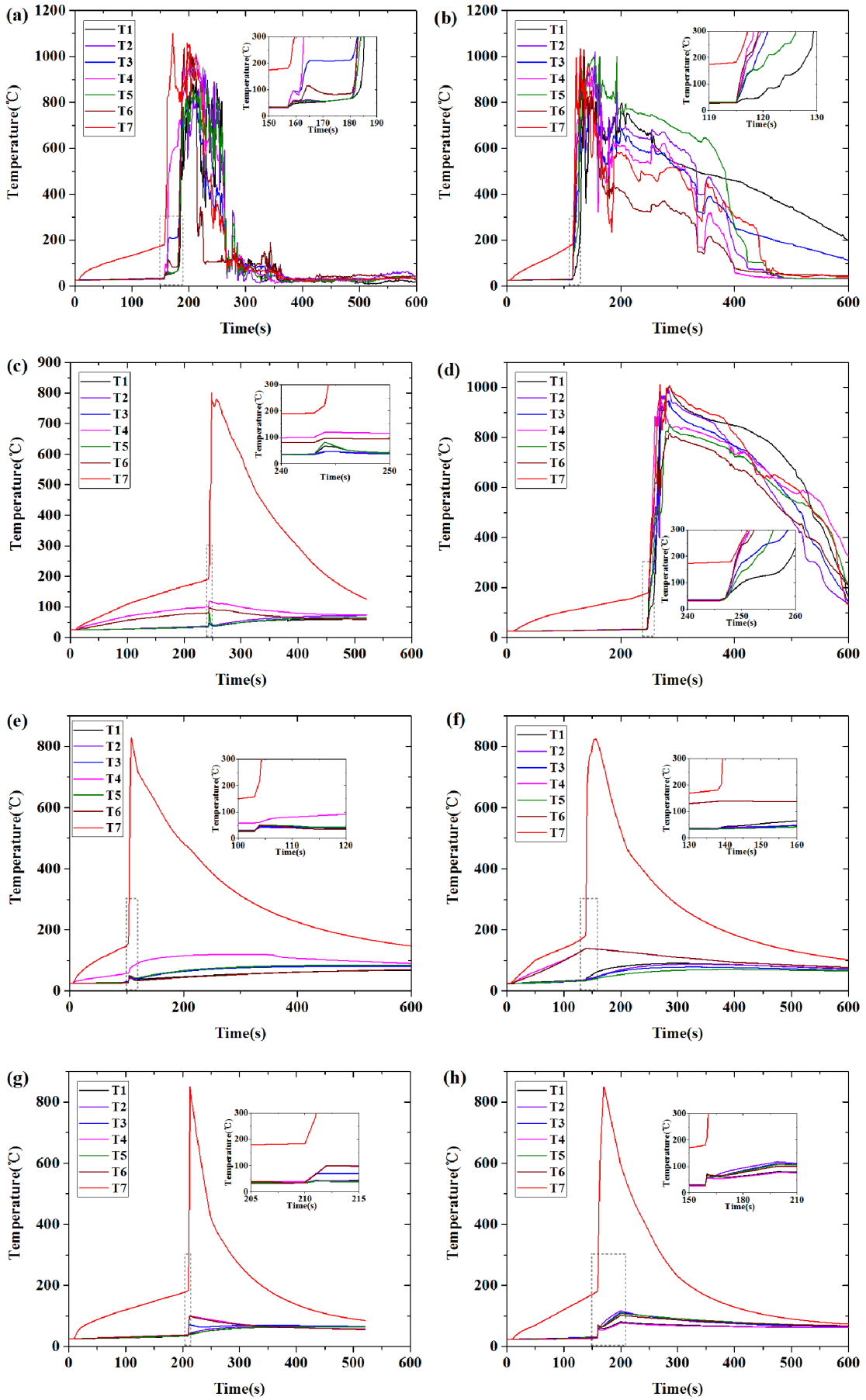
372 thermal conductivity in plane direction ( $800 \text{ W m}^{-1}\text{K}^{-1}$ ), which can spread out the heat  
373 released from the TR cell rapidly to the Al casing. On the other hand, the graphite sheet  
374 has a low thermal conductivity in the axial direction ( $25 \text{ W m}^{-1}\text{K}^{-1}$ ) due to the sandwich  
375 structure, which can effectively shelter the cells adjacent to the TR cell. Therefore, the  
376 temperature distribution among the whole module is quite uniform even in this side  
377 rupture mode.

378 (g) BSU with Al extrusion as interstitial material and top venting mode:

379 Cell #7 was forced to TR. TR lasted for around 10 s and the maximum temperature  
380 reached  $850 \text{ }^\circ\text{C}$ . The temperature of the neighboring cell 3 reached highest value of  $102$   
381  $^\circ\text{C}$ , which did not trigger TR and the rest cells remained stable.

382 (h) BSU with Al extrusion as interstitial material and side rupture mode:

383 Cell #7 was forced to TR. The temperature of adjacent cell #2 reached a maximum  
384 temperature around  $115 \text{ }^\circ\text{C}$ , and did not trigger TR.



386 **Fig. 9.** Experiment results of 7 cells (a) with air as interstitial material and top venting; (b) with air  
 387 as interstitial material and side rupture; (c) with Al plate as interstitial material and top venting; (d)  
 388 with Al plate as interstitial material and side rupture; (e) with graphite sheet as interstitial material  
 389 and top venting; (f) with graphite sheet as interstitial material and side rupture; (g) with Al extrusion  
 390 as interstitial material and top venting; (h) with Al extrusion as interstitial material and side rupture.  
 391

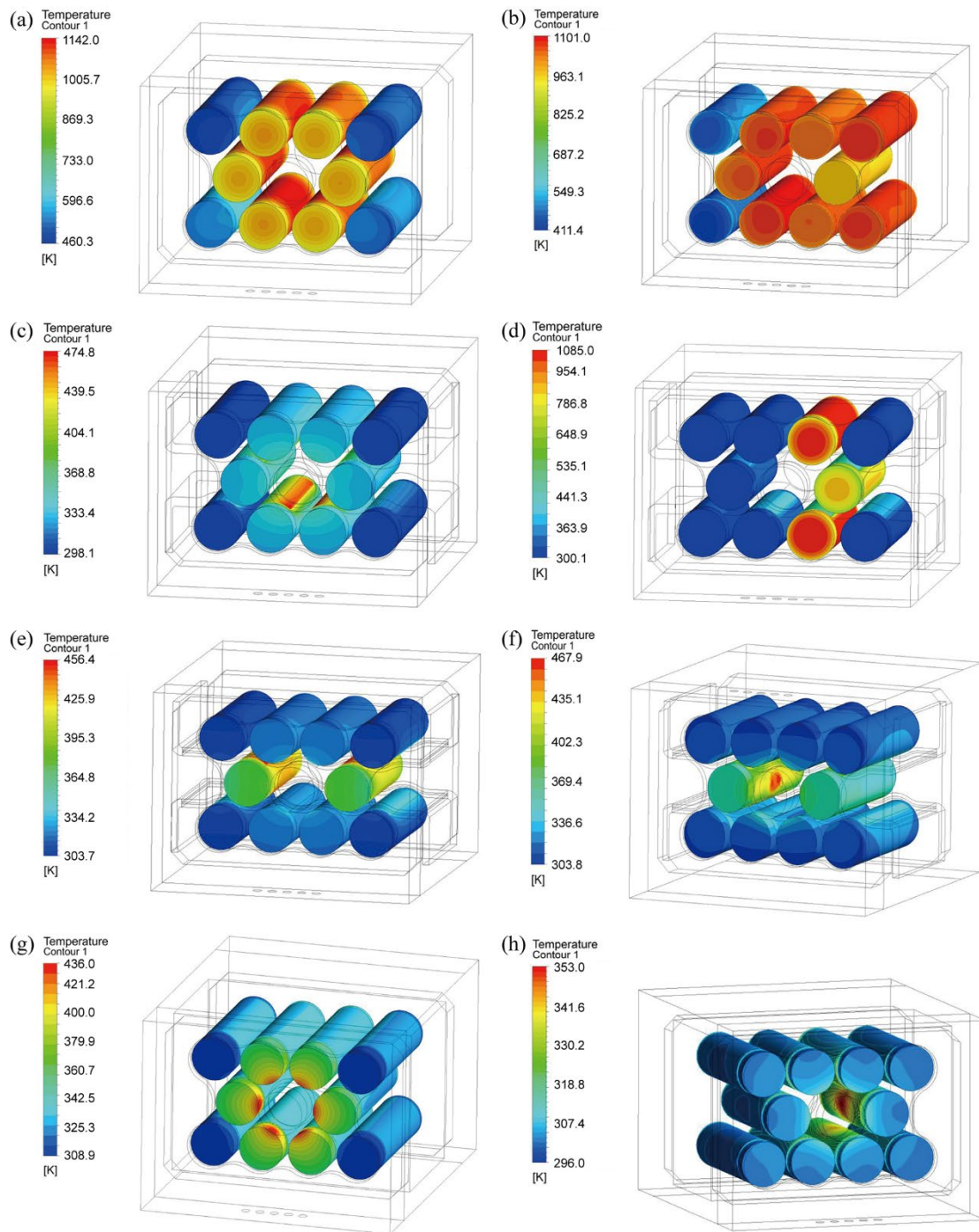
392 **Table 3**

393 Summary of experiment results of all BSUs. The results include venting mode, triggering  
 394 temperature and time to TR of cell #7, maximum temperatures and TR values of neighboring cells.  
 395 'T' means 'Top venting', 'S' means 'Side rupture'. 'Fail' means that TR propagation occurs in the  
 396 module, while 'Pass' means that no TR propagation occurs in the module

	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Interstitial material	Air(air)		Al plate		graphite composite sheet		Al extrusion	
Venting mode	T	S	T	S	T	S	T	S
Time to TR (s)	160	115	240	240	248	150	210	159
TR <sub>T</sub> (°C)	178	182	184	180	157	178	184	181
Max Temp (°C)			120		100	120	102	115
TR value	Fail	Fail	Pass	Fail	Pass	Pass	Pass	Pass

397

398 *3.2 Simulation results*



399

400 **Fig. 10.** Simulation results of the temperature responses of BSU (a) with air as interstitial material  
 401 and top venting; (b) with air as interstitial material and side rupture; (c) with Al plate as interstitial  
 402 material and top venting; (d) with Al plate as interstitial material and side rupture; (e) with graphite  
 403 sheet as interstitial material and top venting; (f) with graphite sheet as interstitial material and side  
 404 rupture; (g) with Al extrusion as interstitial material and top venting; (h) with Al extrusion as  
 405 interstitial material and side rupture.

406 Fig. 10 illustrates the numerical simulation results of the temperature distribution

407 inside the modules with various interstitial materials. The center cell was hidden to  
 408 strengthen the color difference generated from the temperature gradient since the  
 409 temperature difference between neighboring cells and the center cell are drastic during  
 410 the energy release process. The energy generated by chain reactions is ignored in order  
 411 to reduce the complexity of simulation. It is a low cost method to evaluate the safety  
 412 and reliability of module design to obtain a simple and clear criterion of thermal  
 413 runaway onset. During the simulation, the energy is loaded on the center cell, whose  
 414 value is determined from the ARC test. The temperature of the center cell increased to  
 415 about 800 °C within 5 s after it was triggered. The neighboring cells reach their peak  
 416 temperature after the center cell does. In the experiment process, the thermal couple of  
 417 cell #1-#6 was erected against the central surface of each cell, located on the side facing  
 418 off from cell #7 (Fig. 6 (c)). In the simulation, the temperatures of neighboring cells are  
 419 monitored at locations that are facing away from the center cell, which are the same as  
 420 those in the experiment. These recorded temperatures are taken as the criteria of thermal  
 421 runaway onset. Sample illustration of simulated temperature results for neighboring  
 422 cells is shown in Fig. 11. Temperatures rise rapidly for cells with none interstitial  
 423 material and top venting mode (Fig. 11(a)), and no high temperature is found for cells  
 424 with Al extrusion and side rupture mode (Fig. 11(b)). The temperatures and outcomes  
 425 are shown in Table 4. The triggering temperature is  $160.6 \pm 1.2$  °C acquired from ARC  
 426 test.

427

428 **Table 4**

429 Max temperature of neighboring cells in simulation. 'T' means 'Top venting', 'S' means 'Side  
 430 rupture'. 'Fail' means that TR propagation occurs in the module, while 'Pass' means that no TR  
 431 propagation occurs in the module

No.	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Interstitial material	None(air)		Al plate		graphite composite sheet		Al extrusion	
Venting mode	T	S	T	S	T	S	T	S
Cell Temp.(°C)	869	828	80	812	153	120	83	80

TR value                      Fail      Fail    Pass    Fail    Pass                      Pass                      Pass    Pass

432

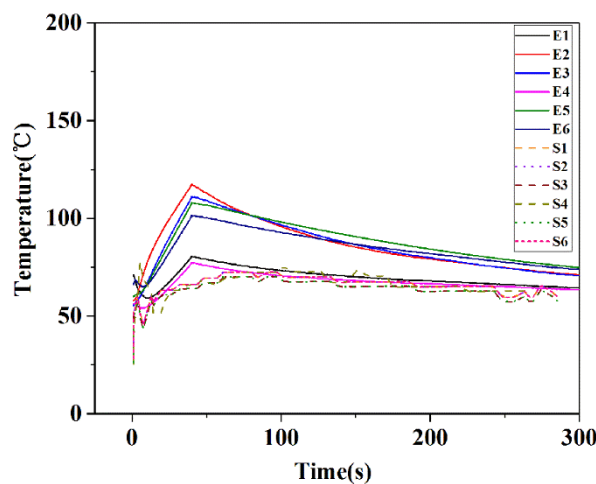
433 *3.3 Summary*

434 **Table 5**

435 Results of TR experiment. 'Pass' means no TR propagation occurring in the BSU, while 'Fail' means  
 436 TR propagation occurring in the BSU.

Interstitial material	Mode	Simulation results	Experiment results
Air	Top venting	Fail	Fail
	Side rupture	Fail	Fail
Al plate	Top venting	Pass	Pass
	Side rupture	Fail	Fail
Graphite composite sheet	Top venting	Pass	Pass
	Side rupture	Pass	Pass
Al extrusion	Top venting	Pass	Pass
	Side rupture	Pass	Pass

437



438

439 **Fig. 11.** Temperature results of BSU with Al extrusion as interstitial material and side rupture mode  
 440 for both simulation and experiment. Curves “E1-E6” are temperature records of cell #1-#6  
 441 in experiment; Curves “S1-S6” are simulation calculated temperature results for cell#1- #6.

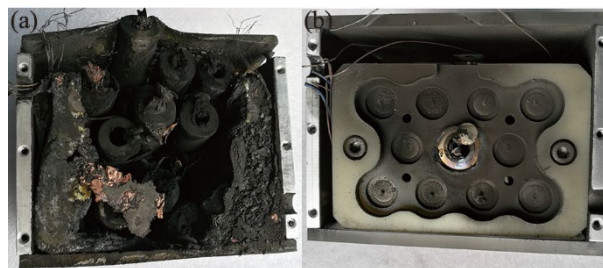
442

Temperature results of BSU with Al extrusion as interstitial material and side

443 rupture mode for both simulation and experiment are shown in Fig. 11. The  
444 temperatures of neighboring cells #1-#6 agreed well, which provides a basis for  
445 consistency of simulation and experimental results.

446 The simulation and experiment results are summarized in Table 5. The outcomes  
447 of the experiments are shown in Fig. 12. Generally, the results of experiment and  
448 simulation agreed well, except certain temperature differences. From the simulation and  
449 experimental results, it can be seen that under the current module energy density and  
450 battery spacing conditions, only air in the gap cannot prevent the TR propagation in any  
451 form. In the case of top venting, the aluminum plate has the function of heat sink and  
452 heat conductor, which successfully prevents the TR propagation, but is overwhelmed  
453 in the case of side rupture. The graphite composite plate and Al extrusion can  
454 successfully prevent the TR propagation under both modes (top venting and side  
455 rupture). The high in-plane thermal conductivity of the graphite in the graphite  
456 composite plate and the thermal insulation of the intermediate interlayer effectively  
457 prevent the heat from being transferred to adjacent cells. The Al extrusion can absorb  
458 the heat generated from the TR cell as a robust heat sink, which can effectively prevent  
459 the TR propagation.

460



461

462 **Fig. 12.** BSUs with (a) and without (b) the propagation of thermal runaway.

463 Graphite composite plates are light in weight but expensive, and are not suitable  
464 for common consumer product applications. It is suitable for applications where energy  
465 density and safety requirements are relatively high, such as space and military battery  
466 unit. The Al extrusion is relatively heavy but has a lower cost than graphite composite  
467 sheet, and it can prevent the TR propagation mostly. It can be used in the case of weight-  
468 insensitive products such as heavy duty electric machines, energy storage power

469 stations.

470

#### 471 **4. Conclusion**

472 The objective of this study is the inhibition effect on TR propagation utilizing  
473 different interstitial materials when TR of a cell occurs in a module. Four interstitial  
474 materials were evaluated in both simulation and experimental methods. And the  
475 commercial application prospects of the battery module design were also discussed. In  
476 this study, modules composed of 11 cells with four interstitial materials (air, Al plate,  
477 graphite composite sheet and Al extrusion) were built as the basic test units. The TR  
478 conditions and properties of a single cell were calibrated by ARC test. In simulation  
479 these properties were loaded on the center cell as initial condition to calculate the  
480 temperature distribution of the neighboring cells, while the chain reactions were not  
481 considered. The center cell was triggered into TR by an 80W heating wire and the  
482 temperature of all the cells were monitored in the experiment process.

483 TR is a drastic energy releasing process, especially in side rupture condition. In  
484 battery module design, air alone cannot prevent the TR propagation. Aluminum plate  
485 has a certain safety protection against TR, but failed in the case of side rupture. The  
486 graphite composite sheet can significantly prevent the TR propagation, due to the high  
487 in-plane thermal conductivity of the graphite sheet layers and relatively low thermal  
488 conductivity in cross-plane direction of the graphite sheet layers, particularly the high  
489 thermal insulation and fire resistance of intermediate layer. Even though Al extrusion  
490 is slightly heavy, but it shows the best performance in restraining TR propagation,  
491 which can stabilize the temperature of the entire module in a moderate range. This  
492 approach is most likely to be applicable for lithium-ion batteries which have an energy  
493 density not higher than that used. At present, the results of this study are more inclined  
494 to be applied to cylindrical batteries. It may also be useful for prismatic and pouch cells  
495 with higher energy, while the structure design and material parameters need to be  
496 further adjusted according to different application states.

497 It can be seen that side rupture of the triggering cell can significantly increase the

498 possibility of TR propagation and managing the top venting path is critical for thermal  
499 management. It is a feasible way to improve the safety of the high specific energy  
500 lithium ion battery by utilizing different interstitial materials to change the thermal path  
501 in the battery module. A proper safety valve should be designed in the bottom of cell to  
502 release the pressure and heat, which is a critical means to help avoiding side rupture.  
503 Furthermore, for safety concern, the combustion of expelled electrolyte must be  
504 directed away from adjacent cells in the battery packs.

505

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511

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